

# PHASE TRANSITIONS IN DE SITTER

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- Prokopec, arXiv:1110.3187 (2011)
- Janssen, Miao, Prokopec & Woodard, 0904.1151 [gr-qc] JCAP 0905 (2009) 003

# CONTENTS

1) DYNAMICS OF SYMMETRY RESTORATION & INFLATIONARY INFRARED

2) MEAN FIELD FOR A REAL SCALAR FIELD

3) MEAN FIELD IN AN O(N) MODEL

4) BEYOND MEAN FIELD AND STOCHASTIC INFLATION

## SOME LITERATURE:

Ford & Vilenkin, PRD26 (1982) & PRD33 (1986) 2833

Starobinsky, Yokoyama, PRD50 (1994) 6357 [astro-ph/9407016]

Burgess, Leblond, Holman, Shandera, JCAP 1003 (2010) 033 [arxiv:1005.3551]

Garbrecht & Rigopoulos, [1105.0418 [hep-th]]

Serreau, 1105.4539 [hep-th], PRL 2012

# DE SITTER SPACE AND COSMIC INFLATION

- LINE ELEMENT (METRIC TENSOR):

$$ds^2 = -dt^2 + a^2(t)d\vec{x}^2 \quad \text{or} \quad g_{\mu\nu} = a^2(\eta)\eta_{\mu\nu}, \quad \eta_{\mu\nu} = \text{diag}(-1, \underbrace{1, 1, \dots}_{D-1})$$

- FRIEDMANN (FLRW) EQUATION AND THE SCALE FACTOR

$$H^2 = \frac{\Lambda}{3} \quad \Rightarrow \quad a(t) = a_0 e^{Ht}, \quad H = \sqrt{\frac{\Lambda}{3}}$$

- More generally, for a power law expansion scale factor reads:

$$a = \left(\frac{t}{t_0}\right)^{1/\varepsilon} = \left[-(1-\varepsilon)H_0\eta\right]^{-\frac{1}{1-\varepsilon}}, \quad H = H_0 a^{-\varepsilon}, \quad \varepsilon = -\frac{\dot{H}}{H^2} = \text{const.}$$

- inflation:  $\varepsilon = \text{constant} \ll 1$  or  $\varepsilon \ll 1$  adiabatic in time (slow roll approx)

# HUBBLE EFFECTIVE POTENTIAL

° 4°

Janssen, Miao, Prokopec & Woodard, 0904.1151 [gr-qc] JCAP (2009)

▶ SCALAR : BACKGROUND + FLUCTUATIONS:  $\varphi = \Phi(\mathbf{x}) + \phi(\mathbf{x})$

▶ EFFECTIVE ACTION:  $\Phi(x) \rightarrow \Phi_0, \Gamma_{\text{eff}} = V_{\text{eff}}[\Phi_0]$

▶ IN COSMOLOGY: THE BACKGROUND IS EVOLVING!

⇒ HUBBLE EFFECTIVE POTENTIAL:  $\Phi(x) \rightarrow \Phi_0 H(t), \Gamma_{\text{eff}} \rightarrow V_{\text{hub}}[\Phi, H]$

▶ Classical equation of motion:

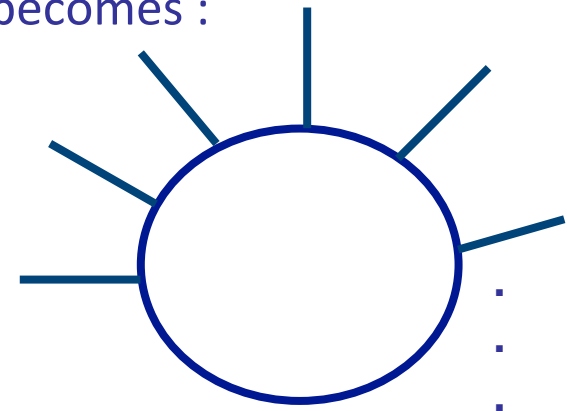
$$\ddot{\Phi} + 3H\dot{\Phi} + m^2\Phi + \xi R\Phi + \frac{\lambda}{6}\Phi^3 = 0$$

• in constant  $\varepsilon = -(dH/dt)/H^2 = \text{const.}$  (flat FLRW) spaces this becomes :

$$2\varepsilon^2 - 3\varepsilon + 6\xi(2 - \varepsilon) + \frac{\lambda}{6}\Phi_0^2 = 0 \quad (m = 0)$$

$$\Rightarrow \Phi_0 = \Phi_0(\varepsilon, \lambda) = \text{const}$$

⇒ ONE LOOP HUBBLE EFFECTIVE POTENTIAL:  $V_{\text{hub}}[\Phi]$



# 1 LOOP HUBBLE EFFECTIVE POTENTIAL

USE IR REGULATED PROPAGATOR (comoving box) FOR CONSTANT SPACES

Janssen, Miao, Prokopec, Woodard (2008)

$$\begin{aligned}
 V'_{\text{hub}}(\Phi, H) = & \frac{\lambda}{96\pi^2} (1 - 5\varepsilon + 3\varepsilon^2) H^2 \Phi + \left\{ \xi + \frac{\lambda(\xi - 1/6)}{32\pi^2} \left[ \ln\left(\frac{(1 - \varepsilon)^2 H^2}{\mu^2}\right) + \psi\left(\frac{1}{2} + \nu\right) \right] + \psi\left(N + \frac{5}{2} + \nu\right) \right\} R\Phi \\
 & + \left\{ \frac{\lambda}{6} + \frac{\lambda^2}{64\pi^2} \left[ \ln\left(\frac{(1 - \varepsilon)^2 H^2}{\mu^2}\right) + \psi\left(\frac{1}{2} + \nu\right) \right] + \psi\left(N + \frac{5}{2} + \nu\right) \right\} \Phi^3 \\
 & + \frac{(1 - \varepsilon^2)\lambda}{32\pi^2} \sum_{n=-1}^N \frac{1}{\nu - n - 3/2} \left\{ \frac{\Gamma(2\nu - n)\Gamma(2\nu - 2n)}{2\Gamma(n+1)\Gamma^2(\nu - n + 1/2)} (4k_0^2 \eta^2)^{\nu - n + 3/2} - \left(\nu^2 - \frac{1}{4}\right) \right\} H^2 \Phi + O(\hbar^2)
 \end{aligned}$$

► where:

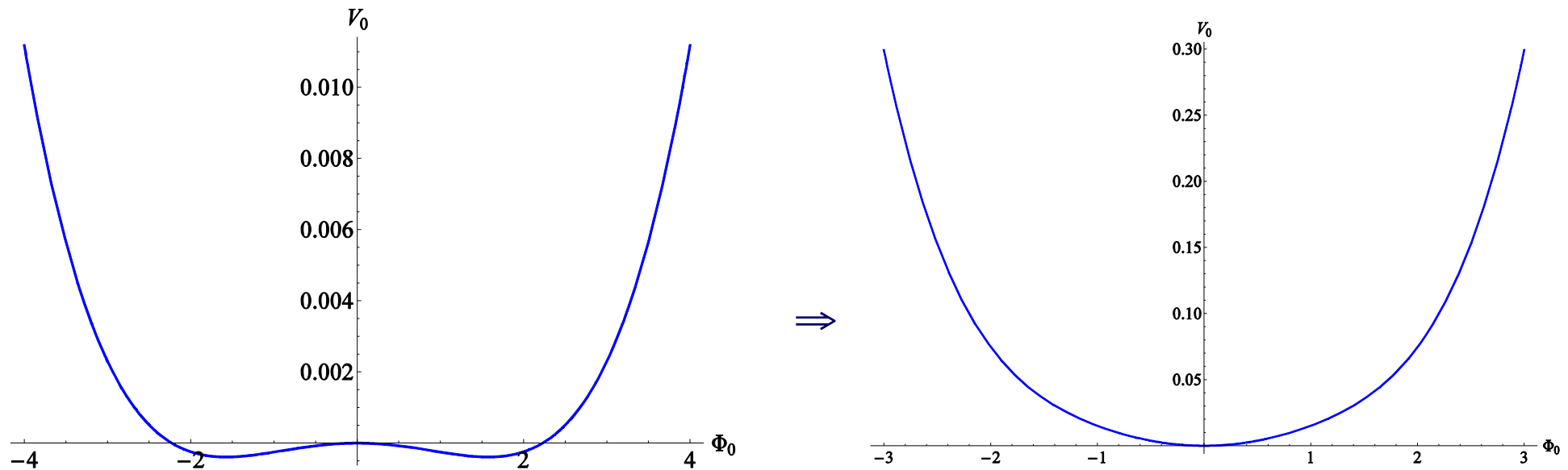
$$\nu_{D=4}^2 = \frac{1}{4} - \frac{(\xi - 1/6)R + \lambda\Phi^2/2}{(1 - \varepsilon)^2 H^2}, \quad k_0^2 \eta^2 = \frac{k_0^2}{(1 - \varepsilon)^2 a^2 H^2}$$

## Q: What can we learn from this complicated potential?

- In the limit when  $\lambda\Phi^2 \gg H^2 \rightarrow 0$ , it reproduces the Coleman-Weinberg eff. potential
- In the de Sitter limit when  $\varepsilon \rightarrow 0$ , it reproduces the result from **Bilandzic & TP (2006)**
- Quantum effects break the classical scaling symmetry both by  $\mu$  and by  $k_0$

# EVOLUTION OF $V_{\text{eff}}$ WITH TIME: SYMMETRY RESTORATION

° 6°



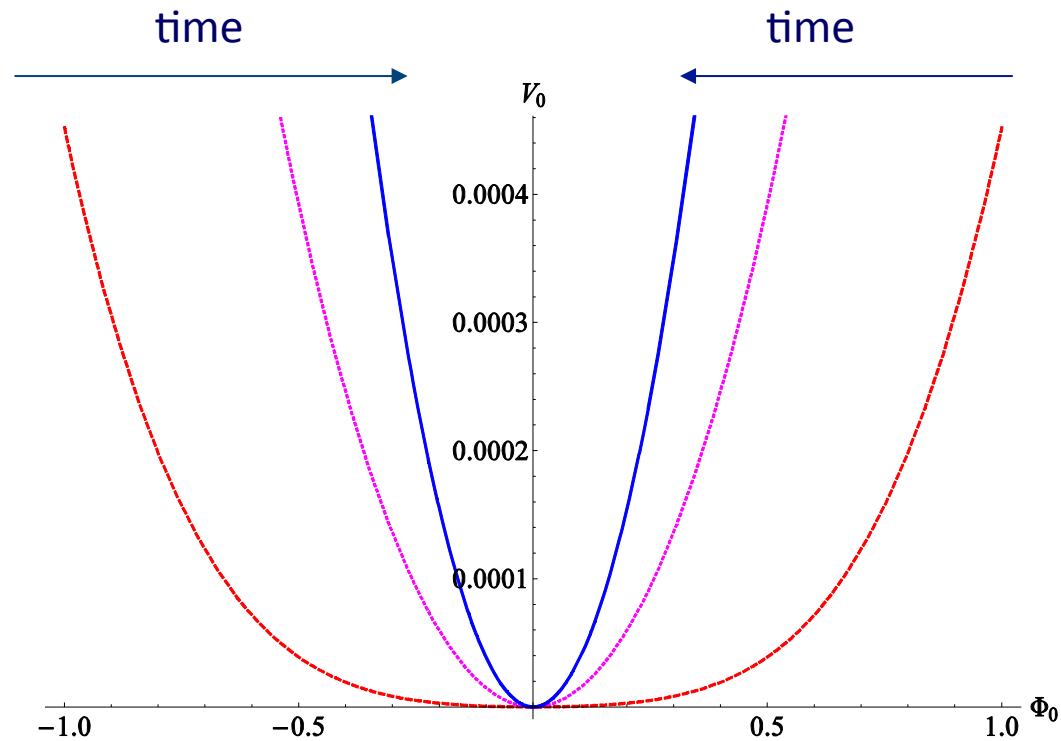
⇒ early times ⇐

⇒ late times (13 e-folds) ⇐

- ▶ if  $\epsilon = -(dH/dt)/H^2$  is larger symmetry gets restored earlier
- ▶ here  $\epsilon = 0.1$ , sym restoration at 9 e-foldings after beginning
- ▶ analogous results can be obtained from Starobinsky stochastic formalism

# EVOLUTION OF $V_{\text{eff}}$ WITH TIME II

° 7°



- ▶ curvature of the effective potential around the origin: strengthens with time
- ▶ relevant for preheating: makes production of massive particles more efficient
- ▶ suggest breakdown of perturbation theory at late times in inflation  
(also an important – and recognized -- issue in Higgs inflation where  $\xi \sim -50000$ )

# SUMMARY AND DISCUSSION, Part I

° 8 °

- Symmetry restoration in inflation can lead e.g. to particle production during inflation, if nonadiabatic  
(fermions: TP+Garbrecht, PRD [gr-qc/0602011]; scalars; photons?)
- Changes in the potential affect cosmological perturbations
  - ▶ amplitude and spectral index of scalar and tensor perturbations  
(e.g.: one has to reexamine models such as Albrecht-Steinhardt new inflation)
- Preheating is more dramatic (recall dependence on  $\epsilon$ )



# SYMMETRY BREAKING AND GOLDSTONE THEOREM IN DE SITTER SPACE

# REAL SCALAR FIELD

- **ACTION**

$$S = \int d^D x \sqrt{-g} \left[ -\frac{1}{2} (\partial_\mu \phi)(\partial^\mu \phi) - \frac{1}{2} m_0^2 \phi^2 - \frac{\lambda}{4!} \phi^4 \right]$$

- **MEAN FIELD (2 loop) EFFECTIVE POTENTIAL & ACTION**

$$V_{\text{MF}} = V_0 + \frac{1}{2} m_0^2 (\phi^2 + i\Delta(x; x)) + \frac{\lambda}{4!} (\phi^4 + 6\phi^2 i\Delta(x; x) + 3[i\Delta(x; x)]^2) + \frac{i}{2} \text{Tr} \ln[i\Delta(x; x)]$$

$$S_{\text{MF}} = \int d^D x \sqrt{-g} \left[ -\frac{1}{2} (\partial_\mu \phi)(\partial^\mu \phi) + \frac{1}{2} [\nabla_\mu \nabla^\mu i\Delta(x; x')]_{x' \rightarrow x} - V_{\text{MF}} \right]$$

# SCALAR FIELD PROPAGATOR

## • dS INVARIANT SCALAR FIELD PROPAGATOR

$$\iota\Delta(x; x') = \frac{H^{D-2}}{(4\pi)^{D/2}} \frac{\Gamma\left(\frac{D-1}{2} + \nu_D\right)\Gamma\left(\frac{D-1}{2} - \nu_D\right)}{\Gamma\left(\frac{D}{2}\right)} \times_2 F_1\left(\frac{D-1}{2} + \nu_D, \frac{D-1}{2} - \nu_D; \frac{D}{2}; 1 - \frac{y(x; x')}{4}\right)$$

$$\nu_D = \left(\frac{D-1}{2}\right)^2 - \frac{m^2}{H^2}, \quad y(x; x') = a(\eta)a(\eta')H^2\left[-(|\eta - \eta'| - \iota\varepsilon)^2 + \|\vec{x} - \vec{x}'\|^2\right]$$

## • COINCIDENT SCALAR PROPAGATOR

$$\iota\Delta(x; x) = \frac{H^{D-2}}{(4\pi)^{D/2}} \frac{\Gamma\left(\frac{D-1}{2} + \nu_D\right)\Gamma\left(\frac{D-1}{2} - \nu_D\right)}{\Gamma\left(\frac{1}{2} + \nu_D\right)\Gamma\left(\frac{1}{2} - \nu_D\right)} \Gamma\left(1 - \frac{D}{2}\right) = \iota\Delta(x; x)_{\text{div}} + \iota\Delta(x; x)_{\text{fin}}$$

$$\iota\Delta(x; x)_{\text{div}} = \frac{H^{D-2}\Gamma\left(\frac{D-1}{2}\right)}{4\pi^{(D-1)/2}} \left[ \psi(D/2) - \psi(D-1) - \psi(1-D/2) - \gamma_E + \frac{1}{D-1} \right]$$

$$\iota\Delta(x; x)_{\text{fin}} = \frac{\Gamma\left(\frac{D-1}{2}\right)}{2\pi^{(D+1)/2}} \frac{H^D}{m^2}$$

# RENORMALISATION

- **MASS RENORMALISATION**

$$m^2 = m_0^2 + \frac{\lambda}{2} [\iota\Delta(x; x)]_{\text{div}} < 0$$

$$\iota\Delta(x; x)_{\text{div}} = \frac{H^{D-2}\Gamma\left(\frac{D-1}{2}\right)}{4\pi^{(D-1)/2}} \left[ \psi(D/2) - \psi(D-1) - \psi(1-D/2) - \gamma_E + \frac{1}{D-1} \right]$$

►  $\mathbf{V}_0$  also gets renormalised, but not important for us

# MEAN FIELD MASS

- **MEAN FIELD MASS** (self-consistent 1 loop correction)

$$m_{\text{MF}}^2 = \underbrace{m^2}_{<0} + \frac{\lambda}{2} \left( \phi^2 + \iota\Delta(x; x)_{\text{fin}} \right) = -2m^2 - \lambda\iota\Delta(x; x)_{\text{fin}}, \text{ if } \phi^2 > 0$$

$$m_{\text{MF}}^2 = m^2 + \frac{\lambda}{2} \iota\Delta(x; x)_{\text{fin}}, \text{ if } \phi^2 = 0, \quad \iota\Delta(x; x)_{\text{fin}} = \frac{\Gamma\left(\frac{D-1}{2}\right)}{2\pi^{(D+1)/2}} \frac{H^D}{m_{\text{MF}}^2}$$

► solving these one gets:

- **BROKEN SYMMETRY CASE:**

$$m_{\text{MF}}^2 = -m^2 + \sqrt{m^4 - m_{\text{cr}}^4} > 0, \quad (\phi^2 > 0), \quad |m^2| > m_{\text{cr}}^2 = \sqrt{\frac{\lambda H^D \Gamma\left(\frac{D+1}{2}\right)}{2\pi^{(D+1)/2}}}$$

- **RESTORED SYMMETRY CASE:**

$$m_{\text{MF}}^2 = \frac{m^2}{2} + \sqrt{\frac{m^4}{4} + \frac{m_{\text{cr}}^4}{2}} > 0, \quad (\phi^2 = 0), \quad |m^2| < m_{\text{cr}}^2$$

# MEAN FIELD ORDER PARAMETER

- **MEAN FIELD MASS** (self-consistent 1 loop correction)

$$\Delta\phi^2 = \frac{3m_{\text{cr}}^2}{\lambda} = \sqrt{\frac{9H^D \Gamma\left(\frac{D+1}{2}\right)}{2\lambda\pi^{(D+1)/2}}}$$

- ▶ **WHEN  $\Delta\phi^2 > 0$ , DOMAIN WALLS FORM** (by the Kibble mech.)
- ▶ **NB:** true in adiabatic limit, when spatial and time derivatives can be neglected (when domain thickness  $\ll 1/H$ ).  
Otherwise: super-Hubble size domain walls restore symmetry.

# O(N) MODEL

## • ACTION

$$S = \int d^D x \sqrt{-g} \left[ -\frac{1}{2} \sum_{a=1}^N (\partial_\mu \phi_a)(\partial^\mu \phi_a) - \frac{1}{2} m_0^2 \sum_{a=1}^N \phi_a^2 - \frac{\lambda}{4N} \left( \sum_{a=1}^N \phi_a^2 \right)^2 \right]$$

## • MEAN FIELD EFFECTIVE POTENTIAL & ACTION

$$V_{\text{MF}} = \frac{1}{2} m_0^2 \sum_{a=1}^N (\phi_a^2 + \iota \Delta_{aa}(x; x)) + \frac{\lambda}{4N} \left[ \left( \sum_{a=1}^N \phi_a^2 \right)^2 + 2 \left( \sum_{a=1}^N \phi_a^2 \right) \sum_{b=1}^N \iota \Delta_{bb}(x; x) \right]$$

$$+ \frac{\lambda}{4N} \left[ 4 \sum_{a,b=1}^N \phi_a \phi_b \iota \Delta_{ab}(x; x) + \left( \sum_{a=1}^N \iota \Delta_{aa}(x; x) \right)^2 + 2 \sum_{a,b=1}^N (\iota \Delta_{aa}(x; x))^2 \right] + \frac{\iota}{2} \text{Tr} \ln[\iota \Delta_{aa}(x; x)]$$

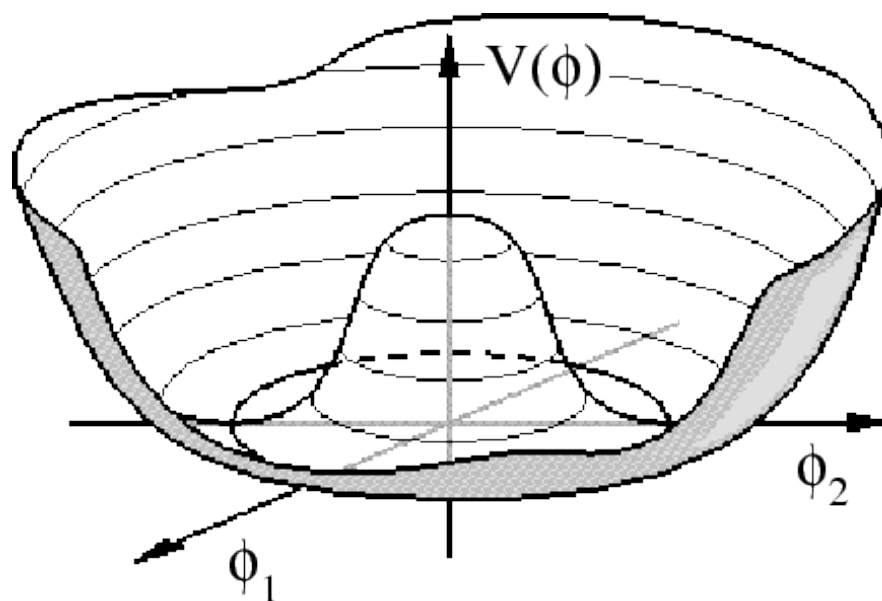
$$S_{\text{MF}} = \int d^D x \sqrt{-g} \left[ -\frac{1}{2} \sum_{a=1}^N (\partial_\mu \phi_a)(\partial^\mu \phi_b) + \frac{1}{2} \sum_{a=1}^N [\nabla_\mu \nabla^\mu \iota \Delta_{aa}(x; x')]_{x' \rightarrow x} - V_{\text{MF}} \right]$$

# N=2: MEXICAN HAT POTENTIAL

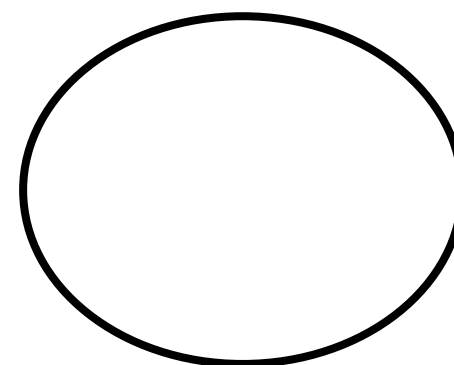
- FOR TREE LEVEL ACTION

$$S = \int d^D x \sqrt{-g} \left[ -\frac{1}{2} \sum_{a=1}^N (\partial_\mu \phi_a)(\partial^\mu \phi_a) - \frac{1}{2} m_0^2 \sum_{a=1}^N \phi_a^2 - \frac{\lambda}{4N} \left( \sum_{a=1}^N \phi_a^2 \right)^2 \right]$$

(AND N=2) WE GET A MEXICAN HAT POTENTIAL:



VACUUM MANIFOLD:  
SPHERE  $S^1$   
(1 Goldstone excitation)





# RENORMALISATION

- **MASS RENORMALISATION**

$$m^2 = m_0^2 + \frac{(N+2)\lambda}{N} \frac{H^{D-2} \Gamma\left(\frac{D-1}{2}\right)}{4\pi^{(D-1)/2}} \left[ \psi\left(\frac{D}{2}\right) - \psi(D-1) - \psi\left(1 - \frac{D}{2}\right) - \gamma_E + \frac{1}{D-1} \right]$$

- **MASS MATRIX**

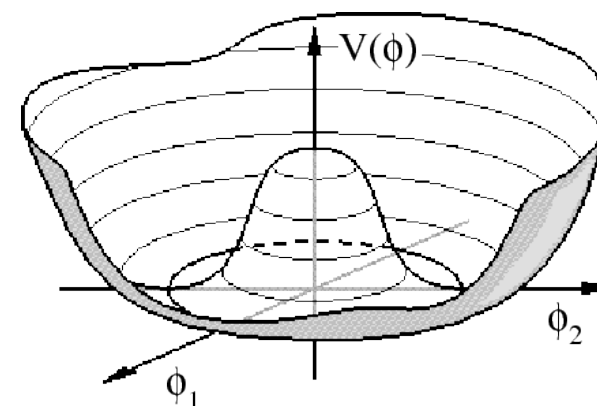
$$M_{ab}^2 = \left[ m^2 + \frac{\lambda}{N} \sum_{c=1}^N (\phi_c^2 + \iota \Delta_{cc}(x; x)_{\text{fin}}) \right] \delta_{ab} + \frac{2\lambda}{N} (\phi_a \phi_b + \iota \Delta_{ab}(x; x)_{\text{fin}})$$

► this can be diagonalised..

# SELF CONSISTENT MEAN FIELD APPROX

- upon rotation to the diagonal frame:  $\vec{\phi} \rightarrow R\vec{\phi} = \begin{pmatrix} \phi_1 \\ 0 \\ \dots \\ 0 \end{pmatrix}$ ,  $\phi_1^2 > 0$  one gets:
- **MASSIVE 'HIGGS-LIKE' EXCITATION:**

$$M_1^2 = -2m^2 - \frac{\lambda H^D \Gamma\left(\frac{D+1}{2}\right)}{N\pi^{(D+1)/2}} \left[ \frac{N-1}{M_g^2} + \frac{3}{M_1^2} \right]$$



- **WOULD-BE GOLDSTONES (become massive!):**

$$M_g^2 = \frac{\lambda H^D \Gamma\left(\frac{D+1}{2}\right)}{N\pi^{(D+1)/2}} \left[ \frac{1}{M_g^2} - \frac{1}{M_1^2} \right]$$

- ☺ admits a small coupling expansion (in powers of  $\sqrt{\lambda}$ , as in TFT)
- when these are solved, one gets..

# EXPANSION AROUND $\lambda=0$

- DE SITTER RESUMMED ONE LOOP MASS (broken case):

$$\mu_1^2 = 1 - (N-1)\sqrt{\lambda_D} - \frac{N+5}{2}\lambda_D + O(\lambda_D^{3/2}), \quad \mu_1^2 = \frac{M_1^2}{-2m^2}$$

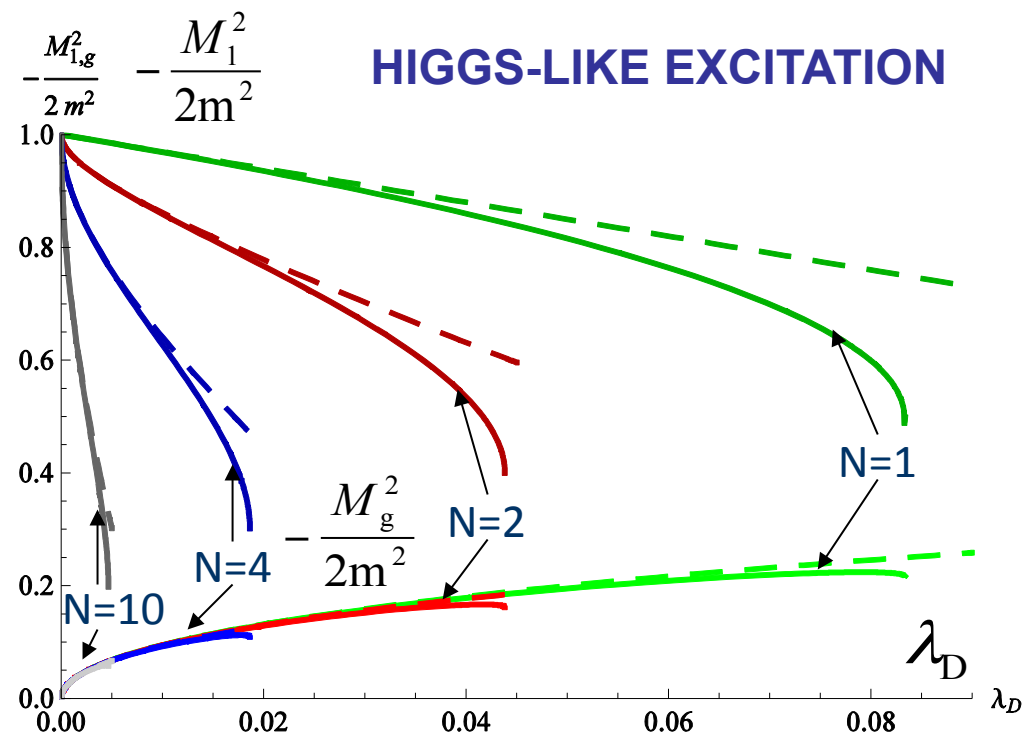
$$\mu_g^2 = \sqrt{\lambda_D} - \frac{1}{2}\lambda_D + O(\lambda_D^{3/2}), \quad \mu_g^2 = \frac{M_g^2}{-2m^2}, \quad \lambda_D = \frac{\lambda H^D \Gamma((D+1)/2)}{N\pi^{(D+1)/2} (-2m^2)^2}$$

- THERMAL RESUMMED ONE LOOP MASS ( $m^2 > 0$ ):

$$m(T) = \sqrt{m^2 + \frac{\lambda T^2}{24} + \frac{\lambda^2 T^2}{(16\pi)^2} - \frac{\lambda T}{16\pi}} \Rightarrow m^2(T) \approx \frac{\lambda T^2}{24} \left( 1 - \frac{\sqrt{3\lambda}}{2\sqrt{2\pi}} \right) \quad (m^2 > 0)$$

- ▶ also non-perturbative, but stronger effects in de Sitter.

# O(N) MODEL IN MEAN FIELD APPROXIMATION



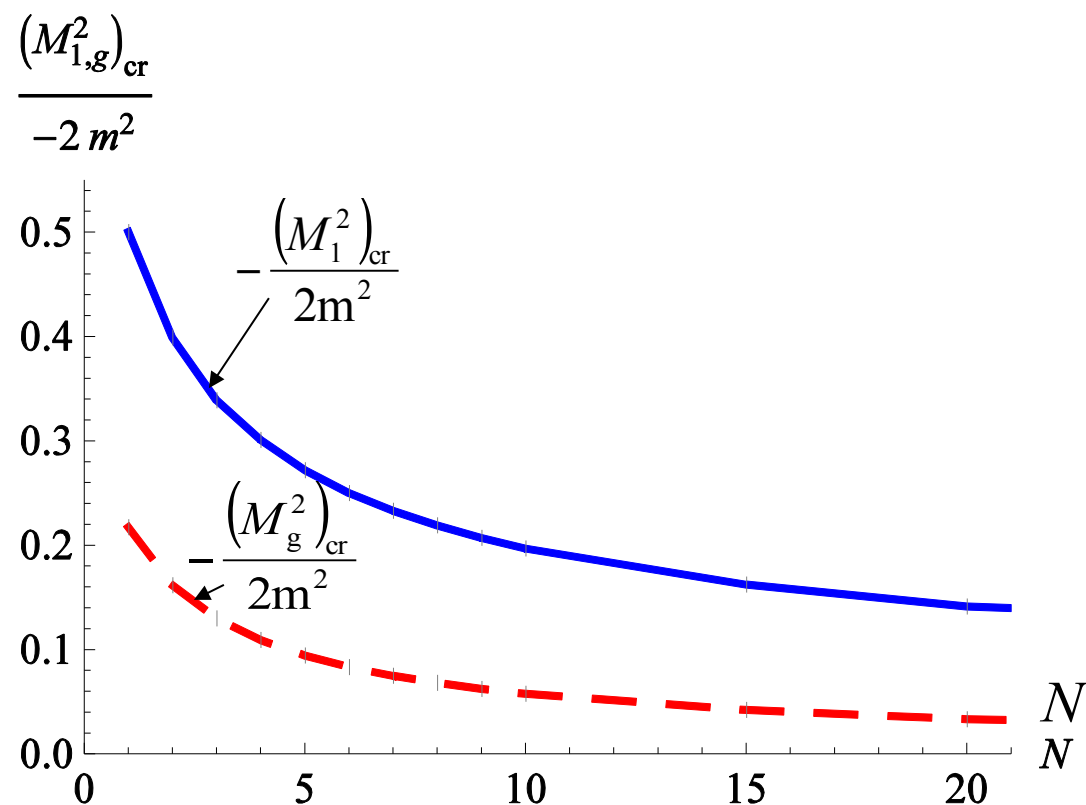
$$\lambda_D = \frac{\lambda H^D \Gamma(\frac{D+1}{2})}{N \pi^{(D+1)/2} (-2m^2)^2}$$

**NOTE: ∃ END POINTS  $(\lambda_D)_{cr}$  WHERE NO MORE SOLUTIONS MASSES ARE FINITE.**

$$(\lambda_D)_{cr} \approx \frac{1.6}{(N+2)^{1.9}}$$

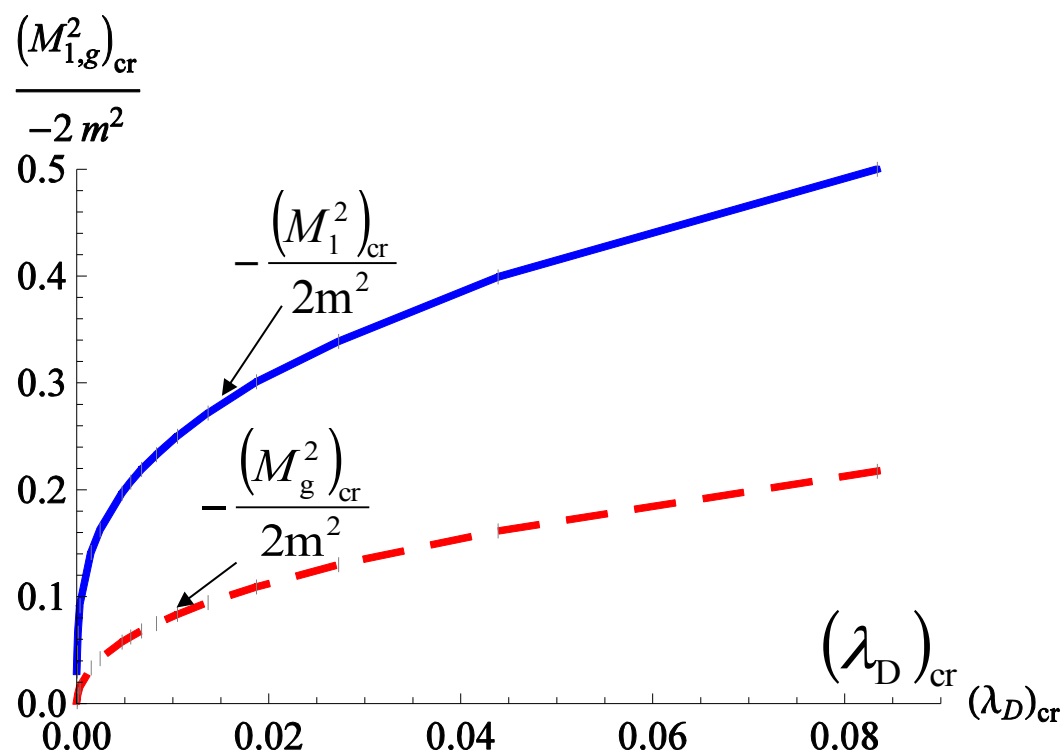
# HIGGS-LIKE & PSEUDO-GOLDSTONE MASSES

•• AS A FUNCTION OF  $N$



# HIGGS-LIKE & PSEUDO-GOLDSTONE MASSES II

••• AS A FUNCTION OF  $(\lambda_D)_{\text{cr}} \approx \frac{3}{2(N+2)^2}$



# PHASE TRANSITION STRENGTH

- **MEAN FIELD MASS** (self-consistent 1 loop correction)

$$\Delta\phi^2 = \frac{N(M_1^2)_{\text{cr}}}{\lambda} \propto \frac{|m| N^{1/2}}{\lambda^{3/4}} > 0$$

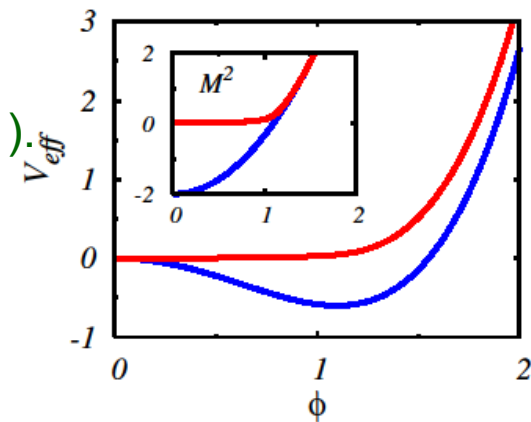
- ▶ NB:  $\Delta\phi^2/N \rightarrow 0$  as  $N \rightarrow \infty$  (agrees with Serreau 2011).

- ▶ NB2: For  $N=1$ ,  $\Delta\phi^2 \propto 1/\sqrt{\lambda}$ .

- ▶ NB3: In symmetric phase, where  $\Delta\phi^2=0$  :

$$M_1^2 = M_g^2 = \frac{m^2}{2} + \sqrt{\frac{m^4}{4} + \frac{(N+2)m_{\text{cr}}^4}{6}}$$

- ☺ all masses are degenerate, and when  $N=1$  it agrees with the  $N=1$  result.



~ THESE RESULTS VALID IN ADIABATIC REGIME.

WHEN DEFECT SCALE  $\geq 1/H$ , THEN SYMMETRY RESTORED BY DEFECTS!

# DISCUSSION, Part IIA

- WE STUDIED SYMMETRY BREAKING IN A SCALAR  $O(N)$  MODEL IN DE SITTER SPACE IN MEAN FIELD APPROXIMATION

- WE FOUND THAT FOR REN. MASS  $m^2 < -m_{cr}^2$  SYM GETS BROKEN

BOTH HIGGS-LIKE AND GOLDSTONE LIKE EXCITATION GET A MASS

••• A NOVEL MASS GENERATION MECHANISM:

PSEUDO-GOLDSTONES ACQUIRE MASS FROM ENHANCED IR FLUCTS

••• JUMP IN ORDER PARAMETER:  $\Delta\phi^2 \propto \frac{|m| N^{1/2}}{\lambda^{3/4}}$



# DISCUSSION, Part IIB

## ● COMPARISON WITH STOCHASTIC INFLATION RESULTS:

Starobinsky, Yokoyama, PRD50 (1994) 6357 [astro-ph/9407016]  
Arvin Rajaraman (2010); Martin Beneke (in preparation).

♣ When mass is adjusted  $m=0$  (no symmetry breaking)

$$\frac{m_{\text{stoch}}^2}{m_{\text{MF}}^2} = \frac{2\sqrt{3}\Gamma(3/4)}{\Gamma(1/4)} \approx 1.17$$

••• But, when  $m=(\lambda/2)\langle\phi^2\rangle$ , then  $m_{\text{stoch}}^2 = m_{\text{MF}}^2$

In order to give a more complete answer to phase transitions during inflation, one needs to go beyond mean field (2 loops 2PI).

Stochastic formalism (and Euclidean formalism of the 0 mode) resum all loops, suggesting that stochastic formalism gives the correct answer (in progress).

RELEVANCE: preheating, inflationary dynamics, cosmological perturbations, fermion production & baryogenesis/leptogenesis, etc.