# Improved Holographic QCD

Elias Kiritsis

Ecole Polytechnique and University of Crete

#### Bibliography

- E. Kiritsis, "String theory in a nutshell," Princeton, USA: Univ. Pr. (2007) 588 p, chapter 13.
- O. Aharony, S. S. Gubser, J. M. Maldacena, H. Ooguri and Y. Oz, "Large N field theories, string theory and gravity," Phys. Rept. **323** (2000) 183 [arXiv:hep-th/9905111].
- E. Witten, "Anti-de Sitter space, thermal phase transition, and confinement in gauge theories," Adv. Theor. Math. Phys. **2** (1998) 505 [arXiv:hep-th/9803131];
- T. Sakai and S. Sugimoto, "Low energy hadron physics in holographic QCD," Prog. Theor. Phys. **113** (2005) 843 [arXiv:hep-th/0412141]; "More on a holographic dual of QCD," Prog. Theor. Phys. **114** (2006) 1083 [arXiv:hep-th/0507073].
- O. Aharony, J. Sonnenschein and S. Yankielowicz, "A holographic model of deconfinement and chiral symmetry restoration," Annals Phys. **322** (2007) 1420 [arXiv:hep-th/0604161].

- J. Erlich, E. Katz, D. T. Son and M. A. Stephanov, "QCD and a holographic model of hadrons," Phys. Rev. Lett. 95, 261602 (2005) [arXiv:hep-ph/0501128].
- L. Da Rold and A. Pomarol, "Chiral symmetry breaking from five dimensional spaces," Nucl. Phys. B **721**, 79 (2005) [arXiv:hep-ph/0501218].
- R. Casero, E. Kiritsis and A. Paredes, "Chiral symmetry breaking as open string tachyon condensation," Nucl. Phys. B **787** (2007) 98; [arXiv:hep-th/0702155].
- U. Gursoy and E. Kiritsis, "Exploring improved holographic theories for QCD: Part I," JHEP **0802** (2008) 032 [ArXiv:0707.1324][hep-th].
- U. Gursoy, E. Kiritsis and F. Nitti, "Exploring improved holographic theories for QCD: Part II," JHEP **0802** (2008) 019 [ArXiv:0707.1349][hep-th].
- U. Gursoy, E. Kiritsis, L. Mazzanti and F. Nitti, "Deconfinement and Gluon Plasma Dynamics in Improved Holographic QCD," [ArXiv:0804.0899][hep-th].

#### References

- [1] E. Kiritsis, "String theory in a nutshell," Princeton, USA: Univ. Pr. (2007) 588 p, chapter 13.
- [2] O. Aharony, S. S. Gubser, J. M. Maldacena, H. Ooguri and Y. Oz, "Large N field theories, string theory and gravity," Phys. Rept. **323** (2000) 183 [ArXiv:hep-th/9905111].
- [3] E. Witten, "Anti-de Sitter space, thermal phase transition, and confinement in gauge theories," Adv. Theor. Math. Phys. 2 (1998) 505 [ArXiv:hep-th/9803131];
- [4] T. Sakai and S. Sugimoto, "Low energy hadron physics in holographic QCD," Prog. Theor. Phys. **113** (2005) 843 [ArXiv:hep-th/0412141]; "More on a holographic dual of QCD," Prog. Theor. Phys. **114** (2006) 1083 [ArXiv:hep-th/0507073].
- [5] O. Aharony, J. Sonnenschein and S. Yankielowicz, "A holographic model of deconfinement and chiral symmetry restoration," Annals Phys. **322** (2007) 1420 [ArXiv:hep-th/0604161].
- [6] J. Erlich, E. Katz, D. T. Son and M. A. Stephanov, "QCD and a holographic model of hadrons," Phys. Rev. Lett. **95**, 261602 (2005) [ArXiv:hep-ph/0501128].
- [7] L. Da Rold and A. Pomarol, "Chiral symmetry breaking from five dimensional spaces," Nucl. Phys. B **721**, 79 (2005) [ArXiv:hep-ph/0501218].

- [8] R. Casero, E. Kiritsis and A. Paredes, "Chiral symmetry breaking as open string tachyon condensation," Nucl. Phys. B **787** (2007) 98; [ArXiv:hep-th/0702155].
- [9] U. Gursoy and E. Kiritsis, "Exploring improved holographic theories for QCD: Part I," JHEP **0802** (2008) 032 [ArXiv:0707.1324][hep-th].
- [10] U. Gursoy, E. Kiritsis and F. Nitti, "Exploring improved holographic theories for QCD: Part II," JHEP **0802** (2008) 019 [ArXiv:0707.1349][hep-th].
- [11] U. Gursoy, E. Kiritsis, L. Mazzanti and F. Nitti, "Deconfinement and Gluon Plasma Dynamics in Improved Holographic QCD," [ArXiv:0804.0899][hep-th].

#### Introduction

- ♠ QCD is a very successful theory for the strong interactions.
- Remarkably, we do not have analytical control over most of the energy regime. Even numerically (lattice), many aspects of the theory are still beyond reach.
- ♠ Were it not phenomenological models most accelerator data n the past 20 years would have been useless.

#### ♠ What we cannot reliably calculate:

- Observable rates for accelerator experiments. In particular, structure functions have to be measured. Hadronization is done by the Lund Monte Carlo model or the fragmentation model.
- Glueball spectra for higher glueballs (third and up), mesons (3-4-th and up and baryons (2nd and up). Decay widths for all of the above.
- There are at least two weak matrix elements that cannot be computed so far reliably enough by lattice computations: The  $\Delta I = \frac{1}{2}$  matrix elements of type  $\langle K|\mathcal{O}_{\Delta I=1/2,3/2}|\pi\pi\rangle$ , and the  $B_K \sim \langle K|\mathcal{O}_{\Delta S=2}|\bar{K}\rangle$ .
- Data associated to the chiral symmetry breaking (like the quark condensate), or its restauration at higher temperatures.
- In general matrix elements with at least two particle final states.

- Real time finite temperature correlation functions (associated to QGP dynamics)
- Finite temperature physics at finite baryon density.
- ♠ Several complementary semi-phenomenological techniques have been developed to deal with the above (chiral perturbation theory, perturbation theory resummation schemes, SD equations, bag models, etc.) with varied success.
- ♦ There are two competing (rather successful) phenomenological models of QCD (Lund and fragmentation models) that are essential today in interpreting collider QCD data.

### AdS/CFT and holography

- $\spadesuit$  The large  $N_c$  approximation to QCD has promised a string theory description of the color singlet sector of gauge theories.
- $\spadesuit$  The nature of this string theory became more palpable with the formulation of the AdS/CFT correspondence for  $\mathcal{N} = \mathcal{A}_{A} \text{sYM}$ .

The surprise involved the emergence of an extra holographic dimension.

- ♠ This has started an effort to extend it to theories as close to QCD as possible.
- ♠ The original and most controlled approaches relied on "perturbing" the original AdS/CFT correspondence in ten-dimensional (critical) string theory.
- ♠ More recent attempts dared to use a non-critical string framework.
- ♠ Some holographic-inspired phenomenological models also popped up (AdS/QCD).

#### Critical string theory holography

- ♠ Several "successful" holographic models of non-trivial gauge dynamics
  - The non-supersymmetric  $D_4$  solution, due to Witten, dual to  $\mathcal{N}=4_5$  sYM on a circle, whose supersymmetry is broken by the boundary conditions of the fermions. It exhibits confinement in the IR.
  - Flavor has been successfully incorporated by Sakai+Sugimoto by adding D<sub>7</sub> (dipole) branes.
  - The Chamseddine-Volkov solution interpreted by Maldacena and Nuñes as the dual of a confining compactified gauge theory (emerging by wrapping NS<sub>5</sub> branes on a two-cycle).
  - The Klebanov-Strassler solution corresponding to a cascade of quiver gauge theories, that confine in the IR.

- ♠ In all of the above, confinement related quantities (string tension, glueball, masses etc, finite temperature effects) can be calculated analytically.
- ♠ The same applies to the Sakai-Sugimoto model for flavor, except two major drawbacks:

The absence of bare quark masses and the chiral-symmetry-breaking condensate.

- $\spadesuit$  In all the above solutions, the scale of KK excitations is of the same order as  $\Lambda$  of the confining gauge theory.
- ♠ None so far has managed to overcome this obstacle in critical string theory models.

#### Non-Critical holography

♠ Non-critical string theories have been explored in order to avoid the KK problem.

Kuperstein+Sonnenschein, Klebanov+Maldacena, Bigazzi+Casero+Cotrone+Kiritsis+Paredes

- $\spadesuit$  They are expected to involve large curvatures (due to the  $\delta c$  term) and the supergravity approximation seems problematic.
- ♠ They may provide reliable information on some quantities despite the strong curvature (cf. WZW CFTs).
- A Recent progress in solving exactly for probe D-branes in non-critical backgrounds has provided important insights for non-critical holography.

Fotopoulos+Niarchos+Prezas, Ashok+Murthy+Troost

♠ It is fair to say that non-critical holography is so far largely unexplored.

### Holographic meson dynamics: the models

- $\bullet$  Flavor is obtained by adding  $N_f << N_C \ {\rm D} + \bar{D}$  pairs
- There are several working models of flavor:
- $\spadesuit$  Non-supersymmetric backgrounds with abelian  $D_7$  flavor brane.

Babington+Erdmenger+Evans+Guralnic+Kirsch Kruczenski+Mateos+Myers+Winters

 $\spadesuit$  Non-supersymmetric  $D4+D_8+\bar{D}_8$ 

Sakai+Sugimoto

 $\spadesuit$  Hard-wall AdS/QCD plus a scalar, plus  $U(N_f)_L \times U(N_f)_R$  vectors Erlich+Katz+son+Stephanov, DaRold+Pomarol

#### Non-supersymmetric backgrounds with abelian flavor branes

- $D_7$  brane in deformed  $AdS_5$ .
- Only abelian axial symmetry  $U(1)_A$  realized geometrically as an isometry.
- A quark mass can be introduced, and a quark condensate can be calculated.
- $U(1)_A$  is spontaneously broken du to the embedding.
- Correct GOR relation
- Qualitatively correct  $\eta'$  mass.
- No non-abelian flavor symmetry (due to N=2 Yukawas)

#### The Sakai-Sugimoto model

- $\bullet$  D4 on non-susy  $S^1$  plus D8 branes.
- The flavor symmetry is realized on world-volume
- Full  $U(N_f)_L \times U(N_f)_R$  symmetry broken to  $U(N_f)_V$ .
- Chiral symmetry breaking as brane-antibrane recombination.
- Quark constituent mass
- Qualitatively correct  $\eta'$  mass
- No quark mass parameter, nor chiral condensate.

## AdS/QCD

- $\spadesuit$  A basic phenomenological approach: use a slice of AdS<sub>5</sub>, with a UV cutoff, and an IR cutoff.

  Polchinski+Strassler
- ♠ It successfully exhibits confinement (trivially via IR cutoff), and power-like behavior in hard scattering amplitudes
- $\spadesuit$  It may be equipped with a bifundamental scalar, T, and  $U(N_f)_L \times U(N_f)_R$ , gauge fields to describe mesons. Erlich+Katz+Son+Stepanov, DaRold+Pomarol

Chiral symmetry is broken by hand, via IR boundary conditions. The low-lying meson spectrum looks "reasonable".

#### Shortcomings:

- The glueball spectrum fits badly the lattice calculations. It has the wrong behavior  $m_n^2 \sim n^2$  at large n.
- Magnetic quarks are confined instead of screened.
- Chiral symmetry breaking is input by hand.
- The meson spectrum has also the wrong UV asymptotics  $m_n^2 \sim n^2$ .

### Improving AdS/QCD

- ♠ The goal is to use input from both string theory and the gauge theory (QCD) in order to provide an improved phenomenological holographic model for real world QCD.
- ♠ This is an exploratory adventure, and we will short-circuit several obstacles on the way.
- ♠ As we will see, we will get an interesting perspective on the physics of pure glue as well as on the quark sector.

### A string theory for QCD:basic expectations

- Pure SU(N<sub>c</sub>) d=4 YM is expected to be dual to a string theory in 5 dimensions only. Essentially a single adjoint field  $\rightarrow$  a single extra dimension.
- ullet The theory becomes asymptotically free and conformal at high energy  $\to$  we expect the classical saddle point solution to asymptote to  $AdS_5$ .
- $\spadesuit$  Which bulk fields are expected to be non-trivial in the large- $N_c$  saddle-point?
- ullet scalar YM operators with  $\Delta_{UV}>4 
  ightarrow m^2>0$  fields near the AdS<sub>5</sub> boundary ightarrow most probably not excited in the vacuum as suggested by a fit of the low energy SVZ sum rules to data.
- bulk fields with zero or negative masses near the boundary may get vevs. Advantage here is that the theory is weakly coupled at UV so UV dimensions are reliably known.

- What are all gauge invariant YM operators of dimension 4 or less?
- They are given by  $Tr[F_{\mu\nu}F_{\rho\sigma}]$ . Decomposing into U(4) reps:

We must remove traces to construct the irreducible representations of O(4):

The two singlets are the scalar (dilaton) and pseudoscalar (axion)

$$\phi \leftrightarrow Tr[F^2]$$
 ,  $a \leftrightarrow Tr[F \land F]$ 

The traceless symmetric tensor

is the conserved stress tensor dual to a massless graviton in 5d reflecting the translational symmetry of YM.

It has 10 independent d.o.f, it is not conserved and it should correspond to a similar massive tensor in 5d. We do not expect it to play an non-trivial role in the large- $N_c$ , YM vacuum also for reasons of Lorentz invariance.

• Therefore the nontrivial fields are expected to be:  $g_{\mu\nu}, \phi, a$ 

#### bosonic string or superstring?

• Consider the axion a dual to  $Tr[F \wedge F]$ . We can show that it must come from a RR sector.

In large- $N_c$  YM, the proper scaling of couplings is obtained from

$$\mathcal{L}_{YM} = N_c \ Tr \left[ \frac{1}{\lambda} F^2 + \frac{\theta}{N_c} F \wedge F \right] \quad , \quad \zeta \equiv \frac{\theta}{N_c} \sim \mathcal{O}(1)$$

$$E_{YM}(\theta) \simeq N_c^2 \min_{k \in \mathbb{Z}} H\left(\frac{\theta + 2\pi k}{N_c}\right) , \quad H(\theta) = H(-\theta) \quad (CP)$$

Therefore,  $H(x) = C_0 + C_1 x^2 + C_2 x^4 + \cdots$  and

Witten

$$E_{YM}(\theta) \simeq C_0 N_c^2 + C_1 \theta^2 + C_2 \frac{\theta^4}{N_c^2} + \cdots$$

In the string theory action

$$S \sim \int e^{-2\phi} [R + \cdots] + (\partial a)^2 + e^{2\phi} (\partial a)^4 + \cdots , \quad e^{\phi} \sim g_{YM}^2$$

$$\sim \int \frac{N_c^2}{\lambda^2} [R + \cdots] + (\partial a)^2 + \frac{\lambda^2}{N_c^2} (\partial a)^4 + \cdots , \quad \lambda \sim N_c e^{\phi} , \quad a = \theta [1 + \cdots]$$

Improved Holographic QCD,

E. Kiritsis

### bosonic string or superstring? (continued)

- The string theory must have no on-shell fermionic states at all because there are no gauge invariant fermionic operators in pure YM.
- Therefore the string theory must be a 5d-superstring theory resembling the II-0 class.
- $\spadesuit$  Another RR field we expect to have is the RR 4-form, as it is necessary to "seed" the D<sub>3</sub> branes responsible for the gauge group.
- It is non-propagating in 5D
- We will see later however that it is responsible for the non-trivial IR structure of the gauge theory vacuum.

### The minimal effective string theory spectrum

- $\bullet$  NS-NS  $\rightarrow$   $g_{\mu\nu}$  ,  $B_{\mu\nu}$  ,  $\phi$
- RR  $\rightarrow$  Spinor<sub>5</sub>×Spinor<sub>5</sub>= $F_0 + F_1 + F_2 + (F_3 + F_4 + F_5)$
- $\spadesuit$   $F_0 \leftrightarrow F_5 \rightarrow C_4$ , background flux  $\rightarrow$  no propagating degrees of freedom.
- $\spadesuit$   $F_1 \leftrightarrow F_4 \rightarrow C_3 \leftrightarrow C_0$ :  $C_0$  is the axion,  $C_3$  its 5d dual that couples to domain walls separating oblique confinement vacua.
- $\spadesuit$   $F_2 \leftrightarrow F_3 \rightarrow C_1 \leftrightarrow C_2$ : They are associated with baryon number (as we will see later when we add flavor). Dual operators are a mystery.
- In an ISO(3,1) invariant vacuum solution, only  $g_{\mu\nu}, \phi, C_0 = a$  can be non-trivial.

$$ds^2 = e^{2A(r)}(dr^2 + dx_4^2)$$
 ,  $a(r), \phi(r)$ 

#### The relevant "defects"

- $B_{\mu\nu} \to$  Fundamental string  $(F_1)$ . This is the QCD (glue) string: fundamental tension  $\ell_s^2 \sim \mathcal{O}(1)$
- Its dual  $\tilde{B}_{\mu} \to NS_0$ : Tension is  $\mathcal{O}(N_c^2)$ . It is an effective magnetic baryon vertex binding  $N_c$  magnetic quarks.
- $C_4 \rightarrow D_3$  branes generating the gauge symmetry.
- $C_3 \to D_2$  branes : domain walls separating different oblique confinement vacua (where  $\theta_{k+1} = \theta_k + 2\pi$ ). Its tension is  $\mathcal{O}(N_c)$

- $C_2 \rightarrow D_1$  branes: These are the magnetic strings (strings attached to magnetic quarks) with tension  $\mathcal{O}(N_c)$
- $C_5 \rightarrow D_4$ : Space filling flavor branes. They must be introduced in pairs:  $D_4 + \bar{D}_4$  for charge neutrality/tadpole cancellation.
- $C_1 \to D_0$  branes. These are the baryon vertices: they bind  $N_c$  quarks, and their tension is  $\mathcal{O}(N_c)$ .
- $C_0 \rightarrow D_{-1}$  branes: These are the Yang-Mills instantons.

#### The effective action, I

- ullet as  $N_c \to \infty$ , only string tree-level is dominant.
- Relevant field for the vacuum solution:  $g_{\mu\nu}, a, \phi, F_5$ .
- by definition the vev of  $F_5 \sim N_c \epsilon_5$ . It appears always in the combination  $e^{2\phi}F_5^2 \sim \lambda^2$ , with  $\lambda \sim N_c e^{\phi}$  All higher derivative corrections  $(e^{2\phi}F_5^2)^n$  are  $\mathcal{O}(1)$  at large  $N_c$ .
- ullet This is not the case for all other RR fields: in particular for the axion as  $a\sim\mathcal{O}(1)$

$$(\partial a)^2 \sim \mathcal{O}(1)$$
 ,  $e^{2\phi}(\partial a)^4 = \frac{\lambda^2}{N_c^2}(\partial a)^4 \sim \mathcal{O}\left(N_c^{-2}\right)$ 

Therefore to leading order  $\mathcal{O}(N_c^2)$  we can neglect the axion.

#### The effective action II

Therefore:

$$S_{eff} = M_p^3 N_c^2 \int d^5 x \, \frac{1}{\lambda^2} \, Z\left(R, (\partial \phi)^2, \frac{\lambda^2}{N_c^2} F_5^2\right)$$

 $\bullet$  Moreover as the  $F_5$  is non-propagating its equation of motion gives

$$G\left(R, (\partial \phi)^2, \frac{\lambda^2}{N_c^2} F_5^2\right) = 0$$

which if solved implicitly and substituted back in the effective action gives

$$S_{eff} = M_p^3 N_c^2 \int d^5 x \, \frac{1}{\lambda^2} \, \tilde{Z} \left( R, (\partial \phi)^2, \lambda^2 \right)$$

• In particular, a potential for the dilaton,  $\lambda$  will be generated from the higher derivative terms due to  $F_5$ .

#### The UV regime

- In the far UV, the space should asymptote to AdS<sub>5</sub>.
- ullet The 't Hooft coupling should behave as (r o 0)

$$\lambda \sim \frac{1}{\log(r\Lambda)} + \cdots \rightarrow 0$$

• Therefore, as  $r \to 0$ 

Curvature 
$$\rightarrow$$
 finite  $, \quad (\partial \phi)^2 \sim \frac{(\partial \lambda)^2}{\lambda^2} \sim \frac{1}{\log^2(r\Lambda)} \rightarrow 0 \quad , \quad \lambda^2 \rightarrow 0$ 

- For  $\lambda \to 0$  the potential in the Einstein frame starts as  $V(\lambda) \sim \lambda^{\frac{4}{3}}$  and cannot support the asymptotic  $AdS_5$  solution.
- $\bullet$  Therefore asymptotic  $AdS_5$  must arise from

$$S_{eff} = M_p^3 N_c^2 \int d^5 x \, \frac{1}{\lambda^2} \, Z(R, 0, 0)$$

ullet Such  $AdS_5$  solutions are "easy to find" as in the example

$$S = \int d^5x \, \sqrt{g} \, Z(R)$$

There is a a constant curvature solution with  $R_*$  that is a zero of the function

$$xZ'(x) - \frac{5}{2}Z(x)$$

- This must involve at least two such terms and therefore, we do not expect a "good" derivative expansion for finding the solution.
- There is however a "good" (but hard to derive) perturbative expansion around this asymptotic  $AdS_5$  solution by perturbing around it:

$$e^A = \frac{\ell}{r} [1 + \delta A]$$
 ,  $\lambda = \frac{1}{b_0 \log(r\Lambda)} + \cdots$ 

• This turns out to be an regular expansion of the solutions in powers of

$$\frac{P_n(\log\log(r\Lambda))}{(\log(r\Lambda))^{-n}}$$

- The coefficients of that expansion are various functions of the constant curvature invariants of  $AdS_5$  that are (unknown) numbers.
- ullet Effectively this can be rearranged as a "perturbative" expansion in  $\lambda(r)$ .
- It is even cleaner to use  $\lambda$  as the radial coordinate instead of r and write the metric solution in terms of  $\lambda$ .
- The solution for the metric can be written

$$E \equiv e^A = \frac{\ell}{r(\lambda)} \left[ 1 + c_1 \lambda + c_2 \lambda^2 + \cdots \right] = \ell \left( e^{-\frac{b_0}{\lambda}} \right) \left[ 1 + c_1' \lambda + c_2' \lambda^2 + \cdots \right] \quad , \quad \lambda \to 0$$

#### The IR regime

- Here the situation is a bit more obscure. The constraints/input are: confinement and mass gap.
- We do expect that  $\lambda \to \infty$  at the IR bottom.
- This is a "singularity" in the conventional sense: it must be "repulsive", ie the string theory, and even better some effective field theory should not break down there.
- (Very) naive intuition from N=4 and other 10d strongly coupled theories suggests that in this regime there should be a two derivative description of the physics.
- At the IR bottom the space must end (singularity) where the scale factor vanishes.
- If it happens very slowly, we loose confinement
- if it happens very fast, the singularity is strong and the theory is incomplete (boundary conditions are needed at the singularity.

### An assessment of IR asymptotics

ullet As  $\lambda \to \infty$  we assume that the potential terms dominate and we parameterize the effective action in the IR as

$$S_{eff} \sim \int \sqrt{g} \left[ R + \frac{4}{3} \frac{(\partial \lambda)^2}{\lambda^2} + V(\lambda) = \right] \quad , \quad V(\lambda) - \frac{4}{3} \lambda^2 \left( \frac{dW}{d\lambda} \right)^2 + \frac{64}{27} W^2$$

Parameterize the IR asymptotics  $(\lambda \to \infty)$  as

$$W(\lambda) \sim (\log \lambda)^{\frac{P}{2}} \lambda^Q$$

• Q > 2/3 or Q = 2/3 and P > 1 leads to confinement and a singularity at finite  $r = r_0$ .

$$e^{A}(r) \sim \begin{cases} (r_0 - r)^{\frac{4}{9Q^2 - 4}} & Q > \frac{2}{3} \\ \exp\left[-\frac{C}{(r_0 - r)^{1/(P - 1)}}\right] & Q = \frac{2}{3} \end{cases}$$

• Q=2/3, and  $0 \le P < 1$  leads to confinement and a singularity at  $r=\infty$  The scale factor  $e^A$  vanishes there as

$$e^{A}(r) \sim \exp[-Cr^{1/(1-P)}].$$

The asymptotic spectrum of glueballs is linear only if  $P = \frac{1}{2}$ 

- Q=2/3, P=1 leads to confinement but the singularity may be at a finite or infinite value of r depending on subleading asymptotics of the superpotential.
- $\spadesuit$  If  $Q < 2\sqrt{2}/3$ , no ad hoc boundary conditions are needed to determine the glueball spectrum: the singularity is "good" (repulsive).
- $\spadesuit$  when  $Q > 2\sqrt{2}/3$ , the spectrum is not well defined without extra boundary conditions in the IR because both solutions to the mass eigenvalue equation are IR normalizable.

### Selecting the IR asymptotics

#### Only the Q=2/3, $0 \le P < 1$ is compatible with

- Confinement (it happens non-trivially: a minimum in the string frame scale factor)
- Mass gap+discrete spectrum (except P=0)
- good singularity
- $R \to 0$  partly justifying the original assumption. More precisely: the string frame metric becomes flat at the IR . But  $(\partial \phi)^2 \sim V(\lambda)$ .
- ♠ It is interesting that the lower endpoint: P=0 corresponds to linear dilaton and flat space (string frame). It is confining with a mass gap but continuous spectrum.
- ullet For linear asymptotic trajectories for fluctuations (glueballs) we must choose P=1/2

$$V(\lambda) = \lambda^{\frac{4}{3}} \left[ 1 + c_1 \lambda^2 + c_2 \lambda^4 + \cdots \right] \sim \lambda^{\frac{4}{3}} \sqrt{\log \lambda} + \text{subleading} \quad \text{as} \quad \lambda \to \infty$$

### Improved Holographic QCD: a model

The simplification in this model relies on writing down a two-derivative action

$$S_{\text{Einstein}} = M^3 N_c^2 \int d^5 x \sqrt{g} \left[ R - \frac{4}{3} \frac{(\partial \lambda)^2}{\lambda^2} + V(\lambda) \right]$$

with

$$\lim_{\lambda \to 0} V(\lambda) = \frac{12}{\ell^2} \left( 1 + \sum_{n=1}^{\infty} c_n \lambda^n \right) \quad , \quad \lim_{\lambda \to \infty} V(\lambda) = \lambda^{\frac{4}{3}} \sqrt{\log \lambda} + \text{subleading}$$

The small  $\lambda$  asymptotics "simulate" the UV expansion around  $AdS_5$ .

• There is a 1-1 correspondence between the YM  $\beta$ -function,  $\beta(\lambda)$  and W:

$$\beta(\lambda) = -\frac{9}{4}\lambda^2 \, \frac{d \log W(\lambda)}{d\lambda}$$

once a choice of energy is made (here  $E=A_E$ ). Renormalization and other choices modify  $\beta(\lambda)$  beyond two-loop level

#### Shortcomings localized at the UV

- Conformal anomaly is incorrect.
- Shear viscosity ratio is constant and equal to that of N=1 sYM

both of the above need Riemann curvature corrections.

Many other observables though are coming out very well both at T=0 and finite T

Nitti's talk at this meeting

♠ The axion contribution

$$\delta S = M_p^3 \int d^5 x \sqrt{g} Z(\lambda) (\partial a)^2$$

with

$$\lim_{\lambda \to 0} Z(\lambda) = c_0 + c_1 \lambda + c_2 \lambda^2 + \cdots , \quad \lim_{\lambda \to \infty} Z(\lambda) = C_\infty \lambda^4 + \cdots$$

$$a(r) = \theta_{UV} \frac{\int_{r}^{\infty} \frac{dr}{e^{3A}Z}}{\int_{0}^{\infty} \frac{dr}{e^{3A}Z}}$$

#### Parameters

- All dimensionless coefficients of the potential are a priori parameters. However, a simple form is typically chosen for simplicity. In our example we fit only one parameter.
- We also have  $M_p$ , and the AdS length,  $\ell$ . Asking correct  $T \to \infty$  thermodynamics fixes  $(M_p \ell)^3 = \frac{1}{45\pi^2}$ .  $\ell$  is not a parameter but a unit of length.
- We have 3 initial conditions in the system of graviton-dilaton equations:
- One is fixed by picking the branch that corresponds asymptotically to  $\lambda \sim \frac{1}{\log(r \Lambda)}$

The other fixes  $\Lambda \to \Lambda_{QCD}$ .

The third is a gauge artifact as it corresponds to a choice of the origin of the radial coordinate.

## Further $\alpha'$ corrections

There are further dilaton terms generated by the 5-form in:

- The kinetic terms of the graviton and the dilaton  $\sim \lambda^{2n}$ .
- The kinetic terms on probe  $D_3$  branes that affect the identification of the gauge-coupling constant,  $\sim \lambda^{2n+1}$ . There is also a multiplicative factor relating  $g_{YM^2}$  to  $e^{\phi}$ , (not known). Can be traded for  $b_0$ .
- Corrections to the identification of the energy. At r=0, E=1/r. There can be log corrections to our identification  $E=e^A$ , and these are a power series in  $\sim \lambda^{2n}$ .
- It is a remarkable fact that all such corrections affect the higher that the first two terms in the  $\beta$ -function (or equivalently the potential), that are known to be non-universal!

the metric is also insensitive to the change of  $b_0$  by changing  $\Lambda$ .

## Organizing the vacuum solutions

A useful variable is the phase variable

$$X \equiv \frac{\Phi'}{3A'} = \frac{\beta(\lambda)}{3\lambda} \quad , \quad e^{\Phi} \equiv \lambda$$

and a superpotential

$$W^{2} - \left(\frac{3}{4}\right)^{2} \left(\frac{\partial W}{\partial \Phi}\right)^{2} = \left(\frac{3}{4}\right)^{3} V(\Phi).$$

with

$$A' = -\frac{4}{9}W \quad , \quad \Phi' = \frac{dW}{d\Phi}$$

$$X = -\frac{3d\log W}{4\log \lambda} \quad , \quad \beta(\lambda) = -\frac{9}{4}\lambda \frac{d\log W}{d\log \lambda}$$

 $\spadesuit$  The equations have three integration constants: (two for  $\Phi$  and one for A) One corresponds to the "gluon condensate" in the UV. It must be set to zero otherwise the IR behavior is unacceptable. The other is  $\Lambda$ . The third one is a gauge artifact (corresponds to overall translation in the radial coordinate).

## The IR regime

For any asymptotically AdS<sub>5</sub> solution  $(e^A \sim \frac{\ell}{r})$ :

ullet The scale factor  $e^{A(r)}$  is monotonically decreasing

Girardelo+Petrini+Porrati+Zaffaroni Freedman+Gubser+Pilch+Warner

- Moreover, there are only three possible, mutually exclusive IR asymptotics:
- $\spadesuit$  there is another asymptotic  $AdS_5$  region, at  $r \to \infty$ , where  $\exp A(r) \sim \ell'/r$ , and  $\ell' \le \ell$  (equality holds if and only if the space is exactly  $AdS_5$  everywhere);
- $\spadesuit$  there is a curvature singularity at some finite value of the radial coordinate,  $r=r_0$ ;
- $\spadesuit$  there is a curvature singularity at  $r \to \infty$ , where the scale factor vanishes and the space-time shrinks to zero size.

## Wilson-Loops and confinement

ullet Calculation of the static quark potential using the vev of the Wilson loop calculated via an F-string worldsheet.

$$T E(L) = S_{minimal}(X)$$

We calculate

$$L = 2 \int_0^{r_0} dr \frac{1}{\sqrt{e^{4A_S(r) - 4A_S(r_0)} - 1}}.$$

It diverges when  $e^{A_s}$  has a minimum (at  $r=r_*$ ). Then

$$E(L) \sim T_f e^{2A_S(r_*)} L$$

- Confinement  $\to A_s(r_*)$  is finite. This is a more general condition that considered before as  $A_S$  is not monotonic in general.
- Effective string tension

$$T_{\text{string}} = T_f e^{2A_S(r_*)}$$

## General criterion for confinement

• the geometric version:

A geometry that shrinks to zero size in the IR is dual to a confining 4D theory if and only if the Einstein metric in conformal coordinates vanishes as (or faster than)  $e^{-Cr}$  as  $r \to \infty$ , for some C > 0.

• It is understood here that a metric vanishing at finite  $r=r_0$  also satisfies the above condition.

♠ the superpotential

A 5D background is dual to a confining theory if the superpotential grows as (or faster than)

$$W \sim (\log \lambda)^{P/2} \lambda^{2/3}$$
 as  $\lambda \to \infty$  ,  $P \ge 0$ 

 $\spadesuit$  the  $\beta$ -function A 5D background is dual to a confining theory if and only if

$$\lim_{\lambda \to \infty} \left( \frac{\beta(\lambda)}{3\lambda} + \frac{1}{2} \right) \log \lambda = K, \qquad -\infty \le K \le 0$$

(No explicit reference to any coordinate system) Linear trajectories correspond to  $K=-\frac{3}{16}$ 

## Classification of confining superpotentials

Classification of confining superpotentials  $W(\lambda)$  as  $\lambda \to \infty$  in IR:

$$W(\lambda) \sim (\log \lambda)^{\frac{P}{2}} \lambda^Q \quad , \quad \lambda \sim E^{-\frac{9}{4}Q} \left(\log \frac{1}{E}\right)^{\frac{P}{2Q}}, \qquad E \to 0.$$

• Q > 2/3 or Q = 2/3 and P > 1 leads to confinement and a singularity at finite  $r = r_0$ .

$$e^A(r) \sim \left\{ egin{array}{ll} (r_0-r)^{rac{4}{9Q^2-4}} & Q > rac{2}{3} \ \exp\left[-rac{C}{(r_0-r)^{1/(P-1)}}
ight] & Q = rac{2}{3} \end{array} 
ight.$$

• Q=2/3, and  $0 \le P < 1$  leads to confinement and a singularity at  $r=\infty$  The scale factor  $e^A$  vanishes there as

$$e^{A}(r) \sim \exp[-Cr^{1/(1-P)}].$$

- Q = 2/3, P = 1 leads to confinement but the singularity may be at a finite or infinite value of r depending on subleading asymptotics of the superpotential.
- $\spadesuit$  If  $Q < 2\sqrt{2}/3$ , no ad hoc boundary conditions are needed to determine the glueball spectrum  $\to$  One-to-one correspondence with the  $\beta$ -function This is unlike standard AdS/QCD and other approaches.
- when  $Q > 2\sqrt{2}/3$ , the spectrum is not well defined without extra boundary conditions in the IR because both solutions to the mass eigenvalue equation are IR normalizable.

#### Confining $\beta$ -functions

A 5D background is dual to a confining theory if and only if

$$\lim_{\lambda \to \infty} \left( \frac{\beta(\lambda)}{3\lambda} + \frac{1}{2} \right) \log \lambda = K, \qquad -\infty \le K \le 0$$

(No explicit reference to any coordinate system). Linear trajectories correspond to  $K = -\frac{3}{16}$ 

- $\bullet$  We can determine the geometry if we specify K:
- $K = -\infty$ : the scale factor goes to zero at some finite  $r_0$ , not faster than a power-law.
- $-\infty < K < -3/8$ : the scale factor goes to zero at some finite  $r_0$  faster than any power-law.
- -3/8 < K < 0: the scale factor goes to zero as  $r \to \infty$  faster than  $e^{-Cr^{1+\epsilon}}$  for some  $\epsilon > 0$ .
- K=0: the scale factor goes to zero as  $r\to\infty$  as  $e^{-Cr}$  (or faster), but slower than  $e^{-Cr^{1+\epsilon}}$  for any  $\epsilon>0$ .

The borderline case, K = -3/8, is certainly confining (by continuity), but whether or not the singularity is at finite r depends on the subleading terms.

## Comments on confining backgrounds

- For all confining backgrounds with  $r_0=\infty$ , although the space-time is singular in the Einstein frame, the string frame geometry is asymptotically flat for large r. Therefore only  $\lambda$  grows indefinitely.
- String world-sheets do not probe the strong coupling region, at least classically. The string stays away from the strong coupling region.
- Therefore: singular confining backgrounds have generically the property that the singularity is *repulsive*, i.e. only highly excited states can probe it. This will also be reflected in the analysis of the particle spectrum (to be presented later)
- $\bullet$  The confining backgrounds must also screen magnetic color charges. This can be checked by calculating 't Hooft loops using  $D_1$  probes:
- $\spadesuit$  All confining backgrounds with  $r_0 = \infty$  and most at finite  $r_0$  screen properly
- ♠ In particular "hard-wall" AdS/QCD confines also the magnetic quarks.

## Particle Spectra: generalities

Linearized equation:

$$\ddot{\xi} + 2\dot{B}\dot{\xi} + \Box_4 \xi = 0$$
 ,  $\xi(r, x) = \xi(r)\xi^{(4)}(x)$ ,  $\Box \xi^{(4)}(x) = m^2 \xi^{(4)}(x)$ 

Can be mapped to Schrodinger problem

$$-\frac{d^2}{dr^2}\psi + V(r)\psi = m^2\psi \quad , \quad V(r) = \frac{d^2B}{dr^2} + \left(\frac{dB}{dr}\right)^2 \quad , \quad \xi(r) = e^{-B(r)}\psi(r)$$

- Mass gap and discrete spectrum visible from the asymptotics of the potential.
- Large n asymptotics of masses obtained from WKB

$$n\pi = \int_{r_1}^{r_2} \sqrt{m^2 - V(r)} \ dr$$

• Spectrum depends only on initial condition for  $\lambda$  ( $\sim \Lambda_{QCD}$ ) and an overall energy scale ( $e^A$ ) that must be fixed.

• scalar glueballs

$$B(r) = \frac{3}{2}A(r) + \frac{1}{2}\log\frac{\beta(\lambda)^2}{9\lambda^2}$$

• tensor glueballs

$$B(r) = \frac{3}{2}A(r)$$

• pseudo-scalar glueballs

$$B(r) = \frac{3}{2}A(r) + \frac{1}{2}\log Z(\lambda)$$

Universality of asymptotics

$$\frac{m_{n\to\infty}^2(0^{++})}{m_{n\to\infty}^2(2^{++})} \to 1 \quad , \quad \frac{m_{n\to\infty}^2(0^{+-})}{m_{n\to\infty}^2(0^{++})} = \frac{1}{4}(d-2)^2$$

predicts d = 4 via

$$\frac{m^2}{2\pi\sigma_a} = 2n + J + c,$$

## The axion background

• The kinetic term of the axion is suppressed by  $1/N_c^2$ . (it is an angle in the gauge theory, it is RR in string theory)

$$\ddot{a} + \left(3\dot{A} + \frac{\dot{Z}(\lambda)}{Z(\lambda)}\right)\dot{a} = 0 \quad \rightarrow \quad \dot{a} = \frac{C e^{-3A}}{Z(\lambda)}$$

It can be interpreted as the flow equation of the effective  $\theta$ -angle.

The full solution is

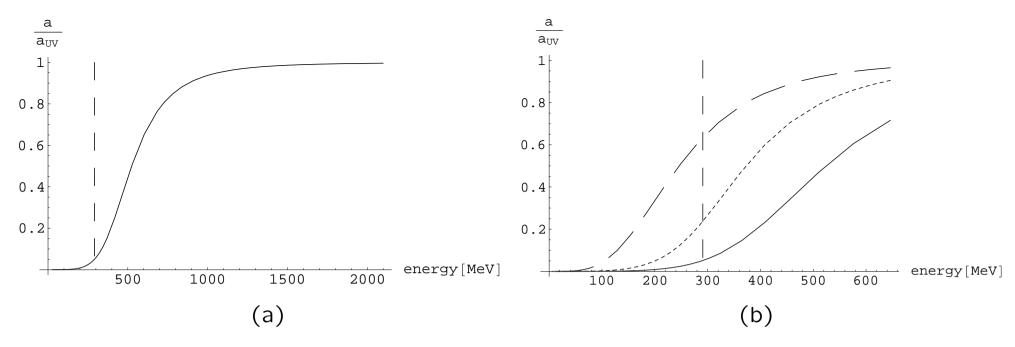
$$a(r) = \theta_{UV} + 2\pi k + C \int_0^r r \frac{e^{-3A}}{Z(\lambda)} , \quad C = \langle Tr[F \wedge F] \rangle$$

The vacuum energy is

$$E(\theta_{UV}) = \frac{M^3}{2N_c^2} \int d^5x \sqrt{g} Z(\lambda) (\partial a)^2 = \frac{M^3}{2N_c^2} Ca(r) \Big|_{r=0}^{r=r_0}$$

ullet Consistency requires to impose that  $a(r_0)=0$ . This determines C and

$$E(\theta_{UV}) = -\frac{M^3}{2} \text{Min}_k \; \frac{(\theta_{UV} + 2\pi k)^2}{\int_0^{r_0} \frac{dr}{e^{3A}Z(\lambda)}} \quad , \quad \frac{a(r)}{\theta_{UV} + 2\pi k} = \; \frac{\int_r^{r_0} \frac{dr}{e^{3A}Z(\lambda)}}{\int_0^{r_0} \frac{dr}{e^{3A}Z(\lambda)}}$$



(a) An example of the axion profile (normalized to one in the UV) as a function of energy, in one of the explicit cases we treat numerically. The energy scale is in MeV, and it is normalized to match the mass of the lowest scalar glueball from lattice data,  $m_0 = 1475 MeV$ . The axion kinetic function is taken as  $Z(\lambda) = Z_a(1+c_a\lambda^4)$ , with  $c_a = 100$  (the masses do not depend on the value of  $Z_a$ ). The vertical dashed line corresponds to  $\Lambda_p \equiv \frac{1}{\ell} \frac{\exp\left[A(\lambda_0) - \frac{1}{b_0\lambda_0}\right]}{(b,\lambda_0)^{b/b_0^2}}$ . In this particular case  $\Lambda = 290 MeV$ .

(b)A detail showing the different axion profiles for different values of  $c_a$ . The values are  $c_a = 0.1$  (dashed line),  $c_a = 10$  (dotted line) and  $c_a = 100$  (solid line).

# Quarks $(N_f \ll N_c)$ and mesons

- ullet Flavor is introduced by  $N_f$   $D_4$  +  $\bar{D}_4$  branes pairs inside the bulk background. Their back-reaction on the bulk geometry is suppressed by  $N_f/N_c$ .
- The important world-volume fields are

$$T_{ij} \leftrightarrow \bar{q}_a^i \frac{1+\gamma^5}{2} q_a^j \quad , \quad A_\mu^{ijL,R} \leftrightarrow \bar{q}_a^i \frac{1\pm\gamma^5}{2} \gamma^\mu q_a^j$$

Generating the  $U(N_f)_L \times U(N_f)_R$  chiral symmetry.

- The UV mass matrix  $m_{ij}$  corresponds to the source term of the Tachyon field. It breaks the chiral (gauge) symmetry. The normalizable mode corresponds to the vev  $\langle \bar{q}_a^i \frac{1+\gamma^5}{2} q_a^j \rangle$ .
- We show that the expectation value of the tachyon is non-zero and  $T \sim 1$ , breaking chiral symmetry  $SU(N_f)_L \times SU(N_f)_R \to SU(N_f)_V$ . The anomaly plays an important role in this (holographic Coleman-Witten)

• The fact that the tachyon diverges in the IR (fusing D with  $\bar{D}$ ) constraints the UV asymptotics and determines the quark condensate  $\langle \bar{q}q \rangle$  in terms of  $m_q$ . A GOR relation is satisfied (for an asymptotic AdS<sub>5</sub> space)

$$m_\pi^2 = -2\frac{m_q}{f_\pi^2} \langle \bar{q}q \rangle \quad , \quad m_q \to 0$$

- We can derive formulae for the anomalous divergences of flavor currents, when they are coupled to an external source.
- When  $m_q = 0$ , the meson spectrum contains  $N_f^2$  massless pseudoscalars, the  $U(N_f)_A$  Goldstone bosons.
- The WZ part of the flavor brane action gives the Adler-Bell-Jackiw  $U(1)_A$  axial anomaly and an associated Stuckelberg mechanism gives an  $O\left(\frac{N_f}{N_c}\right)$  mass to the would-be Goldstone boson  $\eta'$ , in accordance with the Veneziano-Witten formula.
- Studying the spectrum of highly excited mesons, we find the expected property of linear confinement:  $m_n^2 \sim n$ .
- The detailed spectrum of mesons remains to be worked out

## Tachyon dynamics

ullet In the vacuum the gauge fields vanish and  $T\sim 1$ . Only DBI survives

$$S[\tau] = T_{D_4} \int dr d^4x \, \frac{e^{4A_s(r)}}{\lambda} \, V(\tau) \, \sqrt{e^{2A_s(r)} + \dot{\tau}(r)^2} \quad , \quad V(\tau) = e^{-\frac{\mu^2}{2}\tau^2}$$

• We obtain the nonlinear field equation:

$$\ddot{\tau} + \left(3\dot{A}_S - \frac{\dot{\lambda}}{\lambda}\right)\dot{\tau} + e^{2A_S}\mu^2\tau + e^{-2A_S}\left[4\dot{A}_S - \frac{\dot{\lambda}}{\lambda}\right]\dot{\tau}^3 + \mu^2\tau \ \dot{\tau}^2 = 0.$$

In the UV we expect

$$\tau = m_q \ r + \sigma \ r^3 + \cdots \ , \quad \mu^2 \ell^2 = 3$$

• We expect that the tachyon must diverge before or at  $r=r_0$ . We find that indeed it does at the singularity. For the  $r_0=\infty$  backgrounds

$$au \sim \exp\left[rac{2}{a}\,rac{R}{\ell^2}\,r
ight] \qquad {
m as} \qquad r o\infty$$

• Generically the solutions have spurious singularities:  $\tau(r_*)$  stays finite but its derivatives diverges as:

$$\tau \sim \tau_* + \gamma \sqrt{r_* - r}$$
.

The condition that they are absent determines  $\sigma$  as a function of  $m_q$ .

• The easiest spectrum to analyze is that of vector mesons. We find  $(r_0 = \infty)$ 

$$\Lambda_{glueballs} = \frac{1}{R}, \qquad \Lambda_{mesons} = \frac{3}{\ell} \left( \frac{\alpha \ell^2}{2R^2} \right)^{(\alpha - 1)/2} \propto \frac{1}{R} \left( \frac{\ell}{R} \right)^{\alpha - 2}.$$

This suggests that  $\alpha = 2$ . preferred also from the glue sector.

#### Concrete model

$$\beta(\lambda) = -\frac{3b_0\lambda^2}{3+2b_0\lambda} - \frac{6(2b_0^2+3b_1^2)\lambda^3}{(1+\lambda^2)\left(18+\left(2b_0^2+3b_1^2\right)\log(1+\lambda^2)\right)}$$

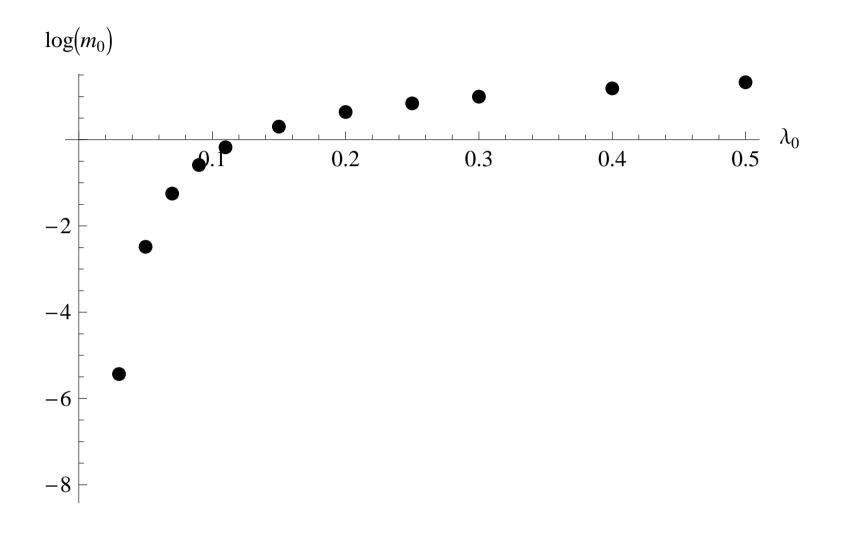
is everywhere regular and has the correct UV and IR asymptotics.

$$W = (3 + 2b_0\lambda)^{2/3} \left[ 18 + \left( 2b_0^2 + 3b_1 \right) \log(1 + \lambda^2) \right]^{4/3},$$

$$\begin{bmatrix} 0.3 \\ 0.25 \\ 0.2 \\ 0.15 \\ 0.1 \\ 0.05 \end{bmatrix}$$

The scale factor and 't Hooft coupling that follow from  $\beta$ .  $b_0 = 4.2$ ,  $\lambda_0 = 0.05$ ,  $A_0 = 0$ . The units are such that  $\ell = 0.5$ . The dashed line represents the scale factor for pure AdS.

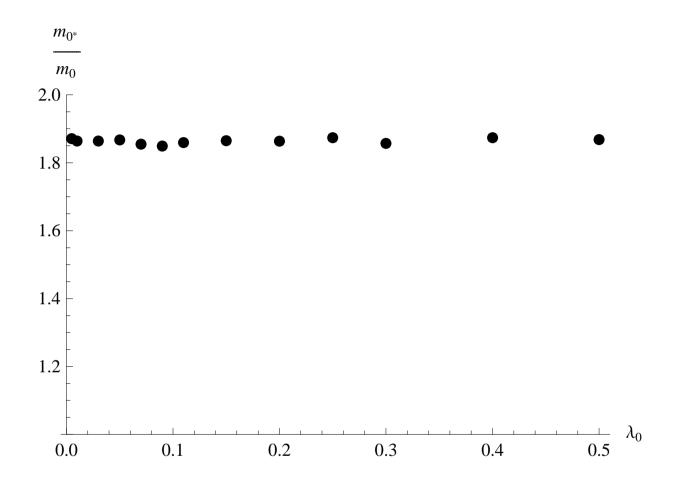
## Dependence of absolute mass scale on $\lambda_0$



Dependence on initial condition  $\lambda_0$  of the absolute scale of the lowest lying glueball (shown in Logarithmic scale)

Improved Holographic QCD,

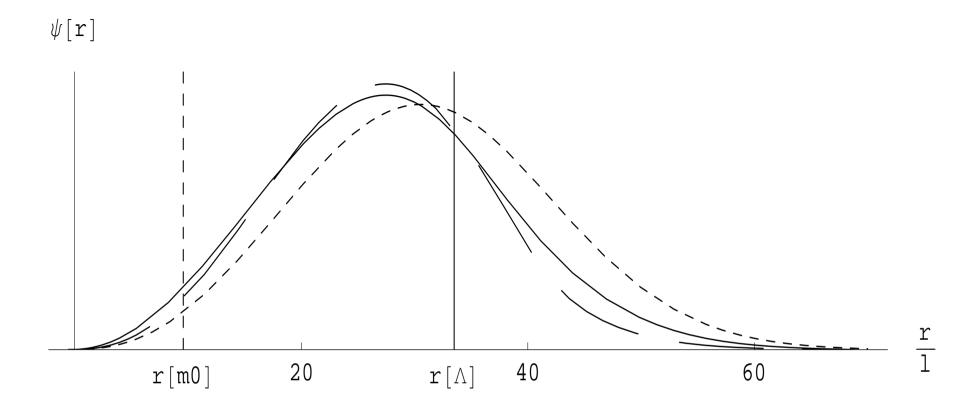
## Dependence of mass ratios on $\lambda_0$



The mass ratios  $R_{20}$ 

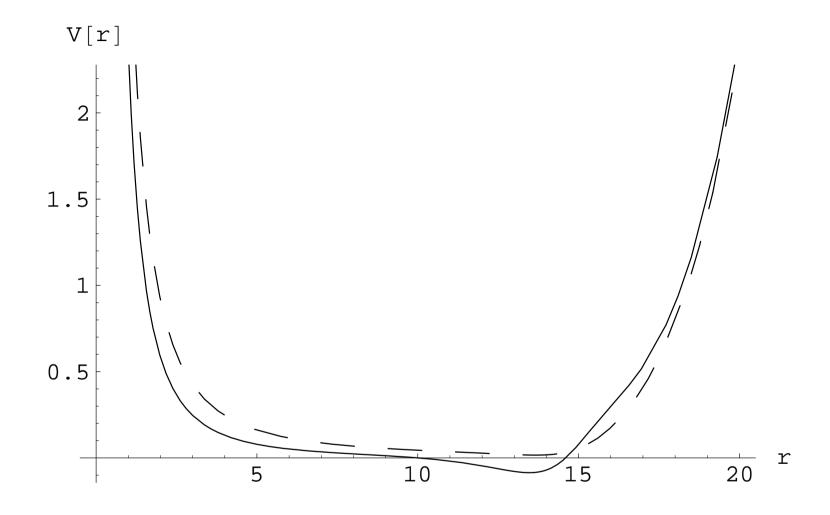
$$R_{20} = \frac{m_{2++}}{m_{0++}}.$$

## The glueball wavefunctions



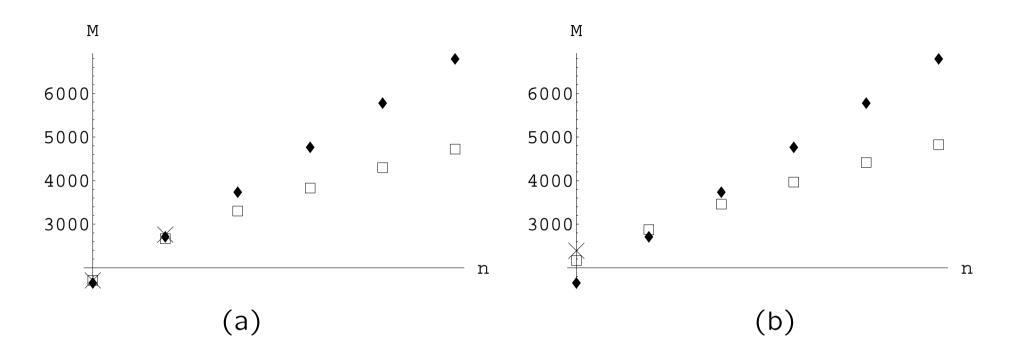
Normalized wave-function profiles for the ground states of the  $0^{++}$  (solid line),  $0^{-+}$  (dashed line), and  $2^{++}$  (dotted line) towers, as a function of the radial conformal coordinate. The vertical lines represent the position corresponding to  $E=m_{0^{++}}$  and  $E=\Lambda_p$ .

## Comparison of scalar and tensor potential



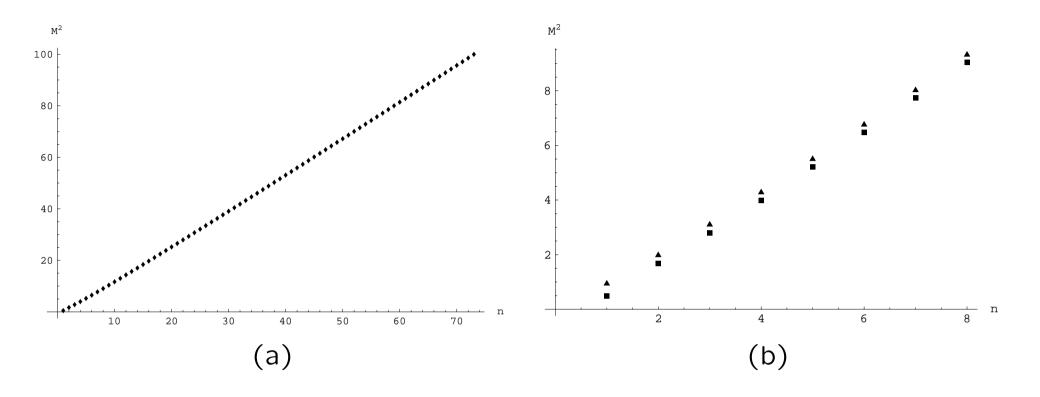
Effective Schrödinger potentials for scalar (solid line) and tensor (dashed line) glueballs. The units are chosen such that  $\ell=0.5$ .

## Comparison with lattice data: Ref II



Comparison of glueball spectra from our model with  $b_0 = 2.55, \lambda_0 = 0.05$  (boxes), with the lattice QCD data from Ref. II (crosses) and the AdS/QCD computation (diamonds), for (a)  $0^{++}$  glueballs; (b)  $2^{++}$  glueballs. The masses are in MeV, and the scale is normalized to match the lowest  $0^{++}$  state from Ref. II.

## Linearity of the glueball spectrum



(a) Linear pattern in the spectrum for the first 40 0<sup>++</sup> glueball states.  $M^2$  is shown units of  $0.015\ell^{-2}$ .

(b) The first 8 0<sup>++</sup> (squares) and the 2<sup>++</sup> (triangles) glueballs. These spectra are obtained in the background I with  $b_0 = 4.2, \lambda_0 = 0.05$ .

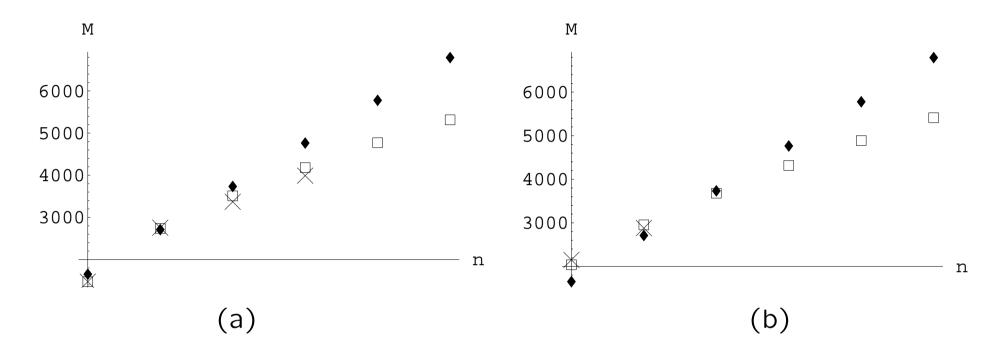
## The lattice glueball data

$J^{++}$	Ref. I $(m/\sqrt{\sigma})$	Ref. I (MeV)	Ref. II $(mr_0)$	Ref. II (MeV)	$N_c  o \infty(m/\sqrt{\sigma})$
0	3.347(68)	1475(30)(65)	4.16(11)(4)	1710(50)(80)	3.37(15)
0*	6.26(16)	2755(70)(120)	6.50(44)(7)	2670(180)(130)	6.43(50)
0**	7.65(23)	3370(100)(150)	NA	NA	NA
0***	9.06(49)	3990(210)(180)	NA	NA	NA
2	4.916(91)	2150(30)(100)	5.83(5)(6)	2390(30)(120)	4.93(30)
2*	6.48(22)	2880(100)(130)	NA	NA	NA
$R_{20}$	1.46(5)	1.46(5)	1.40(5)	1.40(5)	1.46(11)
$R_{00}$	1.87(8)	1.87(8)	1.56(15)	1.56(15)	1.90(17)

Available lattice data for the scalar and the tensor glueballs. Ref. I = H. B. Meyer, [arXiv:hep-lat/0508002]. and Ref. II = C. J. Morningstar and M. J. Peardon, [arXiv:hep-lat/9901004] + Y. Chen *et al.*, [arXiv:hep-lat/0510074]. The first error corresponds to the statistical error from the the continuum extrapolation. The second error in Ref.I is due to the uncertainty in the string tension  $\sqrt{\sigma}$ . (Note that this does not affect the mass ratios). The second error in the Ref. II is the estimated uncertainty from the anisotropy. In the last column we present the available large  $N_c$  estimates according to B. Lucini and M. Teper, [arXiv:hep-lat/0103027]. The parenthesis in this column shows the total possible error followed by the estimations in the same reference.

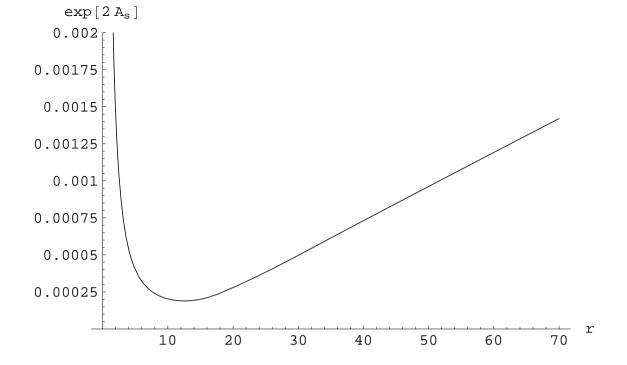
Improved Holographic QCD,

## Comparison with lattice data: Ref I



Comparison of glueball spectra from our model with  $b_0 = 4.2, \lambda_0 = 0.05$  (boxes), with the lattice QCD data from Ref. I (crosses) and the AdS/QCD computation (diamonds), for (a)  $0^{++}$  glueballs; (b)  $2^{++}$  glueballs. The masses are in MeV, and the scale is normalized to match the lowest  $0^{++}$  state from Ref. I.

$$\ell_{eff}^2 = 6.88 \ \ell^2$$



The string frame scale factor in background I with  $b_0=4.2$ ,  $\lambda_0=0.05$ .

We can "measure"

$$\frac{\ell}{\ell_s} \simeq 6.26 \quad , \quad \ell_s^2 R \simeq -0.5 \tag{2}$$

and predict

$$\alpha_s(1.2GeV) = 0.34,$$

which is within the error of the quoted experimental value  $\alpha_s^{(exp)}(1.2 GeV) = 0.35 \pm 0.01$ 

Improved Holographic QCD,

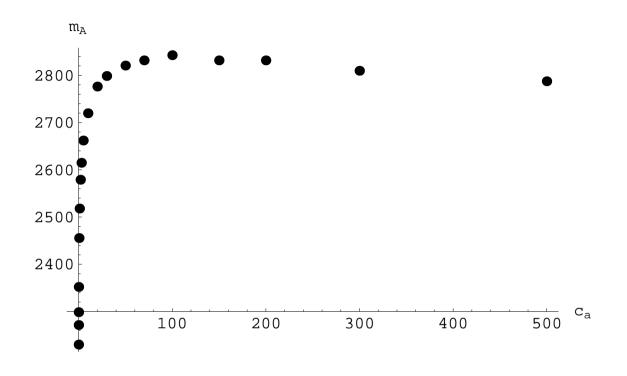
E. Kiritsis

### The fit to Ref I

$J^{PC}$	Ref I (MeV)	Our model (MeV)	Mismatch	$N_c  o \infty$ [?]	Mismatch
0++	1475 (4%)	1475	0	1475	0
2++	2150 (5%)	2055	4%	2153 (10%)	5%
0-+	2250 (4%)	2243	О		
0++*	2755 (4%)	2753	О	2814 (12%)	2%
2++*	2880 (5%)	2991	4%		
0-+*	3370 (4%)	3288	2%		
0++**	3370 (4%)	3561	5%		
0++**	3990 (5%)	4253	6%		

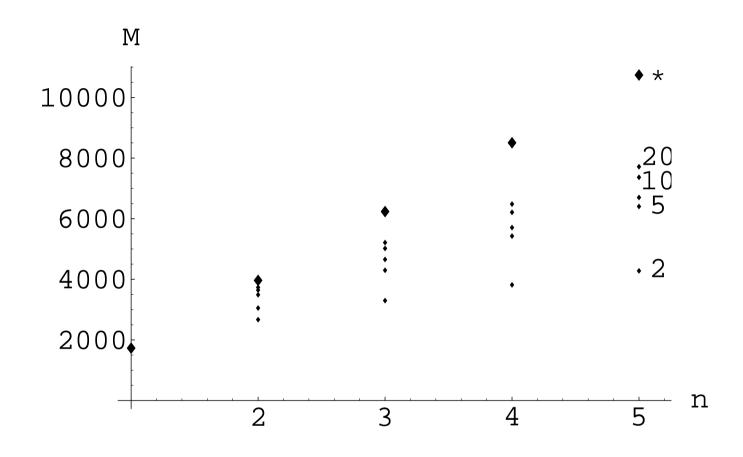
Comparison between the glueball spectra in Ref. I and in our model. The states we use as input in our fit are marked in red. The parenthesis in the lattice data indicate the percent accuracy.

## Pseudoscalar glueballs



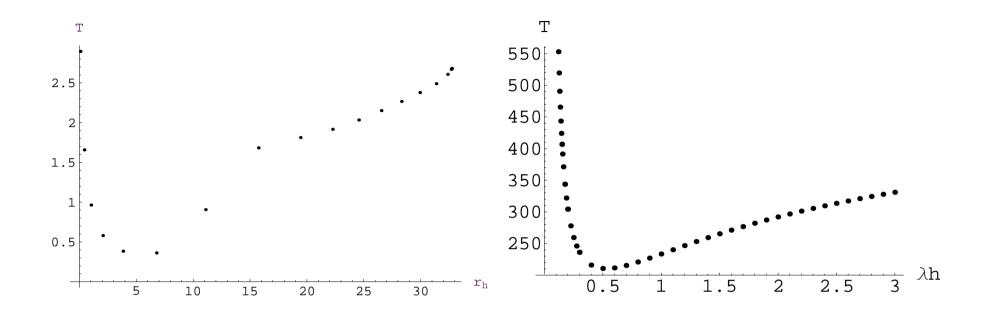
Lowest 0<sup>-+</sup> glueball mass in MeV as a function of  $c_a$  in  $Z(\lambda) = Z_a(1 + c_a \lambda^4)$ .

## $\alpha$ -dependence of scalar spectrum



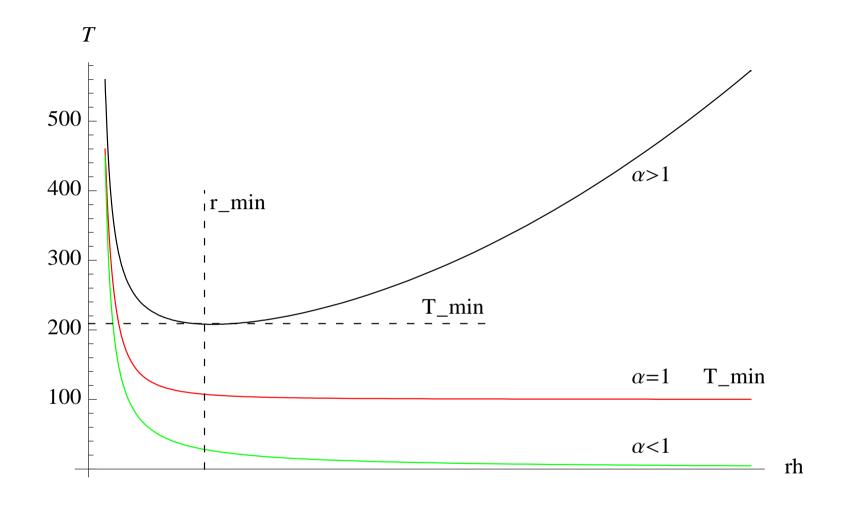
The 0<sup>++</sup> spectra for varying values of  $\alpha$  that are shown at the right end of the plot. The symbol \* denotes the AdS/QCD result.

## Two black hole branches



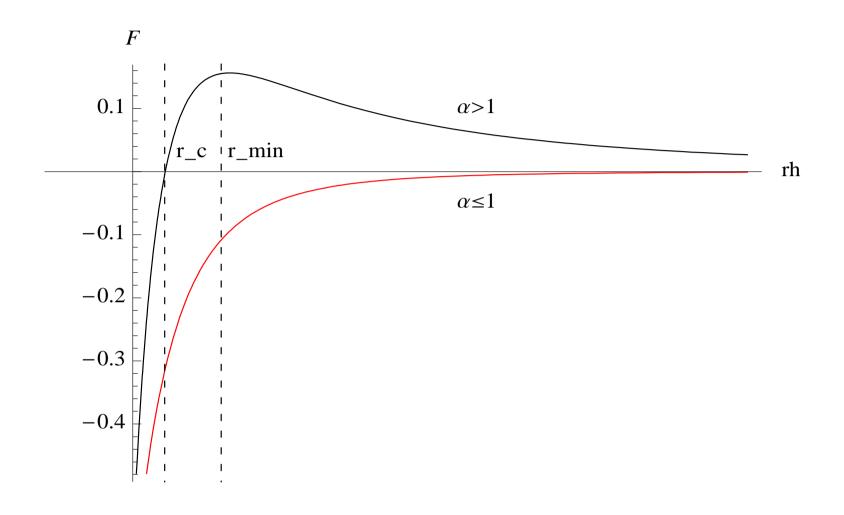
the two branches of black holes: T as a function of horizon position and horizon coupling.

## Temperature versus horizon position

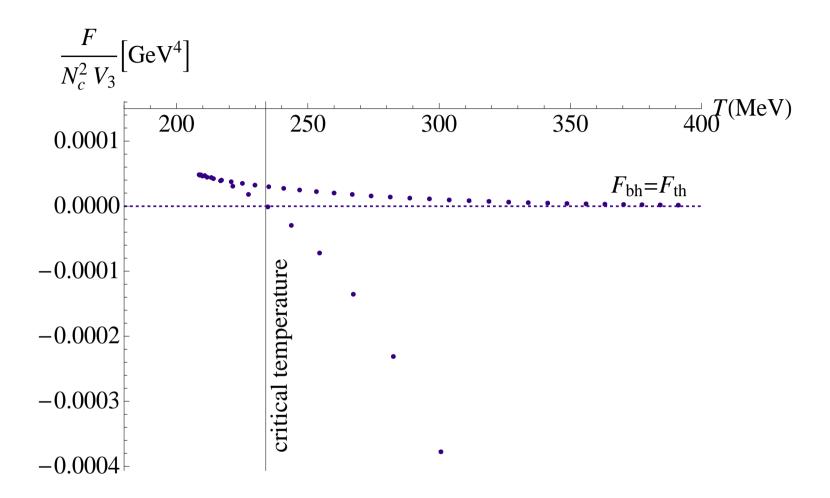


We plot the relation  $T(r_h)$  for various potentials parameterized by  $a.\ a=1$  is the critical value below which there is only one branch of black-hole solutions.

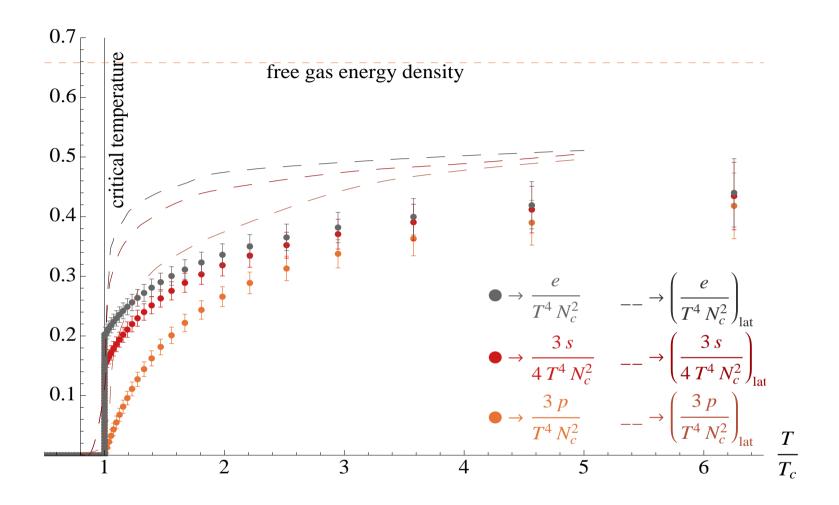
# The free energy as a function of $r_h$



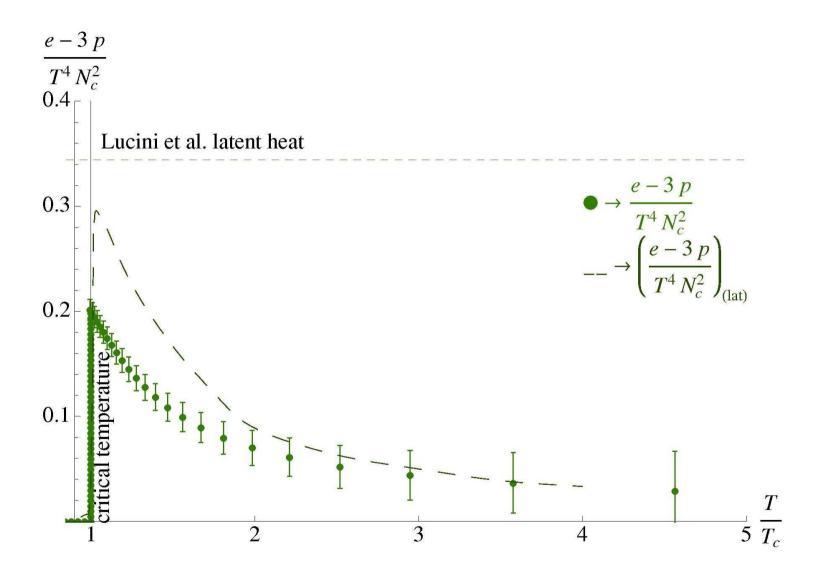
## The transition in the free energy



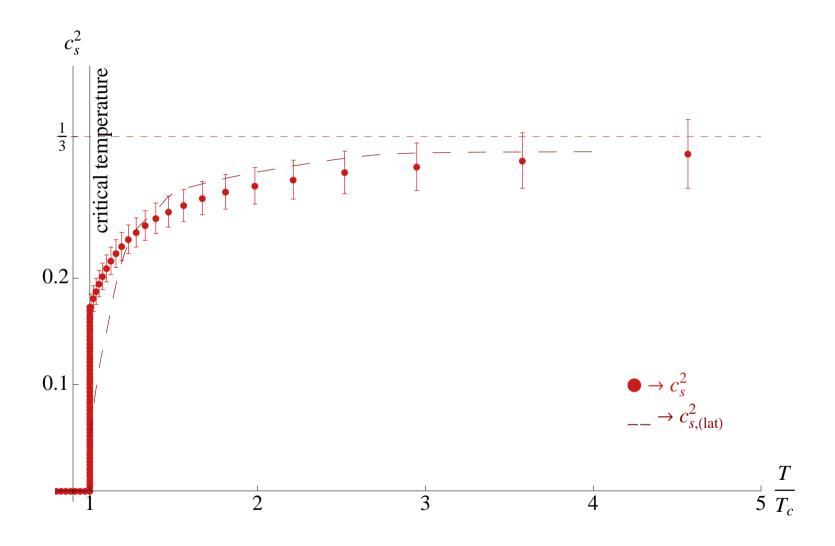
## The thermodynamic quantities



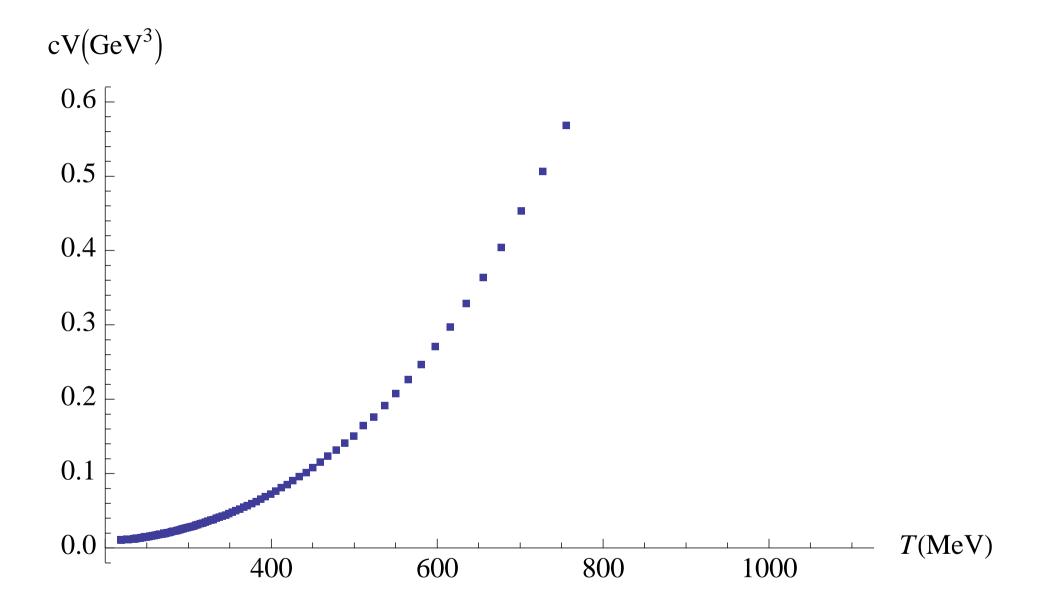
## Equation of state



## The speed of sound



## The specific heat



## Open problems

#### The YM sector

- Show that all  $\langle (Tr[F \wedge F])^n \rangle$  correlators are UV finite (matching a similar statement made in Lattice YM by Giusti and Luscher)
- Calculate the  $\theta$ -induced decay rate of an excited  $0^{-+}$  glueball to two  $0^{++}$  glueballs.

#### The flavor sector

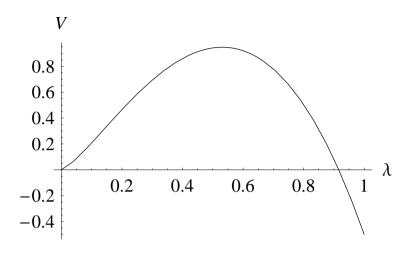
- Find the nontrivial profile of the tachyon in the QCD vacuum and calculate the quark condensate as a function of the light quark masses.
- Calculate the masses of mesons
- Calculate the three-point functions of mesons and therefore their decay widths.

- Calculate the  $\theta$ -dependent couplings of three pions as well as  $p-n\pi^-$ .
- Recalculate the dipole moment of the neutron using the non-trivial axion solution

#### Finite temperature

- Calculate the finite viscosity at finite temperature without and with quarks.
- Calculate the phase diagram at finite baryon chemical potential.
- Calculate the finite temperature Polyakov loops in various symmetry channels.

## Fluctuations around the AdS<sub>5</sub> extremum



• In QCD we expect that

$$\frac{1}{\lambda} = \frac{1}{N_c e^\phi} \sim \frac{1}{\log r}$$
 ,  $ds^2 \sim \frac{1}{r^2} (dr^2 + dx_\mu dx^\mu)$  as  $r \to 0$ 

- $\bullet$  Any potential with  $V(\lambda)\sim \lambda^a$  when  $\lambda\ll 1$  gives a power different that of  ${\rm AdS}_5$
- There is an  $AdS_5$  minimum at a finite value  $\lambda_*$ . This cannot be the UV of QCD as dimensions do not match.

Near an AdS extremum

$$V = \frac{12}{\ell^2} - \frac{16\xi}{3\ell^2}\phi^2 + \mathcal{O}(\phi^3) \quad , \quad \frac{18}{\ell}\delta A' = \delta\phi'^2 - \frac{4}{\ell^2}\phi^2 = \mathcal{O}(\delta\phi^2) \quad , \quad \delta\phi'' - \frac{4}{\ell}\delta\phi' - \frac{4\xi}{\ell^2}\delta\phi = 0$$

where  $\phi << 1$ . The general solution of the second equation is

$$\delta \phi = C_{+}e^{\frac{(2+2\sqrt{1+\xi})u}{\ell}} + C_{-}e^{\frac{(2-2\sqrt{1+\xi})u}{\ell}}$$

For the potential in question

$$V(\phi) = \frac{e^{\frac{4}{3}\phi}}{\ell_s^2} \left[ 5 - \frac{N_c^2}{2} e^{2\phi} - N_f e^{\phi} \right] \quad , \quad \lambda_0 \equiv N_c e^{\phi_0} = \frac{-7x + \sqrt{49x^2 + 400}}{10} \quad , \quad x \equiv \frac{N_f}{N_c}$$

$$\xi = \frac{5}{4} \left[ \frac{400 + 49x^2 - 7x\sqrt{49x^2 + 400}}{100 + 7x^2 - x\sqrt{49x^2 + 400}} \right] \quad , \quad \frac{\ell_s^2}{\ell^2} = e^{\frac{4}{3}\phi_0} \left[ \frac{100 + 7x^2 - x\sqrt{49x^2 + 400}}{400} \right]$$

The associated dimension is  $\Delta = 2 + 2\sqrt{1+\xi}$  and satisfies

$$2+3\sqrt{2}<\Delta<2+2\sqrt{6}$$
 or equivalently  $6.24<\Delta<6.90$ 

It corresponds to an irrelevant operator. It is most probably relevant for the Banks-Zaks fixed points.

Bigazzi+Casero+Cotrone+Kiritsis+Paredes

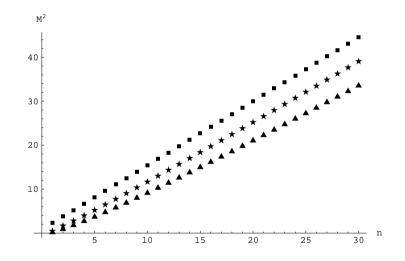
#### RETURN

## Estimating the importance of logarithmic scaling

We keep the IR asymptotics of background II,but change the UV to power asymptoting AdS<sub>5</sub>, with a small  $\lambda_*$ .  $e^A(r) = \frac{1}{r}e^{-(r/R)^2}, \qquad \Phi(r) = \Phi_0 + \frac{3}{2}\frac{r^2}{R^2}\sqrt{1+3\frac{R^2}{r^2}} + \frac{9}{4}\log\frac{2\frac{r}{R}+2\sqrt{\frac{r^2}{R^2}+\frac{3}{2}}}{\sqrt{6}}.$ 

$$W_{conf} = W_0 \left( 9 + 4b_0^2 (\lambda - \lambda_*)^2 \right)^{1/3} \left( 9a + (2b_0^2 + 3b_1) \log \left[ 1 + (\lambda - \lambda_*^2) \right] \right)^{2a/3}.$$

We fix parameters so that the physical QCD scale is the same (as determined from asymptotic slope of Regge trajectories.



The stars correspond to the asymptotically free background I with  $b_0=4.2$  and  $\lambda_0=0.05$ ; the squares correspond the results obtained in the first background with  $R=11.4\ell$ ; the triangles denote the spectrum in the second background with b0=4.2, li=0.071 and  $l_*=0.01$ . These values are chosen so that the slopes coincide asymptotically for large n.

### Detailed plan of the presentation

- Title page 0 minutes
- Bibliography 1 minutes
- Introduction 5 minutes
- AdS/CFT and holography 7 minutes
- Critical string theory holography 10 minutes
- Non-Critical holography 12 minutes
- Holographic meson dynamics: the models 14 minutes
- Non-supersymmetric backgrounds with abelian flavor branes 16 minutes
- The Sakai-Sugimoto model 18 minutes
- AdS/QCD 20 minutes
- Improving AdS/QCD 21 minutes
- A string theory for QCD:basic expectation 24 minutes
- bosonic or superstring? 27 minutes

- bosonic string or superstring? (continued) 30 minutes
- The minimal effective string theory spectrum 32 minutes
- The relevant defects 35 minutes
- Effective action I 37 minutes
- Effective action II 38 minutes
- The UV regime 43 minutes
- The IR regime 45 minutes
- An assessment of IR asymptotics 49 minutes
- Selecting the IR asymptotics 52 minutes
- Improved Holographic QCD: a model 56 minutes
- Parameters 58 minutes
- Further  $\alpha'$  corrections 60 minutes
- Organizing the vacuum solutions 62 minutes
- The IR regime 64 minutes
- Wilson loops and confinement 66 minutes

- General criterion for confinement 69 minutes
- Classification of confining superpotentials 72 minutes
- Confining  $\beta$ -functions 75 minutes
- Comments on confining backgrounds 77 minutes
- Particle Spectra: generalities 80 minutes
- The axion background 83 minutes
- ullet Quarks  $(N_f \ll N_c)$  and mesons 88 minutes
- Tachyon dynamics 92 minutes

#### THE DATA

- Concrete models: I 94 minutes
- Dependence of absolute mass scale on  $\lambda_0$  95 minutes
- Dependence of mass ratios on  $\lambda_0$  96 minutes
- The glueball wavefunctions 97 minutes
- Comparison of scalar and tensor potential 98 minutes
- Linearity of the glueball spectrum 99 minutes
- The lattice glueball data 100 minutes
- Comparison with lattice data: Ref I 101 minutes
- The fit to Ref I 102 minutes
- Pseudoscalar Glueballs 103 minutes
- $\alpha$ -dependence of scalar spectrum 104 minutes

- Two black hole branches 107 minutes
- Temperature versus horizon position 108 minutes
- ullet The free energy as a function of  $r_h$  109 minutes
- The transition in the free energy 110 minutes
- The thermodynamic quantities 111 minutes
- Equation of state 112 minutes
- The speed of sound 113 minutes
- The specific heat 114 minutes
- Open problems 115 minutes

- Fluctuations around the AdS<sub>5</sub> extremum 118 minutes
- Estimating the importance of logarithmic scaling 120 minutes