

# STRINGS AND WAVES

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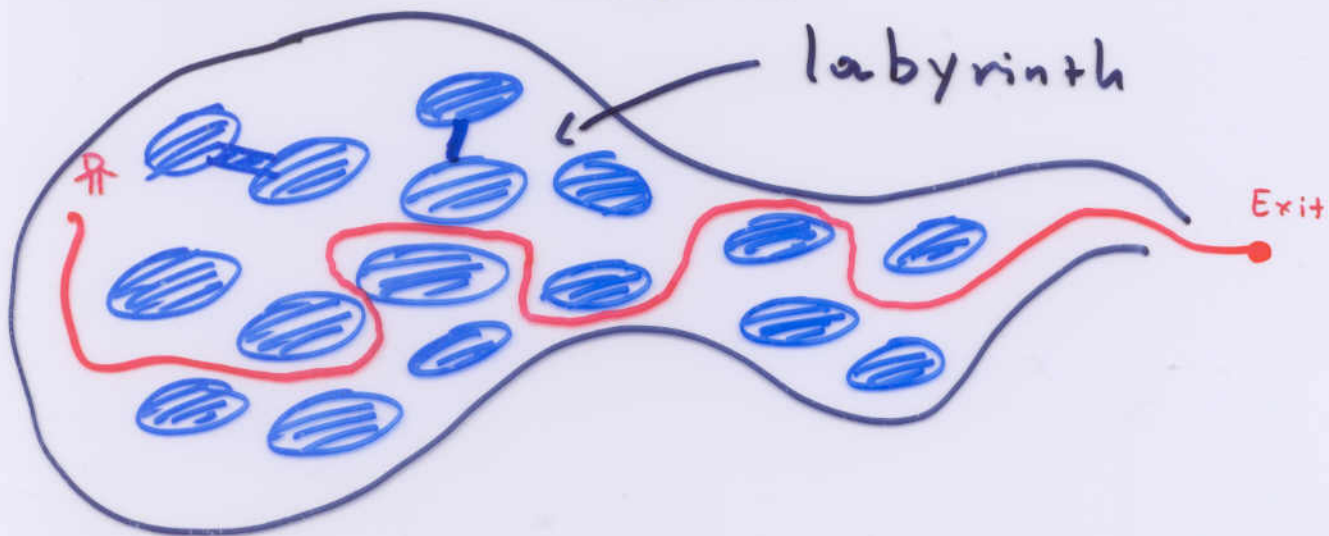
Ariadne

Strings

Herodotus  
Thucydides  
Euripides  
Sophocles

Crete << 1620 BC

- Theseas Problem



- Open Strings
- Dirichlet boundary conditions (D0-branes)
- Winding modes

∴ Solution still in use today!

## Thera Waves

- Marinatos Theory (1967)

In  $\sim 1620$  BC, there was a volcanic eruption at Thera.

A 260 m wave washed at the north shores of Crete.

The evidence are volcanic rock that has been found in Crete as well as writings from Egypt to China.

∴ Are there the first evidence for strings and waves?

## THE FIVE TESTS

Progress in String Theory the last  
3,500 years since Ariadne Strings.

- Can we quantize strings in a string background?
- Can we quantize strings in a string soliton background?
- Can we quantize strings in a cosmological background?
- Superstring Unification?
  
- Can we prove the AdS/CFT correspondence



NO

NO

NO despite the progress in string cosmology

There are models close to what we expect  
but many others which are not

A lot of evidence in support but not  
an actual proof.

# Classical Strings

## Action

$$S = \frac{1}{4\pi\alpha'} \int_{\Sigma} d^2\sigma \sqrt{\gamma} \gamma^{\mu\nu} \partial_{\mu} X^M \partial_{\nu} X^N G_{MN}(X) + \dots$$

$\gamma_{\mu\nu}$  worldsheet metric

$G_{MN}$  spacetime metric

$$X: \Sigma \rightarrow M$$

## Symmetries

$$\delta \gamma_{\mu\nu} = \nabla_{\mu} \epsilon_{\nu} + \nabla_{\nu} \epsilon_{\mu} \left. \vphantom{\delta \gamma_{\mu\nu}} \right\} \text{Diff.}$$

$$\delta X^M = \epsilon^{\mu} \partial_{\mu} X^M$$

$$\delta \gamma_{\mu\nu} = \phi \gamma_{\mu\nu} \left. \vphantom{\delta \gamma_{\mu\nu}} \right\} \text{Weyl}$$

## Equations of motion

$$T_{\mu\nu} = \left( \partial_{\mu} X^M \partial_{\nu} X^N - \frac{1}{2} \gamma_{\mu\nu} \partial^{\lambda} X^M \partial_{\lambda} X^N \right) G_{MN}(X) = 0$$

$$\gamma^{\mu\nu} \nabla_{\mu} \partial_{\nu} X^M = \gamma^{\mu\nu} \left( \partial_{\mu} \partial_{\nu} X^M - \Gamma_{\mu\nu}^{\lambda}(\gamma) \partial_{\lambda} X^M + \underbrace{\Gamma_{NL}^M(G)} \partial_{\mu} X^N \partial_{\nu} X^L \right) = 0$$

- The equations of motion are non-linear and cannot be solved for general backgrounds
- $\alpha'$  corrections

## TOPICS

- Eleven-dimensional supergravity
  - Maximal supersymmetry
- IIB Supergravity
  - Maximal supersymmetry
- Classification of maximally supersymmetric solutions in  $D=11, 10$
- Penrose limits
  - Penrose limits and maximal supersymmetry
  - Penrose limits and string solitons
- Strings in plane waves
  - Homogeneous plane waves
  - Plane wave backgrounds
  - Homogeneous plane wave backgrounds
- Non-singular homogeneous plane wave backgrounds
  - Klein Gordon equation
  - Classical string mode equations



- Four-dimensional plane waves
- General solution
- Hamiltonian
- Examples of four-dimensional models
- Strings in homogeneous singular waves
  - Klein Gordon equation
  - Backreaction
  - Strings in singular waves
  - Hamiltonian
  - String mode creation
  - String transition through singularity
- Conclusions

# ELEVEN-DIMENSIONAL SUPERGRAVITY

Cremmer  
Julian  
Scherk

Bosonic Fields

$G$  (metric),  $F$  (four-form)

$$dF = 0 \Rightarrow F = dA$$

$A$  (three-form gauge potential)

Field Equations

$$R_{MN} - \frac{1}{2} G_{MN} R = \frac{1}{12} F_M{}^{PQR} F_{NPQR} - \frac{1}{4} G_{MN} F^2$$

$$d * F + \frac{1}{2} F \wedge F = 0$$

Killing Spinor Equation

$$D_M \epsilon = 0$$

super-covariant derivative

$$D_M = \nabla_M - \frac{1}{288} (\Gamma^{PQRS}{}_M + 8 \Gamma^{PQR} \delta^S{}_M) F_{PQRS}$$

- The Clifford algebra in  $D=11$  admits a 32-dimensional spinor real rep. so  $\epsilon$  is a 32-component real spinor
- The # of solutions of KSE is the number of susies preserved by the background.

# MAXIMAL SUPERSYMMETRY

- $AdS_4 \times S^7$  and  $AdS_7 \times S^4$ .

## Membrane

Duff  
Stelle

$$ds^2 = H^{-\frac{2}{3}} \underbrace{ds^2(\mathbb{R}^{1,2})}_{WV} + H^{\frac{1}{3}} \underbrace{dy \cdot dy}_{\text{transverse, } \mathbb{R}^8}$$

$$F = d\text{vol}(\mathbb{R}^{1,2}) \wedge dH^{-1}$$

$$H = 1 + \frac{Q_2}{|y|^6}$$

Near Horizon:

$$\begin{aligned} ds^2 &\sim |y|^4 ds^2(\mathbb{R}^{1,2}) + \frac{1}{|y|^2} dy \cdot dy = \\ &= \frac{1}{4} \frac{1}{r^2} (dr^2 + ds^2(\mathbb{R}^{1,2})) + ds^2(S^7) \\ &= \frac{1}{4} ds^2(AdS_4) + ds^2(S^7) \end{aligned}$$

∴  $AdS_4 \times S^7$  has 32 Killing spinors

## M5-brane

Griven

$$ds^2 = H^{-\frac{1}{3}} \underbrace{ds^2(\mathbb{R}^{1,5})}_{WV} + H^{\frac{2}{3}} \underbrace{dy \cdot dy}_{\mathbb{R}^5}$$

$$F = *dH$$

$$H = 1 + \frac{Q_5}{|y|^3}$$

Near Horizon:

$$\begin{aligned} ds^2 &\sim |y| ds^2(\mathbb{R}^{1,5}) + \frac{1}{|y|^2} dy \cdot dy \\ &= \frac{4}{r^2} (dr^2 + ds^2(\mathbb{R}^{1,5})) + ds^2(S^4) \\ &= 4 ds^2(AdS_7) + ds^2(S^4) \end{aligned}$$

∴  $AdS_7 \times S^4$  has 32 Killing spinors



## Plane Wave

$$ds^2 = 2 dx^+ dx^- - \frac{\mu^2}{36} \left( 4 \sum_{i=1}^3 (x_i)^2 + \sum_{a=1}^6 (y_a)^2 \right) (dx^-)^2 + dx^2 + dy^2$$

$$F = \mu dx^- \wedge dx^1 \wedge dx^2 \wedge dx^3$$

- This solution has 32 Killing spinors

## Minkowski Spacetime.

$$ds^2 = -dt^2 + \sum_{i=1}^{10} (dy_i)^2$$

$$F = 0$$

- 32 Killing Spinors



# IIB SUPERGRAVITY AND MAXIMAL SUPERSYMMETRY

Bosonic Fields  $G$  (metric),  $B$  (NS-NS 2-form pot) } NS  
 $\phi$  (dilaton)  
 $C_4$  ( $F_5^+ = dC_4$  self-dual),  $C_2$  } RR  
 $\sigma$  (axion)

D3-brane

Duff  
Lu

$$ds^2 = H^{-\frac{1}{2}} ds^2(\mathbb{R}^{1,3}) + H^{\frac{1}{2}} \underbrace{dy \cdot dy}_{\mathbb{R}^6}$$

$$F_5^+ = (d\text{vol}(\mathbb{R}^{1,3}) \wedge dH^{-1}) + ( \quad )^*$$

$$H = 1 + \frac{Q_3}{|y|^4}$$

Near-Horizon:

$$ds^2 \sim y^2 ds^2(\mathbb{R}^{1,3}) + \frac{dy^2}{y^2} + ds^2(S^5) =$$

$$= \frac{1}{r^2} (ds^2(\mathbb{R}^{1,3}) + dr^2) + ds^2(S^5) = ds^2(\text{AdS}_5) + ds^2(S^5)$$

•  $\text{AdS}_5 \times S^5$  has 32 Killing Spinors

Plane Wave

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J. Figueroa-O'Farrill  
C. Hull  
G.P.

$$ds^2 = 2dx^+ dx^- - \mu^2 y^2 + \underbrace{dy \cdot dy}_{\mathbb{R}^8}$$

$$F_5 = \frac{\mu}{2} dx^- (dy^1 \wedge dy^2 \wedge dy^3 \wedge dy^4 + dy^5 \wedge dy^6 \wedge dy^7 \wedge dy^8)$$

• 32 Killing Spinors

Minkowski Spacetime

$F = 0$

• 32 Killing Spinors

# CLASSIFICATION OF MAXIMALLY SUSY SOLUTIONS

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EP

## M-THEORY

Th: The maximally supersymmetric solutions of D=11 supergravity are  $AdS_4 \times S^7$ ,  $AdS_7 \times S^4$  plane wave, Minkowski space

### Proof

- Compute supercovariant curvature

$$R_{MN} = [\mathcal{D}_M, \mathcal{D}_N] \\ = \sum_{n=1}^5 \Phi_{MN, A_1 \dots A_n} \Gamma^{A_1 \dots A_n} = 0$$

- $R_{MN} = 0 \Rightarrow$  Spacetime symmetric space  
F parallel.

$$\boxed{\eta_x \eta_y \eta_z F_1 F = 0} \quad \text{Plücker relation}$$

- Plücker relation  $\Rightarrow$  F simple

$$x \quad F^2 < 0 \quad \Rightarrow \quad AdS_4 \times S^7$$

$$x \quad F^2 > 0 \quad \Rightarrow \quad AdS_7 \times S^4$$

$$x \quad F \text{ null} \quad \Rightarrow \quad \text{plane wave}$$

$$x \quad F = 0 \quad \Rightarrow \quad \text{Minkowski space}$$

II B

Th: The maximally supersymmetric solutions at II B supergravity are  $AdS_5 \times S^5$ , plane wave and Minkowski spacetime.

Proof

- The Killing spinor equations imply that the active fields are  $G, F_5^+$  the rest vanish or are constant.
- $R_{MN} = 0 \Rightarrow$  spacetime symmetric space  $F_5^+$  parallel

$$\epsilon_{\alpha\beta\gamma\delta} (F_5^+)^{\alpha\beta} \wedge (F_5^+)^{\gamma\delta} = 0 \quad \text{New Plücker relation}$$

- Plücker relation  $\Rightarrow F_5 = G + \star G$ 
  - ×  $G^2 \neq 0 \Rightarrow AdS_5 \times S^5$  ↖ simple
  - ×  $G$  null  $\Rightarrow$  plane wave
  - ×  $G = 0 \Rightarrow$  Minkowski spacetime.



## PENROSE LIMITS

- A Penrose limit is a first order approximation to a Lorentzian spacetime
- The space at the limit is a plane wave

### Limit

The metric of any spacetime at the nbh of a null geodesic can be written as

$$ds^2 = dV (dU + \alpha dV + \sum_i \beta_i dY^i) + C_{ij} dY^i dY^j$$

$U$  affine parameter null geodesic

$\alpha, \beta_i, C_{ij}$  depend on all coordinates

Set  $U = u$        $V = \Omega^2 v$        $Y^i = \Omega y^i$

$\Omega > 0$  parameter

$$\bar{g} = \lim_{\Omega \rightarrow 0} \Omega^{-2} g(\Omega) \quad \text{metric}$$

$$\bar{\phi} = \lim_{\Omega \rightarrow 0} \phi(\Omega) \quad \text{scalar}$$

$$\bar{A}_p = \lim_{\Omega \rightarrow 0} \Omega^{-p} A_p(\Omega) \quad \text{p-form gauge potentials}$$



The metric at the limit

$$d\bar{s}^2 = du dv + \bar{C}_{ij}(u) dy^i dy^j$$

Setting

$$u = 2x^- \quad v = x^+ - \frac{1}{2} M_{ij}(x^-) x^i x^j$$

$$y^i = Q^i_j(u) x^j$$

$$\bar{C}_{ij} Q^i_k Q^j_l = \delta_{kl}$$

$$M_{ij} = C_{kl} Q'^k_i Q'^l_j$$

$$d\bar{s}^2 = 2 dx^+ dx^- + A_{ij}(x^-) x^i x^j (dx^-)^2 + f_{ij} dx^i dx^j$$

- $d\bar{s}^2$  is a plane wave metric.

Properties

- The Penrose limits of supergravity solutions are supergravity solutions because supergravity actions are homogeneous under  $\Omega$ -scaling.
- All isometries of a spacetime are inherited in the limit.

- All supersymmetries of a supersymmetric solution are inherited in the limit
- The symmetry superalgebra of a Penrose limit contains a contraction of the symmetry superalgebra of spacetime
- The limit depends on the choice of null geodesic  
ie. a spacetime can have many different Penrose limits.

# PENROSE LIMITS AND SUPERSYMMETRY

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C. Hull  
GP

Metric on  $AdS_{p+2} \times S^n$

$$ds^2 = R_{AdS}^2 \left[ -d\tau^2 + \sin^2 \tau \left( \frac{dr^2}{1+r^2} + r^2 ds^2(S^p) \right) \right] \\ + R_s^2 \left[ d\psi^2 + \sin^2 \psi ds^2(S^{n-1}) \right]$$

Set  $U = \psi + \rho \tau$      $V = \psi - \rho \tau$      $\rho = \frac{R_{AdS}}{R_s}$

$$R_s^{-2} ds^2 = dU dV + \rho^2 \sin^2 \left( \frac{U-V}{2\rho} \right) \left( \frac{dr^2}{1+r^2} + r^2 ds^2(S^p) \right) \\ + \sin^2 \left( \frac{U+V}{2} \right) ds^2(S^{n-1})$$

Take the limit.

$$R_s^{-2} d\bar{s}^2 = dudv + \sum_{i=1}^{p+n} \frac{\sin^2 \lambda_i u}{(2\lambda_i)^2} dy^i dy^i$$

$$\lambda_i = \begin{cases} \frac{1}{2\rho} & i=1, \dots, p+1 \\ \frac{1}{2} & i=p+2, \dots, p+n \end{cases}$$

Set  $x^- = \frac{u}{2}$      $x^+ = v - \frac{1}{4} \sum_i (y^i)^2 \frac{\sin 2\lambda_i u}{2\lambda_i}$   
 $x^i = y^i \frac{\sin \lambda_i u}{2\lambda_i}$

$$R_s^{-2} d\bar{s}^2 = 2 dx^+ dx^- - 4 \sum_i \lambda_i^2 (x^i)^2 (dx^-)^2 + (dx^i)^2$$

Another limit

$$ds^2 = \frac{R_{\text{AdS}}^2}{(r+c)^2} (dUdV + ds^2(\mathbb{R}^{p+1}) + dr^2) + R_s^2 ds^2(S^n)$$

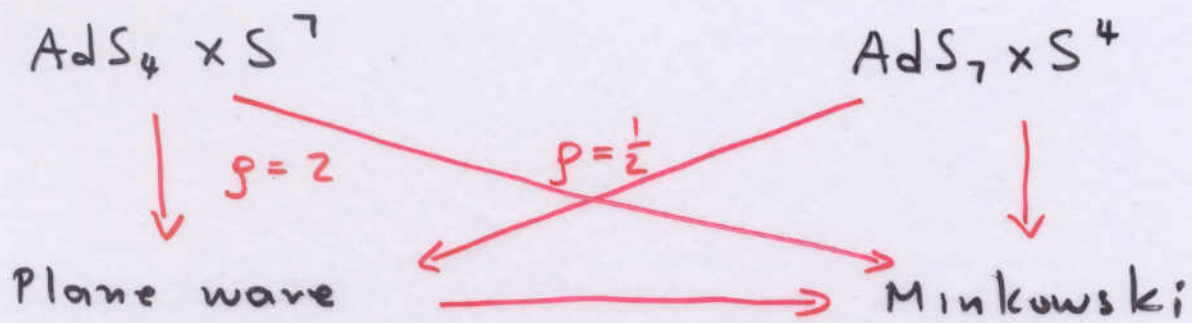
$U$  Affine parameter

$$d\bar{s}^2 = ds^2(\mathbb{R}^{n+p+1,1}) \quad \text{Minkowski space}$$

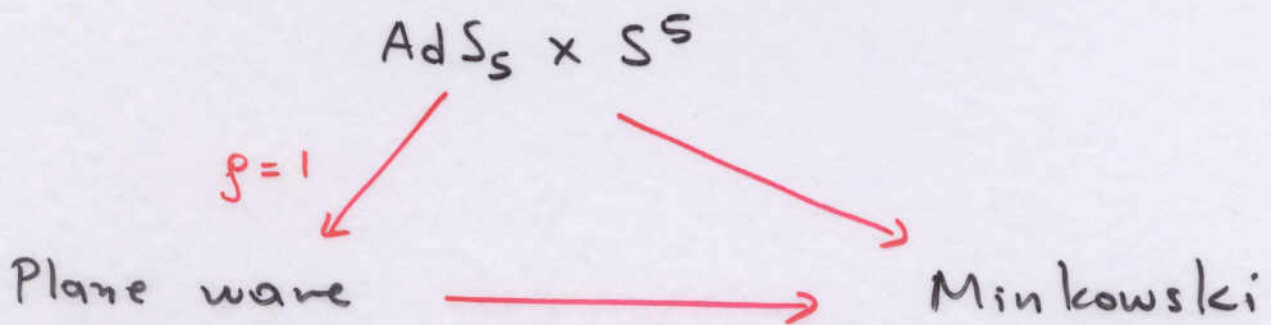
- There are no other PLs for  $\text{AdS}_{p+2} \times S^n$ .



## M-THEORY



## IIB STRINGS



- There are no other maximally supersymmetric solutions in other  $D=10$  supergravities apart from Minkowski space.

# PENROSE LIMITS OF STRING SOLITONS

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J. Figueroa-O'Farrill & GP

$D_p$ -branes (IIA + IIB)

$$ds^2 = H^{-\frac{1}{2}} ds^2(\mathbb{R}^{1,p}) + H^{\frac{1}{2}} \underbrace{dy \cdot dy}_{\mathbb{R}^{7-p}}$$

$$e^{2\phi} = H^{\frac{p-3}{2}}$$

$$H = 1 + \frac{Q_p}{|y|^{7-p}}$$

$$F_{p+2} = \text{dvol}(\mathbb{R}^{1,p}) \wedge dH^{-1}$$

Set  $|y| = r$ ,  $V = t+r$   $U = t-r$

Near-Horizon  $H \sim \frac{Q_p}{r^{7-p}}$

Penrose limit

$$d\bar{s}^2 = 2 du dv - k \frac{x^2}{u^2} du^2 + (dx)^2$$

$$e^{2\bar{\phi}} = u^{8k}$$

$$k = \frac{(7-p)(p-3)}{16}$$

Fundamental String

$$ds^2 = H^{-1} ds^2(\mathbb{R}^{1,1}) + \underbrace{dy \cdot dy}_{\mathbb{R}^8}$$

$$e^{2\phi} = H^{-1}$$

$$H = 1 + \frac{Q_1}{|y|^6}$$

$$F_3 = \text{dvol}(\mathbb{R}^{1,1}) \wedge dH^{-1}$$

Near-Horizon, Penrose limit  $k = \frac{3}{16}$

A cosmological metric

$$ds^2 = - dt^2 + t^\alpha (dr^2 + r^2 ds^2(S^n))$$

Penrose limit

$$K = \frac{1}{1+\alpha} - \frac{1}{(1+\alpha)^2}$$

$$\frac{1}{3} \leq \alpha \leq \frac{2}{3}$$

- Brane solitons have other Penrose limits associated with other choices of null geodesics
- Similar limits can be taken for intersecting branes, black holes, wrapped branes and others.

# STRINGS IN PLANE WAVES

Amati  
Klimcik  
Horowitz  
Stoif  
Nappi  
Witten  
Kiritsis  
Kounnas

We cannot quantize strings in general backgrounds

Can strings be quantized in plane waves?

General plane wave metric

$$ds^2 = 2du dv + A_{ij}(u) x^i x^j du^2 + (dx^i)^2$$

Light cone gauge fix

$$\gamma_{\mu\nu} = \eta_{\mu\nu}$$

(remaining symmetry 2-d  
conf. transf.  $\partial_\mu \epsilon_\nu + \partial_\nu \epsilon_\mu = \phi \eta_{\mu\nu}$ )

$$U = p^\mu \tau$$

(remaining symmetry  $\sigma \rightarrow \sigma + \alpha$ )  
closed string  
level matching condition.

$(\tau, \sigma)$  world sheet coordinates

$V(\tau, \sigma)$  is determined from the transverse coordinates  $X^i$

Equation for the transverse coordinates

$$\partial_\tau^2 X^i - \partial_\sigma^2 X^i - A_{ij}(p^\mu \tau) (p^\mu)^2 X^j = 0$$



Closed string 
$$X^i = \sum_n X_n^i(\tau) e^{2in\sigma}$$

$$0 \leq \sigma \leq \pi$$

$$\partial_\tau^2 X_n^i + 4n^2 X_n^i - A_{ij}(P^\mu \tau) (P^\mu)^2 X_n^j = 0$$

$$P^\mu = P_\nu$$

- At every level  $n$ , the string (transverse) coordinates  $X^i$  do not exhibit self-interactions
- The string equations reduce to a set of harmonic oscillators with possibly time dependent frequencies

$$\omega_{n,i}^2 = 4n^2 - \lambda_i(\tau)$$

for

$$(A_{ij}) = \text{diag} \left( \frac{\lambda_i(\tau)}{P_\nu^2} \right)$$

- Can string theory be solved in any plane wave?

# HOMOGENEOUS PLANE WAVES

There are two classes of homogeneous plane waves (spacetime G/H)

• Non-singular I

$$ds^2 = 2 du dv + \left( e^{-fu} \tilde{k} e^{fu} \right)_{ij} y^i y^j du^2 + (dy^i)^2$$

$$f_{ij} = -f_{ji} \quad \tilde{k}_{ij} = \tilde{k}_{ji} \quad \text{constants} \\ [\tilde{k}, f] \neq 0.$$

• Singular II

$$ds^2 = 2 du dv + u^{-2} \left( e^{-f \ln u} \tilde{k} e^{f \ln u} \right)_{ij} y^i y^j + (dy^i)^2$$

For  $f=0$ ,  $\tilde{k}_{ij} = -\delta_{ij}$

I  $\Rightarrow$  Maximal supersymmetric IB plane wave

(The spacetime is symmetric space)

II  $\Rightarrow$  PL limit of D-branes, Fundamental String, Cosmological backgrounds.

Setting  $y_i = (e^{-fu})_{ij} x^j$

①  $\Rightarrow ds^2 = 2 du dv + k_{ij} x^i x^j du^2 + 2 f_{ij} x^i dx^j du + (dx^i)^2$

$$\tilde{k}_{ij} = k_{ij} - f_{ik} f_{jk}$$

## PLANE WAVE BACKGROUNDS

Common sector fields:

$G$	metric
$H$	NS 3-form
$\phi$	dilaton

Field equations

$$R_{MN} - \frac{1}{4} H^R{}_{ML} H^L{}_{RN} + 2 \nabla_M \partial_N \phi = 0$$

$$\nabla_L (e^{-2\phi} H^L{}_{MN}) = 0$$

$$M, N = 0, \dots, 9$$

Background

$$ds^2 = 2 du dv + \mathbb{K}(u, x) du^2 + 2 A_i(u, x) dx^i du + (dx^i)^2$$

$$B = B_i(u, x) dx^i \wedge du \quad H = dB$$

$$\phi = \phi(u)$$

Field equations

$$-\frac{1}{2} \partial_i^2 \mathbb{K} + \partial_u \partial^i A_i + \frac{1}{4} F_{ij} F^{ij} - \frac{1}{4} H_{ij} H^{ij} + 2 \partial_u^2 \phi = 0$$

$$\partial^i F_{ij} = 0$$

$$\partial^i H_{ij} = 0$$

$$F_{ij} = \partial_i A_j - \partial_j A_i$$

$$H_{ij} = \partial_i B_j - \partial_j B_i$$



# HOMOGENEOUS PLANE WAVE BACKGROUNDS (NON-SINGULAR)

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M. P. Longhin  
GP  
A. Tseytlin

$$I \quad K(u, x) = k_{ij} x^i x^j \quad k_{ij} \text{ constant}$$

$$F_{ij} = 2 f_{ij} \quad f_{ij} \text{ constant}$$

$$H_{ij} = 2 h_{ij} \quad h_{ij} \text{ constant}$$

Field equations

$$\phi(u) = \phi_0 + c u - \frac{1}{2} \mu u^2 \quad c \text{ constant}$$

$$\mu = -\frac{1}{2} (k^i_i - f_{ij} f^{ij} + h_{ij} h^{ij})$$

- $\mu > 0$ ,  $\mu = 0$   $c = 0$ ,

String coupling small everywhere

- Four dimensional models

$$k_{ij} = \text{diag}(k_1, k_2), \quad f_{ij} = f \varepsilon_{ij}, \quad h_{ij} = h \varepsilon_{ij}$$

$k_1 \neq k_2$

If  $k_1 = k_2$  the "rotation"  $f_{ij}$  can be eliminated with a coordinate transformation.



## KLEIN-GORDON EQUATION

Metric  $ds^2 = 2 du dv + k_{ij} x^i x^j du^2 + 2 f_{ij} x^i dx^j du + (dx^i)^2$

KG:  $[2 \partial_u \partial_v - K \partial_v^2 + (\partial_i - A_i \partial_v)^2 - M^2] \Psi = 0$

Fourier transf.

$$\Psi(u, v, x) = \int dP_u dP_v e^{i P_u u + i P_v v} \psi(P_u, P_v; x)$$

Substitute

$$H \psi = \epsilon \psi \quad \epsilon = -\frac{1}{2} M^2 - P_u P_v$$

$$H = -\frac{1}{2} \left[ (\partial_i - i P_v A_i)^2 + P_v^2 K(x) \right]$$

For  $A_i = -f \epsilon_{ij} x^j$   $K = k_1 x_1^2 + k_2 x_2^2$

Set  $x = x_1$   $y = x_2$

The Hamiltonian operator

$$H = -\frac{1}{2} \left[ (\partial_x + i P_v f y)^2 + (\partial_y - i P_v f x)^2 + P_v^2 (k_1 x^2 + k_2 y^2) \right]$$

- This is the Hamiltonian of a charged harmonic oscillator in magnetic field.

The Hamiltonian can be diagonalized with a unitary transformation Yonei

$$H = U(\theta_1, \theta_2) (H_1 + H_2) U^\dagger(\theta_1, \theta_2)$$

$$U(\theta_1, \theta_2) = e^{-i\theta_1 x y} e^{-i\theta_2 P_x P_y}$$

$$H_i = \frac{1}{2m_i} P_i^2 + \frac{m_i}{2} \omega_i^2 X_i^2$$

such that

$$\theta_1 = -P_y \frac{(k_1 - k_2) + D}{4f} \quad \theta_2 = -\frac{2f}{P_y D}$$

$$D^2 = (k_1 - k_2)^2 - 8f^2(k_1 + k_2) + 16f^4$$

the frequencies and masses

$$\omega_{1,2}^2 = \frac{1}{2} (4f^2 - k_1 - k_2 \mp D)$$

$$m_{1,2} = \frac{2D}{D + k_1 - k_2 \mp 4f^2}$$

- The Hilbert space of the theory is that of two harmonic oscillators.

# CLASSICAL STRING MODE EQNS

Background

$$ds^2 = 2 du dv + K_{ij} x^i x^j du^2 + 2 f_{ij} x^i dx^j du + (dx^i)^2$$

$$B = - h_{ij} x^j dx^i \wedge du \quad i, j = 1, \dots, d$$

Action

$$L = \frac{1}{4\pi\alpha'} (G+B)_{MN} \partial_+ X^M \partial_- X^N$$

Equations of motion

light-cone gauge  $U = P^+ \tau$

mode expansion  $X^i = \sum_n X_n^i e^{2in\sigma}$

$$-\ddot{X}_n^i + 2 P_\nu f_{ij} \dot{X}_n^j + (P_\nu^2 K_{ij} - 4n^2 \delta_{ij}) X_n^j + 4in P_\nu h_{ij} X_n^j = 0$$

- Without loss of generality

$$K_{ij} = \text{diag}(K_1, K_2, \dots) \quad P_\nu = 1.$$

- This is a differential equation with constant coefficients.



FREQUENCY

BASE

ANSATZ

Set 
$$X_n^i = \sum_{J=1}^{2d} \xi_J^{(n)} a_{iJ}^{(n)} e^{i \omega_J^{(n)} \tau}$$

$\omega_J^{(n)}$  frequencies

$a_{iJ}^{(n)}$  eigen-direction for frequency  $\omega_J^{(n)}$

$\xi_J$  parameters to be determined.  
(they can be absorbed in  $a_{iJ}^{(n)}$ )

Equations of motion

$$M_{ik}(\omega_J^{(n)}, n) a_{kJ}^{(n)} = 0 \quad J=1, 2, \dots, 2d$$

where

$$M_{ik}(\omega, n) = (\omega^2 + k_i - 4n^2) \delta_{ik} + 2i\omega f_{ik} + 4in h_{ik}$$

Equation for classical frequencies

$$\det M = 0.$$

- $n=0$ ,  $M(-\omega, 0) = \tilde{M}(\omega, 0)$   
 $\Rightarrow \det M(\omega, 0) = \det M(-\omega, 0) \Rightarrow$   *$\sim$  transpose*  
 frequencies  $\pm \omega$  pairs
- $n \neq 0$ ,  $M(-\omega, -n) = \tilde{M}(\omega, n)$   
 $\Rightarrow \det M(\omega, n) = \det M(-\omega, -n) \Rightarrow$   
 $\omega_J^{(-n)} = -\omega_J^n$



## FOUR DIMENSIONAL PLANE WAVES

$$M = \begin{pmatrix} \omega^2 + k_1 - 4n^2 & 2if\omega + 4inh \\ -2if\omega - 4inh & \omega^2 + k_2 - 4n^2 \end{pmatrix}$$

Eqn for frequencies

$$\det M = 0 \Leftrightarrow$$

$$\omega^4 + (k_1 + k_2 - 4f^2 - 8n^2)\omega^2 - 16nfh\omega + (k_1 - 4n^2)(k_2 - 4n^2) - 16n^2h^2 = 0$$

- It can be solved explicitly.
- $n=0$ ,  $\omega^4 + (k_1 + k_2 - 4f^2)\omega^2 + k_1 k_2 = 0 \Rightarrow$   
 $\omega_{1,2}^2 = \frac{1}{2}(4f^2 - k_1 - k_2) \mp \frac{1}{2}D$   
 i.e. the frequencies of the QM model. ✓

Eigen-directions  $a_{ij}$

$$(a_{1j}, a_{2j}) = (-M_{22}(\omega_j), M_{21}(\omega_j))$$

Then

$$M_{1k}(\omega_j) a_{kj} = -\det M(\omega_j) = 0$$

$$M_{2k}(\omega_j) a_{kj} = -M_{21}M_{22} + M_{22}M_{21} = 0$$

- $a_{ij}$  are related to minors of  $M$ .

# GENERAL SOLUTION

$n=0$

$$X_0^i = (-1)^i \sum_{j=1}^d \left[ \xi_j^+ m_{1i}(\omega_j) e^{i\omega_j \tau} + \xi_j^- m_{1i}(\omega_j) e^{-i\omega_j \tau} \right]$$

$n \neq 0$

$$X_n^i = (-1)^i \sum_{J=1}^{2d} \xi_J^{(n)} m_{1i}(\omega_J^{(n)}) e^{i\omega_J^{(n)} \tau}$$

$m_{ij}$  is the  $i, j$  minor of  $M$ .

Canonical Commutation relations

$$[\xi_j^-, \xi_j^+] = C_j$$

$$[\xi_J^{(-n)}, \xi_I^{(n)}] = \delta_{IJ} C_J^{(n)}$$

$$C_j = \frac{1}{2m_{11}(\omega_j) \omega_j \prod_{k \neq j} (\omega_j^2 - \omega_k^2)}$$

$$C_J^{(n)} = \frac{1}{m_{11}(\omega_J^{(n)}) \prod_{K \neq J} (\omega_J^{(n)} - \omega_K^{(n)})}$$

$$\alpha_j^\pm = \xi_j^\pm / C_j^{1/2} \quad C_j > 0$$

$$\alpha_j^\pm = \xi_j^\mp / |C_j|^{1/2} \quad C_j < 0$$

$$\alpha_J^{(n)} = \xi_J^{(n)} / C_J^{1/2} \quad C_J > 0$$

$$\alpha_J^{(n)} = \xi_J^{(-n)} / |C_J|^{1/2} \quad C_J < 0.$$

Then

$$[\alpha_j^-, \alpha_k^+] = \delta_{jk}$$

$$[\alpha_J^{(n)}, \alpha_K^{(m)}] = -\text{sign}(n) \delta_{n+m} \delta_{JK}$$

- The (classical) frequencies are the roots of  $M$
- The eigen-directions are constructed from the minors of  $M$
- The commutators are determined by the frequencies



## HAMILTONIAN

The light cone hamiltonian

$$H = \frac{1}{2\pi} \int_0^{2\pi} d\sigma \left[ \delta_{ij} (\dot{X}^i \dot{X}^j + X'^i X'^j - k_i X^i X^j) - 2h_{ij} X^i X'^j \right]$$

Then  $H = H^{(0)} + \sum_{n>0} H^{(n)}$

$$H^{(0)} = \sum_{j=1}^d \text{sign}(C_j) \Omega_j \left( \alpha_j^\dagger \alpha_j + \frac{1}{2} \right)$$

$$H^{(n)} = \sum_{J=1}^d \text{sign}(C_J^{(n)}) \Omega_J^{(n)} \left( \alpha_J^{(n)} \alpha_J^{(-n)} + \frac{1}{2} \right)$$

and

$$\Omega_j = \frac{\sum_i (\omega_j^2 - k_i) m_{ii}(\omega_j)}{2\omega_j \prod_{k \neq j} (\omega_j^2 - \omega_k^2)} (= \omega_j)$$

$$\Omega_J^{(n)} = 2\omega_J^{(n)} C_J^{(n)} m_{11}(\omega_J^{(n)}) \sum_{i,j} \left[ \omega_J^{(n)} \delta_{ij} + (-1)^{i+j} f_{ij} \right] m_{ij}(\omega_J^{(n)}) (= \omega_J^{(n)})$$

- In many cases the classical frequencies are equal to those of the hamiltonian.



## FOUR-DIMENSIONAL WAVES

(i) Ricci flat, Constant dilaton,  $f=h=0$

$$k_1 + k_2 = 0 \quad D = k_1 - k_2 \quad \omega_1^2 = -k_1$$

$$\omega_2^2 = -k_2 \quad m_{1,2} = 1$$

- One of the frequencies is imaginary.

(ii) Ricci flat, Constant dilaton,  $h=0, f \neq 0$ .

$$k_1 + k_2 = 2f^2 \quad D = k_1 - k_2 \quad \omega_1^2 = k_1$$

$$\omega_2^2 = k_2 \quad m_1 = -\frac{k_1 - k_2}{2k_2} \quad m_2 = \frac{k_1 - k_2}{2k_1}$$

Energy 
$$E = \underbrace{-\omega_1}_{\text{red}} (n_1 + \frac{1}{2}) + \omega_2 (n_2 + \frac{1}{2})$$

- Discrete spectrum but unbounded.

(iii) Ricci flat, Const. dilaton,  $h=0, f \neq 0, k_2=0$   
(Anti-Mach)

$$k_1 = 2f^2, \quad \omega_1^2 = 0, \quad \omega_2^2 = 2f^2$$

$$m_1 = -\infty \quad m_2 = \frac{1}{2}$$

- Spectrum continuous along  $\omega_1$  and discrete along  $\omega_2$ .

$$E = \omega_2 (n_2 + \frac{1}{2}) - \frac{1}{2} P^2$$

# STRINGS IN HOMOGENEOUS SINGULAR WAVES

## Background

$$ds^2 = 2 du dv - \frac{k}{u^2} x^2 du^2 + (dx^i)^2 \quad i=1, \dots, d$$

$$e^{2\phi} = u^{kd} \underbrace{e^{-2u}}_{\text{singularity}} \quad u > 0 \quad k > 0$$

## Penrose Diagram



- Dilaton small at  $u \rightarrow +\infty$   $u \rightarrow 0$
- Metric can be extended to  $u < 0$  but dilaton cannot unless  $u \rightarrow 0$
- Metric has a scaling symmetry  
 $u \rightarrow lu \quad v \rightarrow l^{-1}v$

The metric is homogeneous

- Metric is geodesically incomplete at  $u=0$ . Some geodesics cannot be extended from  $u < 0$  to  $u > 0$ .

## KLEIN GORDON EQN.

$$\left( 2\partial_u\partial_v + \frac{\kappa}{u^2} x^2 \partial_v^2 + \delta^{ij} \partial_i \partial_j \right) \phi = 0$$

Fourier transform in  $v$

$$\phi = \int dP_v \quad e^{iP_v v} \quad \psi(u, x; P_v)$$

Gives

$$\left( 2i P_v \partial_u - \frac{\kappa}{u^2} P_v^2 + \delta^{ij} \partial_i \partial_j \right) \psi = 0$$

Set  $u = P_v \tau$  ( $P_v = P^u$ )

$$i \partial_\tau \psi = \frac{1}{2} \left( -\delta^{ij} \partial_i \partial_j + \frac{\kappa}{\tau^2} x^2 \right) \psi$$

- This is the Schrödinger equation of a harmonic oscillator with time-dependent frequency.



# TIME-DEPENDENT SCHRÖDINGER EQUATION

$$i \partial_\tau - \hat{H}(\tau)$$

Lewis  
Riesenfeld

Define

$$\hat{A}(\tau) = i (\chi^* \hat{p} - \partial_\tau \chi^* \hat{x})$$

$$\hat{A}^\dagger(\tau) = -i (\chi \hat{p} - \partial_\tau \chi \hat{x})$$

$\hat{p}$  momentum,  $\hat{x}$  position

$\chi$  complex classical solution

$$\chi \partial_\tau \chi^* - \chi^* \partial_\tau \chi = i$$

Then

$$[\hat{A}, \hat{A}^\dagger] = 1, \quad i \partial_\tau \hat{A} = [\hat{H}, \hat{A}]$$

$$i \partial_\tau \hat{A}^\dagger = [\hat{H}, \hat{A}^\dagger]$$

Basis  $|l, \tau\rangle = \frac{1}{\sqrt{l!}} (\hat{A}^\dagger(\tau))^l |0, \tau\rangle$

$$\hat{A} |0, \tau\rangle = 0$$

Then  $(i \partial_\tau - \hat{H}) |l, \tau\rangle = \Lambda_l(\tau) |l, \tau\rangle$

Solution

$$|\psi\rangle = \sum_e c_e |\psi_e\rangle = \sum_e c_e e^{i\gamma_e(\tau)} |l, \tau\rangle$$

$$\gamma_e(\tau) = \int^\tau ds \Lambda_e(s)$$

## Back to Klein Gordon

$$\hat{A}^i(\tau) = i (\chi^* \hat{P}^i - \partial_z \chi \hat{X}^i)$$

$$\chi(\tau) = \frac{i}{\sqrt{2\Gamma k} (2\nu-1)} \left[ -(\sqrt{k} - i(1-\nu)) \tau^\nu + (\sqrt{k} - i\nu) \tau^{1-\nu} \right]$$

$$\nu = \frac{1}{2} (1 + \sqrt{1-4k})$$

Hamiltonian operator

$$\hat{H} = c(\tau) \hat{A}^2 + c^*(\tau) (\hat{A}^\dagger)^2 + b(\tau) \left( \hat{A}^\dagger A + \frac{d}{2} \right)$$

$$c(\tau), b(\tau) \sim \tau^{-2\nu} \quad \tau \rightarrow 0$$

- The hamiltonian operator is not diagonal in  $\hat{A}, \hat{A}^\dagger$
- $\langle \psi | \hat{H} | \psi \rangle \sim \tau^{-2\nu} \quad \tau \rightarrow 0$
- $\langle \psi | \hat{H} | \psi \rangle \sim \tau^{2\nu-2} \quad \tau \rightarrow +\infty$

## BACKREACTION

Backreaction is measured by

$$e^{2\phi} \langle \psi | \hat{H} | \psi \rangle$$

and

$$e^{2\phi} \langle \psi | \hat{H} | \psi \rangle \sim \tau^{kd-2\nu} \quad \tau \rightarrow 0$$

Backreaction small for

$$\frac{3}{16} \leq k \leq \frac{1}{4} \quad d=8.$$



## STRINGS IN SINGULAR WAVES

Equations of motion (light-cone)

$$U = P^\mu \tau$$

$$(\partial_\tau^2 - \partial_\sigma^2) X^i + \frac{\kappa}{\tau^2} X^i = 0$$

Solution

$$X^i(\sigma, \tau) = x_0^i(\tau) + \frac{i}{\sqrt{2}} \sum_{n=1}^{\infty} \frac{1}{n} \left[ Z(2n\tau) \right. \\ \left. (\alpha_n^i e^{2in\sigma} + \tilde{\alpha}_n^i e^{-2in\sigma}) - c.c. \right]$$

where

$$x_0^i = \frac{1}{\sqrt{2\nu-1}} (\alpha^i \tau^{1-\nu} + 2p^i \tau^\nu)$$

$$Z(2n\tau) = e^{-\frac{i n \nu}{2}} \sqrt{n\tau} \left\{ \underbrace{J_{\nu-\frac{1}{2}}(2n\tau) - i Y_{\nu-\frac{1}{2}}(2n\tau)}_{\text{Bessel functions}} \right\}$$

- $Z(2n\tau) \sim e^{-2in\tau} \left[ 1 + O\left(\frac{1}{\tau}\right) \right]$

Oscillator modes have a flat limit at  $\tau \rightarrow \infty$ .

Zero modes do not.

## HAMILTONIAN

$$\begin{aligned} \text{CCRS} \quad [\alpha_n^i, \alpha_m^j] &= \eta \delta^{ij} \delta_{n+m} \\ [\tilde{\alpha}_n^i, \tilde{\alpha}_m^j] &= \eta \delta^{ij} \delta_{n+m} \\ [x^i, p^j] &= i \delta^{ij} \end{aligned}$$

Lightcone hamiltonian

$$H = H_0 + \sum_{n>0} H^{(n)}$$

$$H^{(n)} = \frac{1}{2} \left[ \Omega_n(\tau) (\alpha_{-n}^i \alpha_n^i + \tilde{\alpha}_{-n}^i \tilde{\alpha}_n^i - B_n(\tau) \alpha_n^i \tilde{\alpha}_n^i - B_n^*(\tau) \alpha_{-n}^i \tilde{\alpha}_{-n}^i) \right]$$

$H_0$  zero mode hamiltonian

$$\Omega_n(\tau) \sim 2 \quad B_n(\tau) \sim 0 \quad \tau \rightarrow \infty.$$

- Hamiltonian non-diagonal.

Set

$$A_n^i = \alpha_n^i f_n(\tau) + \tilde{\alpha}_n^i g_n^*(\tau)$$

$$A_n^{+i} = \alpha_{-n}^i f_n^*(\tau) + \tilde{\alpha}_n^i g_n(\tau)$$

Similarly for  $\tilde{A}_n^i$   $\tilde{A}_n^{+i}$

Then

$$H(\tau) = H_0(\tau) + \sum_{n=1}^{\infty} w_n(\tau) \left[ A_n^{+i}(\tau) A_n^i(\tau) + \tilde{A}_n^{+i}(\tau) \tilde{A}_n^i(\tau) \right] + h(\tau)$$

$$w_n = \sqrt{n^2 + \frac{k}{4\tau^2}}$$



## STRING MODE CREATION

Define the vacuum  $|0, P_V\rangle$

$$\alpha_n^i |0, P_V\rangle = \tilde{\alpha}_n^i |0, P_V\rangle = 0 \quad \text{at } u \rightarrow -\infty$$

The expectation value of number operator is

$$\begin{aligned} \bar{N}_n(\tau) &= \langle 0, P_V | (A_n^{+i} A_n^i + \tilde{A}_n^{+i} \tilde{A}_n^i) | 0, P_V \rangle \\ &= 2 \, dn \, g_n^*(\tau) g_n(\tau) \end{aligned}$$

which

$$\bar{N}(\tau) = \sum_n \bar{N}_n(\tau) \sim \frac{1}{\tau^2} \quad \tau \rightarrow 0$$

- There is mode creation which is observer dependent
- There is no mode creation if the in-vacuum is with respect to  $A_n^i, \tilde{A}_n^i$  operators.

## STRING TRANSITION THROUGH SINGULARITY

There is an analytic continuation of Bessel functions

$$H_{\mu}^{(2)}(e^{-i\pi} z) = -e^{i\pi\mu} H_{\mu}^{(1)}(z)$$

$$H_{\mu}^{(1,2)}(z) = J_{\mu}(z) \pm i Y_{\mu}(z)$$

giving

$$Z(e^{-i\pi} z n\tau) = Z^*(z n\tau)$$

$$Z^*(e^{-i\pi} z n\tau) = Z(z n\tau)$$

- For  $n > 0$  the string can be analytically continued from  $z < 0$  to  $z > 0$
- For  $n = 0$ , it cannot

## CONCLUSIONS

- Supergravity has given an insight into string theory. In particular the maximal supersymmetric backgrounds Minkowski space, AdS and plane waves
- The Penrose limit together with the solvability of string theory on plane waves may allow us to understand string theory in curved backgrounds, e.g. brane and cosmological
- Many aspects remain to be understood
  - (i) Systematic investigation of PL of "interesting" backgrounds
  - (ii) The relation of PL limits and original backgrounds
  - (iii) The nature of string singularities.