

F-theory Model Building

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Review: [arXiv:1001.0577](#) [hep-th]

[arXiv:1005.3033](#) [hep-th] w/ H. Verlinde

[arXiv:1006.5459](#) [hep-th] w/ C. Vafa

Based On...

This talk is based on the work of several groups

For brevity I will focus on only a few themes

and some potentially interesting future directions

Plan of the Talk

- Bottom up Stringy Standard Models
- Unification in F-theory
- Extra Sectors
- Fuzzy Local Models
- Conclusions

SM / MSSM

Gauge Group: $SU(3)_C \times SU(2)_L \times U(1)_Y$

Quarks: $3 \times (Q \oplus U \oplus D)$

Matter: Leptons: $3 \times (L \oplus E)$

Higgs: $H_u \oplus H_d$

Interactions: $W = H_u Q U + H_d Q D + H_d L E + \dots$

Some Questions

Q1: Standard Model (SM) from Strings?

Q2: Stringy ingredients of the SM?

Q3: Given a stringy SM, what does it predict?

Top Down Perspective

Compactify: $\mathcal{M}_{10} \simeq \mathcal{M}_4^{us} \times \mathcal{M}_6^{internal}$

+ Branes + Fluxes + Moduli + \dots

\Rightarrow Many moving parts interacting non-trivially

Where to Look First?

Bottom Up Perspective

SM is well-tested. Planck scale less clear

Focus on gauge sector, defer gravity

$$M_{pl} \sim 10^{19} \text{ GeV} \gg M_{weak} \sim 10^2 \text{ GeV}$$

$$M_{pl} \sim 10^{19} \text{ GeV} \gg M_{GUT} \sim 10^{16} \text{ GeV}$$

Local Models

Antoniadis, Kiritsis, Tomaras '00
Aldazabel, Ibanez, Quevedo, Uranga '00
Verlinde Wijnholt '05,...

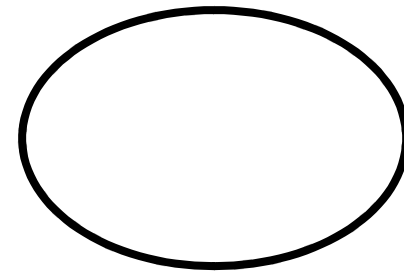
Build up in steps:

- 1) Decouple Gravity
- 2) Realize SM in IR
- 3) Extend SM (e.g. SUSY, unification, ...)
- 4) Recouple to gravity

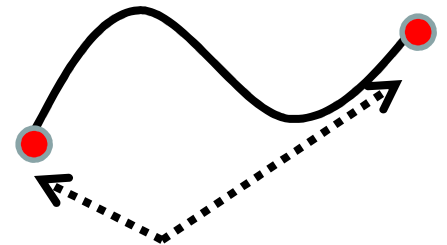
▼ Won't discuss much about this

Strategy:

Closed strings for gravity



Open strings for particle physics



String ends on a brane

\Rightarrow Put SM on a spacetime filling brane

Perturbative Building Blocks

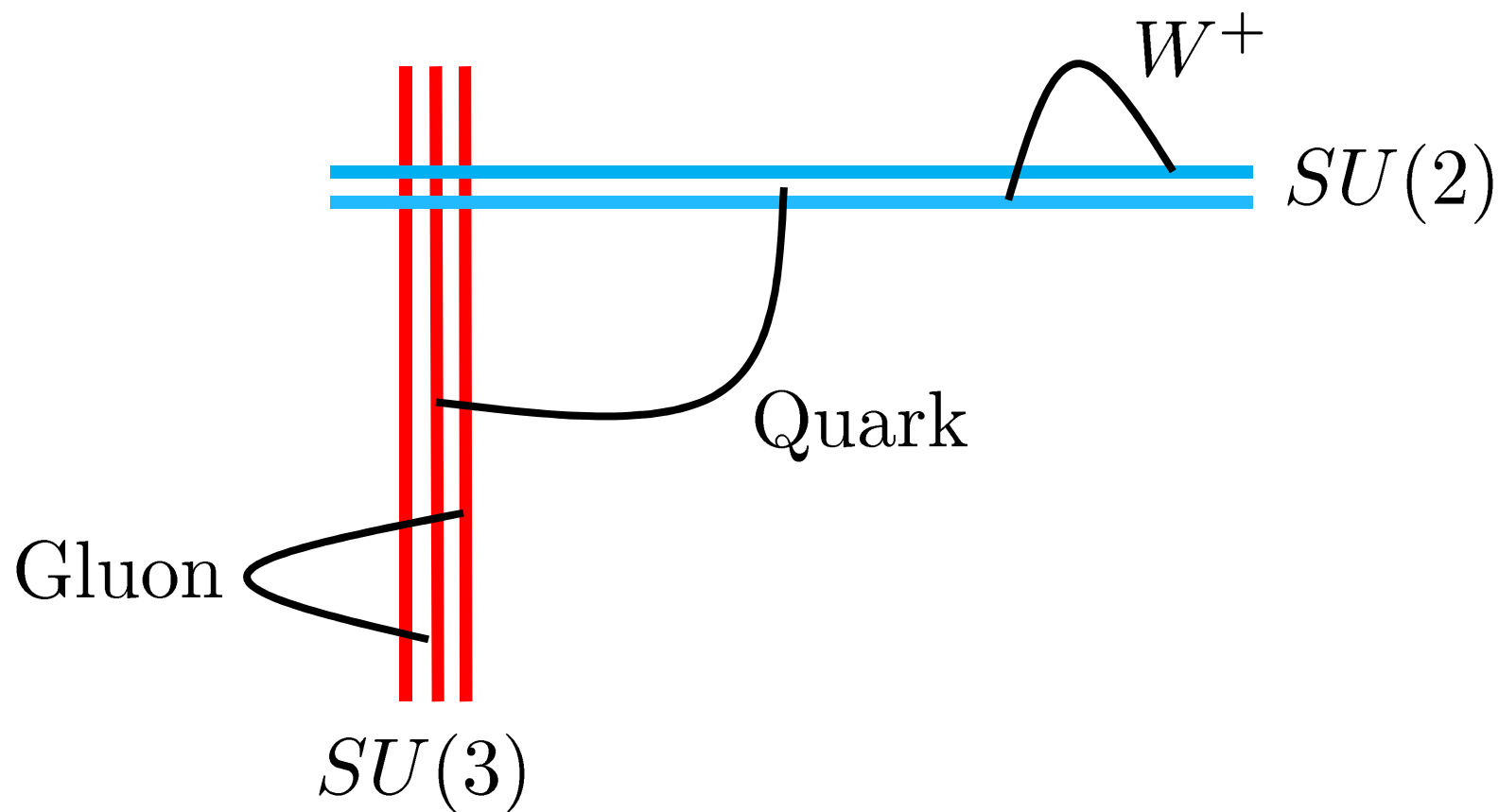
Gauge groups: U , SO , USp but no $E_{6,7,8}$

Matter: $\square \otimes \bar{\square}$ $\begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array}$ $\square\square$

Yukawas: $\#\square = \#\bar{\square}$ *e.g.* $\bar{\square} \times \bar{\square} \times \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array}$

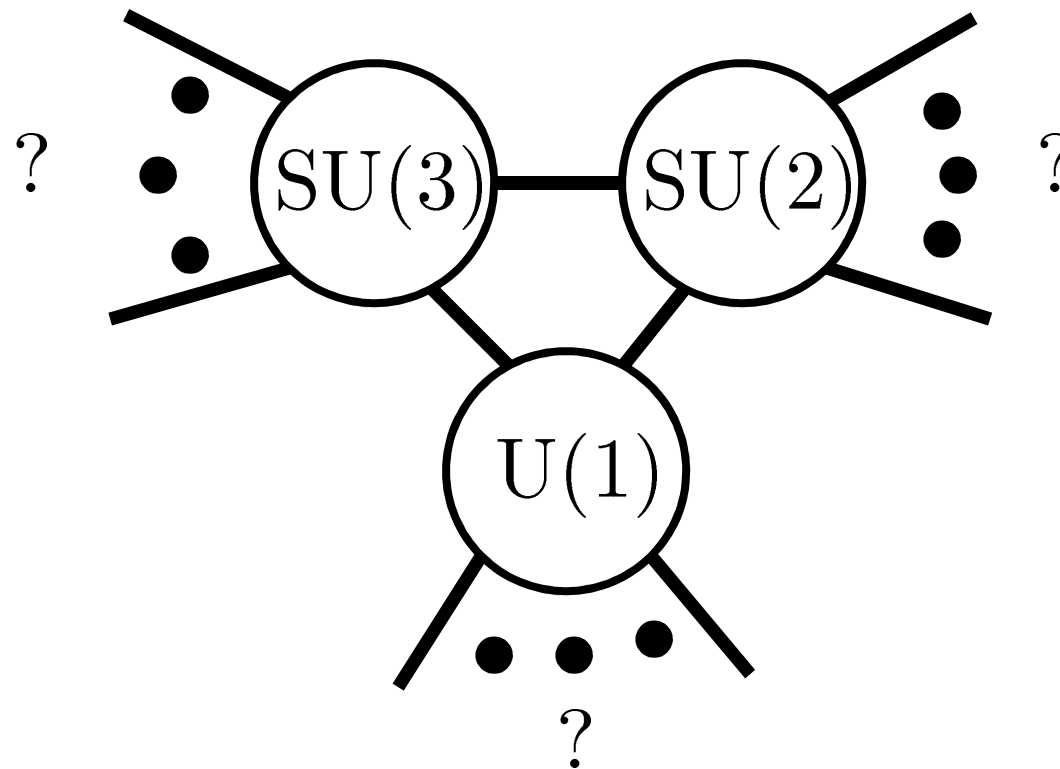
but not $\square \times \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array} \times \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array}$

SM is Like This!



Too Flexible?

Encourages building big quivers:



Is SM completely arbitrary?

Unification

But quivers also discourage unification

Circumstantial evidence for unification:

1) MSSM gauge coup.'s unify @ $\sim 10^{16}$ GeV

2) Matter in irreps of $SU(5)$, $SO(10)$, E_6, \dots

3) $m_{neutrino} \sim m_{weak}^2 / M_{GUT}$

• • •

$SU(5)$ GUT

$$SU(5) \supset SU(3)_C \times SU(2)_L \times U(1)_Y$$

$$10_M \rightarrow Q \oplus U \oplus E$$

$$\bar{5}_M \rightarrow D \oplus L$$

Matter:

$$5_H \rightarrow \mathcal{X}_u \oplus H_u$$

$$\bar{5}_H \rightarrow \mathcal{X}_d \oplus H_d$$

Interactions: $W = 5_H 10_M 10_M + \bar{5}_H \bar{5}_M 10_M + \dots$

\Rightarrow t mass $\qquad \qquad \Rightarrow$ b and τ masses

More GUT Structures

Matter: $5_H, \bar{5}_H, \bar{5}_M, 10_M$

$SU(5)$:

$$W_{SU(5)} \supset 5_H 10_M 10_M + \bar{5}_H \bar{5}_M 10_M$$

Matter: $10_H, 16_M$

$SO(10)$:

$$W_{SO(10)} \supset 16_M 16_M 10_H$$

In 4D, stop after E_6 (need chirality)

Open Strings & GUTs

GUTs problematic with open strings at $g_s \ll 1$

No 16_M for $SO(10)$ GUTs

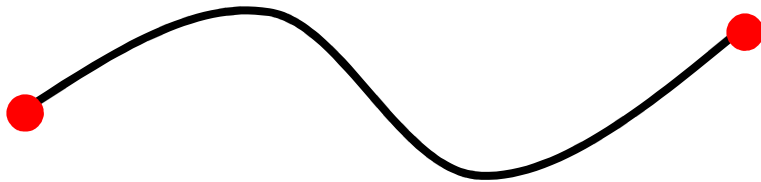
No $5_H 10_M 10_M$ for $SU(5)$ GUTs

$\square \times \begin{smallmatrix} \square \\ \square \end{smallmatrix} \times \begin{smallmatrix} \square \\ \square \end{smallmatrix}$ violates $\#\square = \#\bar{\square}$

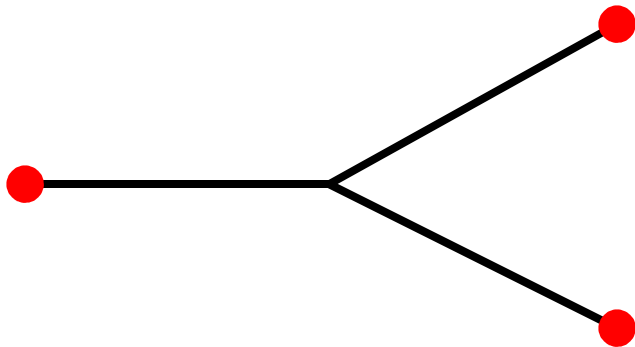
Main Issue: No E-type structures

Main Point:

Perturbative open strings obstruct unification

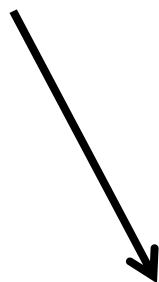


New ingredients as $g_s \rightarrow O(1)$



Roadmap

- Bottom up Stringy Standard Models



- Unification in F-theory

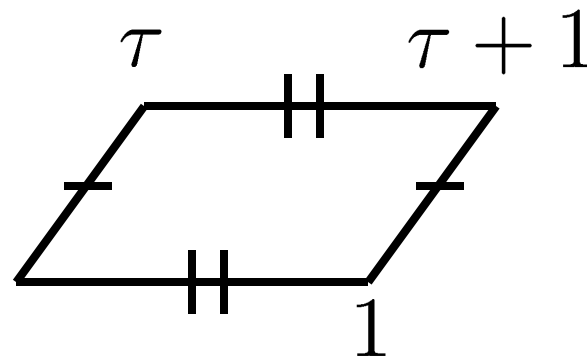
F-theory Review

Vafa '96

Strongly coupled IIB string theory = F-theory

$$\tau_{IIB} = C_0 + \frac{i}{g_s} \sim O(1)$$

Interpret τ_{IIB} as τ of a T^2

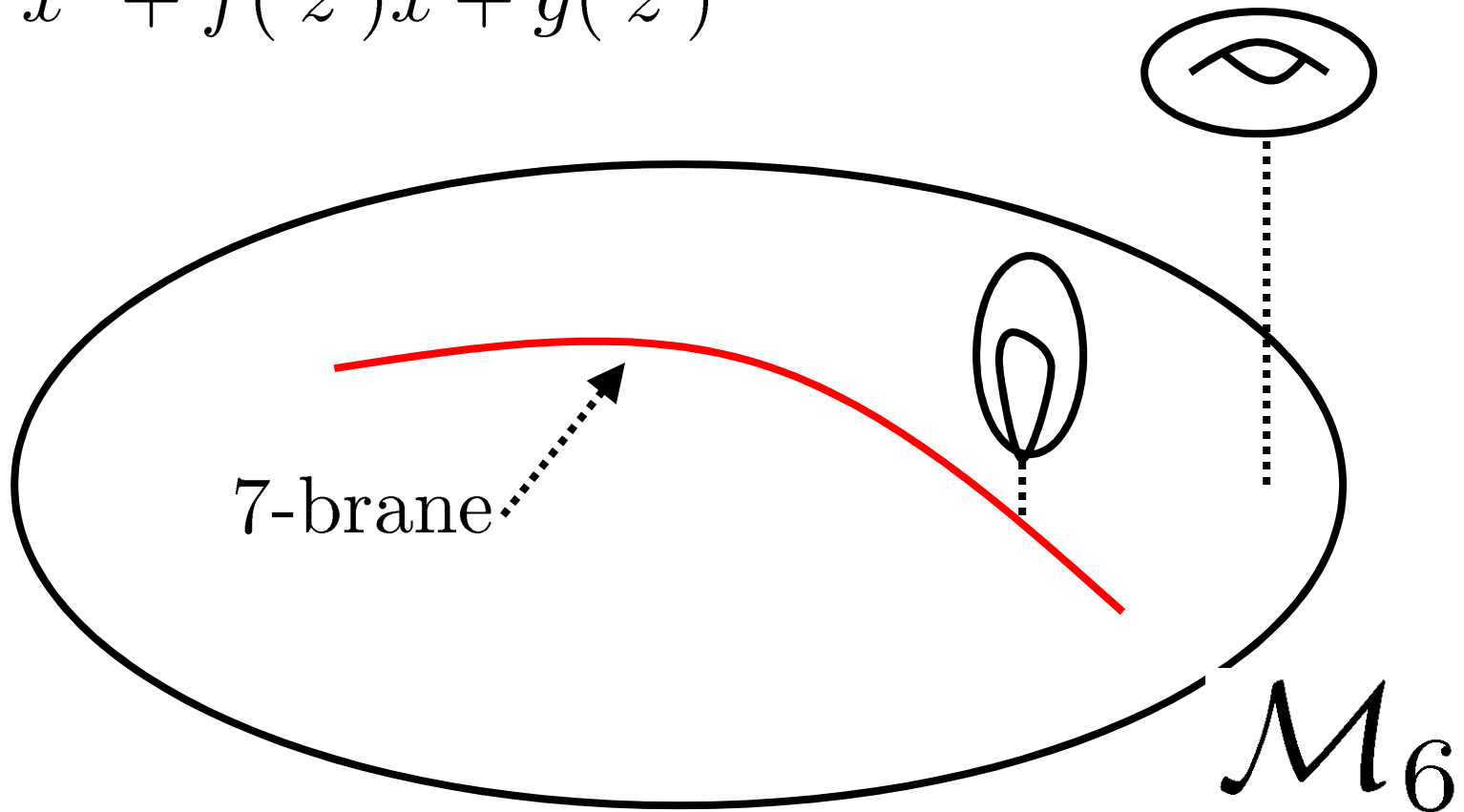


Allow $\tau_{IIB}(y)$ non-trivial position dependence

Geometric Formulation

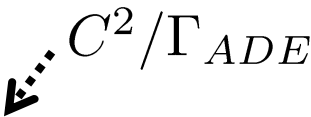
$$F / CY_4 \Rightarrow 4D \mathcal{N} = 1 \text{ SUSY}$$

$$y^2 = x^3 + f(\vec{z})x + g(\vec{z})$$



Geometry \Rightarrow Gauge Theory

$$\text{Locally, } CY_4 \simeq ADE \rightarrow \mathcal{M}_4$$

 C^2/Γ_{ADE}

\Rightarrow 7-brane on $R^{3,1} \times \mathcal{M}_4$ with ADE gauge gp.

$$\frac{4\pi}{g_{GUT}^2} = \frac{1}{g_s} \frac{\text{Vol}(\mathcal{M}_4)_{\text{open}}}{l_*^4} \Rightarrow \begin{aligned} &\text{Weakly coupled when} \\ &\text{Vol}(\mathcal{M}_4)_{\text{open}} \gg l_*^4 \\ &(\alpha_{GUT} \sim 1/25) \end{aligned}$$

Expansion Parameters

$$g_s \sim \mathcal{O}(1) \Rightarrow \text{no expansion in } g_s$$

Instead, perform expansion in: $\frac{1}{\text{Vol}(\mathcal{M}_4)_{\text{open}}}$

$$\frac{1}{\text{Vol}(\mathcal{M}_4)_{\text{open}}} \sim M_{GUT}^4 \Rightarrow \text{Expand in } \frac{M_{GUT}}{M_{pl}}$$

Intersecting 7-Branes I

Each 7-brane fills out 4 directions in \mathcal{M}_6

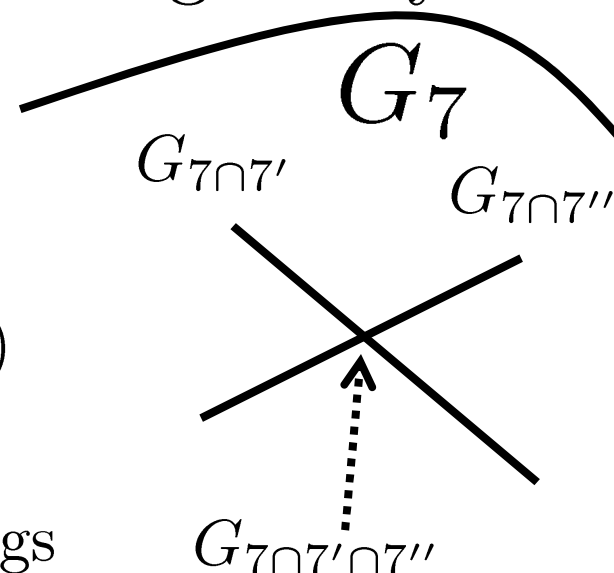
\Rightarrow Generically expect intersections

\Rightarrow Further jumps in ADE type of singularity

$\dim = 4 : 7_{\text{GUT}} \Rightarrow$ Gauge group

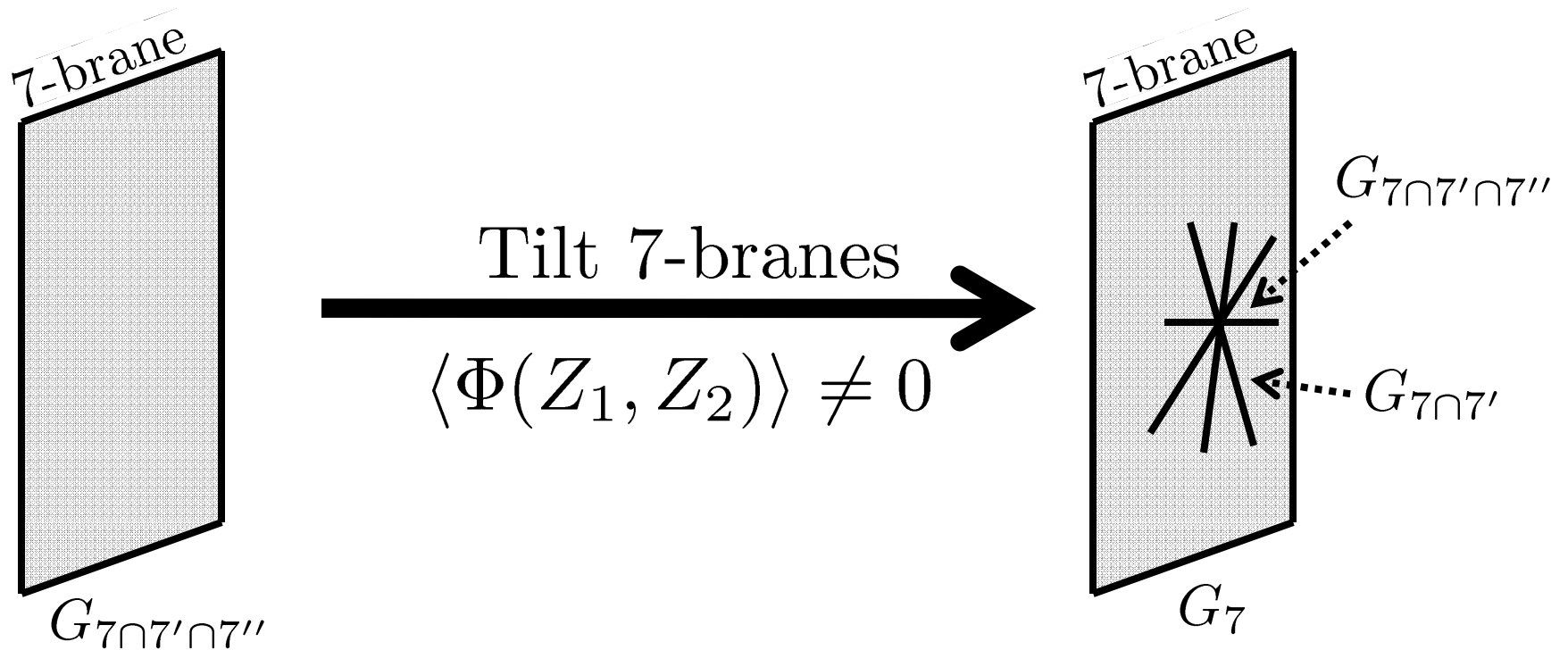
$\dim = 2 : 7 \cap 7' \Rightarrow$ Matter (non-chiral)

$\dim = 0 : 7 \cap 7' \cap 7'' \Rightarrow$ Cubic Couplings



Intersecting 7-Branes II

Locally model as $G_{7 \cap 7' \cap 7''}$ 7-Brane which is tilted:



Gauge Theory Perspective

Model as 8D $G_{7 \cap 7' \cap 7''}$ gauge theory

Katz Vafa '96

Beasley JJH Vafa '08

Donagi Wijnholt '08

$$F^{(0,2)} = F^{(2,0)} = \bar{\partial}_A \Phi = 0$$

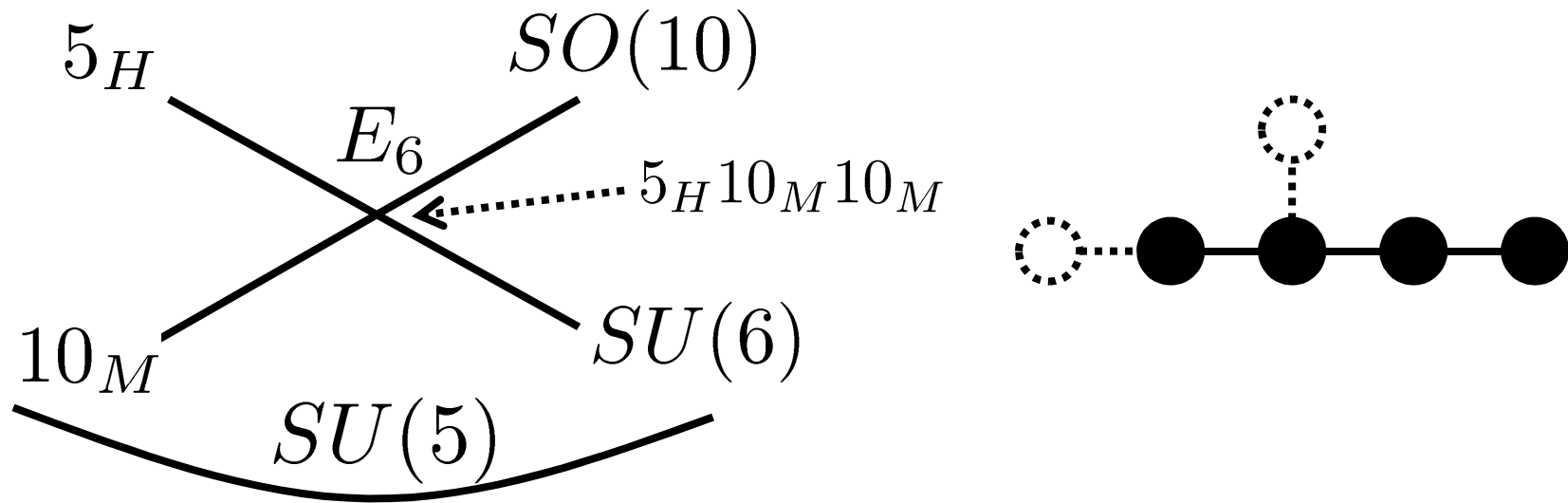
8D EOMs:

$$\omega \wedge F_{(1,1)} + \frac{i}{2} [\Phi, \Phi^\dagger] = 0$$

6D Matter: Fluctuations δA and $\delta \Phi$

4D Yukawas: $\int \delta A \wedge \delta A \wedge \delta \Phi$

Example: $5_H 10_M 10_M$ Yukawa



Locally describe as Higgsing E_6 gauge theory

$$E_6 \rightarrow SU(5) \times \underbrace{SU(2) \times U(1)}_{\langle \Phi \rangle \neq 0} \rightarrow SU(5)$$

Locally Unfolding E_8

Eigenvalues($\Phi(Z_1, Z_2)$) = 7-Brane “Positions”

$$E_8 \rightarrow SU(5)_{GUT} \times SU(5)_{\perp} \quad \Phi \in SU(5)_{\perp}$$

$$b_0 \Phi^5 + b_2(Z_1, Z_2) \Phi^3 + \cdots + b_5(Z_1, Z_2) = 0$$

Hayashi et al. '09
Donagi Wijnholt '09

$$y^2 = x^3 + b_0 z^5 + b_2 x z^3 + b_3 y z^2 + b_4 x^2 z + b_5 x y$$

valid in a local patch

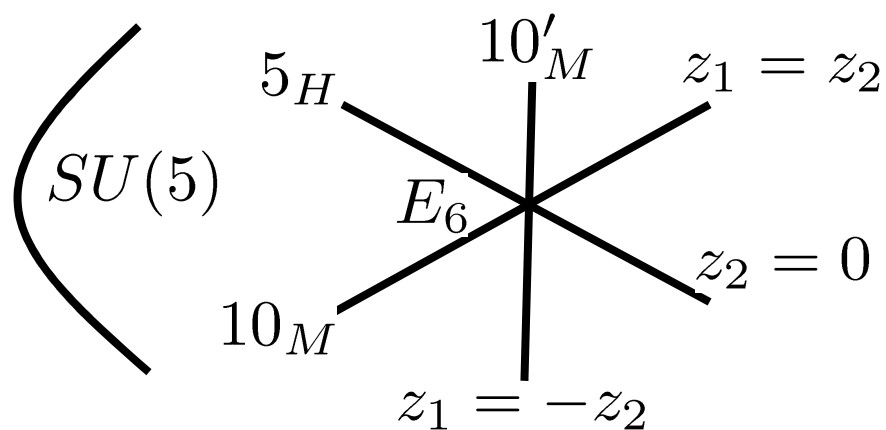
Monodromy I

Hayashi et al. '09
Cecotti Cordova JJH Vafa
(to appear)

Generically: $\text{Eigen}(\Phi(Z_1, Z_2))$ has branch cuts

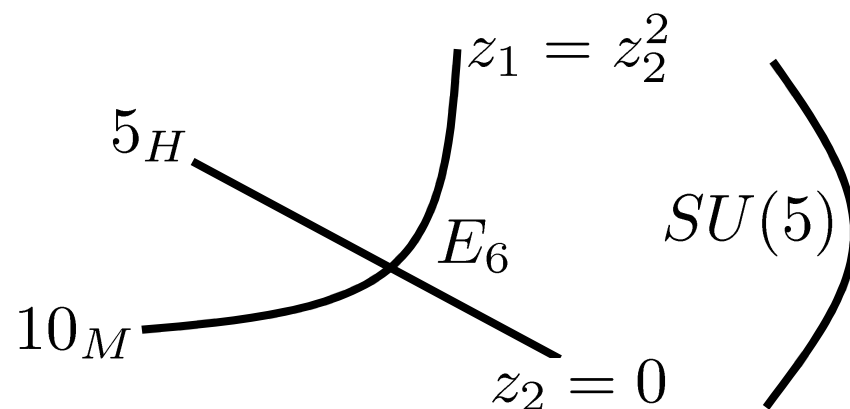
Example: Unfolding $E_6 \rightarrow SU(5) \times SU(2) \times U(1)$

$$\Phi = \# \begin{bmatrix} z_1 & \\ & -z_1 \end{bmatrix} \oplus z_2$$



\Rightarrow 2 or more heavy gens.

$$\Phi = \# \begin{bmatrix} & 1 \\ z_1 & \end{bmatrix} \oplus z_2$$



\Rightarrow 1 heavy gen.

Monodromy II

$$P(\Phi) = \Phi^5 + b_2(Z_1, Z_2)\Phi^3 + \cdots + b_5(Z_1, Z_2) = 0$$

Mono. group for $P(\Phi)$ affects pheno of model

$\Downarrow \equiv$ Galois group of $P(\Phi) = \prod(\Phi - \lambda_i)$; acts on λ_i 's

S_5 Mono: 1 5-curve and 1 10-curve (not viable)

No Mono: Trouble with flavor (not viable)

Viable Cases: G_{mono} non-triv. proper s/gp of S_5

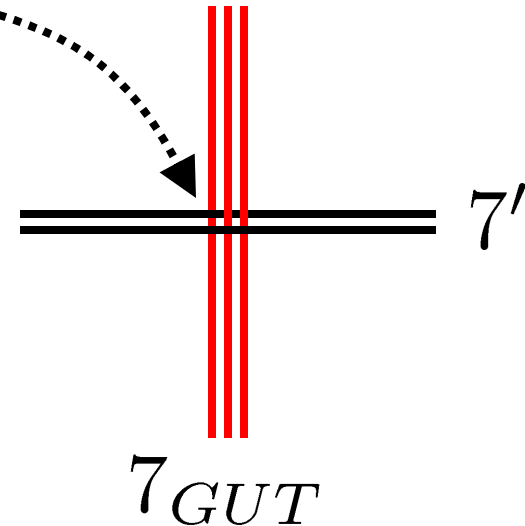
+ Flux

Flux through 7-brane \Rightarrow 4D chiral matter

On a curve Σ

$$(\not{D}_{4D} + \overline{\mathcal{D}}_{\Sigma})\Psi_{6D} = 0$$

$$\text{Zero Modes: } \overline{\mathcal{D}}_{\Sigma}\Psi_{6D}^{(0)} = 0$$



$$\boxed{\frac{1}{2\pi} \int_{\Sigma} F_{7'} = N_{\text{generations}}}$$

+ Hyperflux

Can also turn on “hyperflux” F_Y in $U(1)_Y$

Breaks $SU(5) \rightarrow SU(3) \times SU(2) \times U(1)_Y$

Beasley JJH Vafa '08, Donagi Wijnholt '08

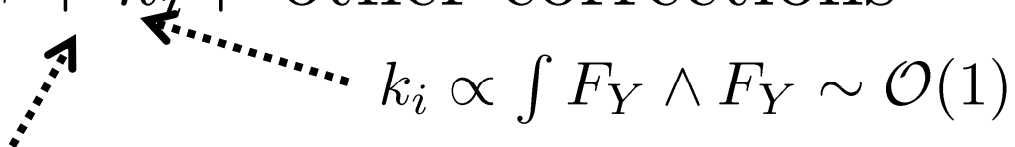
Distinguishes Higgs and Matter Fields:

$$\begin{array}{cc} \int F_Y \neq 0 & \int F_Y = 0 \\ \text{Higgs} & \text{Matter} \end{array}$$

Flux and Unification

F_Y splits gauge couplings at GUT scale

Donagi Wijnholt '08
Blumenhagen '08
Conlon Palti '09

$$\alpha_i^{-1} \rightarrow \alpha_{GUT}^{-1} + k_i + \text{other corrections}$$


$k_i \propto \int F_Y \wedge F_Y \sim \mathcal{O}(1)$

Same as $\mathcal{O}(\text{KK thresholds})$ and $\mathcal{O}(\text{2-loop MSSM})$ corrections



\Rightarrow Can retain unification

Donagi Wijnholt '08

F-theory GUT Ingredients

Beasley JJH Vafa '08

Donagi Wijnholt '08

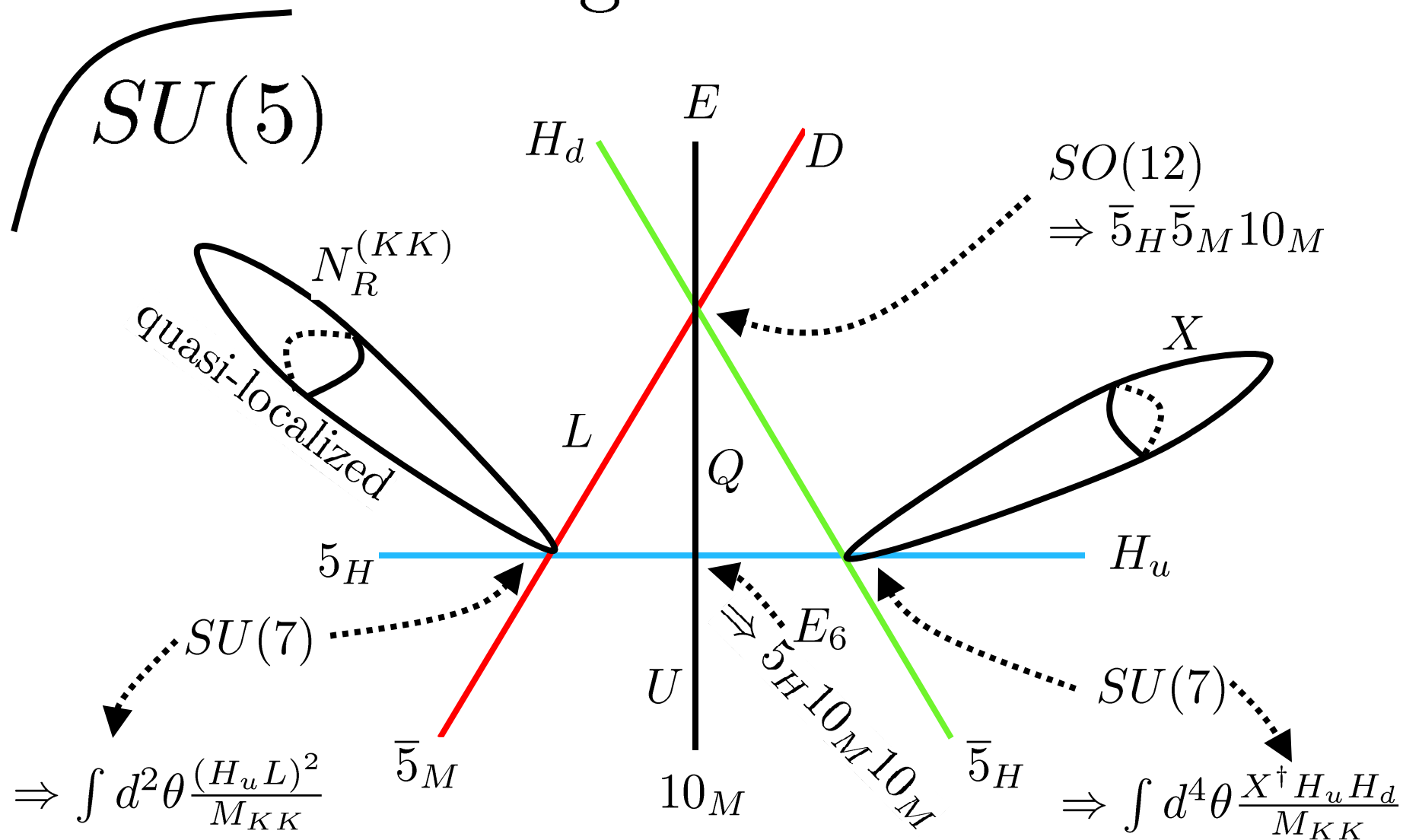
Hayashi et al. '08

Matter Curves for $5_H, \bar{5}_H, \bar{5}_M, 10_M$

Yukawa Points for $5_H 10_M 10_M, \bar{5}_H \bar{5}_M 10_M$

+ 7'-Brane Flux + Hyperflux + Monodromy

Rough Picture



Geometric Unification?

Aesthetic: This does not look very unified

Practical: Also has problematic phenomenology:

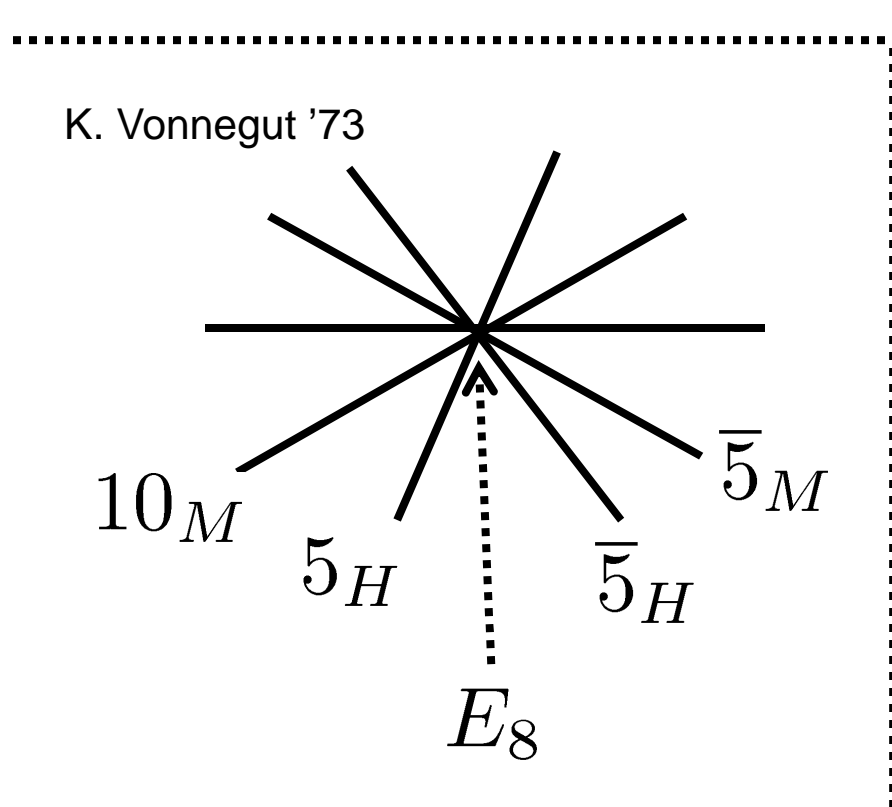
CKM Matrix measures wave function alignment

If no alignment, predict $\mathcal{O}(1)$ mixing

The Point of E_8

Flavor hierarchy \Rightarrow keep Ψ 's overlaps aligned

To keep Yukawas aligned, unify Yukawa points



JJH Vafa '08

Bouchard, JJH, Seo, Vafa '09

JJH, Tavanfar Vafa '09

Nowhere to go beyond E_8

\Rightarrow far less flexible
than generic quiver

Fitting More In E_8

$E_8 \supset SU(5)_{GUT} \times SU(5)_{\perp}$ can accommodate:

$$248 \rightarrow (24, 1) + (1, 24) + (5, 10) + (10, \bar{5}) + c.c.$$

Monodromy $G_{mono} \subset S_5$ removes some irreps

~~SUSY~~ sector

Can also include: Minimal gauge mediation

Dynamically generated μ -term

• • •

Not Flexible Enough?

When E_8 gauge theory description extends
over *compact* \mathcal{M}_4 , find tight restrictions

If no special factorization, generically “exotics”

Marsano, Saulina, Schafer-Nameki '09

But, can lift these from low energy spectrum

Important to stress that no global E_8 may exist

No known obstruction in general

Roadmap

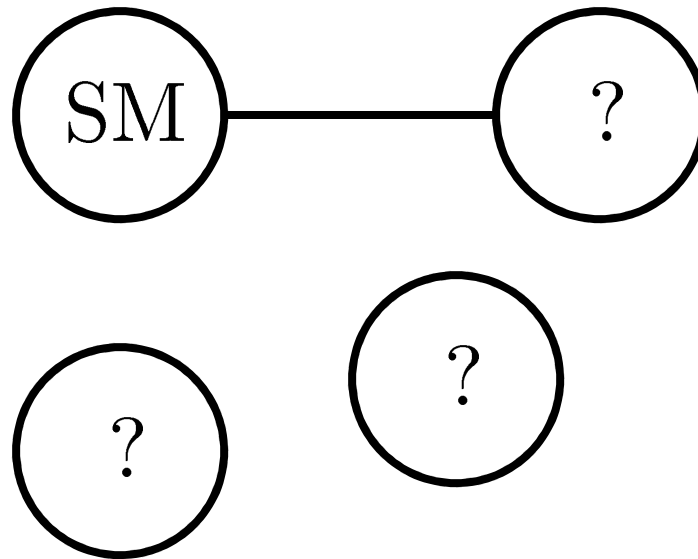
- Unification in F-theory



- Extra Sectors

Extra Stuff?

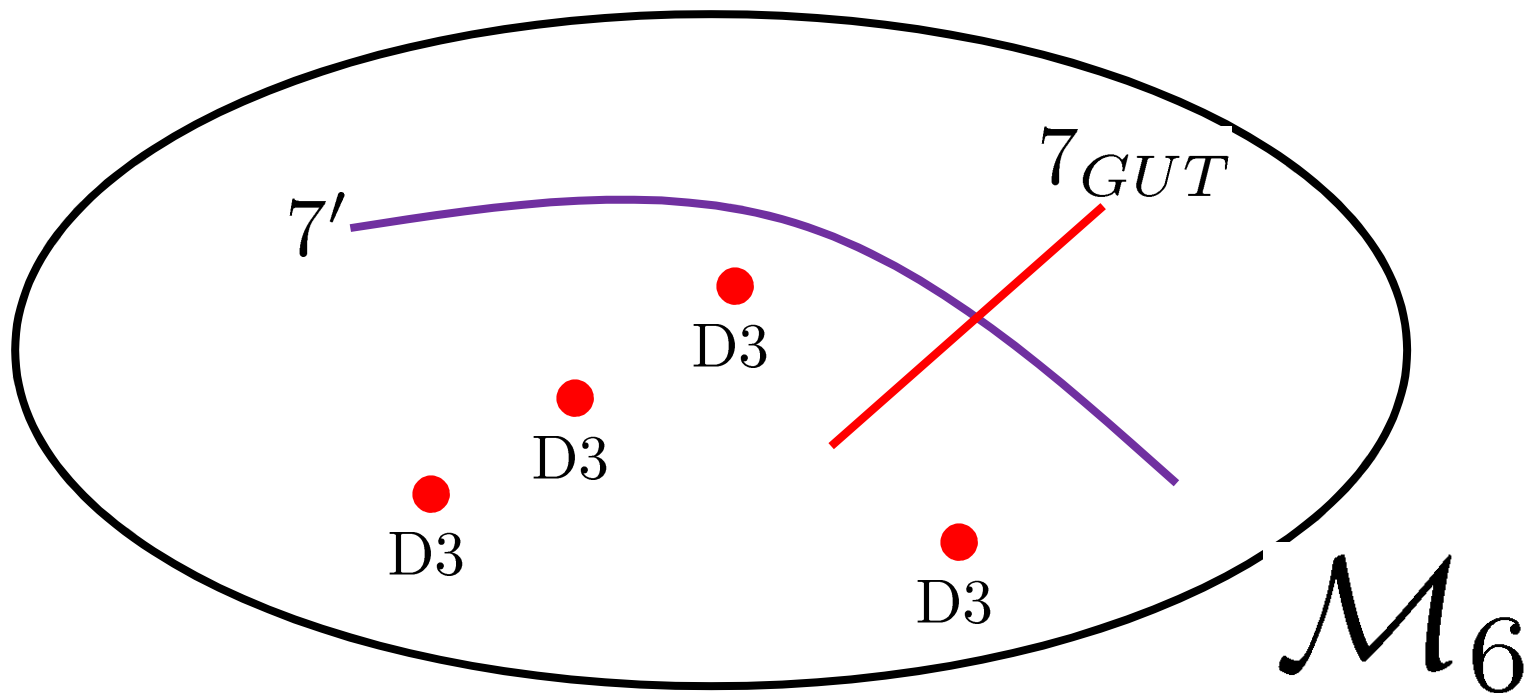
Intuition from quivers: Keep adding nodes



Where are these extra sectors?

A Hint From Global Models

No D3 Tadpole: $\frac{\chi(CY_4)}{24} = \int_{\mathcal{M}_6} H_{RR} \wedge H_{NS} + N_{D3}$



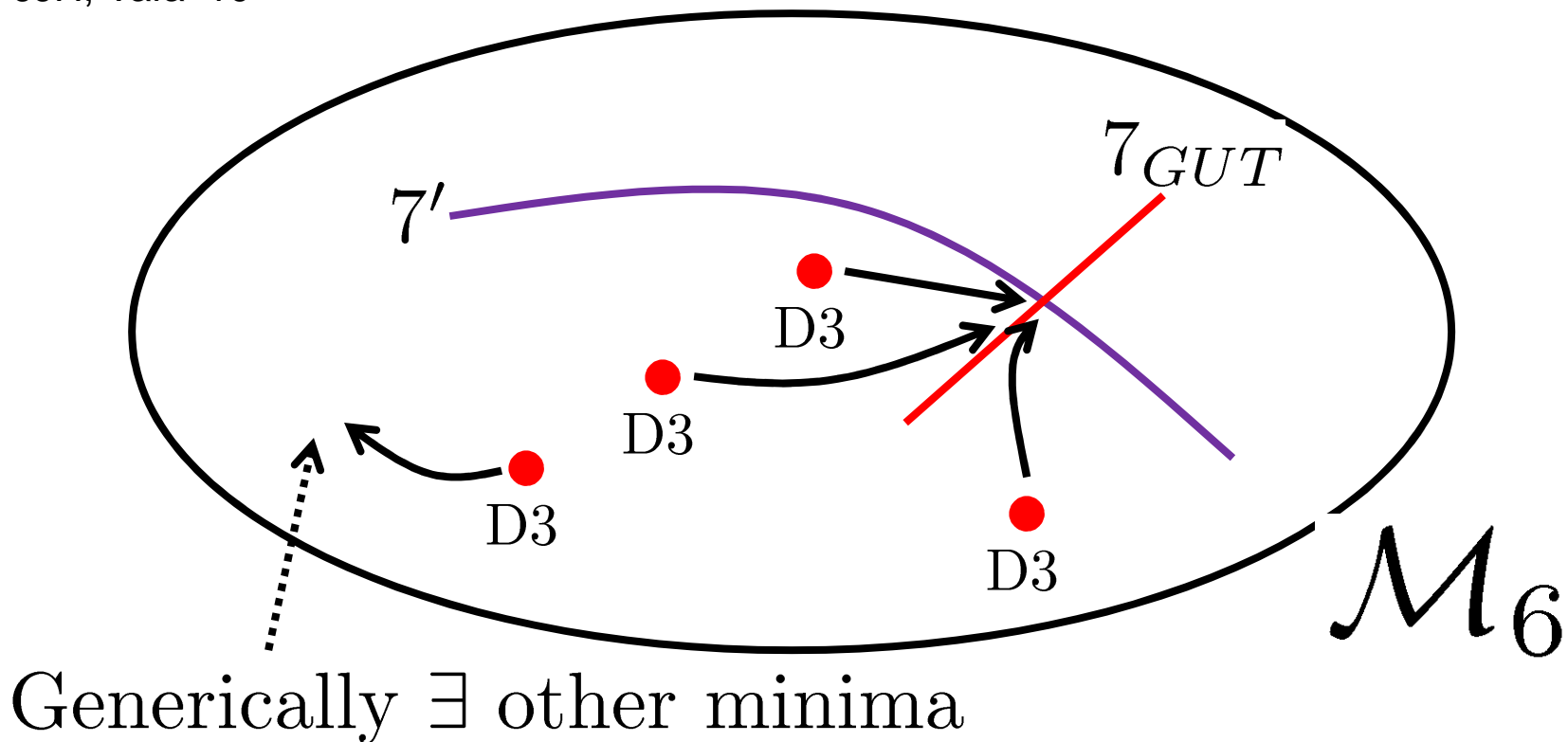
Bulk Fluxes and D3-Branes

Flux deforms D3-brane superpotential

Martucci '06; Cecotti, Cheng, JH, Vafa '09

Flavor Physics \Rightarrow Attraction to Yukawa points

JH, Vafa '10



D3-Branes Probing F-theory

Fluxes attract D3-branes to Yukawa points

$$\tau_{D3} = \tau_{IIB} \sim O(1) \text{ at E-points}$$

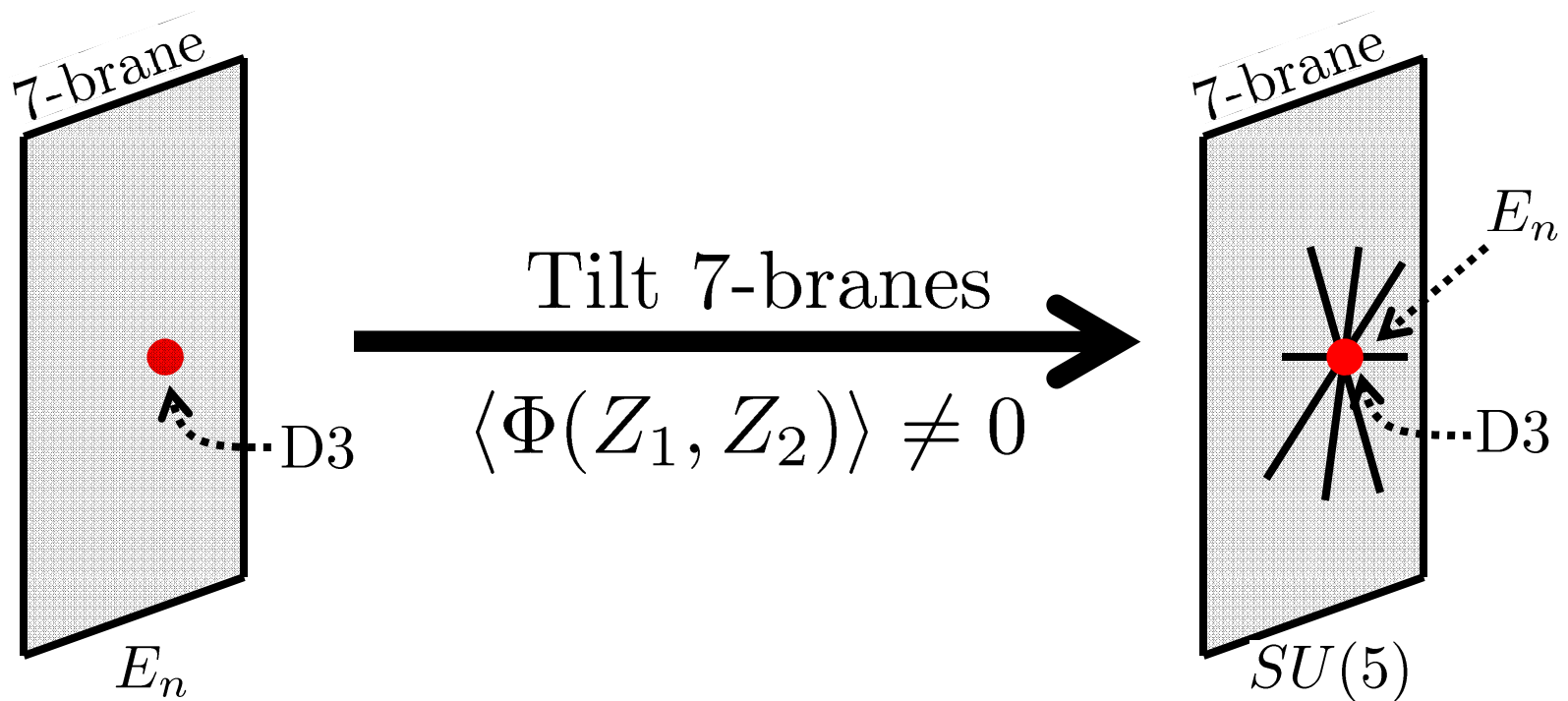
\Rightarrow Strongly coupled sector nearby SM?

What is the probe theory?

Probing an E-point

$\mathcal{N} = 2$ SCFT

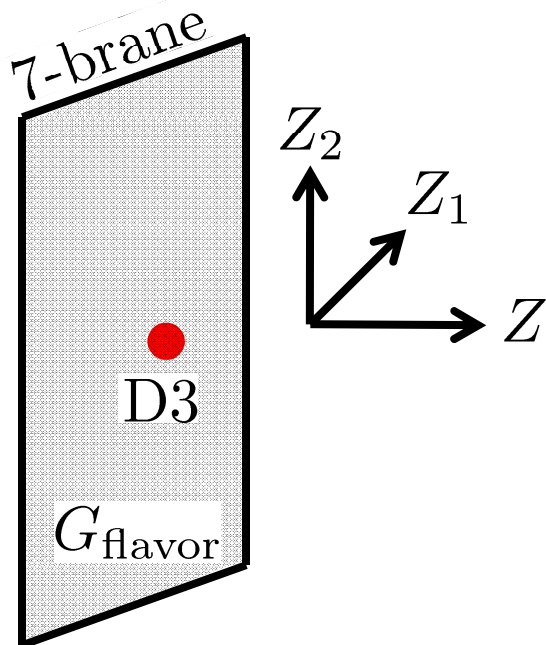
$\mathcal{N} = 1$ Deform ^{n}



Warmup: $\mathcal{N} = 2$ Probes

D3-brane probing parallel stack of 7-branes

Banks Douglas Seiberg '96,
Douglas Lowe Schwarz '96, ...



7-brane gauge group = G_{flavor}

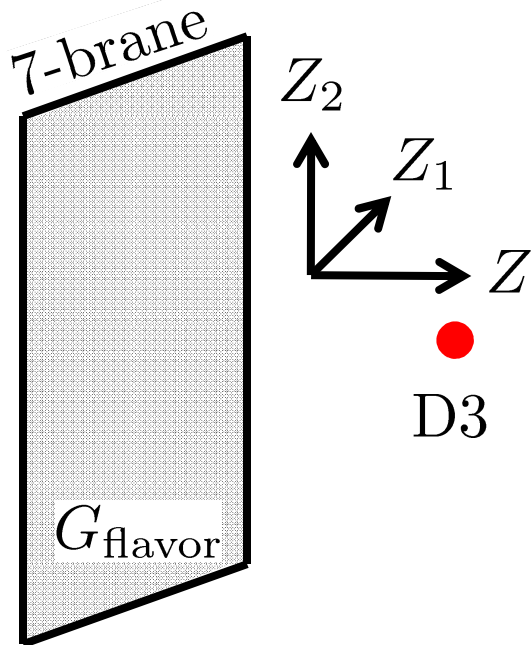
3 – 3 strings: Z_i and Z

3 – 7 string composite operators \mathcal{O}_{adj}

(analogue at weak coupling: $\mathcal{O} \sim Q\tilde{Q}$)

$\mathcal{N} = 2$ Moduli Space

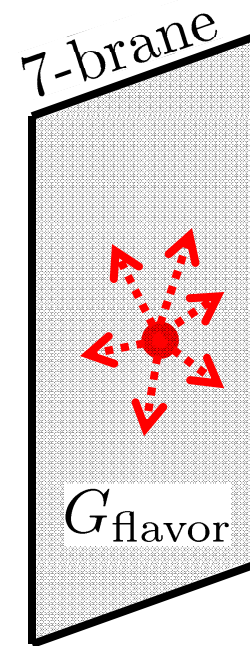
Coulomb Branch:



Move D3-brane off of 7-brane

$$\langle Z \rangle \neq 0$$

Higgs Branch:



Operators \mathcal{O}
adj. of G_{flavor}

Dissolve D3-brane as flux

$$\langle \mathcal{O} \rangle \neq 0$$

$\mathcal{N} = 2$ E_n Probes

Minahan-Nemeschansky: Introduce $\mathcal{N} = 2$ SCFT

Minahan Nemeschansky '96

$$E_8 : y^2 = x^3 + z^5$$

Seiberg Witten Curves: $E_7 : y^2 = x^3 + xz^3$

$$E_6 : y^2 = x^3 + z^4$$

$\mathcal{N} = 2$ Seiberg-Witten Curve = F-th geometry!

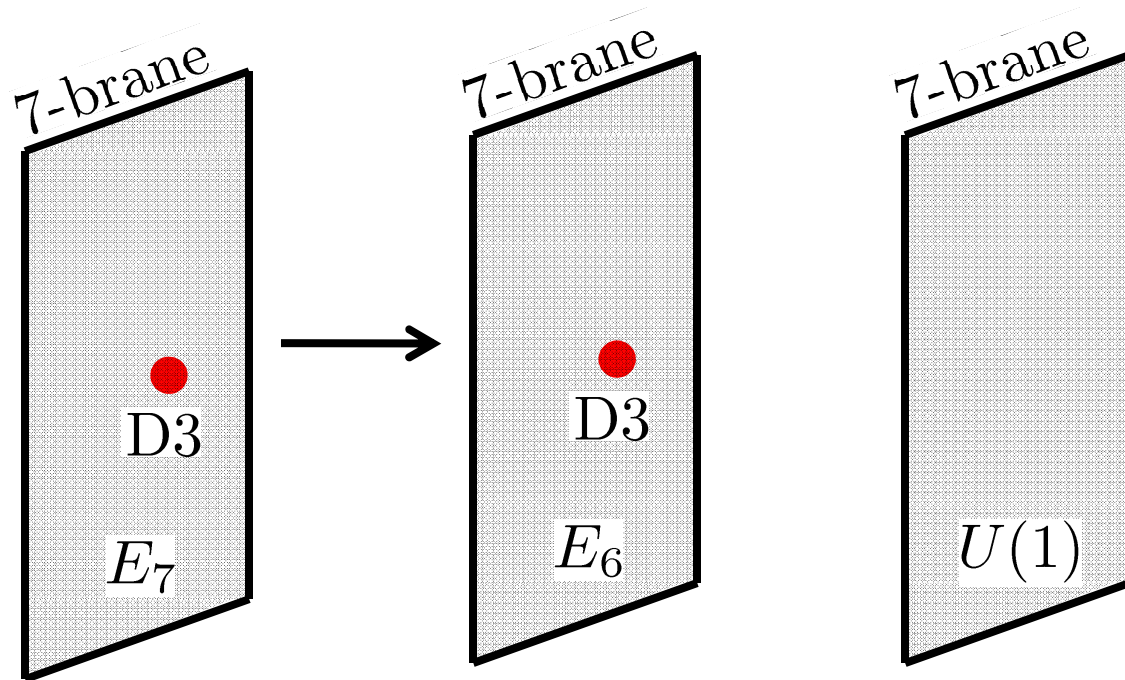
$$\mathcal{N} = 2 \text{ D3-probe} = \text{MN}_{\mathcal{N}=2} \oplus (Z_1 \oplus Z_2)$$

$\mathcal{N} = 2$ Deformations

Deformations: $\delta\mathcal{L} = \int d^2\theta \operatorname{Tr}_{E_n}(\Phi \cdot \mathcal{O}_{\text{adj}}) + \text{h.c.}$

Φ constant and $[\Phi, \Phi^\dagger] = 0$

Moves
7-branes:



$$\mathcal{N} = 2 \rightarrow \mathcal{N} = 1$$

$$\delta\mathcal{L} = \int d^2\theta \operatorname{Tr}_{E_n} (\Phi(Z_1, Z_2) \cdot \mathcal{O}_{\text{adj}}) + \text{h.c.}$$

JJH Vafa '10 (see also Aharony Kachru Silverstein '96)

$$\text{Monodromy} \Rightarrow [\Phi, \Phi^\dagger] \neq 0$$

With Monodromy, $\text{Eigen}(\Phi)$ has branch cuts

No Branch Cuts: Flows back to $\mathcal{N} = 2$ theory

Follows from Green et al. '10

Branch Cuts: Can flow to new $\mathcal{N} = 1$ SCFTs

JJH Tachikawa Vafa Wecht '10

Visible Sector Couplings

\exists CFT states charged under SM gauge group

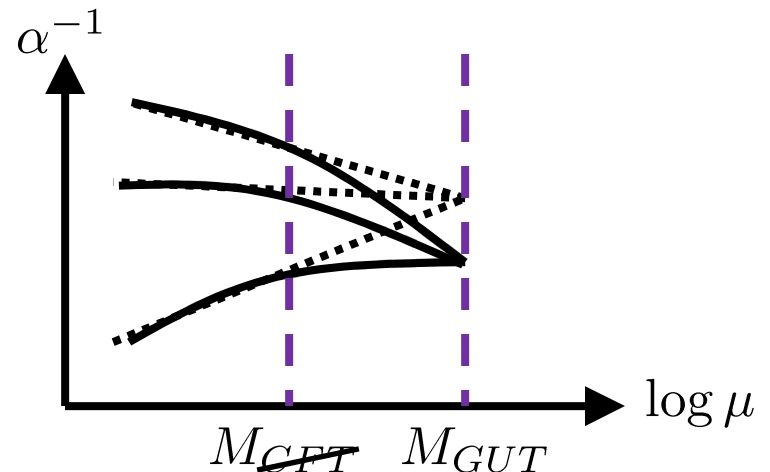
\Rightarrow CFT must be broken at scale $M_{\cancel{CFT}} > M_{\text{weak}}$

Coupling to matter: $\int d^2\theta \Psi_R \mathcal{O}_{R^*}$

Also couples to gauge fields

irrational # of “particles”

\sim two $5 \oplus \bar{5}$'s



Applications?

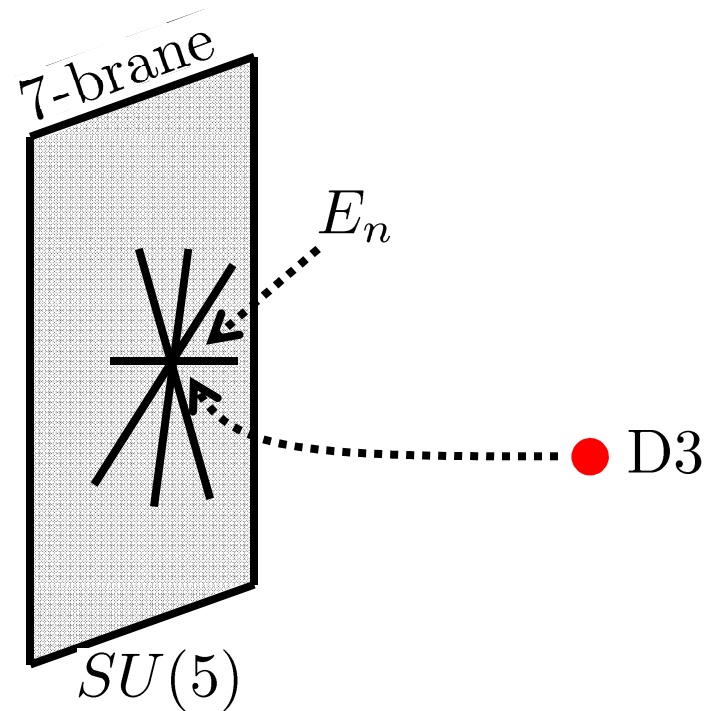
Phenomenology looks quite rich (and unexplored)

As an inflaton?

~~SUSY~~? Dark Matter?

Collider Signatures?

+ . . . ?



A Broader Question

D3-Brane sensitive to $\Phi(Z_1, Z_2)$, *not* $\text{Eigen}(\Phi(Z_1, Z_2))$

Locally construct CY_4 from $\text{Eigen}(\Phi(Z_1, Z_2))$

Need to keep track of non-commutativity: $[\Phi, \Phi^\dagger] \neq 0$

What is the global description of this extra data?

Roadmap

- Extra Sectors



- Fuzzy Local Models

More Non-Commutativity

Monodromy suggests a natural role

for non-commutativity: $[\Phi, \Phi^\dagger] \neq 0$

Even defining a 4D decoupling limit

with 7-branes requires $[Z_i, Z_i^\dagger] \neq 0$

Revisiting Local Models

Main Premise: Geometry \rightarrow 4D Field Theory

Works well for D3-brane probes (it's 4D)

But 7-Brane is 8D Theory $\Rightarrow \infty$ KK Modes?

Local Models and 7-Branes

Decoupling Limit: i) $\text{Vol}(\mathcal{M}_4)_{\text{closed}} \rightarrow 0$ (4D theory)
 ii) $\text{Vol}(\mathcal{M}_4)_{\text{open}} \gg l_*^4$ (weak coupling)

Condition i) \Rightarrow $\mathcal{M}_4 = \text{del Pezzo surface } (\mathcal{R}_{\mathcal{M}_4} > 0)$
 Also requires \mathcal{M}_6 non-Fano ($\mathcal{R}_{\mathcal{M}_6} \not> 0$)

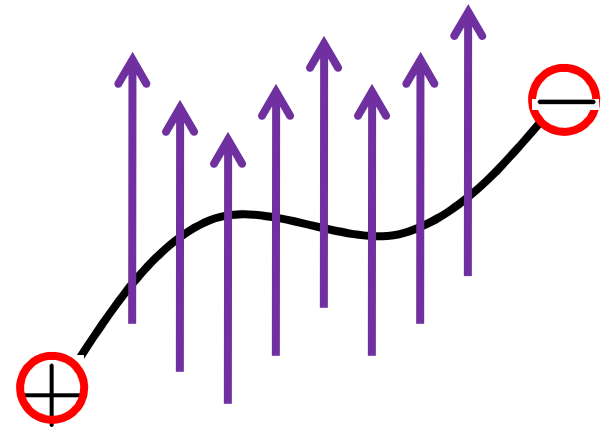
Cordova '09, see also Donagi Wijnholt '09; Grimm, Krause, Weigand '09

Conditions i) + ii)?

Decoupling Limit

Seiberg-Witten limit: $g_{ij}^{\text{closed}} \rightarrow 0$
Seiberg Witten '99 Large $\mathcal{B} = F + B$

\mathcal{B} -flux spreads out string ends



Open string geometry non-commutative:

$$[Z_i, Z_i^\dagger] = \hbar_{NC}$$

c.f. Connes...

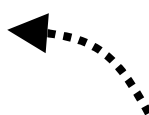
Non-Commutative Geometry

$$[Z_i, Z_i^\dagger] = \hbar_{NC}$$

Comm. Theory

Fuzzy Theory

Points: $p \in \mathcal{M}_4$

$|p\rangle \in \mathcal{H}(\mathcal{M}_4)$ 
Hilbert space of pts.

Curves: $f(z_i) = 0$

$f(Z_i)|p\rangle = 0$

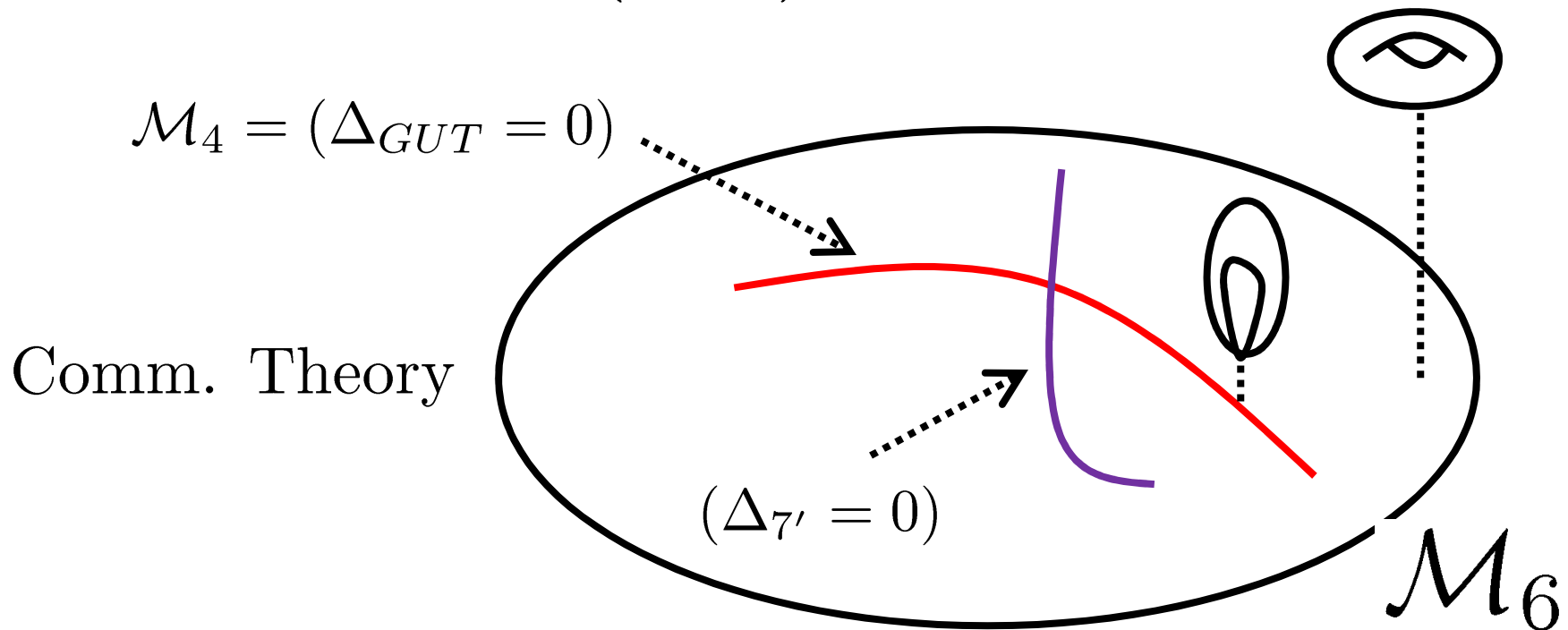
JJH
Verlinde '10

KK Modes: Infinite

$N \times N'$ matrices

F(uzz) Theory

JJH Verlinde '10



Non-Comm

Quantize Coordinates: $[Z_i, Z_i^\dagger] = \hbar_{NC}$

Matter Curve inside $\mathcal{H}(\mathcal{M}_4) : \Delta_{7'} |p\rangle = 0$

4D Theory

JJH Verlinde '10

CY_4 provides template for defining 4D theories

Retains holom. data, modifies non-holom.

$$\phi(x_\mu, z, \bar{z}) \rightarrow \phi(x_\mu, Z^\dagger, Z)$$

Theory with *finite* # 4D fields $\sim N \times N$ matrices

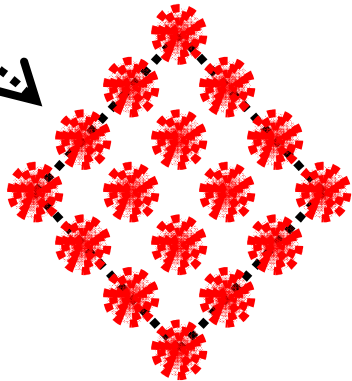
8D Lagrangian now an operator: $\mathcal{L}_{8D}(Z^\dagger, Z)$

$$\mathcal{L}_{4D} = \sum_{|p\rangle} \langle p | \mathcal{L}_{8D}(Z^\dagger, Z) | p \rangle$$

Gauge Coupling

$$\text{Vol}(\mathcal{M}_4)_{\text{open}} = \int \mathcal{B} \wedge \mathcal{B} = \dim \mathcal{H}(\mathcal{M}_4) = N_{\text{fuzz}}$$

$\int \mathcal{B} \wedge \mathcal{B} = N_{D3}$, one per fuzzy point:



At GUT scale: $\frac{1}{\alpha_{GUT}} = \frac{N_{\text{fuzz}}}{g_s} \simeq N_{\text{fuzz}}$

Thresholds

At high energies KK modes become dynamical

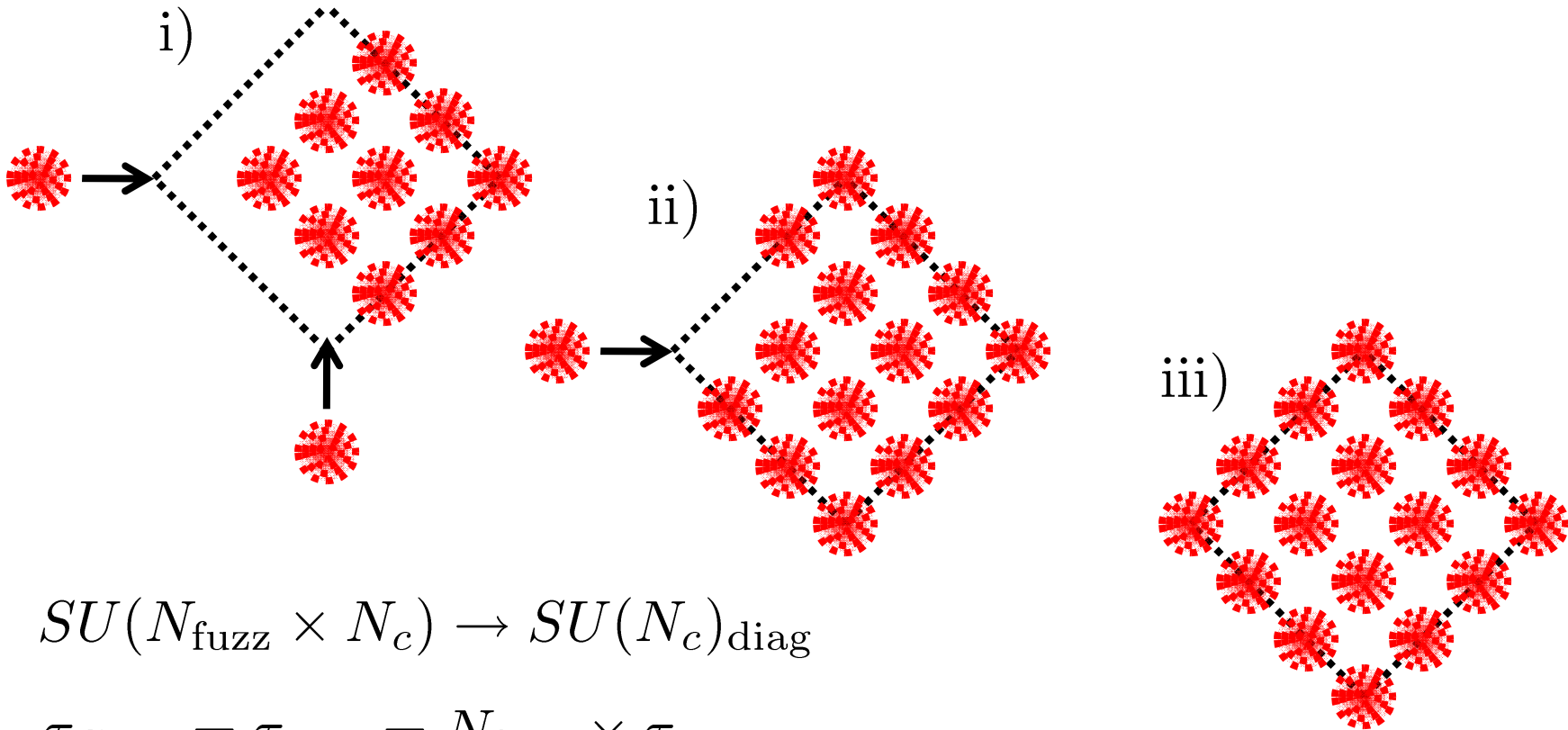
Bulk Modes: $\sim N_{\text{fuzz}} \times N_{\text{fuzz}}$ matrices

Localized Modes: $\sqrt{N_{\text{fuzz}}} \times \sqrt{N_{\text{fuzz}}}$

$1/N_{\text{fuzz}}$ expansion with $\lambda = g_{YM}^2 N_{\text{fuzz}}$

Fuzzy Unification

$$\int \mathcal{B} \wedge \mathcal{B} = N_{D3} \Rightarrow \text{Build up 7-brane}$$



$$SU(N_{\text{fuzz}} \times N_c) \rightarrow SU(N_c)_{\text{diag}}$$

$$\tau_{GUT} = \tau_{\text{diag}} = N_{\text{fuzz}} \times \tau_{D3}$$

Roadmap

- Fuzzy Local Models



- Conclusions

Conclusions

- F-theory combines open strings with GUTs
- Geometric perspective on 4D Standard Model
- ¿Non-Commutativity: Uniform Description?
 $[Z_i, Z_i^\dagger] \neq 0, [\Phi, \Phi^\dagger] \neq 0$
- ¿Model building with D3-branes?