

Fate of long wavelength fluctuations & initial states of inflationary universe



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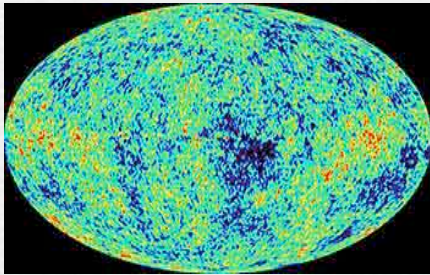
Y.U. & T. Tanaka / Y.U. & Y. Misonoh in preparation

@ AEI, Hannover

Why long wavelength fluctuations?

❑ Observational cosmology

Important information to access the early universe



Scale-invariance/Gaussian/Adiabatic, ...

→ Inflation

❑ Infrared divergence (IR) problem

Break down of predictability?

❑ Initial states of our universe

IR divergence from interactions

Massless field ϕ with $\lambda\phi^4$

(ex) Inflaton (~ Adiabatic perturbation), Spin-2 graviton

□ Two-point function with loop corrections

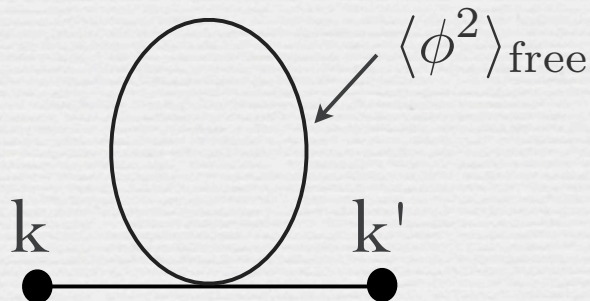
- Leading order



$$\langle \phi_k \phi_{k'} \rangle \propto k^{-3}$$

Scale-invariant

- Next to leading order (1loop)



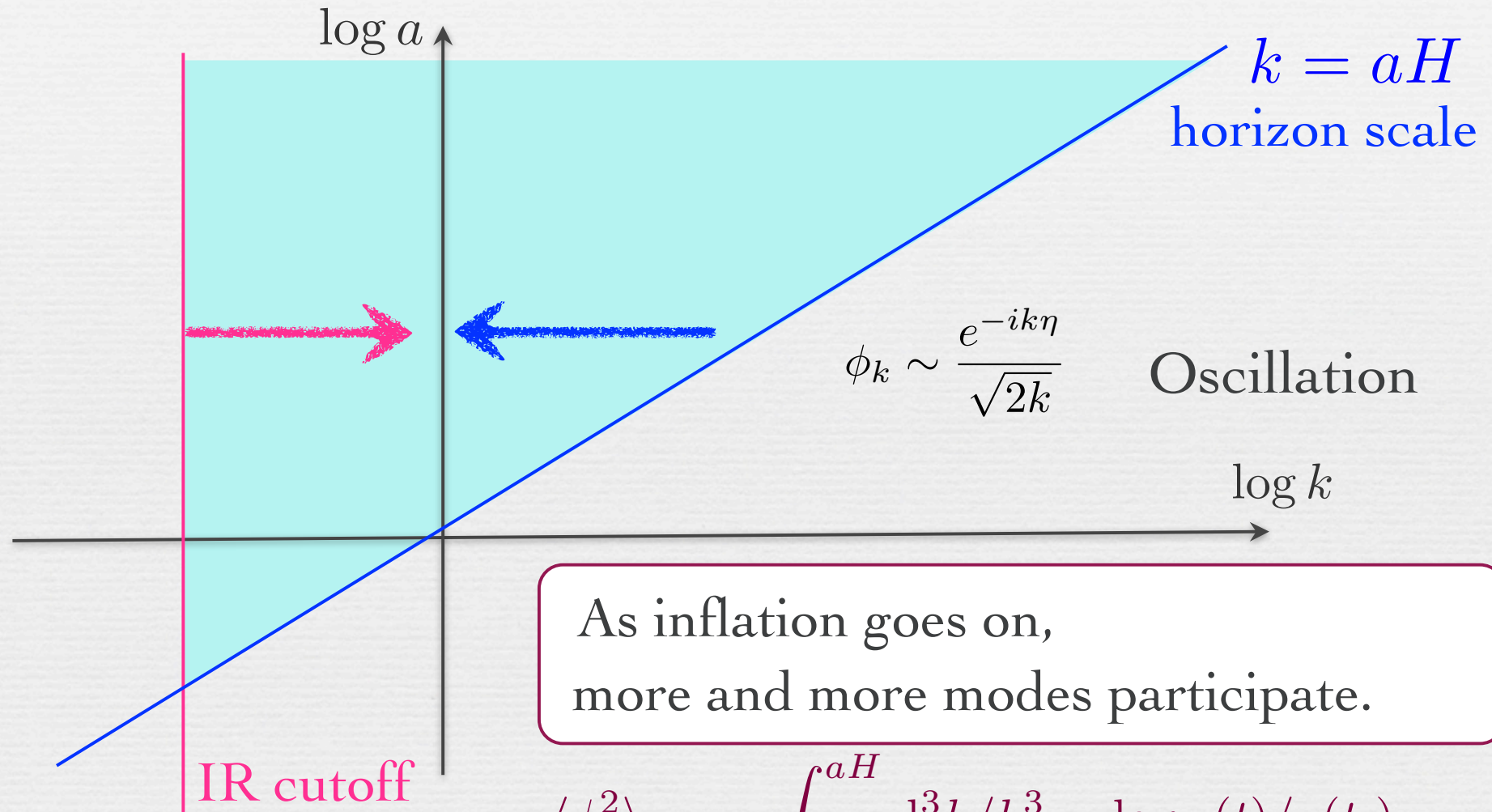
Momentum (Loop) integral

$$\langle \phi^2 \rangle_{\text{free}} \sim \int \frac{d^3 \mathbf{k}}{k^3}$$

Logarithmic divergence

Origin of secular growth

Which modes participate in loop corrections?



$$\langle \phi^2 \rangle_{\text{free}} \sim \int_{H_i}^{aH} \frac{d^3 k}{k^3} \propto \log a(t)/a(t_i)$$

Logarithmic corrections

Cosmological perturbations

Perturbation around FRW

$$g_{\mu\nu} = g_{\mu\nu}^{FRW} + \delta g_{\mu\nu}$$

□ “Massless” fields

GR

- gravitational field $\delta g_{\mu\nu}$
 - Spin-2 transverse traceless # = 2
 - Spin-0 longitudinal
- multi-scalar fields ϕ^I
 - Adiabatic perturbation $\delta\sigma$ # = 1
 - Coupled to longitudinal mode of gravitational field
 - Entropy perturbations \mathcal{S}^I # = N - 1
 - (I = 1, ..., N)
 - (I = 1, ..., N - 1)
 - behaves like a test field at a fixed background

$$\zeta = \mathcal{R} - \frac{H}{\dot{\sigma}} \delta\sigma$$

Outline

- ✓ 1. Origin of Infrared(IR) divergence problem
2. Beginning with the free theory
3. Initial state with interaction

Gauge modes in local universe

Inflation driven by a scalar field ϕ

□ Gauge conditions

- Time slicing $\delta\phi = 0$

Uniform field slicing \rightarrow Fix time slices

- Spatial coordinates

$$h_{ij} = a^2(t)e^{2\zeta} [e^{\delta\gamma}]_{ij} \quad \partial_j \delta\gamma_{ij} = \delta\gamma_{ii} = 0$$

Residual gauge DOFs

Y. U. & T. Tanaka (2010)

$$x^\mu \rightarrow \tilde{x}^\mu = e^{\mathcal{L}_\xi} x^\mu \quad \xi^\mu = (0, \xi^i)$$

Poisson eq. $\Delta\xi^i = \dots$

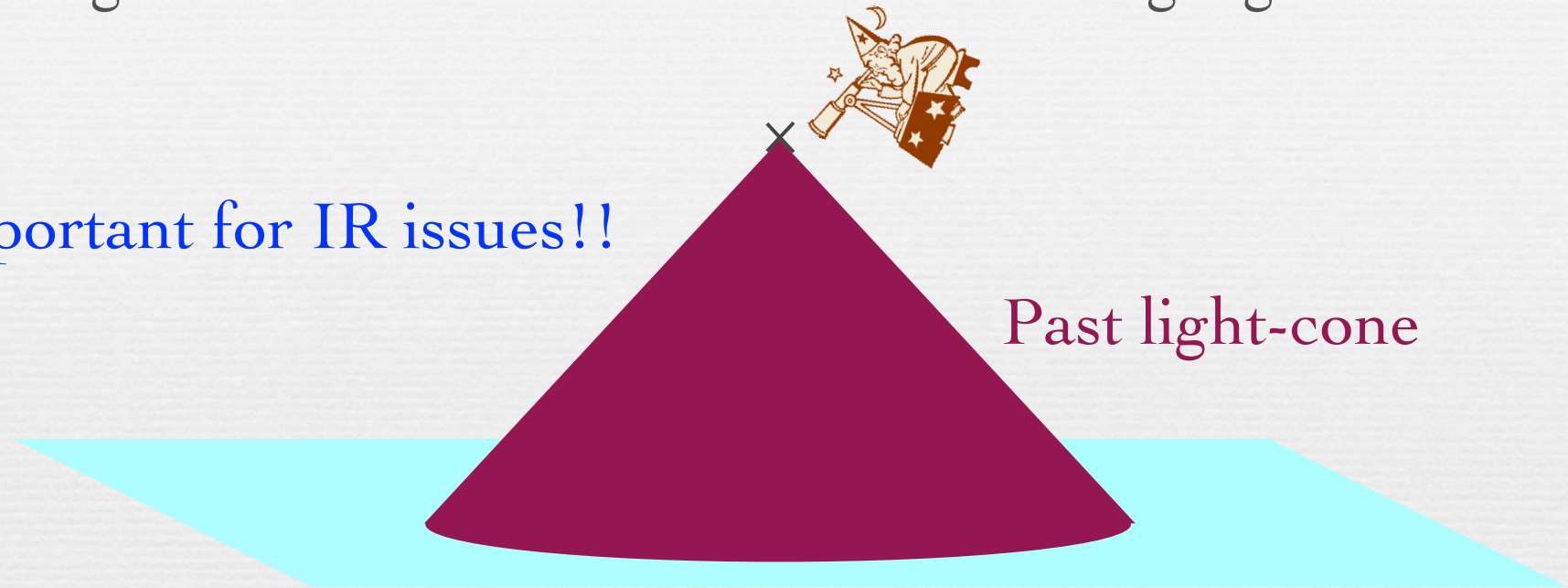
DOFs in boundary conditions

\longleftrightarrow DOFs in changing invisible region

Gauge invariance in local universe

Cosmological fluctuations we observe should be gauge invariant.

Important for IR issues!!



Definition of fluctuation

$$\delta Q := Q - \bar{Q}$$

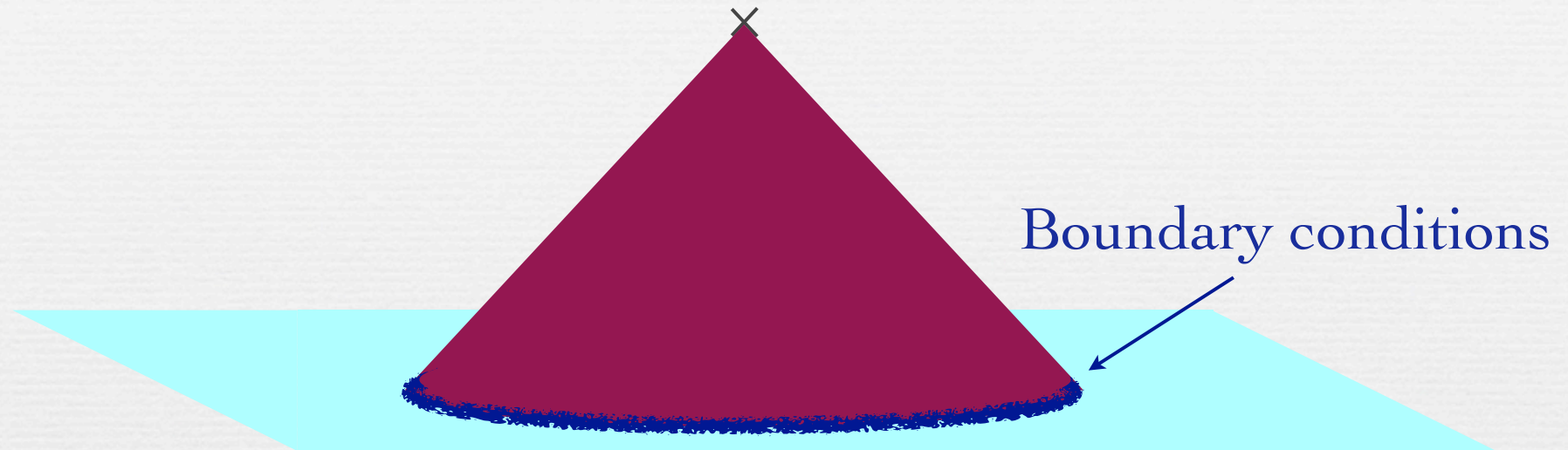
Averaged value

$$\bar{Q} := \int_{\triangle} d^3\mathbf{x} Q(x) / \int_{\triangle} d^3\mathbf{x} \quad Q = \zeta, \delta\gamma_{ij}$$

Without changing δQ , we can shift

$$Q \rightarrow Q - f(t), \quad \bar{Q} \rightarrow \bar{Q} - f(t)$$

Gauge modes in local universe



Fix the boundary conditions of the local universe

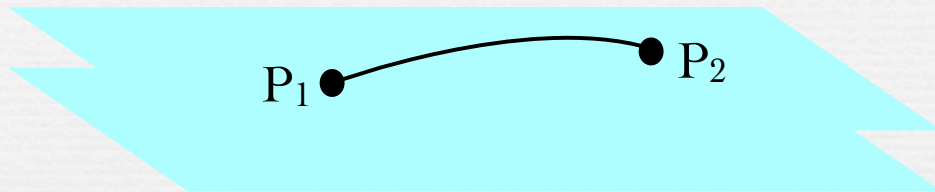
→ Quantization in the local universe

* Break the global translation symmetry

* Hilbert space??

→ $\left\{ \begin{array}{l} 1. \text{ Quantization at the whole universe} \\ 2. \text{ Construction of the gauge-invariant quantity} \end{array} \right.$

Gauge-invariant operator



fix slicing $\delta\phi = 0$

$\eta:\text{const}$

3D scalar curvature sR

$$x^\mu \rightarrow \tilde{x}^\mu = e^{\mathcal{L}_\xi} x^\mu \quad \xi^\mu = (0, \xi^i)$$

$${}^s\tilde{R}(x) = {}^sR(e^{-\mathcal{L}_\xi} x)$$

□ Correlation fns. of sR in geodesic normal coordinates

Y.U. & T. Tanaka (2010)

$\langle {}^sR {}^sR \rangle(l)$ l : Geodesic distance between P_1 and P_2

spatial line element $dl^2 = e^{2\zeta} [e^{\delta\gamma}]_{ij} dx^i dx^j$

$\begin{cases} x^i : \text{Global coordinates} \\ X^i : \text{Geodesic normal coordinates} \end{cases}$

$$x^i(X) \simeq e^{-\zeta} [e^{-\delta\gamma}]^i_j X^j$$

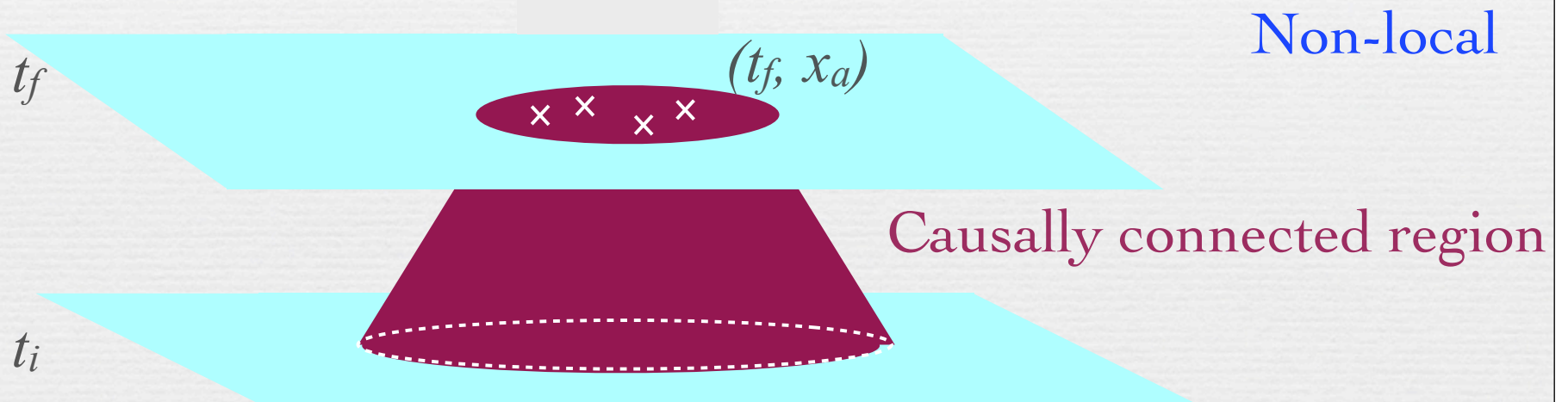
Gauge-invariant operator ${}^gR(X) = {}^sR(x^i(X))$

Quantum state?

□ Gauge invariant n -point functions

at the end of inflation $t = t_f$

$$\langle {}^g R(t_f, x_1^i) {}^g R(t_f, x_2^i) \cdots {}^g R(t_f, x_n^i) \rangle \ni G^+(x_1, x_2)$$



Conditions on initial states

- We request the locality of the n -point fns.
= IR regularity of the n -point fns.

↔ Connection to gauge-invariance

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1. Origin of Infrared(IR) divergence problem

✓ 2. Beginning with the free theory

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Beginning with free theory



Turn on interactions

Interaction picture

Expands Heisenberg field ζ by free field ψ

$$\begin{cases} \zeta(t_i, \mathbf{x}) = \psi(t_i, \mathbf{x}) \\ \pi(t_i, \mathbf{x}) = \pi_\psi(t_i, \mathbf{x}) \end{cases}$$

- Unitary boundary conditions

$$\zeta(t, \mathbf{x}) = U^\dagger(t, t_i)\psi(t, \mathbf{x})U(t, t_i) \quad U(t, t') : \text{unitary operator}$$

$$[\zeta(t, \mathbf{x}), \pi_\zeta(t, \mathbf{y})] = [\psi(t, \mathbf{x}), \pi_\psi(t, \mathbf{y})] = i\delta^{(3)}(\mathbf{x} - \mathbf{y})$$

Retarded Green function

Another expression of $\zeta(t, \mathbf{x}) = U^\dagger(t, t_i)\psi(t, \mathbf{x})U(t, t_i)$

Heisenberg equation

$$\mathcal{L}\zeta = \mathcal{S}_{NL}[\zeta] \quad \mathcal{L} := \partial_t^2 + (3 - \dots)\dot{\rho}\partial_t - e^{-2\rho}\partial_{\mathbf{x}}^2$$

- Solution with the retarded Green fn.

$$\zeta(x) = \psi(x) + \int_{t_i}^t dt' \int d^3\mathbf{x}' G_R(x, x') \mathcal{S}_{NL}[\zeta(x')]$$

$$\mathcal{L}G_R(x, x') = \delta^{(4)}(x - x')$$

n-point fun. from the solution with the retarded Green fun.
agree with n-point fun. in the in-in formalism.

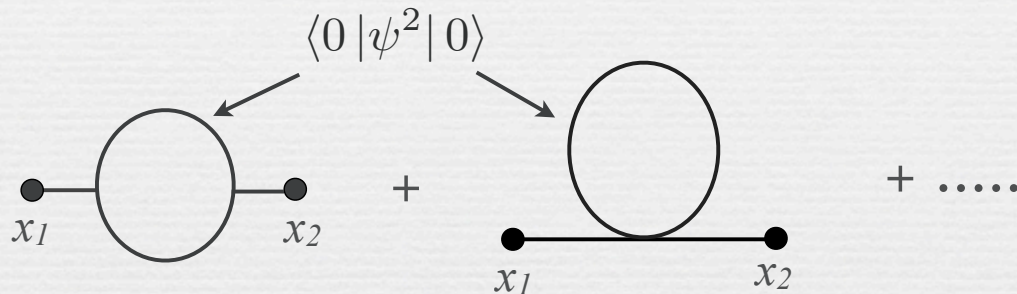
IR regularity condition

(ex) 2-point fn. up to one loop $\langle 0 | {}^g R(x_1) {}^g R(x_2) | 0 \rangle$

Y.U. & T. Tanaka (2012)

$$\psi(x) = \int \frac{d^3 \mathbf{k}}{(2\pi)^{3/2}} (v_{\mathbf{k}} a_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{x}} + \text{h.c.})$$

vacuum state $a_{\mathbf{k}} | 0 \rangle = 0$



...could be divergent

IR regularity condition

$$\langle 0 | {}^g R(x_1) {}^g R(x_2) | 0 \rangle_{1 \text{ loop}} \sim \langle 0 | \psi^2 | 0 \rangle \times \mathcal{F}$$

$$\mathcal{F} \rightarrow 0 \quad \text{if} \quad \left[\mathcal{L}_{R,k}^{-1} 2 \left(\frac{k}{aH} \right)^2 + k^{-3/2} \mathbf{k} \cdot \partial_{\mathbf{k}} k^{3/2} \right] v_{\mathbf{k}}(t) = 0$$

Retarded integral $\mathcal{L}_{R,k}^{-1} f_{\mathbf{k}}(t) \sim \int_{t_i}^t dt' [v_{\mathbf{k}}(t) v_{\mathbf{k}}^*(t') - \text{c.c.}] f_{\mathbf{k}}(t')$

Connection to gauge invariance

Residual gauge DOFs \ni Scale transformation

$$x^i \rightarrow e^{-s} x^i, \quad \zeta \rightarrow \zeta - s$$

IR regularity conditions

= Invariance under the scale transformation

Dilatation symmetry

Symmetry of action for ζ

$$x^i \rightarrow e^{-s} x^i, \quad \zeta \rightarrow \zeta - s$$

s : constant parameter (in x^i)

$$S = \int dt d^3 \mathbf{x} \mathcal{L}[x; \zeta(t, x^i)] = \int dt d^3 \mathbf{x} \mathcal{L}[x; \zeta(t, e^{-s} x^i) - s]$$

$x^i \rightarrow e^{-s} x^i$

- ..., because ζ appears in S only in the form of $e^\zeta dx^i$

$$dl^2 = e^{2\zeta} d\mathbf{x}^2$$

- Dilatation symmetry should be thought of as a gauge DOF in the local universe.
- Non-perturbative argument without use of slow-roll approx.

Hamiltonian & dilatation symmetry

Canonical transformation

$$\zeta(x), \pi(x) \rightarrow \tilde{\zeta}(x) := \zeta(t, e^{-s}x^i), \tilde{\pi}(x)$$

To satisfy... $[\zeta(t, \mathbf{x}), \pi(t, \mathbf{y})] = [\tilde{\zeta}(t, \mathbf{x}), \tilde{\pi}(t, \mathbf{y})] = i\delta^{(3)}(\mathbf{x} - \mathbf{y})$

$$\longrightarrow \tilde{\pi}(x) := e^{-3s}\pi(t, e^{-s}x^i)$$

□ Equivalence of two systems

- Lagrangian density

from dilatation sym.

$$\mathcal{L}[x; \zeta(x)] = \mathcal{L}[x; \tilde{\zeta}(x) - s]$$

- Hamiltonian density

Key to IR issues!!

$$\mathcal{H}[x; \tilde{\zeta}(x) - s] = \mathcal{H}[x; \zeta(x)], \quad \mathcal{H}_{int}[x; \tilde{\zeta}(x) - s] = \mathcal{H}_{int}[x; \zeta(x)]$$

Invariance of vacuum

Y.U. & T. Tanaka (2012)


n-point functions calculated before/after the canonical transformation agree with each other

$$\langle \Omega | \zeta(t, e^{-s} x_1^i) \cdots \zeta(t, e^{-s} x_n^i) | \Omega \rangle_{\zeta/\pi} = \langle \tilde{\Omega} | \tilde{\zeta}(x_1) \cdots \tilde{\zeta}(x_n) | \tilde{\Omega} \rangle_{\tilde{\zeta}/\tilde{\pi}}$$

Beginning with free theory

$$\psi(x) = \int \frac{d^3 \mathbf{k}}{(2\pi)^{3/2}} (v_k a_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{x}} + \text{h.c.}) \quad \tilde{\psi}(x) = \int \frac{d^3 \mathbf{k}}{(2\pi)^{3/2}} (v_k \tilde{a}_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{x}} + \text{h.c.})$$

$$a_{\mathbf{k}} | \Omega \rangle = \tilde{a}_{\mathbf{k}} | \tilde{\Omega} \rangle = 0$$


$$\left[\mathcal{L}_{R,k}^{-1} 2 \left(\frac{k}{aH} \right)^2 + k^{-3/2} \mathbf{k} \cdot \partial_{\mathbf{k}} k^{3/2} \right] v_k(t) = 0$$

IR regularity condition!!

IR regular initial condition \rightarrow failed

No function v_k can satisfy both of

Y.U. & T. Tanaka (2012)

1. IR regularity condition/Scaling invariance

$$\left[\mathcal{L}_{R,k}^{-1} 2 \left(\frac{k}{aH} \right)^2 + k^{-3/2} \mathbf{k} \cdot \partial_{\mathbf{k}} k^{3/2} \right] v_k(t) = 0$$

2. Wronskian condition

$$v_k(t) \dot{v}_k^*(t) - \text{c.c.} = \frac{i}{2a^3 \varepsilon}$$

From condition 1, $\mathcal{L}_{R,k}^{-1} 2 \left(\frac{k}{aH} \right)^2 v_k(t_i) = \partial_{t_i} \mathcal{L}_{R,k}^{-1} 2 \left(\frac{k}{aH} \right)^2 v_k(t_i) = 0$

$$\longrightarrow k^{-3/2} \mathbf{k} \cdot \partial_{\mathbf{k}} k^{3/2} v_k(t_i) = k^{-3/2} \mathbf{k} \cdot \partial_{\mathbf{k}} k^{3/2} \dot{v}_k(t_i) = 0$$

Incompatible with $\mathbf{k} \cdot \partial_{\mathbf{k}}$ (Wronskian condition)

Connection to gauge invariance

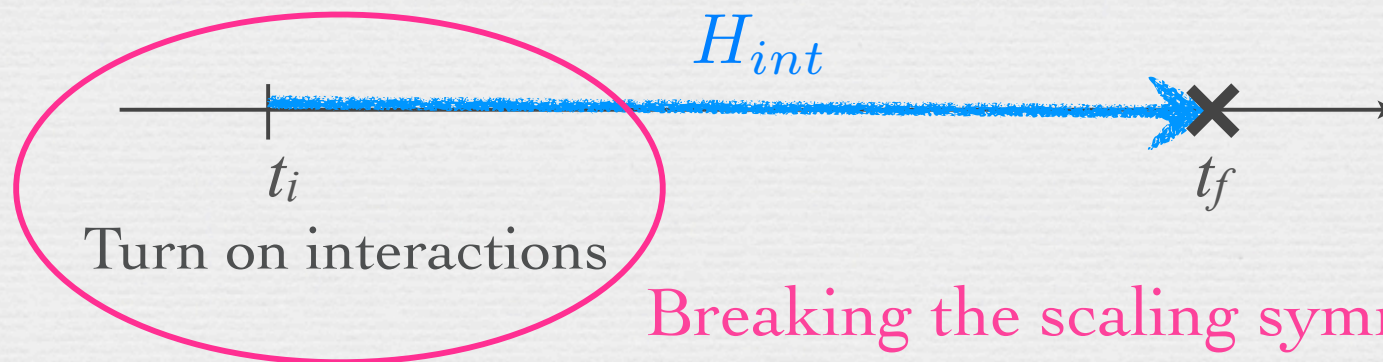
Residual gauge DOFs \ni Scale transformation

$$x^i \rightarrow e^{-s} x^i, \quad \zeta \rightarrow \zeta - s$$

IR regularity conditions

= Invariance under the scale transformation

Begging with free theory

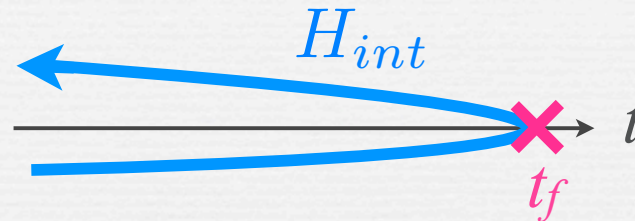


Outline

1. Origin of Infrared(IR) divergence problem
2. Beginning with the free theory
- ✓ 3. Initial state with interaction

$i\epsilon$ prescription

Sending $t_i \rightarrow -\infty$ in CTP,



$i\epsilon$ prescription provides a convergent expression by shutting off contributions of vertexes at distant past.

$i\epsilon$ prescription selects the ground state

$$U(t_0, t_i)|0\rangle = \sum_{\alpha} U(t_0, t_i)|\alpha\rangle\langle\alpha|0\rangle \quad \sum_{\alpha} |\alpha\rangle\langle\alpha| = 1$$

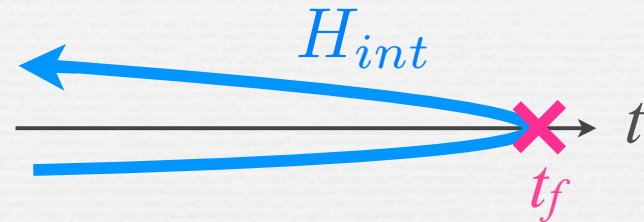
For constant Hamiltonian $U(t_0, t_i)|\alpha\rangle \sim e^{-iE_{\alpha}(-t_i)}|\alpha\rangle$

$$\lim_{t_i \rightarrow -\infty(1-i\epsilon)} U(t_0, t_i)|0\rangle = \lim_{t_i \rightarrow -\infty(1-i\epsilon)} \sum_{\alpha} U(t_0, t_i)|\alpha\rangle\langle\alpha|0\rangle \sim |\Omega\rangle$$

where $|\Omega\rangle$ is the ground state of this system

$i\varepsilon$ prescription 2

Sending $t_i \rightarrow -\infty$ in CTP,



$i\varepsilon$ prescription provides a convergent expression by shutting off contributions of vertexes at distant past.

□ n-point functions from the in-in formalism

$$\langle \Omega | \zeta(x_1) \cdots \zeta(x_n) | \Omega \rangle \quad \eta: \text{conformal time}$$

$$\ni \int d\eta v_k(\eta) v_p(\eta) v_q(\eta) \cdots, \int d\eta v_k^*(\eta) v_p^*(\eta) v_q^*(\eta) \cdots, \cdots$$

$$\sim e^{-i(k+p+q+\cdots)\eta} \rightarrow 0 \quad \sim e^{i(k+p+q+\cdots)\eta} \rightarrow 0$$

$$\eta \rightarrow -i\infty(1+i\varepsilon)$$

$$\eta \rightarrow -i\infty(1-i\varepsilon)$$

By rotating time paths, all vertex integrals can be convergent.

Def. of Euclidean vacuum

Euclidean vacuum

= n-point functions $\langle \zeta(x_1) \cdots \zeta(x_n) \rangle$ are convergent
in the limit $t_i \rightarrow -\infty(1 \pm i\varepsilon)$

Remark 1

In the de Sitter limit, this definition gives the original Euclidean vacuum, which agrees with the adiabatic vacuum.

Remark 2

Euclidean vacuum is unique and irrelevant to choices of canonical variables. $(\zeta, \pi), (\tilde{\zeta}, \tilde{\pi})$

- Invariance under the scale transformation
- IR regularity

Technical details

n-point functions for Euclidean vacuum *Y.U. & T. Tanaka (2012)*

$$\langle \Omega | {}^g R(t_f, x_1^i) {}^g R(t_f, x_2^i) \cdots {}^g R(t_f, x_n^i) | \Omega \rangle$$

is IR regular up to all orders in perturbation.

* To cure UV behavior,

Tsamis & Woodard (1992)

$${}^g R(t_f, x^i) \rightarrow -4e^{-2\rho} \partial^2 \zeta(t_f, e^{-\bar{\zeta}} x^i) \quad \bar{\zeta} : \text{local spatial average}$$

Key ingredient

$$(\zeta, \pi), \quad \mathcal{H}_{int}[\zeta(x)]$$

$$\longrightarrow (\tilde{\zeta}, \tilde{\pi}), \quad \tilde{\mathcal{H}}_{int}[\tilde{\zeta}(x)] = \tilde{\mathcal{H}}_{int}[\tilde{\zeta}(x) - s(t)] + \cdots$$

IR regular

After quantization,

$$\tilde{\mathcal{H}}_{int}[\tilde{\zeta}(x)] \ni \tilde{\zeta}(x) - \bar{\zeta}(t), \partial_i \tilde{\zeta}(x), \partial_t \tilde{\zeta}(x)$$

Technical details 2

n-point functions for Euclidean vacuum

Y.U. e³ T. Tanaka (2012)

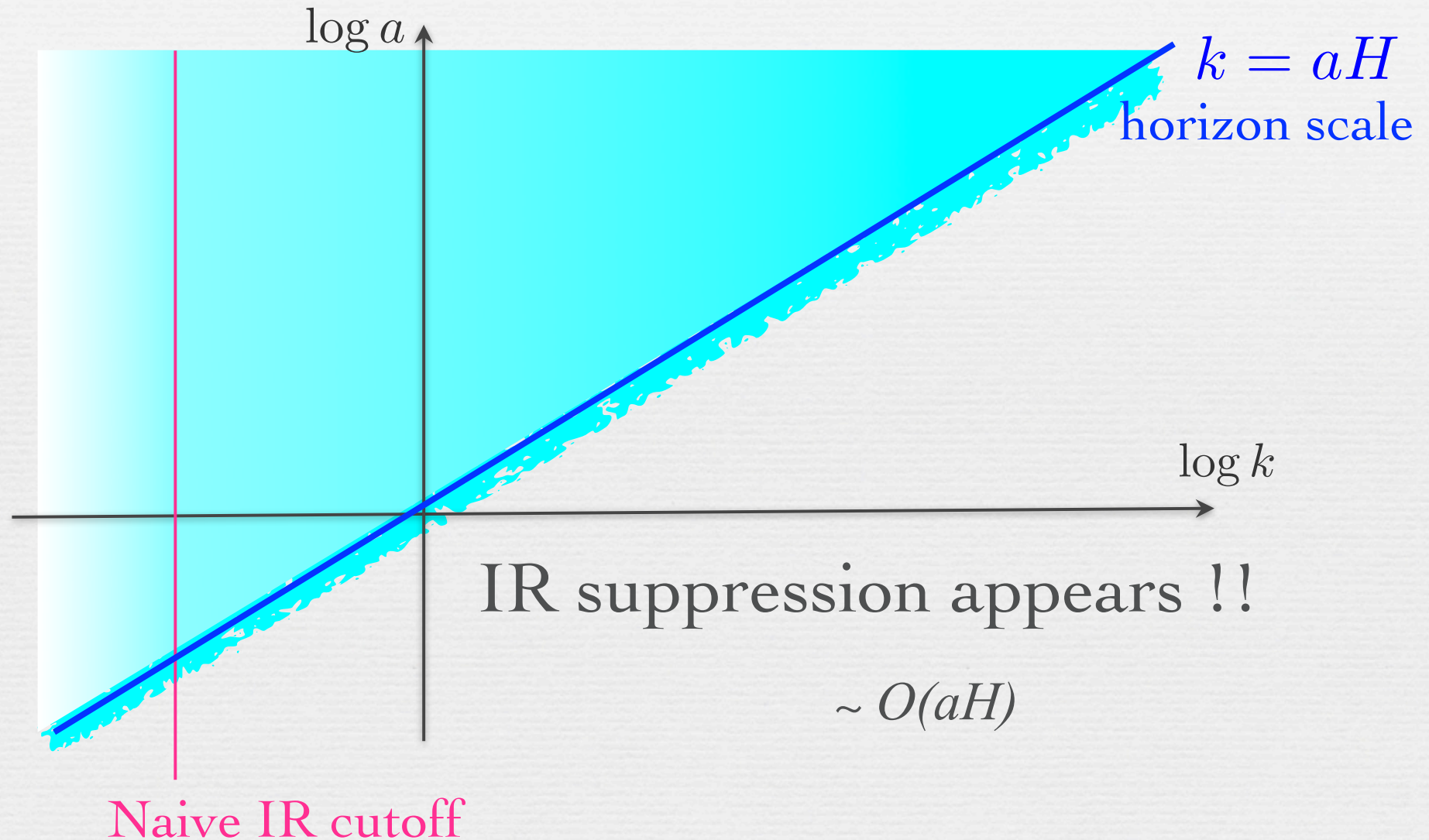
$$\langle \Omega | {}^g R(t_f, x_1^i) {}^g R(t_f, x_2^i) \cdots {}^g R(t_f, x_n^i) | \Omega \rangle$$

- If we take the canonical variable of $\tilde{\zeta}$, vertex integrals include $\tilde{\zeta}$ with differentiation or in the form of $\tilde{\zeta} - \bar{\zeta}$
 - Spatial derivative $\partial_i \tilde{\zeta}(x) \rightarrow k_i \tilde{\zeta}_{\mathbf{k}}$
 - Time derivative $\partial_t \tilde{\zeta}(x) \rightarrow (k/aH)^2 \tilde{\zeta}_{\mathbf{k}}$
 - Subtraction of $\bar{\zeta} \rightarrow |\mathbf{k}|L \tilde{\zeta}_{\mathbf{k}}$ L: Scale of local region

These suppression makes n-point fns. IR regular and also makes irrelevant to change of outside the local region.

Revisit of secular growth

Which modes participate in loop corrections?



Concluding remarks

- Observable fluctuation should be gauge-invariant in the local universe.
- Strong restriction on initial states
 - As long as we consider a finite initial time, the manifestly unitary time evolution is not compatible with IR regularity and the consistency of the canonical system.
 - Taking Euclidean vacuum with $i\varepsilon$ prescription can provide an IR regular prescription which is independent of outside the observable region.