

Cyprus summer lectures,
Paphos, July, 2008

POSSIBLE PHYSICS BEYOND THE STANDARD MODEL

Available from <http://www.physics.uoc.gr/~kiritsis/web/Cyprus-2008.pdf>

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Quote

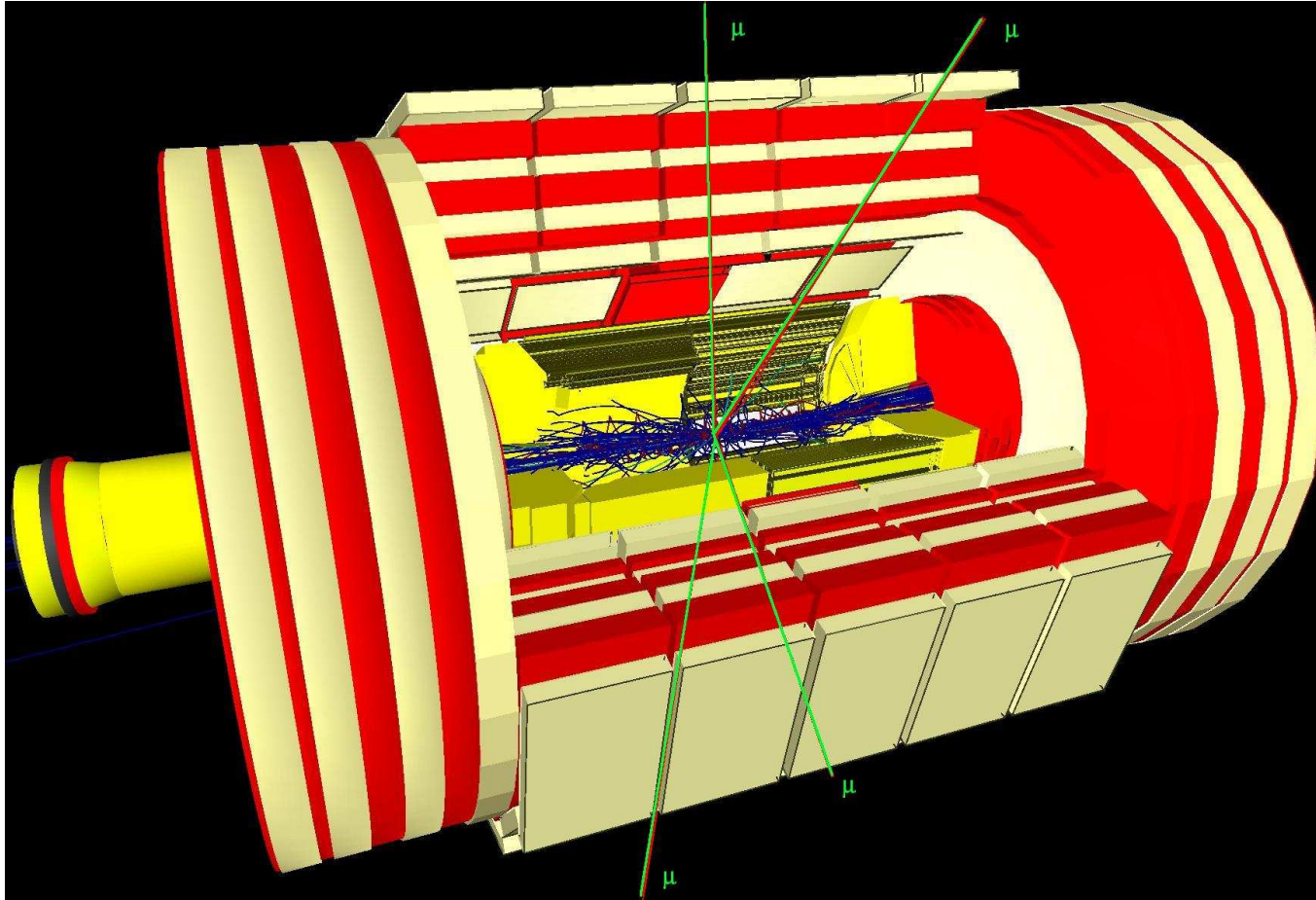
“Understanding nature is one of the noblest endeavors the human race has ever undertaken”

Steven Weinberg

- What do we expect to see at LHC?

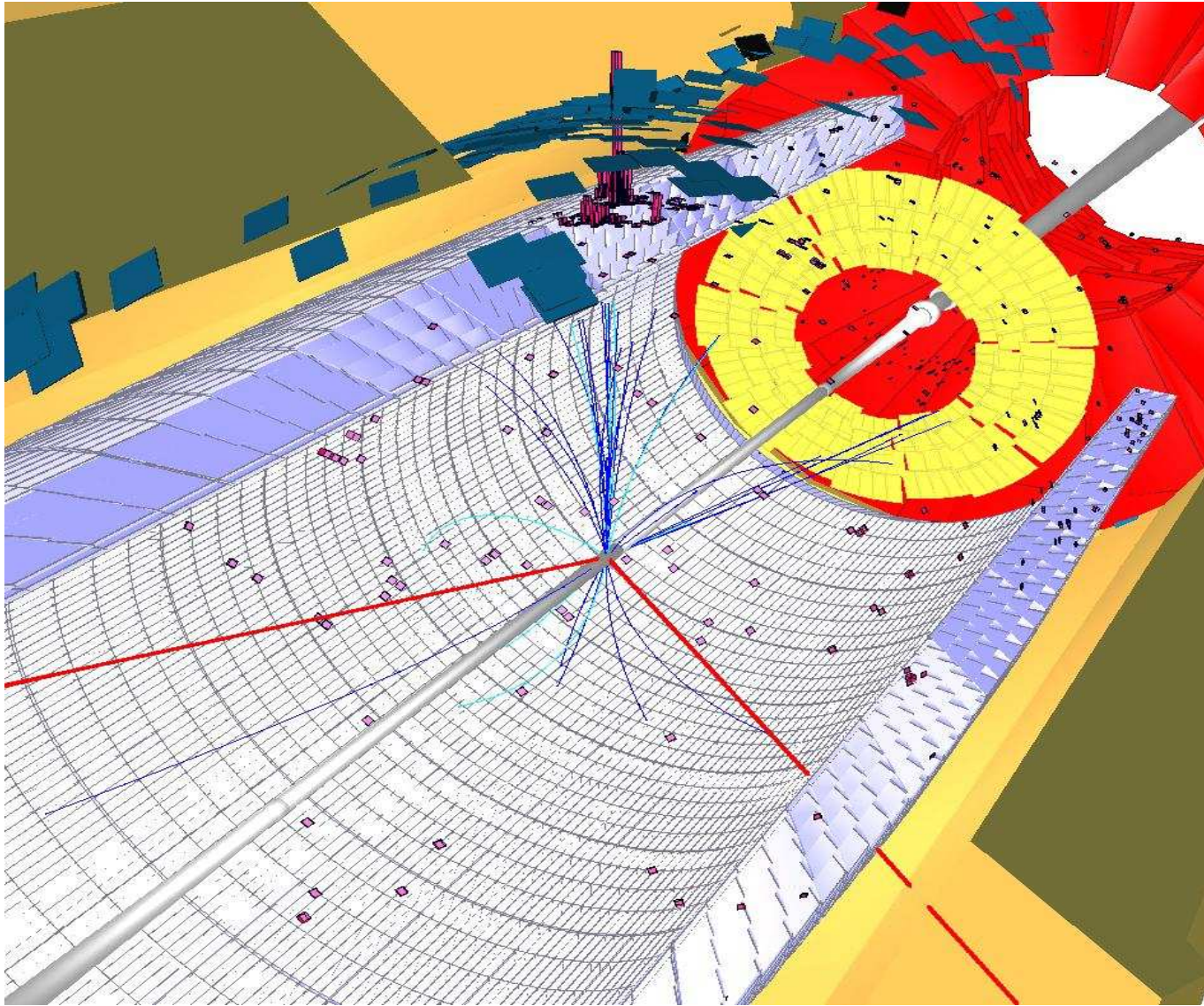
Preview: Higgs

Possible exciting physics we are preparing to search for: Higgs particle

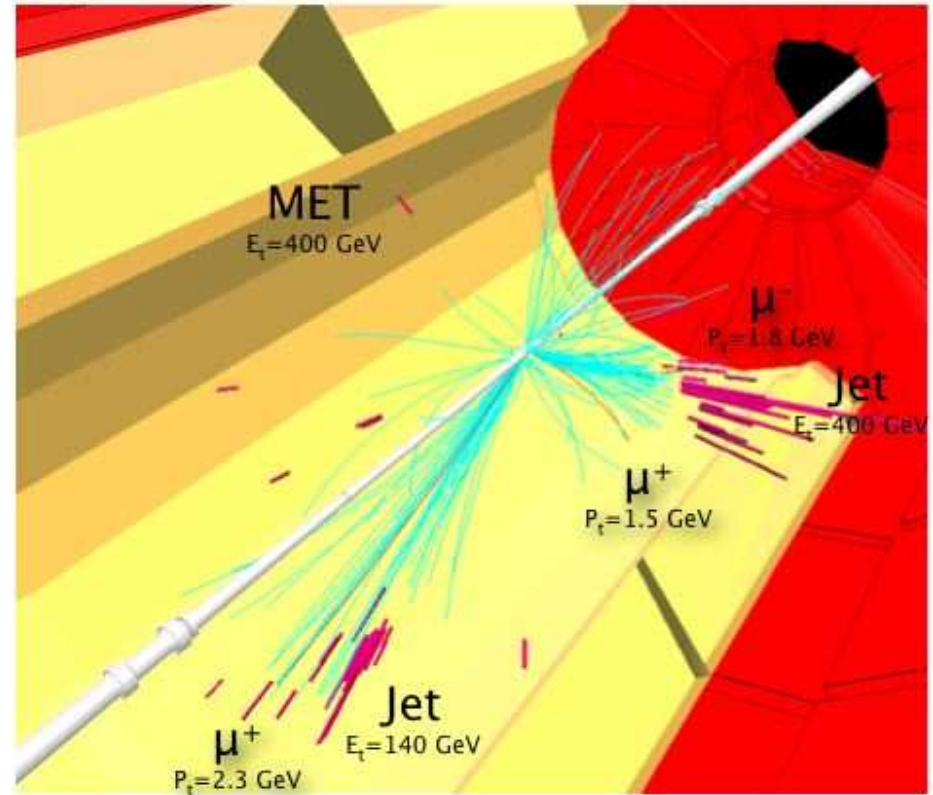
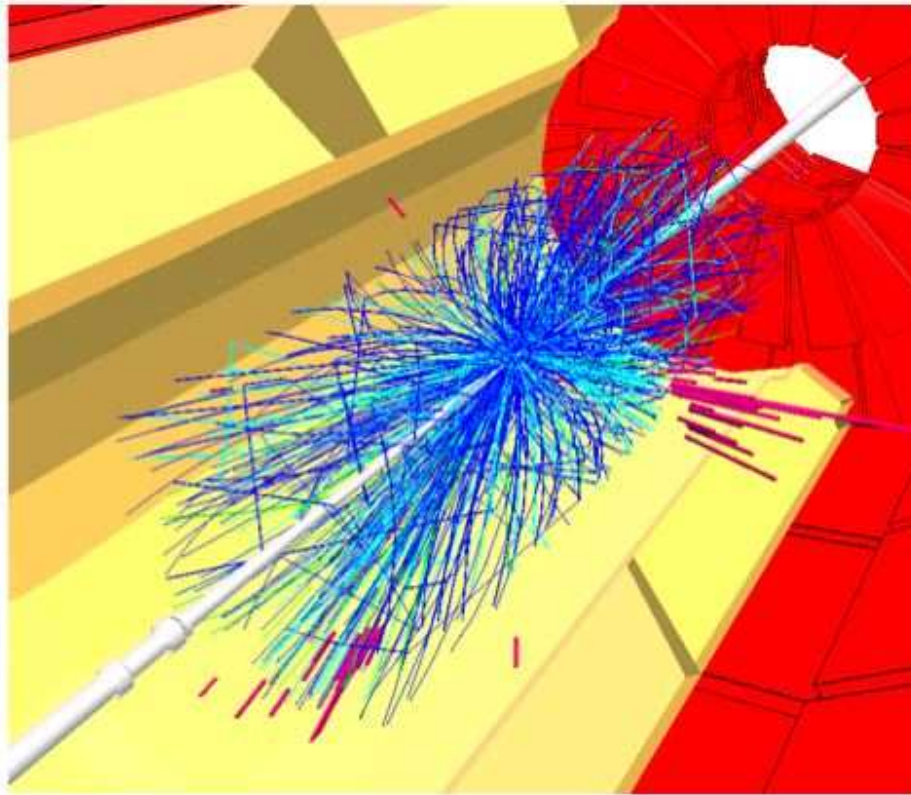


Requested by the SM, might tell us a lot about the hierarchy puzzle.

Preview: Supersymmetry



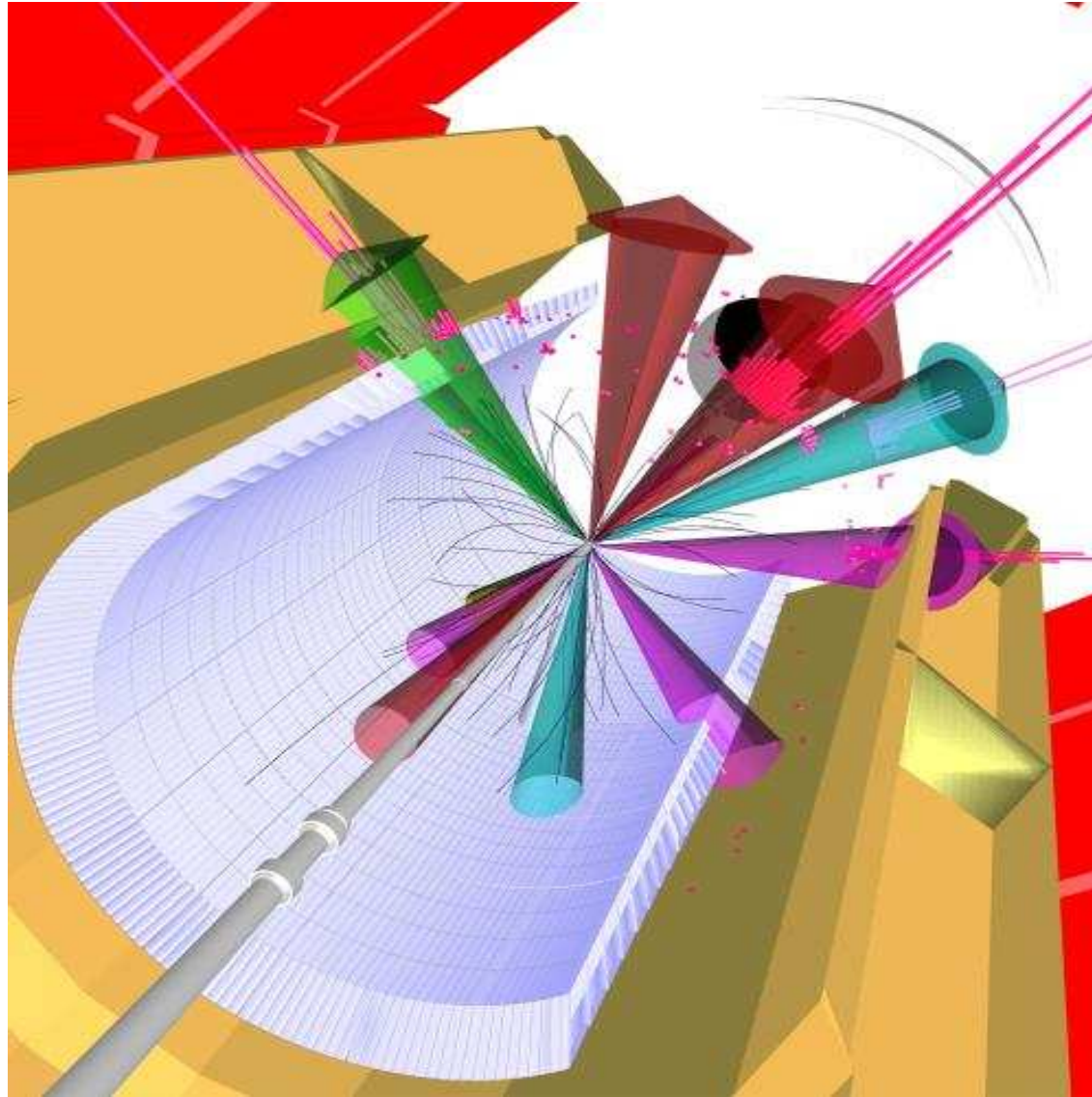
SUSY event: A decay of a neutralino into $Z + \text{LSP}$, the Z decays into two muons.

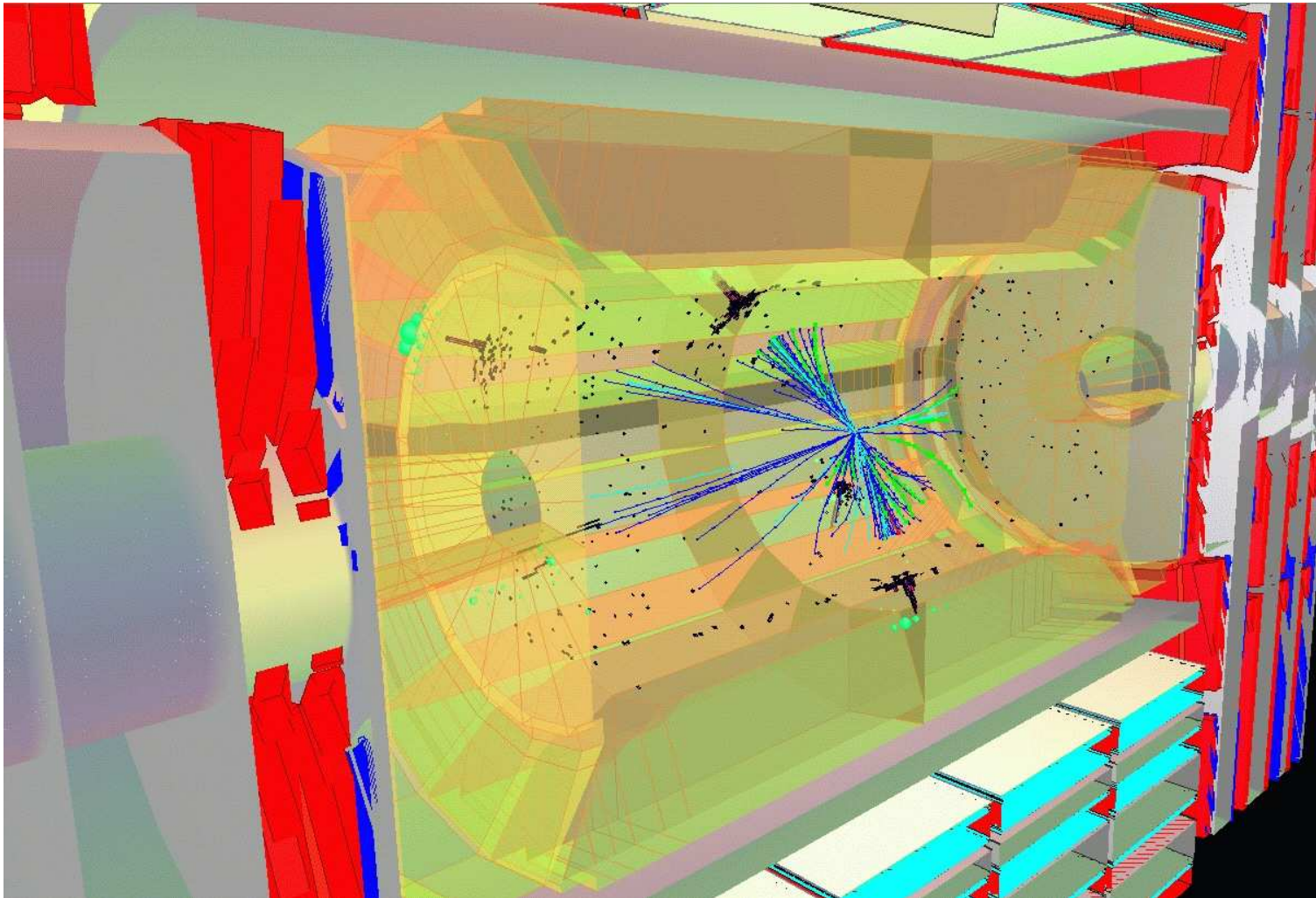


Missing transverse energy susy event at high luminosity

Preview: Small black-Hole production

Small black-hole may be produced and decay via Hawking radiation at LHC, if the scale of (quantum) gravity is low.





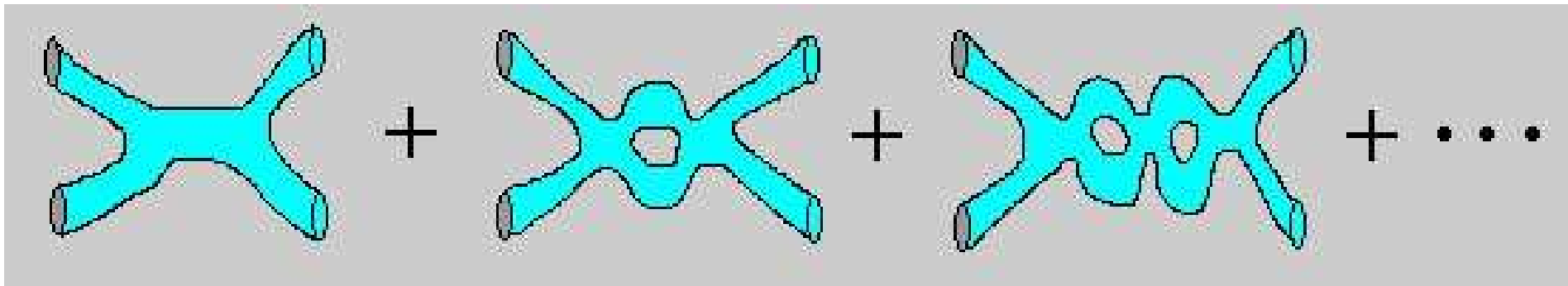
Event of BH production with $M_P = 1$ TeV and two extra dimensions.

See the Charybdis site

<http://www.ippp.dur.ac.uk/montecarlo/leshouches/generators/charybdis/manual.html>

Preview: Strings?

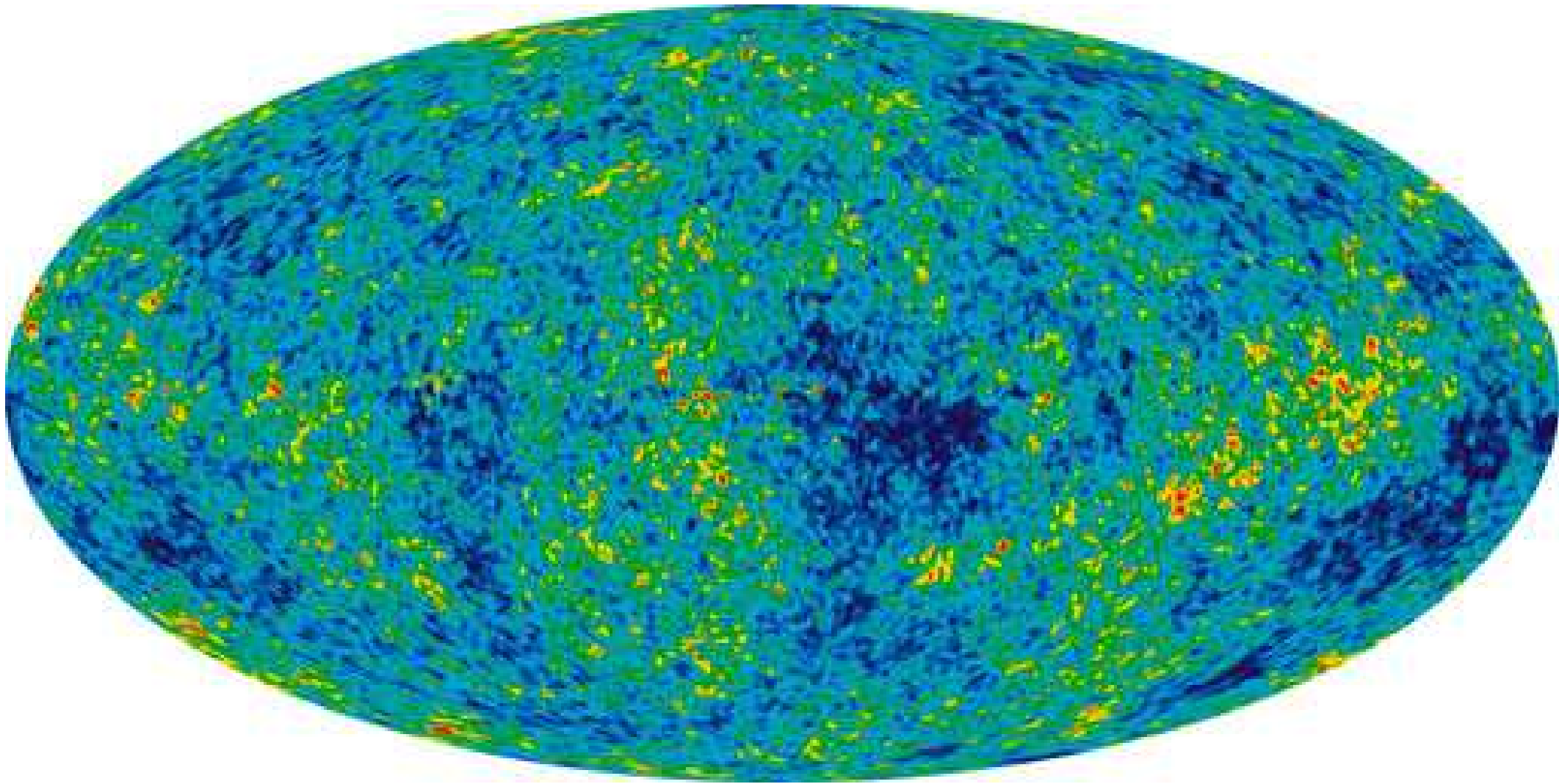
String excited modes can also be produced if the string scale is sufficiently low.



Preview: Dark Matter+Dark Energy

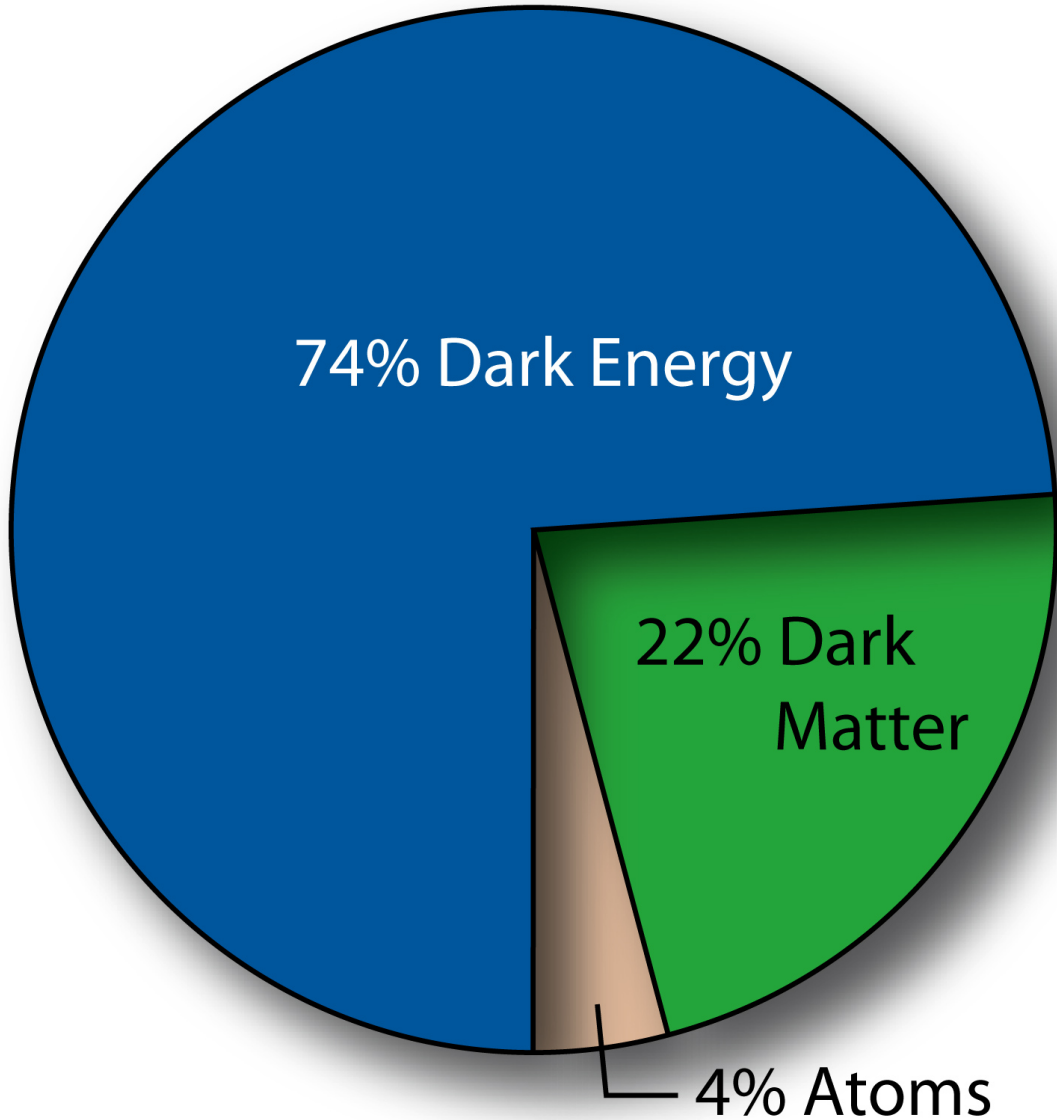


Can particle physics provide a candidate for the dark matter of the universe?



Can particle physics provide explanations for the primordial spectrum of cosmological fluctuations?

The cosmic Pie



What is the dark energy?

The purpose of these lectures

- Why we believe the Standard Model is not the final (fundamental) theory of the world?
- Why do we believe that there is new physics around the TeV range?
- What types of new physics at shorter distances theorists have guessed during the past twenty years and why?

♥ This is an exciting period because it is some of this new physics that we are going to test at LHC

♠ We are also living in an era where similar, revolutionary data are coming from cosmology and they also probe the nature of the fundamental theory

♣ Most probably it will be some of you that will solve the puzzles and nail down the fundamental theory that extends and completes the SM!

Suggested reading

- “Effective Field Theories” by Aneesh Manohar,
[arXiv:hep-ph/9606222]

A detailed discussion of the the concept of effective field theories with applications.

- “Beyond the Standard Model” by Fabio Zwirner,
<http://doc.cern.ch/cernrep/1998/98-03/98-03.html>

Hierarchy, technicolor, unification, supersymmetry, supergravity, supersymmetry breaking.

- “Supersymmetry and duality in field theory and string theory” by Elias Kiritsis
[arXiv:hep-ph/9911525]

Duality and monopoles in supersymmetric theories and string theory.

- “Supersymmetry Phenomenology” by Hitoshi Murayama
[arXiv:hep-ph/0002232]

Supersymmetric phenomenology.

- “Technicolor” , by **Ken Lane**,
[arXiv:hep-ph/0007304]
Technicolor review.
- “Physics beyond the Standard Model” by **Gian Giudice**,
Lect. Notes Phys. **591**:294-327,2002
- “Beyond the Standard Model” by **John Iliopoulos**
<http://preprints.cern.ch/cernrep/2004/2004-001/2004-001.html>
Unification, supersymmetry, monopoles and electric-magnetic duality, supergravity, string theory.
- “Phenomenological guide to physics beyond the standard model” , by **Stefan Pokorski**,
[arXiv:hep-ph/0502132]
Hierarchy problem, unification, supersymmetry.
- “Phenomenology beyond the Standard Model” by **Joe Lykken**,
[arXiv:hep-ph/0503148]
Extra dimensions, little Higgs, Higgs-less models (orbifolds), Little Higgs.

Most obtainable from the archive <http://xxx.arxiv.cornell.edu/>

A tentative plan

- Why do we expect new physics beyond the SM?
- Grand Unification
- The hierarchy problem
- Supersymmetry
- Gravity and String Theory
- The physics of extra dimensions.
- Black holes

High Energy Units

We use

$$h = 1 \quad , \quad c = 1$$

$$[Energy] \sim [Mass] \sim \frac{1}{[Length]} \sim \frac{1}{[Time]}$$

The Standard Model: principles

- The Standard Model of the Electroweak and Strong interactions has been a very successful theory.
- Effort started at the beginning of the twentieth century. Consolidated by the establishment of Quantum Field Theory.

QFT=Special Relativity+ Quantum Mechanics

- All interactions are based on the “gauge principle” (including gravity) \Rightarrow invariance under local (independent) symmetry transformations. (the first model for this was electromagnetism)
- Renormalizability was another principle at the time the SM was formulated. We understand today that it is not a necessity: Effective field theories need not be renormalizable but fundamental QFTs do.
- Other important principles are: Locality, Unitarity.

The Standard Model: ingredients

A review of the ingredients

Gauge groups

♣ Strong force: $SU(3)_{\text{color}} \rightarrow$ three colors.

Carriers: gluons are spin-one octets

\rightarrow (color/anti-color) combinations. ($SU(N) \rightarrow N^2-1$ gauge bosons)

They are confined inside hadrons \Rightarrow “glue”.

♠ The electroweak force: $SU(2) \times U(1)_Y$, it is spontaneously broken to $U(1)_{EM}$ by the Higgs effect.

Carriers: W^\pm , Z^0 (massive), γ (massless)

Standard Model: the quarks

Left-handed: $\begin{pmatrix} U_L \\ D_L \end{pmatrix}^a_{\frac{1}{6}}$, $\begin{pmatrix} C_L \\ S_L \end{pmatrix}^a_{\frac{1}{6}}$, $\begin{pmatrix} T_L \\ B_L \end{pmatrix}^a_{\frac{1}{6}}$,

a=red, blue, green

Right-handed: $\begin{pmatrix} U_R \end{pmatrix}^a_{\frac{2}{3}}$, $\begin{pmatrix} D_R \end{pmatrix}^a_{-\frac{1}{3}}$, $\begin{pmatrix} C_R \end{pmatrix}^a_{\frac{2}{3}}$, $\begin{pmatrix} S_R \end{pmatrix}^a_{-\frac{1}{3}}$, $\begin{pmatrix} T_R \end{pmatrix}^a_{\frac{2}{3}}$,
 $\begin{pmatrix} B_R \end{pmatrix}^a_{-\frac{1}{3}}$

The SM is a **chiral** theory.

Standard Model: the leptons

Left-handed: $\begin{pmatrix} \nu_e \\ e_L \end{pmatrix}_{-\frac{1}{2}}$, $\begin{pmatrix} \nu_\mu \\ \mu_L \end{pmatrix}_{-\frac{1}{2}}$, $\begin{pmatrix} \nu_\tau \\ \tau_L \end{pmatrix}_{-\frac{1}{2}}$

Right-handed $\begin{pmatrix} e_R \end{pmatrix}_{-1}$, $\begin{pmatrix} \mu_R \end{pmatrix}_{-1}$, $\begin{pmatrix} \tau_R \end{pmatrix}_{-1}$

and

$$\begin{pmatrix} \nu_e^R \end{pmatrix}_0, \quad \begin{pmatrix} \nu_\mu^R \end{pmatrix}_0, \quad \begin{pmatrix} \nu_\tau^R \end{pmatrix}_0$$

All fermions come in three copies: the families.

Standard Model: the Higgs

- The SM has interaction carriers with spin-one and “matter” with spin- $\frac{1}{2}$
- There is a spin-0 player as well: the Higgs. It is a (complex)-scalar SU(2) doublet with hypercharge $\frac{1}{2}$. Its “raison d’être” : **break the electroweak symmetry spontaneously**. As a result it gives masses to matter particles.
- Three of its components

$$H^{\pm} , \quad \text{Im}(H^0)$$

become the third components of the massive gauge bosons

$$W^{\pm} , \quad Z^0$$

after electro-weak symmetry breaking.

- The fourth, $\text{Re}(H^0) \Rightarrow$ physical neutral scalar that we expect to see at LHC.

Standard Model: Open problems

The standard model was constructed as a **renormalizable theory**
→ as such it can be extended in principle to very high energies.

Why do we believe that there is more to know beyond the Standard Model?

Three sets of experimental data that are not accounted for or explained by the SM:

♣ **Neutrinos have (VERY small) masses and they mix.**

♠ **There is a lot (22%) of dark (non-SM) matter in the universe.**
Neutrinos are part of it but **cannot account for most of it.**

♠ **There is another source of energy in the universe (74%) , known as “dark energy” (vacuum energy?)** This translates to $|V_{vac}| \sim (10^{-3} \text{ eV})^4$.

In the SM $|V_{vac}| \gtrsim 10^{44} \text{ eV}^4 \gg 10^{-12} \text{ eV}^4$

Off by 56 orders of magnitude

Standard Model: Open problems II

- (Quantum) Gravity is not part of the Standard Model. One of the deepest questions of modern theoretical physics is: why the characteristic scale of gravity

$$M_{\text{Planck}} = \frac{1}{\sqrt{G_N}} \simeq 10^{19} \text{ GeV}$$

is so much higher than the other scales of particle physics?

- The Standard model alone contains IR-free couplings \Rightarrow strongly-coupled UV physics.
- The SM has many unexplained parameters and patterns.

THEREFORE: SM is an Effective Field Theory (EFT) valid below 100 GeV. Must be replaced by a more fundamental theory at a higher scale Λ .

How big is Λ ?

- Λ must be small: $\Lambda \sim$ a few TeV. Otherwise we suffer from a technical (fine-tuning) problem also known as the hierarchy problem (more later).

SM patterns and parameters

- The standard model group $SU(3) \times SU(2) \times U(1)$ is not “unified”, the coupling constants

$$g_3^2 \simeq 1.5, \quad g_2^2 \simeq 0.42, \quad g_Y^2 \simeq 0.13$$

are independent parameters. This can be improved if the fundamental theory has a simple gauge group, like $SU(5)$ that contains the SM gauge group.

- The matter content and representations seems not very “regular”. Why not higher representations? Hypercharges seem also bizarre. (but up to normalizations they are determined by the absence of gauge anomalies (BIM))
- Why three families? (“Who ordered that?”)
- What decides the scale of Electroweak symmetry breaking

$$v_F \simeq 246 \quad \text{GeV} \quad ?$$

What decides the mass of the Higgs?

The pattern of masses

- The pattern of SM masses is mysterious at least:

family \ type	ups	downs	leptons
3rd	$m_t = 175$	$m_b = 4.2$	$m_\tau = 1.7$
2nd	$m_c = 1.2$	$m_s = 0.1$	$m_\mu = 0.1$
1st	$m_u = 3 \times 10^{-3}$	$m_d = 5 \times 10^{-3}$	$m_e = 5 \times 10^{-4}$

- Neutrino masses seem to be in the $10^{-12} - 10^{-14}$ GeV range. SM masses span 16 orders of magnitude.

This is a question for the Yukawa couplings λ_i :

$$\lambda_i H \psi_R^\dagger \psi_L \rightarrow \lambda_i (v_F + \delta H) \psi_R^\dagger \psi_L \rightarrow m_i = \lambda_i v_F$$

We want to explain their ratios and the absolute normalization, (as we can do it for the spectral lines of atoms.)

Other SM parameters

There are others parameters, which are measured in the SM, whose values are not explained:

- The elements of the Kobayashi-Maskawa matrix: three mixing angles and a phase that controls CP violation. There is a similar matrix for the Neutrino sector.
- A non-perturbative parameter: the θ -angle of QCD:

$$\sim \theta \int d^4x \epsilon^{\mu\nu\rho\sigma} \text{Tr}[F_{\mu\nu}F_{\rho\sigma}]$$

A non-zero value breaks CP in the strong interactions (this is contrary to observations.)

This is the “strong CP-problem” Experimentally

$$d_n \lesssim 10^{-25} \text{ e cm} \quad \rightarrow \quad \theta \lesssim 2 \times 10^{-10}$$

How parameters affect us?

How academic is the issue of such parameters?

Most of them are crucial to the existence of our universe as we know it, and the existence of humans as we know them.

- $v_F \rightarrow 0$ then p is unstable to decay to neutrons \rightarrow no Hydrogen. The reason:

$$m_n - m_p \simeq (2m_d + m_u) - (2m_u + m_d) + E_n^{\text{EM}} - E_p^{\text{EM}} \simeq m_d - m_u + E_n^{\text{EM}} - E_p^{\text{EM}}$$

The EM mass difference $E_n^{\text{EM}} - E_p^{\text{EM}} \sim -1.7 \text{ MeV}$ is independent of v_F but comes from quantum effects of electromagnetism.



By lowering v_F we can make $m_d - m_u \simeq (\lambda_d - \lambda_u)v_F > 0$ very small. Then the EM Mass difference dominates and $m_p > m_n$.

- $v_F \gg 246$ GeV n-p mass difference is very large and the nuclear force becomes of shorter range \rightarrow nuclei cannot be bound \rightarrow nothing but hydrogen in the universe.

From the GellMann-Oakes-Reines relation $m_\pi^2 = (m_u + m_d) \frac{\sigma}{f_\pi^2}$. σ is the vev of the chiral condensate for zero masses and depends only on QCD physics. The same applied to f_π that controls the pion self-interactions. This formula indicates that even for very small quark masses, the pion mass is determined by the quark masses.

If now the masses are much larger than Λ_{QCD} then we expect

$$m_\pi \sim m_u + m_d$$

The range of the nuclear force (roughly due to the exchange of pions) is about $1/m_\pi$. The deuteron becomes unbound.

Eventually, the neutron becomes heavier than the proton plus its nuclear binding energy: bound neutrons would decay to protons and complex nuclei cease to exist.

Much later, (for very large v_F) only $\Delta^{++} = uuu$ would become the only stable particle

- changing the $\alpha_{em} \rightarrow$ no C^{12} resonance \rightarrow no carbon in our universe.

See [\[arXiv:hep-ph/9801253\]](https://arxiv.org/abs/hep-ph/9801253) for more information

Grand Unification: The idea

The Standard Model gauge group is not “fully unified”. At higher energy, the symmetry becomes larger. At lower energies it breaks spontaneously to the standard model group: $SU(3) \times SU(2) \times U(1)_Y$

$$SU(3) \implies U_3 U_3^\dagger = \mathbf{1} \quad , \quad \det(U_3) = 1$$

$$SU(2) \implies U_2 U_2^\dagger = \mathbf{1} \quad , \quad \det(U_2) = 1$$

We can include $SU(3) \times SU(2) \times U(1)_Y$ inside $SU(5)$

$$SU(5) \implies U_5 U_5^\dagger = \mathbf{1} \quad , \quad \det(U_5) = 1$$

Exercise: There are other Lie Groups with rank 4: $SU(2)^4, SO(5)^2, G_2^2, SO(8), SO(9), Sp(8), F_4, SU(3)^2$. Why we do not consider them for unifying the SM spectrum and interactions?

subgroups of SU(5)

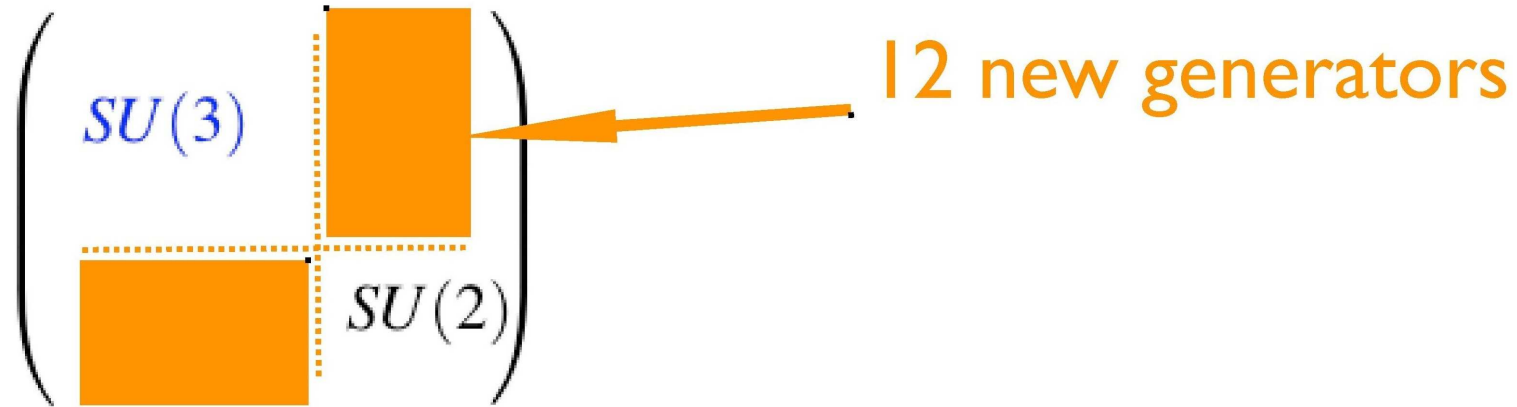
$$SU(3) \rightarrow \left(\begin{array}{ccc|cc} & & & 0 & 0 \\ & & & 0 & 0 \\ & & & 0 & 0 \\ \hline 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{array} \right) = \left(\begin{array}{c|c} U_3 & 0 \\ \hline 0 & \mathbf{1}_{2 \times 2} \end{array} \right)$$

$$SU(2) \rightarrow \left(\begin{array}{ccc|cc} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ \hline 0 & 0 & 0 & & \\ 0 & 0 & 0 & U_2 & \end{array} \right) = \left(\begin{array}{c|c} \mathbf{1}_{3 \times 3} & 0 \\ \hline 0 & U_2 \end{array} \right)$$

$$U(1)_Y \sim \frac{1}{6} \begin{pmatrix} 2 & 0 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 & 0 \\ 0 & 0 & 2 & 0 & 0 \\ 0 & 0 & 0 & -3 & 0 \\ 0 & 0 & 0 & 0 & -3 \end{pmatrix}$$

Since $\dim(SU(5))=24$, there are 12 extra gauge bosons apart from the SM ones.

generators of SU(5)



$$U(x)U(x)^\dagger = 1 \quad , \quad \text{Det}[U(x)] = 1$$

The infinitesimal generators of SU(5) are T^a , $a = 1, 2, \dots, 24$

$$U(x) = e^{iT^a\theta^a(x)} \quad , \quad (T^a)^\dagger = T^a \quad , \quad \text{Tr}[T^a] = 0$$

- SU(3) generators

$$\begin{pmatrix} * & * & * & 0 & 0 \\ * & * & * & 0 & 0 \\ * & * & * & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

- SU(2) generators

$$\begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & * & * \\ 0 & 0 & 0 & * & * \end{pmatrix}$$

- $U(1)_Y$ generator.

$$U(1)_Y \sim \frac{1}{6} \begin{pmatrix} \mathbf{2} & 0 & 0 & 0 & 0 \\ 0 & \mathbf{2} & 0 & 0 & 0 \\ 0 & 0 & \mathbf{2} & 0 & 0 \\ 0 & 0 & 0 & \mathbf{-3} & 0 \\ 0 & 0 & 0 & 0 & \mathbf{-3} \end{pmatrix}$$

$$T_{13} = \begin{pmatrix} \mathbf{0} & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad T_{14} = \begin{pmatrix} \mathbf{0} & i & 0 \\ -i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \dots \quad T_{24}$$

12 extra gauge bosons \sim 6 complex fields

$$SU(2) \begin{matrix} \updownarrow \\ \left(\begin{array}{ccc} X_1 & X_2 & X_3 \\ Y_1 & Y_2 & Y_3 \end{array} \right) \\ \leftarrow \text{---} \rightarrow \\ \end{matrix} = \left(\bar{3}, 2, \frac{5}{6} \right)$$

$SU(3)$

SU(5): the matter

$$\mathbf{10} = \begin{pmatrix}
 \begin{matrix} 0 & \bar{u}_3 & -\bar{u}_2 \\ -\bar{u}_3 & 0 & \bar{u}_1 \\ \bar{u}_2 & -\bar{u}_1 & 0 \end{matrix} & \begin{matrix} u_1 & d_1 \\ u_2 & d_2 \\ u_3 & d_3 \end{matrix} \\
 \begin{matrix} -u_1 & -u_2 & -u_3 \\ -d_1 & -d_2 & -d_3 \end{matrix} & \begin{matrix} 0 & \bar{e} \\ -\bar{e} & 0 \end{matrix}
 \end{pmatrix} \begin{matrix} \longrightarrow \begin{pmatrix} u_L \\ d_L \end{pmatrix} \\ \longrightarrow \bar{e}_R \end{matrix}
 \end{pmatrix} \quad \bar{\mathbf{5}} = \begin{pmatrix} \bar{d}_1 \\ \bar{d}_2 \\ \bar{d}_3 \\ e_L \\ \nu_L \end{pmatrix}$$

There should also be a **singlet** to accommodate ν_R .

- The sum of these representations is gauge-anomaly free.
- We have three copies of such representations to generate the three families.
- The new, larger symmetry mixes quarks and leptons: **We expect baryon and lepton number to be violated by the new gauge interactions.**

Exercise:

Use the decomposition under $SU(5) \ni SU(3) \times SU(2)$

$$5 \rightarrow (3, 1) + (1, 2)$$

$$10 = (5 \otimes 5)_{\text{antisymmetric}}$$

and

$$(3 \otimes 3)_{\text{antisymmetric}} = \bar{3}$$

to show that

$$10 \rightarrow (\bar{3}, 1) + (3, 2) + (1, 1)$$

SU(5) symmetry breaking

- At a high scale M_{GUT} SU(5) must break to $SU(3) \times SU(2) \times U(1)_Y$.
- The simplest way to do this is via Higgs scalar Φ in the adjoint of SU(5) (a 5x5 hermitian traceless matrix):

$$\Phi(x)' = U(x) \Phi(x) U(x)^\dagger \quad , \quad U(x)U(x)^\dagger = 1 \quad , \quad Det[U(x)] = 1$$

or in terms of the infinitesimal generators of SU(5), T^a , $a = 1, 2, \dots, 24$

$$U(x) = e^{iT^a\theta^a(x)} \quad , \quad (T^a)^\dagger = T^a \quad , \quad Tr[T^a] = 1$$

$$\delta\Phi(x) = i\theta^a(x) [T^a, \Phi(x)]$$

- The vev that does the required symmetry breaking is proportional to the 5×5 traceless matrix

$$\hat{\lambda} = \frac{1}{\sqrt{15}} \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & -\frac{3}{2} & 0 \\ 0 & 0 & 0 & 0 & -\frac{3}{2} \end{pmatrix}$$

Exercise:

Show that such a vev does not break $SU(3) \times SU(2) \times U(1)_Y$. You will have to identify the generators of $SU(3) \times SU(2) \times U(1)_Y$ inside the T^a , and show that they commute with $\hat{\lambda}$.

- The most general renormalizable potential is

$$V(\Phi) = -\frac{m^2}{2} \text{Tr}[\Phi^2] + \frac{h_1}{4} (\text{Tr}[\Phi^2])^2 + \frac{h_2}{2} \text{Tr}[\Phi^4]$$

- When both h_1 and h_2 are positive, the global minimum of the potential is at

$$\Phi = A \hat{\lambda} \quad , \quad A^2 = \frac{15m^2}{15h_1 + 7h_2}$$

and breaks $SU(5) \rightarrow S(3) \times SU(2) \times U(1)_Y$.

- At around 200 GeV we need an extra symmetry breaking: $SU(2) \times U(1)_Y \rightarrow U(1)_{em}$. This needs an extra Higgs scalar.

To see this decompose the adjoint of SU(5) under $SU(3) \times SU(2)$

$$24 \rightarrow (8, 1) \oplus (1, 3) \oplus 2(3, 2) \oplus (1, 1)$$

This can be done using the decomposition

$$5 \rightarrow (3, 1) + (1, 2) \quad , \quad \bar{5} = (\bar{3}, 1) + (1, \bar{2}) \quad , \quad 5 \otimes \bar{5} = 24 + 1$$

No (1,2) piece!

$$\mathbf{10} = \begin{pmatrix}
 \begin{array}{ccc|cc}
 0 & \bar{u}_3 & -\bar{u}_2 & u_1 & d_1 \\
 -\bar{u}_3 & 0 & \bar{u}_1 & u_2 & d_2 \\
 \bar{u}_2 & -\bar{u}_1 & 0 & u_3 & d_3 \\
 \hline
 -u_1 & -u_2 & -u_3 & 0 & \bar{e} \\
 -d_1 & -d_2 & -d_3 & -\bar{e} & 0
 \end{array} \\
 \end{pmatrix} \begin{array}{l} \rightarrow \begin{pmatrix} u_L \\ d_L \end{pmatrix} \\ \rightarrow \bar{e}_R \end{array} \quad \bar{\mathbf{5}} = \begin{pmatrix} \bar{d}_1 \\ \bar{d}_2 \\ \bar{d}_3 \\ e_L \\ \nu_L \end{pmatrix}$$

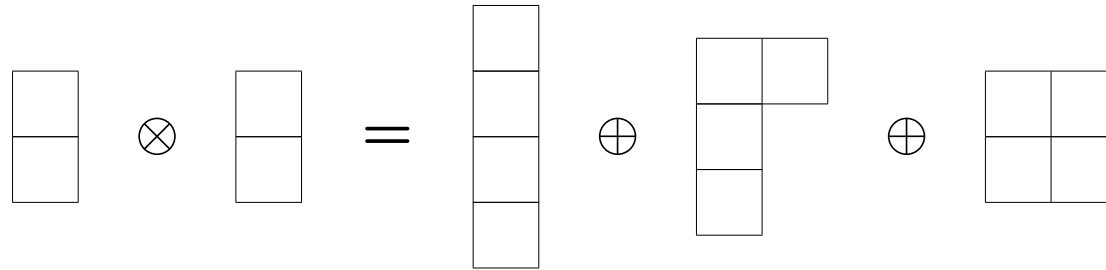
- We also need to give masses to quarks and leptons:

$\psi_{10}\psi_{10}$ gives masses to up quarks

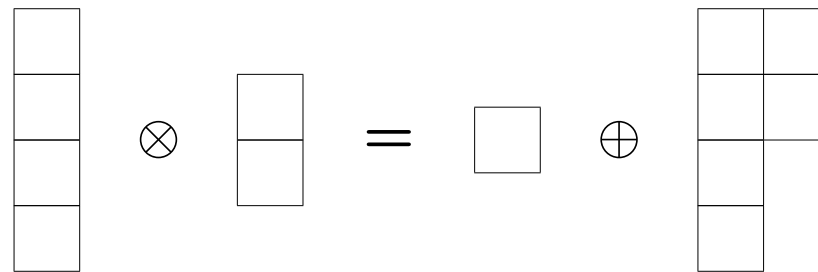
$\psi_{10}\psi_{\bar{5}}$ gives masses to down quarks and charged leptons.

We therefore need Yukawas of the form $\psi_{10}\psi_{10}Z$ and $\psi_{10}\psi_{\bar{5}}Z$ for some scalar rep Z of $SU(5)$

- from group theory:



$$10 \otimes 10 = \bar{5} \oplus \bar{45} \oplus \bar{50}$$



$$\bar{5} \otimes 10 = 5 \oplus 45$$

- Therefore a Higgs multiplet in $5 \rightarrow H$ can give masses to both quarks and leptons.

- It transforms under $SU(5)$ as

$$H'(x) = U(x) H(x) \quad , \quad U(x) \in SU(5)$$

- It also contains a $(1,2)$ to break the EW symmetry.

The full (renormalizable) Higgs potential:

$$V(H, \Phi) = V(\Phi) + V(H) + V(\Phi, H)$$

$$V(H) = -\frac{\mu^2}{2} H^\dagger H + \frac{\lambda}{4} (H^\dagger H)^2 \quad , \quad V(\Phi, H) = \alpha H^\dagger H \text{Tr}[\Phi^2] + \beta H^\dagger \Phi^2 H$$

Exercise: Show that this is the most general gauge-invariant and renormalizable potential

- For appropriate couplings there is a desired minimum:

$$\langle \Phi \rangle = A \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & -\frac{3}{2} - \frac{\epsilon}{2} & 0 \\ 0 & 0 & 0 & 0 & -\frac{3}{2} + \frac{\epsilon}{2} \end{pmatrix}, \quad \langle H \rangle = v \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

- We must have $\epsilon \ll 1$ in order to have $M_X \gg M_W$

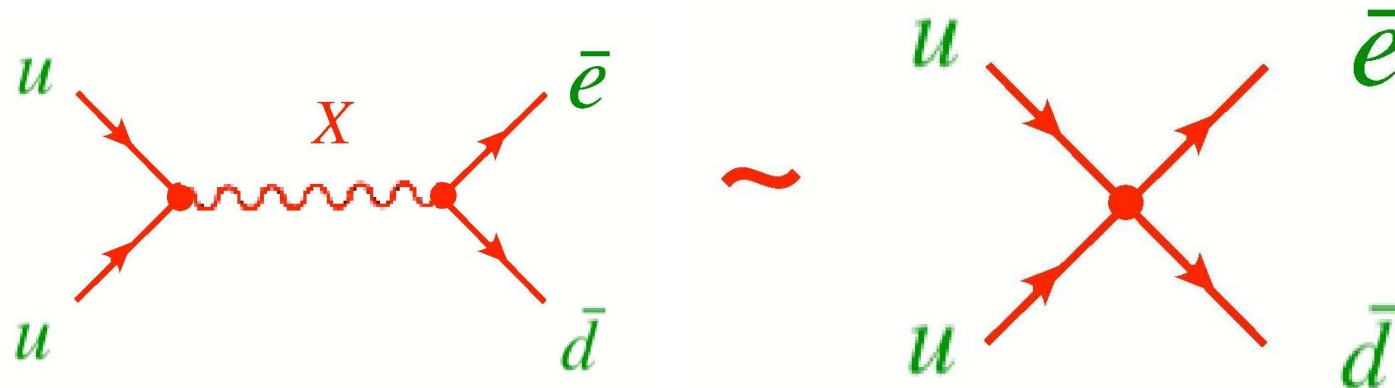
$$\epsilon = \frac{2\beta v^2}{20h_2 A^2} + \mathcal{O}\left(\frac{v^4}{A^4}\right) \sim 10^{-28}$$

A glimpse of the hierarchy problem

- We can arrange $V(H)$ and $V(\Phi)$ so that $m_H \sim v \sim 246$ GeV, and $m_\Phi \sim A \sim 10^{16}$ GeV, two very different scales.
- But the mixed potential $V(\Phi, H)$ induces a correction to $\delta m_H \sim A$
- Even if $V(\Phi, H) = 0$ at tree level it is expected to become non-zero because of quantum corrections.
- Also $H \rightarrow (3, 1) \oplus (1, 2)$ under $SU(3) \times SU(2)$. The (2,1) is the standard Higgs doublet with small mass. but the (3,1) **must have a mass $\sim A$ because it mediates proton decay** (see later).
- This is the “doublet-triplet” splitting problem.
- The $SU(5)$ model with a high-unification scale needs “unnatural” fine-tuning: it is practically uncalculable

Proton decay

The $SU(5)$ symmetry should break spontaneously at some high energy scale Λ_{GUT} to $SU(3) \times SU(2) \times U(1)_Y$ (via a new Higgs effect). The 12 extra gauge bosons X will acquire masses $M_X \sim A$.



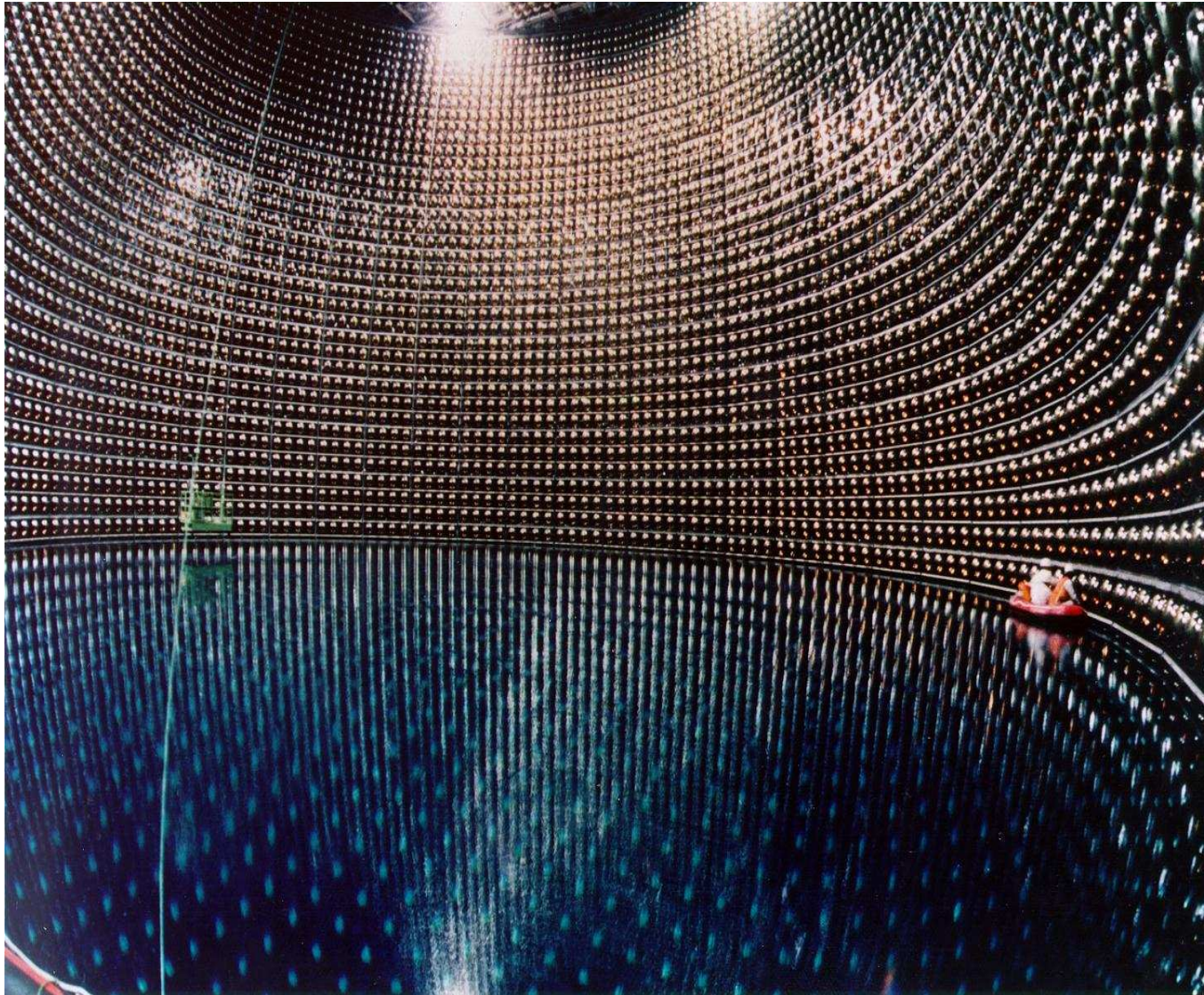
As with the Fermi example this four-fermion effective interaction has a coupling $\sim \frac{g_5^2}{M_X^2}$

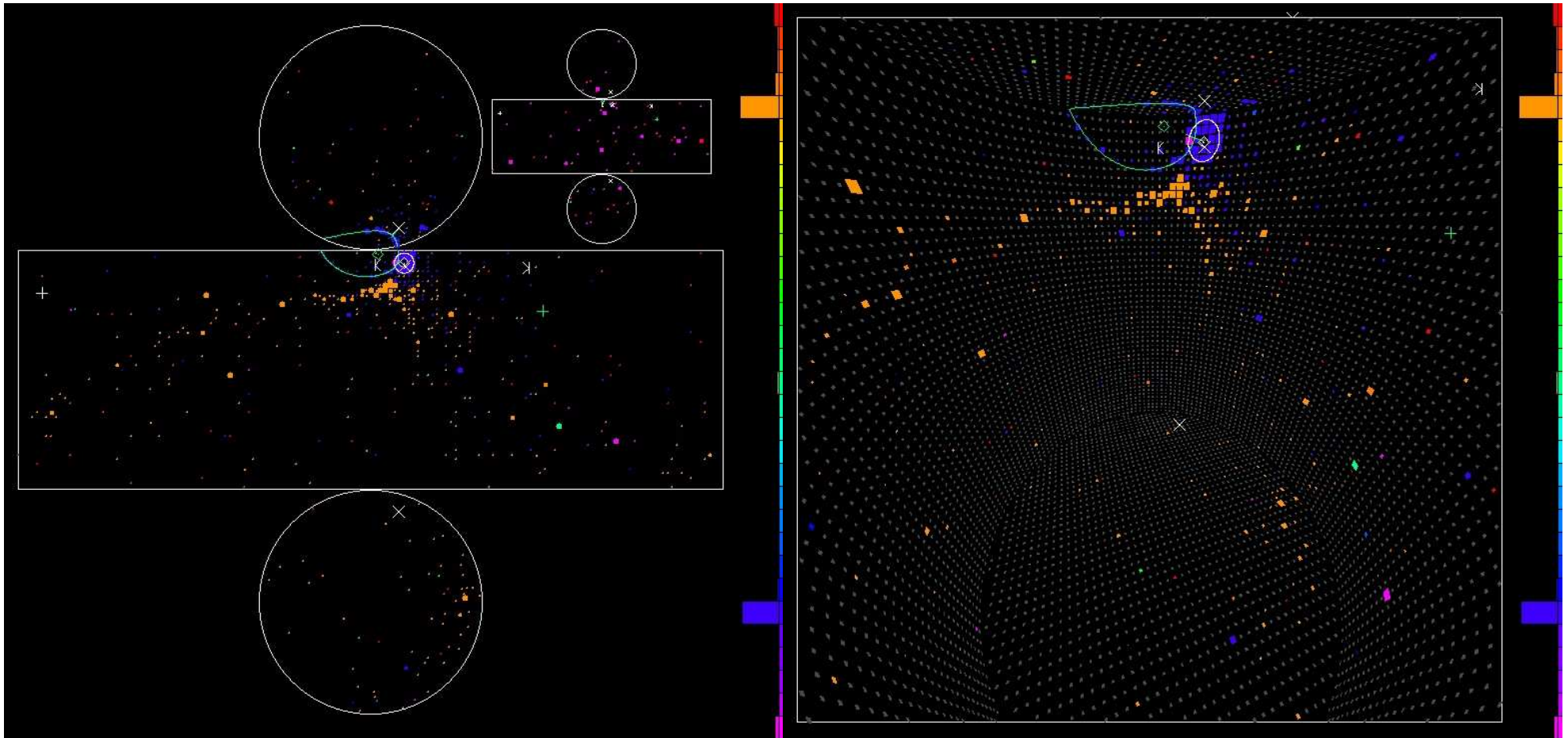
From experiment we obtain that $\tau_p > 2.6 \times 10^{33}$ years. This implies

$$M_X > 10^{15} \text{ GeV}$$

Proton decay experiments

Large detectors (known today also as “neutrino telescopes”) search for signals from the decay of protons.





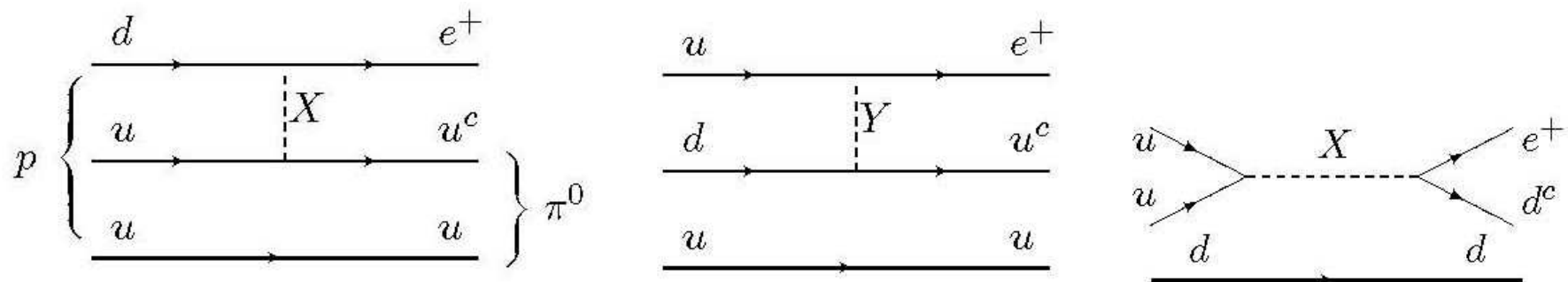
Neutrino event inside the SKM detector. It could come from one of the potential decay channels of the proton.

Proton decay channels

In standard GUTs the nucleon decay channels are as follows:

$$p \rightarrow \pi^0 + e^+ \quad \text{or} \quad p \rightarrow \pi^0 + \mu^+ \quad , \quad p \rightarrow K^+ + \bar{\nu}$$

$$p \rightarrow K^0 + e^+ \quad \text{or} \quad p \rightarrow K^0 + \mu^+ \quad , \quad n \rightarrow K^0 + \bar{\nu}$$



Exercise:

Starting from the basic SU(5) baryon-violating reaction $uu \rightarrow e^+ \nu_e$ and all other obtained from this one by the SU(5) symmetry, derive the rest of the reactions above

For more details see <http://arxiv.org/pdf/hep-ph/0211024>

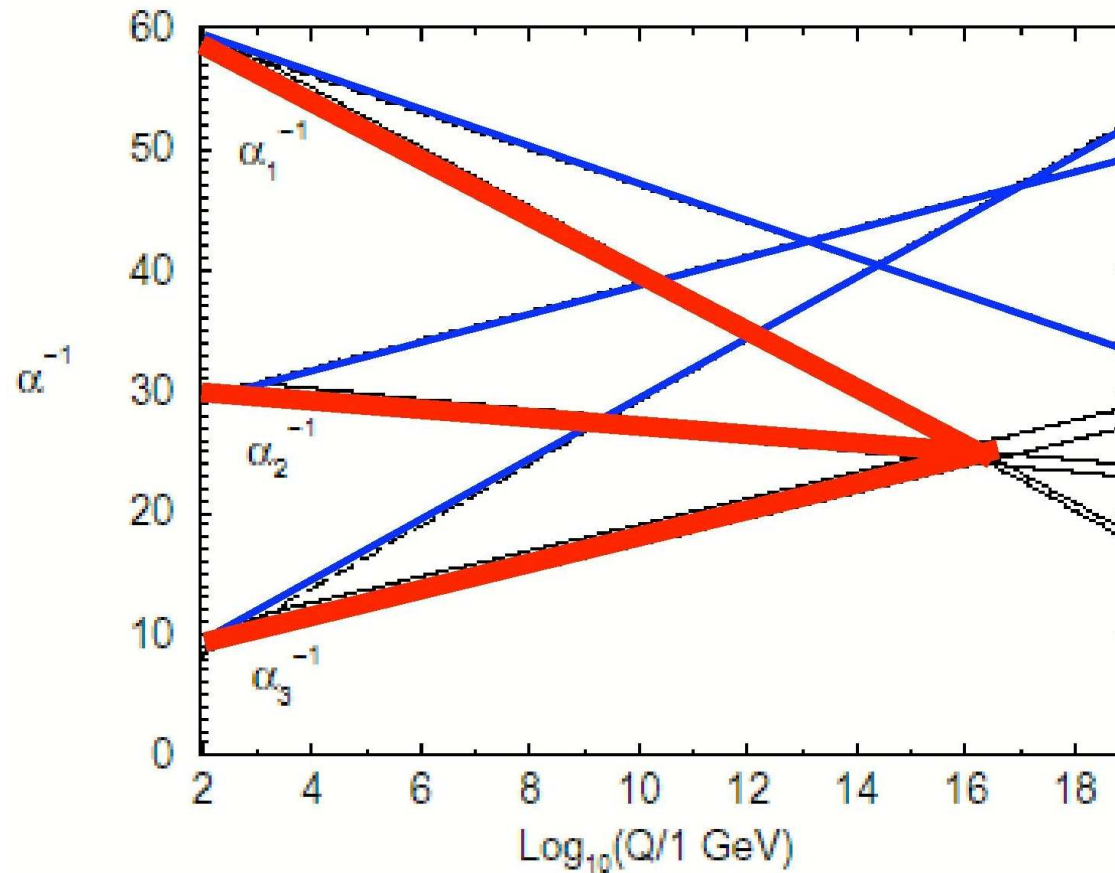
RETURN

Coupling unification

We have coupling unification at the scale $\Lambda = M_X$

$$g_3 = g_2 = \sqrt{\frac{5}{3}} g_Y = g_5 \equiv g_{\text{GUT}}$$

This seems in good agreement with the data if we allow for the renormalization group running



Hypercharge normalization

We have seen that

$$Y = \frac{1}{6} \begin{pmatrix} 2 & 0 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 & 0 \\ 0 & 0 & 2 & 0 & 0 \\ 0 & 0 & 0 & -3 & 0 \\ 0 & 0 & 0 & 0 & -3 \end{pmatrix}$$

But we use matrices normalized to $1/2$ to define the gauge theory

$$T_Y = \xi Y \quad , \quad \text{Tr}[T_Y T_Y] = \frac{1}{2} \quad , \quad \xi = \sqrt{\frac{3}{5}}$$

so that

$$A_\mu = T_Y B_\mu \quad , \quad \delta L = -\frac{1}{2} \text{Tr}[F_A]^2 + g_{\text{GUT}} \text{Tr}[A_\mu J^\mu] = -\frac{1}{4} F_B^2 + \xi g_{\text{GUT}} \text{Tr}[Y B_\mu J^\mu]$$

$$g_Y = \xi g_{\text{GUT}} = \sqrt{\frac{3}{5}} g_{\text{GUT}}$$

SU(5) Mass relations

- For each generation we have only two independent Yukawa couplings:

$$\lambda_{10105} \epsilon^{abcde} \psi_{ab} \psi_{cd} H_e \quad , \quad \lambda_{10\bar{5}5} \psi_{ab} \psi^{\bar{a}} (H^\dagger)^{\bar{b}}$$

$$m_u = \lambda_{10105} v \quad , \quad m_d = \lambda_{10\bar{5}5} v \quad , \quad m_e = \lambda_{10\bar{5}5} v$$

- Therefore

$$m_d = m_e \quad , \quad m_s = m_\mu \quad , \quad m_b = m_\tau$$

- These relations are valid at $E = M_X$
- They are “successful”

SO(10) unification

- In SU(5) quarks and leptons are in three representations of the gauge group (10, $\bar{5}$ and 1)
- They may be combined in one representation of a higher group: this group is SO(10) and the relevant representation is the 16-dimensional MW-spinor of SO(10).
- $SU(5) \subset SO(10)$ and $16 \rightarrow 10 + \bar{5} + 1$.
- Gauge bosons are in the $45 \rightarrow 24 \oplus 10 \oplus \bar{10} + 1$ (the singlet is B-L)
- SO(10) has no gauge anomalies
- Neutrinos are unified with the rest of the fermions.
- There are several ways to break SO(10) to the SM group and several Higgs representations are needed.

neutrino masses and the see-saw mechanism

- In SO(10), unlike SU(5), a neutrino singlet ν_R is “imposed”.
- The Dirac mass term $\bar{\nu}_R \nu_L$ is definitely allowed. As ν_L is an SU(2) doublet the appropriate term is

$$(L^\dagger H) \nu_R \sim v_F \nu_L^\dagger \nu_R$$

- Since B-L is eventually broken, a Majorana mass term $\nu_R^c \nu_R$ is also allowed

$$M_D \nu_R^c \nu_R$$

where M_D is of the order of the unification scale

The neutrino mass matrix (one generation) is then of the form

$$L_{\nu\text{-mass}} = (\nu_L^\dagger, \nu_R^c) \begin{pmatrix} 0 & v_F \\ v_F & m_D \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix}$$

- There are two mass eigenstates with masses

$$M_{\text{light}} \simeq \frac{v_F^2}{m_D}, \quad M_{\text{heavy}} \simeq m_D$$

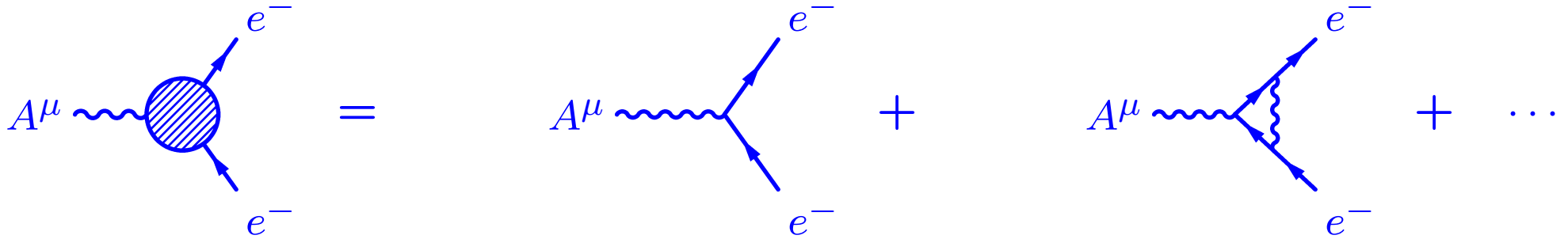
- For $v_F \simeq 246$ GeV and $m_D \sim 10^{16}$ GeV, we obtain $M_{\text{light}} \sim 10^{-3}$ eV

Outlook on baryon number violation

- There are many models of unified gauge theories (most "popular" groups are SU(5), SO(10), E_6)
- Their generic prediction is that Baryon number is violated and the proton must decay
- The current limit on the lifetime is $\tau \gtrsim 10^{33}$ years.
- Baryon number is already violated in the SM, by electroweak instantons. However the rate at zero temperature is tiny (unobservable).
- The universe has an important baryon-asymmetry $\frac{n_B}{n_B + n_{\bar{B}}} \sim 10^{-9}$.
- The cosmological baryon asymmetry could have been generated during the EW phase transition, although detailed analyses are at best inconclusive and generically indicate that this may be impossible.
- In light of this, we need other sources of baryon number violation to generate the cosmological baryon asymmetry.
- According to Sakharov (1966) we need :
 - (1) CP violation
 - (2) Baryon number violation
 - (3) Out-of-equilibrium conditions
- o generate the baryon asymmetry.
- There are several suggested solutions but the problem is still considered open.

Renormalization: “Integrating-out” high-energy d.o.f

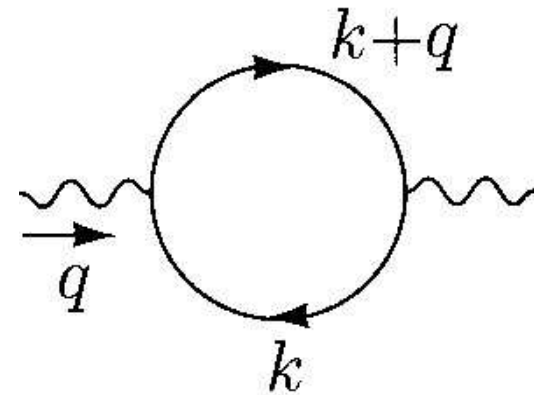
- In QFT physical processes have quantum corrections



- They involve “virtual” particles that do not satisfy the mass-shell condition $E^2 = \vec{p}^2 + m^2$. This is allowed because of the uncertainty principle.

- Therefore the energies of “virtual” particles are not constrained and can be arbitrarily high.

$$I_{vp} \sim \int_{-\infty}^{\infty} \frac{d^4 k}{(2\pi)^4} \frac{1}{k^2 + m_e^2} \frac{1}{(k + q)^2 + m_e^2}$$



- High energy degrees of freedom, **unobservable directly** in low energy experiments, **make (indirect) quantum contributions to low-energy observables**.
- We can therefore “integrate out” such degrees of freedom, and substitute their effects directly in the action of the low energy degrees of freedom (d.o.f). = **Low Energy Effective Action**
- This notion is more transparent in the path-integral formulation of Quantum Mechanics and QFT:

$$Z \equiv \int \mathcal{D}L \mathcal{D}H e^{iS(L,H)} \quad , \quad H \rightarrow \text{heavy d.o.f} \quad , \quad L \rightarrow \text{light d.o.f}$$

$$Z = \int \mathcal{D}L e^{iS_{\text{eff}}(L)} \quad , \quad e^{iS_{\text{eff}}(L)} \equiv \int \mathcal{D}H e^{iS(L,H)}$$

- It is also similar to what we do with the probabilities of unobservable events: $P(x,y)$, with y unobservable gives a probability for x :

$$P_{\text{eff}}(x) = \int dy P(x,y)$$

Effective field theory

- Therefore, if we are interested in the **low energy dynamics** we can integrate-out the high energy d.o.f, and incorporate their effects in the action for the light particles. This we call **the (low-energy) effective action**.
- The heavy particles are unobservable from the low-energy point of view (cannot be produced) but they have “virtual” effects that affect the low energy dynamics.
- The effects of the high-energy d.o.f are summarized in the EFT by a **few local interactions** to a good degree of accuracy.
- The important concept that characterises interactions is their **scaling dimension, Δ** . In four dimensions, a scalar has $\Delta = 1$, a fermion $\Delta = 3/2$, a gauge field $\Delta = 1$ and a derivative $\Delta = 1$.

$$\int d^4x \left[(\partial\Phi)^2 + \bar{\psi}\partial\psi - \frac{1}{4}(\partial A)^2 \right]$$

For example the gauge interaction

$$\delta S \sim e \int d^4x A_\mu \bar{\psi} \gamma^\mu \psi$$

has scaling dimension $\Delta = 4$ and therefore e has scaling dimension zero.

- Effective interactions with scaling dimension Δ have coefficients (dimensional analysis)

$$C_\Delta \sim \frac{1}{\Lambda^{\Delta-4}} \quad , \quad C_{\Delta=4} \sim \log \Lambda$$

where Λ is the (large) scale of the high energy d.o.f.

- Corrections to interactions with $\Delta < 4$ are large.

This is what we call the **renormalizable interactions**. All the interactions of the Standard model are renormalizable interactions.

They are the most obvious avatar of the fundamental ($\Lambda = \infty$) Lagrangian.

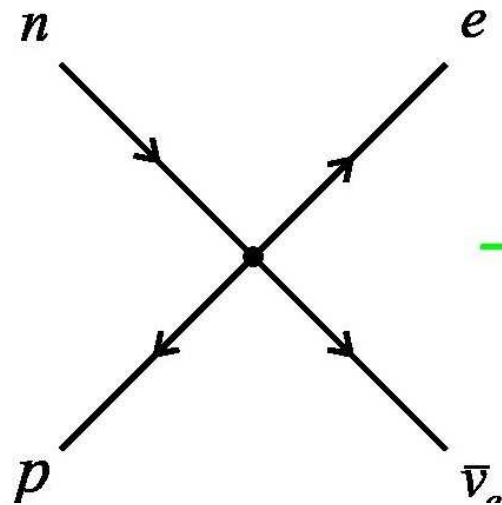
- Corrections to non-renormalizable interactions $\Delta > 4$ are small. This is where the **new** information of the high-energy theory is **hiding** (most of the time)

Effective couplings: the Fermi theory paradigm

The Fermi theory described the decay of neutrons:

$$n \rightarrow p + e + \bar{\nu}_e$$

via a four-fermion (dimension-6=non-renormalizable interaction)

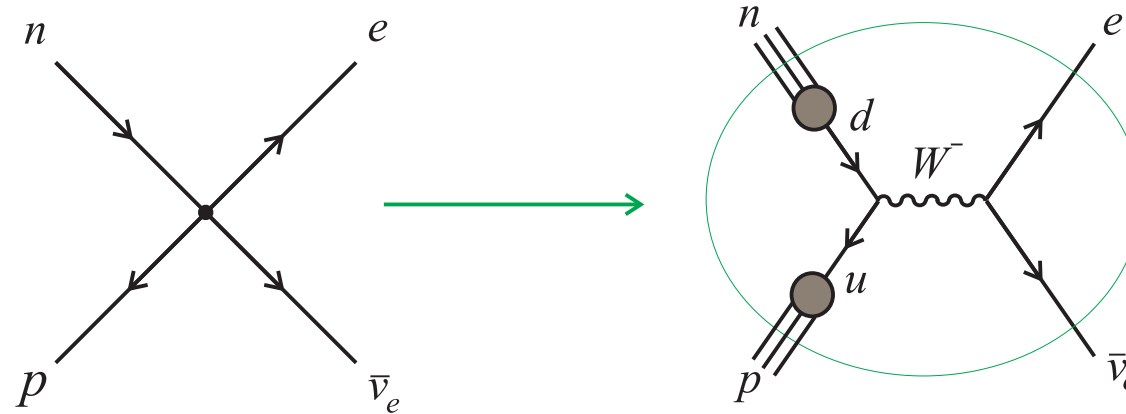


$$L_{\text{interaction}} = G_F (\bar{p} \gamma^\mu n) (\bar{\nu}_e \gamma_\mu e)$$

$$\text{with } G_F \simeq \frac{1}{(300 \text{ GeV})^2} \sim \frac{1}{M^2}$$

This descriptions is very accurate for energies $E \ll 100\text{GeV}$.

However, with a better magnifying glass the four-fermi interaction originates from the Standard Model electroweak gauge interactions



Effective interaction :

$$p = (uud) \quad , \quad n = (udd) \quad , \quad d \rightarrow W^- + u \rightarrow (e^- + \bar{\nu}_e) + u$$

$$\frac{g_W^2}{p^2 + M_W^2} \simeq \frac{g_W^2}{M_W^2} - \frac{g_W^2}{M_W^2} \frac{p^2}{M_W^2} + \dots = G_F + \dots \quad , \quad p^2 \ll M_W^2$$

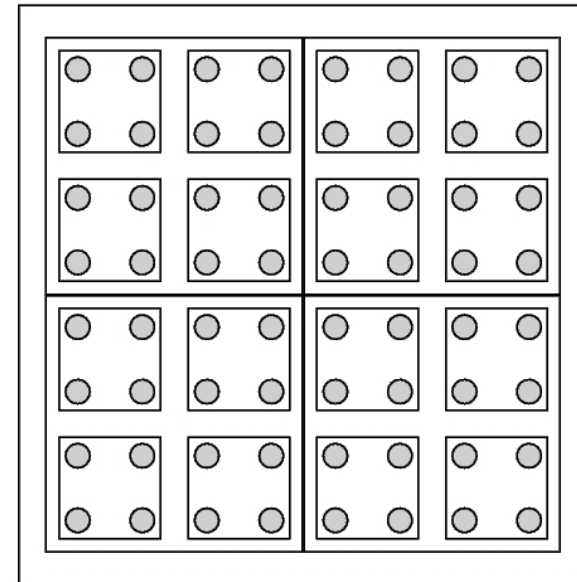
The effective interaction is dimension 6. It is the result of interactions with dimension 4 (renormalizable) interactions at higher energy.

- We can improve by including the next term:

$$\frac{G_F}{M_W^2} (\bar{p} \gamma^\mu \partial_\mu n) (\bar{\nu}_e \gamma^\nu \partial_\nu e)$$

Irreversibility

- Integrating out high-energy d.o.f is an “irreversible” process.
- From a high energy theory, we calculate the low energy interactions. From a finite number of low-energy interactions we cannot reconstruct the high-energy theory.
- A simple example of integrating out: “renormalization”: the block spin transformation.
- High energy \sim Short distance
- An everyday analog: converting from RAW to jpeg format.





Original picture ~ 2 Mb



The original picture reduced 10 times \sim 200 Kb



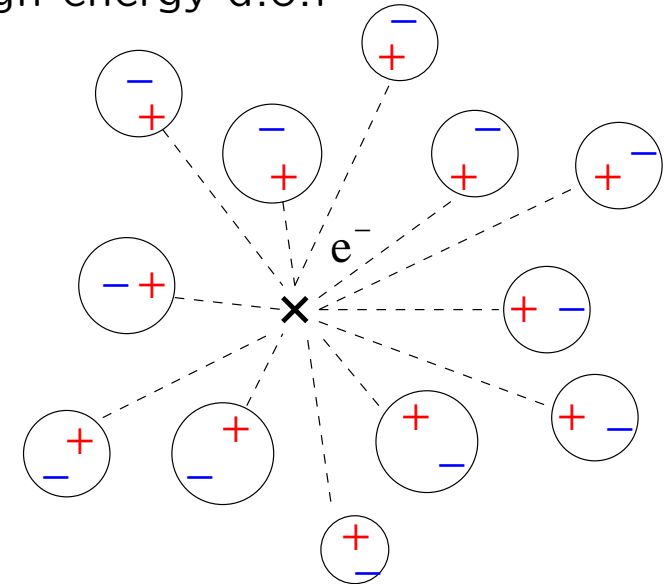
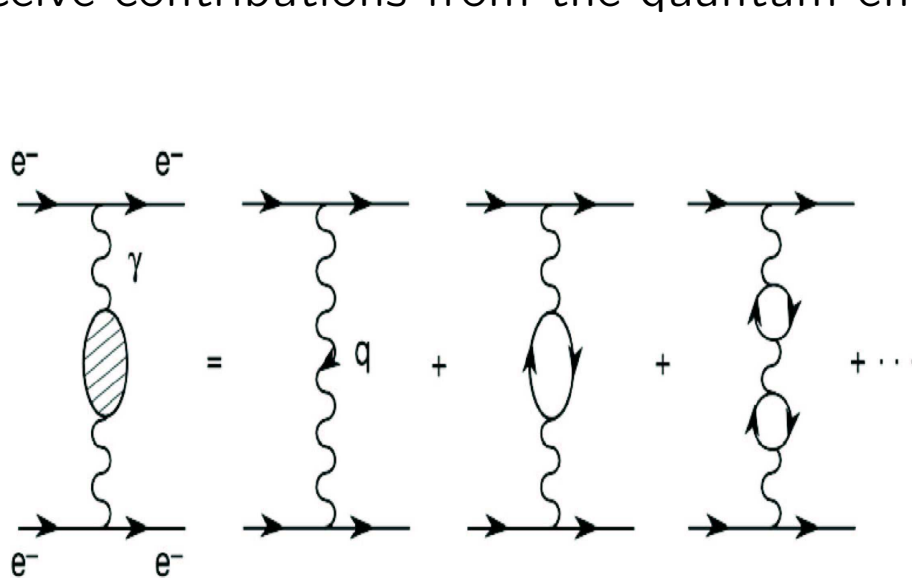
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Effective interactions: Running Couplings

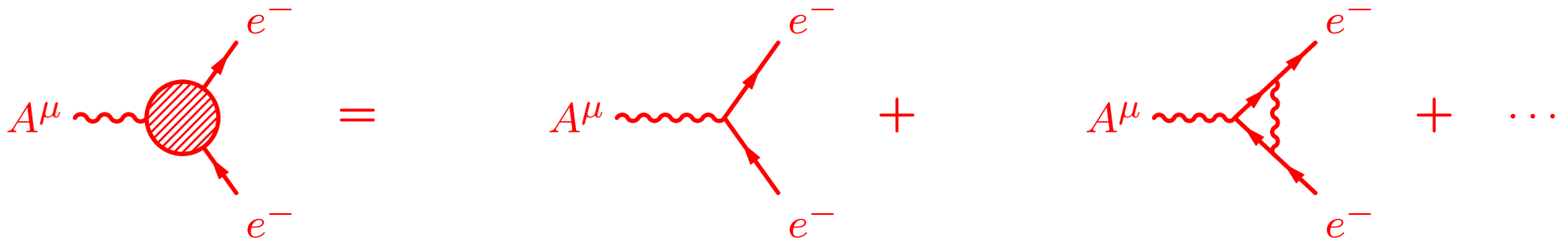
You have probably heard that coupling constants “run” with energy. The reason is that they are the coefficients of the interaction terms in the effective action and therefore receive contributions from the quantum effects of the high-energy d.o.f



- In electromagnetism we have “screening”:
- $e^+ - e^-$ pairs have the tendency to screen lone charges.
- The larger the distance = more $e^+ - e^-$ pairs in-between = more charge screening.
- Result: charge is a function of the energy = $\frac{1}{\text{distance}}$:

$$\alpha_{\text{em}}(E) \simeq \frac{\alpha_{\text{em}}(m_e)}{1 - \frac{\alpha_{\text{em}}(m_e)}{3\pi} \log \frac{E^2}{m_e^2}}, \quad \alpha_{\text{em}} \equiv \frac{e^2}{2(\hbar c)}$$

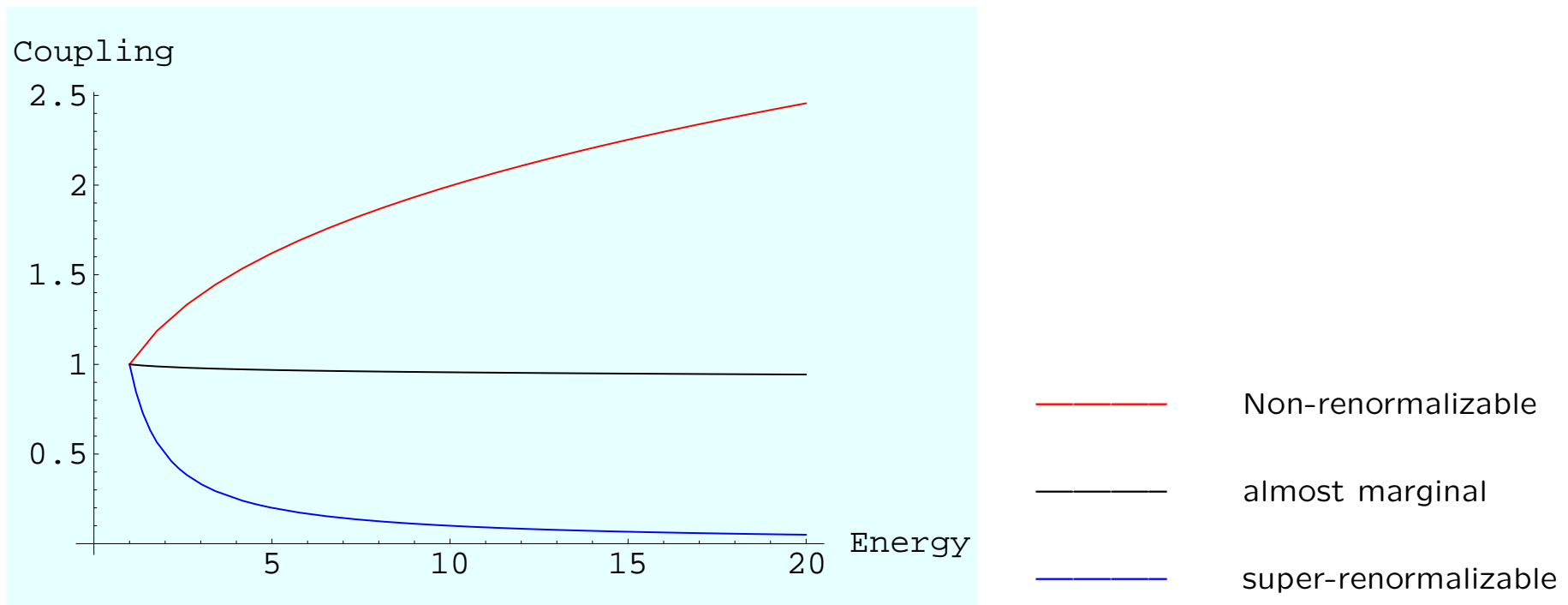
- The charge becomes larger as we approach the electron closer.
- After taking into account these quantum effects on the coupling, we may replace the EM interaction by its corrected value:



$$\delta S(E) \sim e(E) \int A_\mu \bar{\psi}_e \gamma^\mu \psi_e$$

- This is the effective interaction valid at energy E.

Renormalization: the coupling constants



- Couplings can be irrelevant (non-renormalizable),

$$\lambda(E) = \lambda(E_0) \left(\frac{E}{E_0} \right)^{\Delta-4}, \quad \Delta > 4$$

relevant (super-renormalizable), or almost marginal (renormalizable, log running).

$$\lambda(E) = \frac{1}{\frac{1}{\lambda(E_0)} + b_0 \log \frac{E}{E_0}}$$

Renormalization Summary

- A fundamental theory is defined at a high-energy scale $\Lambda \rightarrow \infty$.
- What we measure are effective interactions at low(er) energy (larger distance). They also contain the quantum effects of the higher energy modes.
- Knowledge of the high-energy (short-distance) theory defines completely the low energy theory. It does not work the other way around! (Universality!)
- At low energy, interactions of all possible dimensions (allowed by symmetries) are generated. Their effective couplings scale generically as

$$\lambda_{\Delta} \simeq \Lambda^{4-\Delta} \left[1 + \mathcal{O}\left(\frac{E}{\Lambda}\right) \right], \quad \lambda_{\Delta=4} \sim \log \Lambda$$

Λ = the characteristic high energy scale, Δ = the (mass) dimension of the interaction.

- The (old) wisdom: a quantum theory must be renormalizable \Rightarrow **Only renormalizable theories can be extended to high energy without modification** (They depend on a finite number of fundamental parameters)
- Here you will find how the traditional treatment of infinities fits into the modern Wilsonian picture

Renormalization: Further reading

The following are introductory texts requiring mostly undergraduate knowledge.

- **G. P. Lepage**, “What is renormalization”, [[arXiv:hep-ph/0506330](https://arxiv.org/abs/hep-ph/0506330)]
- **J. Alexandre**, “Concepts of renormalization in physics”, [[arXiv:physics/0508179](https://arxiv.org/abs/physics/0508179)]
- **B. Delamotte**, “A hint of renormalization”, [[arXiv:hep-th/0212049](https://arxiv.org/abs/hep-th/0212049)]

For the renormalization group in statistical mechanics:

- **H. Maris and L. Kadanoff**, “Teaching the renormalization group”, [Am. J. of Phys. 46 \(1978\) 652](#).

RETURN

The hierarchy problem: introduction

- According to our previous discussion:

- ♠ Couplings of operators with $\Delta = 4$ depend logarithmically on the high energy scale Λ

- ♠ Operators of dimension two and three (mass terms for bosons and fermions) should have at low energy their coefficients scale as

$$m_i^2 \sim \Lambda^2 \quad \Leftrightarrow \quad m_i \sim \Lambda$$

If we want the SM to make sense up to $\Lambda \simeq 10^{18}$ GeV, then either:

- All masses are generically enormous (excluded from experiment)

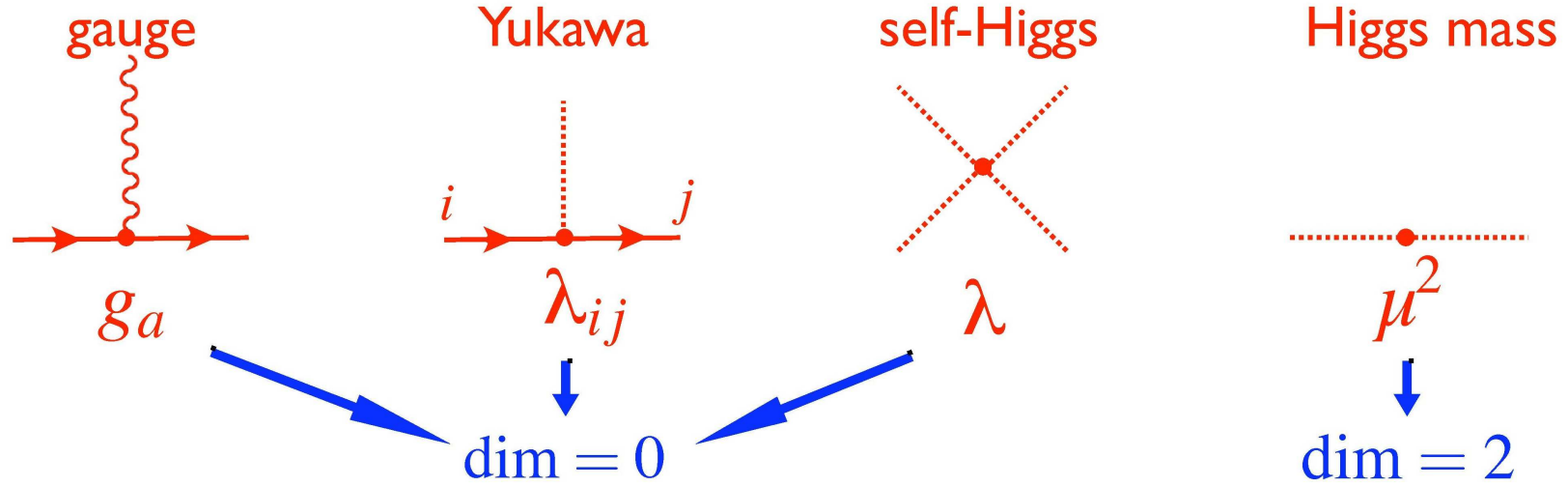
- If there are light particles there are two possibilities:

- ♠ The masses are light due to a symmetry.

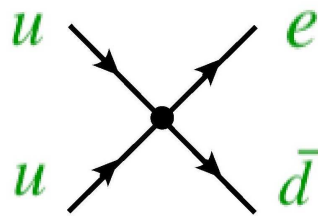
- ♠ The masses are accidentally light (fine-tuning).

- ♠ “No free lunch”: we must have new physics at $\Lambda \sim 1 - 10$ TeV to avoid fine-tuning.

Standard Model interactions

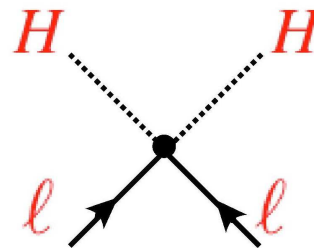


★ By allowing $\dim < 0$ we would also have:



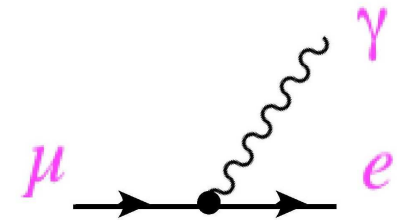
$$\frac{1}{\Lambda_B^2} (u_\alpha C \gamma_\mu u_\beta) (e C \gamma^\mu d_\delta) \epsilon^{\alpha\beta\gamma}$$

Baryon number violation



$$\frac{1}{\Lambda_L} (\ell^a C \ell^b) H_a H_b$$

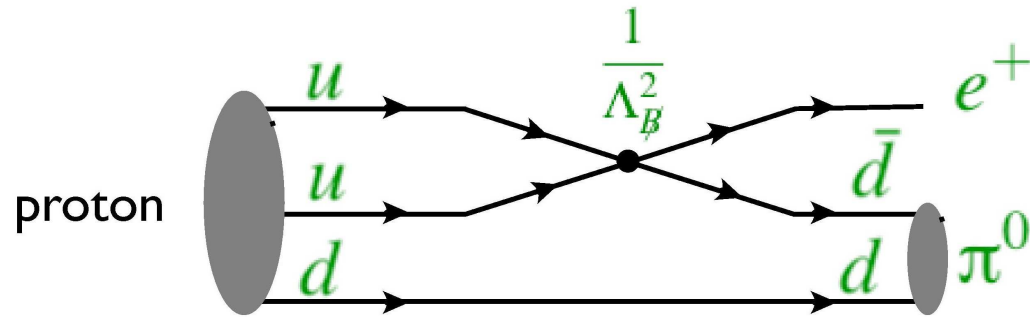
Lepton number violation



$$\frac{m_\mu}{\Lambda_F^2} (\bar{e} \gamma_\mu \gamma_\nu \mu) F^{\mu\nu}$$

Flavor violation

1) B+L violation: proton decay

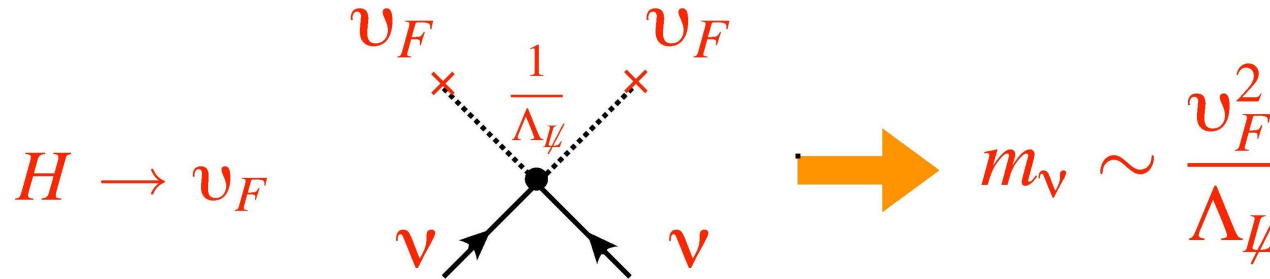


$$p \rightarrow e^+ \pi^0$$

Superkamiokande: $\tau_p > 2.6 \times 10^{33}$ years

$$\Lambda_B \geq 10^{15} \text{ GeV}$$

2) L violation: neutrino masses

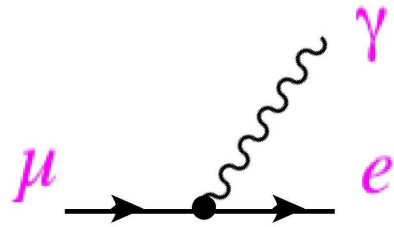


$$m_\nu \sim \frac{\nu_F^2}{\Lambda_L}$$

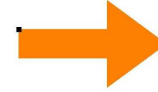
observed neutrino oscillations:

$$m_\nu \sim 0.1 \text{ eV} \Rightarrow \Lambda_L \sim 10^{14} \text{ GeV}$$

3) lepton flavor violation



$$\text{Br}(\mu \rightarrow e \gamma) < 10^{-11}$$



$$\Lambda_{\text{NP}} > 10^6 \text{ GeV}$$

DO ALL of THE ABOVE IMPLY THAT THE SCALE OF NEW PHYSICS is $\gg 1$ TeV?

Fermion masses

Consider the electron Lagrangian written in terms of the left- and right-handed components of the electron,

$$e_{L,R} = \frac{1 \pm \gamma^5}{2} e$$

$$S = i [\bar{e}_R(\not{\partial} + A)e_R + \bar{e}_L(\not{\partial} + A)e_L] + m_e (\bar{e}_L e_R + \bar{e}_R e_L)$$

The theory has the usual vector U(1) symmetry (conservation of electric charge):

$$e_{L,R} \rightarrow e^{i\epsilon} e_{L,R} \quad , \quad \bar{e}_{L,R} \rightarrow e^{-i\epsilon} \bar{e}_{L,R}$$

When $m_e = 0$ there is more symmetry: chiral symmetry,

$$e_L \rightarrow e^{i\epsilon} e_L \quad , \quad e_R \rightarrow e^{-i\epsilon} e_R$$

Inversely: **chiral symmetry forbids a mass.**

The quantum corrections to the fermion mass coming from the diagrams



- We would expect that $\delta m \sim \Lambda \left[c_1 + c_2 \frac{m_e}{\Lambda} \log \frac{E}{\Lambda} + \mathcal{O}(\Lambda^{-2}) \right]$.
- The result of the calculation gives though (to leading order in α_{em})

$$m_{eff}(E) = m_e + \frac{3\alpha_{em}}{4\pi} m_e \log \frac{E}{\Lambda} = m_e \left[1 + \frac{3\alpha_{em}}{4\pi} \log \frac{E}{\Lambda} \right]$$

- There is no linear dependence on Λ !
- Therefore, **it is very insensitive to the high-energy scale Λ** . ($\sim 4\%$ for $E = 1$ GeV and $\Lambda = 10^{19}$ GeV).

Gauge boson masses

Unbroken gauge symmetry forbids gauge bosons to have a mass. Upon spontaneous breaking of the gauge symmetry gauge bosons acquire masses.

$$M_{Z,W^\pm} \sim g v_F \quad v_F \sim \frac{\mu}{\sqrt{\lambda}} \quad , \quad V = -\frac{\mu^2}{2} H^2 + \lambda H^4$$

Dimensionless couplings run logarithmically $\sim \log \frac{E}{\Lambda}$ and therefore are not very sensitive to Λ .

- The important sensitivity comes from the renormalization of the mass-term of the Higgs, μ .

- In the SM this is also the case for the fermions as $m_f \sim \lambda_{\text{Yukawa}} v_F$

The Higgs mass term

We have seen that the sensitivity of SM masses depends on the behavior of a single parameter: the mass term μ of the Higgs scalar.

$$\mu_{\text{eff}}^2 = \mu^2 + \frac{\lambda}{16\pi^2} \int \frac{d^4 p}{p^2} - \frac{\lambda_t^2}{16\pi^2} \int \frac{d^4 p}{p^2} + \dots$$

$$\mu_{\text{eff}}^2(E) = \mu^2 + \frac{\lambda - \lambda_t^2}{4\pi^2} (\Lambda^2 - E^2)$$

The Higgs mass, and therefore many other SM masses depend quadratically on the UV scale Λ .

The hierarchy problem

We found that:

- All dimensionless couplings of the SM run logarithmically and are therefore not very sensitive to the UV scale of the theory.
- The Higgs quadratic term $\mu \Rightarrow$ the Higgs expectation value $v_F \Rightarrow$ Fermion and gauge-boson masses is linearly sensitive to Λ .
- The SM physics at high energy is therefore **technically hard to calculate** as fine-tuning is required.

This is the hierarchy problem: **It is very difficult in a theory where parameters run polynomially with the cutoff Λ to extend it to hierarchically higher energies.**

End of first act

Evading the hierarchy problem

Very **SPECIAL** theories may avoid the hierarchy problem.

- “Technicolor”
- “Supersymmetry”
- Pseudo-Goldstone particles (aka Little Higgs)
See [\[arXiv:hep-ph/0512128\]](#) and [\[arXiv:hep-ph/0502182\]](#)

Technicolor

- The idea, known under the name of “technicolor”, is to assume that all particles in the fundamental theory except the gauge bosons are fermions.
- And the Higgs? It could be a bound state of two fermions (like meson scalars are bound states of quarks and anti-quarks, or the Cooper pair in superconductors).
- This needs a new gauge interaction (technicolor) that becomes strong at an energy $\Lambda_T > v_F$.
- For $\infty \gg E \gg \Lambda_T$ the theory is a theory of fermions and all masses run logarithmically.
- For $E \ll \Lambda_T$ the theory looks like the SM.
- Therefore $\Lambda_T \sim 1 \text{ TeV}$.
- ♠ Imagine a new $SU(N)_T$ interaction and new (massless) fermions

$$\begin{pmatrix} U_L \\ D_L \end{pmatrix} \sim (N, 1, 2, 0) \quad , \quad U_R \sim (N, 1, 1, 1/2) \quad , \quad D_R \sim (N, 1, 1, -1/2)$$

under $SU(N)_T \times SU(3) \times SU(2) \times U(1)_Y$.

- In the absence of SM interactions there a global “chiral symmetry” $SU(2)_L \times SU(2)_R$ with $(U_L, D_L) \sim (2, 1)$, $(U_R, D_R) \sim (1, 2)$.
- Note that $SU(2)_L$ is the same as the electroweak $SU(2)$.
- Like in QCD, this $SU(2)_L \times SU(2)_R$ chiral symmetry will break spontaneously to $SU(2)_{\text{diagonal}}$ because of the strong IR dynamics of the technicolor gauge theory.

- A vev is generated

$$\begin{pmatrix} \langle U_L^\dagger U_R \rangle & \langle U_L^\dagger D_R \rangle \\ \langle D_L^\dagger U_R \rangle & \langle D_L^\dagger D_R \rangle \end{pmatrix} = \Lambda_T^3 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

- We may define the dimensionless (composite) doublet fields

$$Z_1 = \frac{1}{\Lambda_T^3} \begin{pmatrix} U_L^\dagger U_R \\ D_L^\dagger U_R \end{pmatrix}, \quad Z_2 = \frac{1}{\Lambda_T^3} \begin{pmatrix} U_L^\dagger D_R \\ D_L^\dagger D_R \end{pmatrix}$$

They transform under $SU(2)_{EW} \times U(1)_Y$ as $Z_1 \sim (2, 1/2)$, $Z_2 \sim (2, -1/2)$.

- They have kinetic terms

$$\mathcal{L}_{\text{kinetic}} = \frac{F_T^2}{2} \left[\partial_\mu Z_1 \cdot \partial^\mu Z_1^\dagger + \partial_\mu Z_2 \cdot \partial^\mu Z_2^\dagger \right]$$

- We may now identify them with a properly normalized pair of Higgs doublets

$$H_1 = F_T Z_1, \quad H_2^\dagger = F_T Z_2, \quad \langle H_1 \rangle = \langle H_2 \rangle = F_T \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

the break the EW symmetry with $v_F = F_T$.

- This simplest model needs improvement as all families are treated alike and the pattern of SM masses and mixings cannot be reproduced.
- This starts a series of complications that keeps expanding.
- ♣ Unfortunately detailed models that satisfy known experimental constraints are very difficult to construct. (See [hep-ph/0007304](#) for a review.)

Supersymmetry

Another **SPECIAL** class of theories:

$$\mu_{\text{eff}}^2 = \text{---} \overset{\mu^2}{\bullet} \text{---} + \text{---} \overset{\lambda}{\circlearrowleft} \text{---} + \text{---} \overset{\lambda_t}{\circlearrowright} \text{---} + \dots$$

\downarrow
 $\boxed{+} \frac{\lambda}{16\pi^2} \int \frac{d^4 p}{p^2}$

 \downarrow
 $\boxed{-} \frac{\lambda_t^2}{16\pi^2} \int \frac{d^4 p}{p^2}$

virtual top quark
 \swarrow

If $\lambda = \lambda_t^2$ then the quadratic divergence will cancel. Fermion and boson loops cancel each other.

The symmetry that imposes such relations is known as **supersymmetry (SUSY)**.

$$\delta_{\text{SUSY}} (\text{Boson}) = \epsilon \cdot (\text{Fermion})$$

$$\delta_{\text{SUSY}} (\text{Fermion}) = \epsilon \cdot \partial (\text{Boson})$$

Therefore

$$\delta_{\text{SUSY}} \cdot \delta_{\text{SUSY}} \sim \partial$$

and in this sense SUSY is a “square root” of a translation.

Supersymmetry pairs a particle with spin j will another with spin $j \pm \frac{1}{2}$

Then the Higgs will have a fermionic partner (the Higgsino) whose effect will be to cancel the quadratic terms in the running of the mass.

Weyl spinors

- Two component spinors: ψ_α and $\psi_{\dot{\alpha}}$ with $\alpha, \dot{\alpha} = 1, 2$. They transform in complex conjugate representations of SU(2).

- Complex conjugation intertwines the two types of spinors

$$(\psi_\alpha)^* = \bar{\psi}_{\dot{\alpha}} \quad , \quad (\psi_{\dot{\alpha}})^* = \bar{\psi}_\alpha$$

- We raise and lower indices by $\epsilon^{\alpha\beta}$ and $\epsilon^{\dot{\alpha}\dot{\beta}}$ with $\epsilon^{12}=1$ and $\epsilon_{\alpha\beta} = -\epsilon^{\alpha\beta}$.

$$\psi^\alpha = \epsilon^{\alpha\beta} \psi_\beta \quad , \quad \psi^{\dot{\alpha}} = \epsilon^{\dot{\alpha}\dot{\beta}} \psi_{\dot{\beta}}$$

- The Pauli matrices intertwine the two chiralities

$$(\sigma_\mu)_{\alpha\dot{\alpha}} \equiv (\mathbf{1}, \vec{\sigma})_{\alpha\dot{\alpha}} \quad , \quad (\bar{\sigma}_\mu)^{\dot{\alpha}\alpha} \equiv (\mathbf{1}, -\vec{\sigma})^{\dot{\alpha}\alpha}$$

- In the Weyl representation the γ -matrices are

$$\gamma_\mu = \begin{pmatrix} 0 & i\sigma^\mu \\ i\bar{\sigma}^\mu & 0 \end{pmatrix} \quad , \quad \gamma^5 = i \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- In this representation a Dirac spinor ψ_D and a Majorana (real) spinor ψ_M can be written as

$$\psi_D = \begin{pmatrix} \psi_\alpha \\ \chi_{\dot{\alpha}} \end{pmatrix}, \quad \psi_M = \begin{pmatrix} \psi_\alpha \\ \bar{\psi}^{\dot{\alpha}} \end{pmatrix}$$

Useful Identities

$$\sigma_\mu \bar{\sigma}^\mu = -4, \quad \sigma_\nu \sigma^\mu \bar{\sigma}^\nu = 2\sigma^\mu, \quad (\sigma_{\alpha\dot{\beta}}^\mu)^* = \bar{\sigma}_{\dot{\alpha}\beta}^\mu$$

$$\theta^\alpha \psi_\alpha = \epsilon^{\alpha\beta} \theta_\beta \psi_\alpha = -\epsilon^{\beta\alpha} \theta_\beta \psi_\alpha = -\theta_\beta \psi^\beta = \psi^\beta \theta_\beta$$

$$\theta^\alpha \sigma_{\alpha\dot{\alpha}}^\mu \bar{\theta}^{\dot{\alpha}} \theta^\beta \sigma_{\beta\dot{\beta}}^\nu \bar{\theta}^{\dot{\beta}} = \frac{1}{2} \theta^\alpha \epsilon_{\alpha\beta} \theta^\beta \bar{\theta}^{\dot{\alpha}} \epsilon_{\dot{\alpha}\dot{\beta}} \bar{\theta}^{\dot{\beta}} \eta^{\mu\nu}$$

$$\theta^\alpha \chi_\alpha \theta^\beta \psi_\beta = -\frac{1}{2} \theta^\alpha \theta_\alpha \chi^\beta \psi_\beta$$

$$\theta^\alpha \psi_\alpha \lambda^\beta \chi_\beta + \theta^\alpha \lambda_\alpha \psi^\beta \chi_\beta + \theta^\alpha \chi_\alpha \lambda^\beta \psi_\beta = 0$$

Exercise: Prove the identities above

Further reading: “Supersymmetry and supergravity” by **Bagger and Wess**

The Supersymmetry algebra

- Supersymmetry generators are represented by fermionic operators, $Q_\alpha^I, \bar{Q}_{\dot{\alpha}}^I$, $I = 1, 2, \dots, \mathcal{N}$.

- Haag, Lopusanski and Sonius have shown that the most general symmetry of a Lorentz-invariant QFT S-matrix is a (semi)direct product of the \mathcal{N} -extended Super-Poincaré algebra, and all internal symmetries.

$$\{Q_\alpha^I, Q_\beta^J\} = \epsilon_{\alpha\beta} Z^{IJ} \quad , \quad \{\bar{Q}_{\dot{\alpha}}^I, \bar{Q}_{\dot{\beta}}^J\} = \epsilon_{\dot{\alpha}\dot{\beta}} \bar{Z}^{IJ} \quad , \quad \{Q_\alpha^I, \bar{Q}_{\dot{\beta}}^J\} = 2\sigma_{\alpha\dot{\beta}}^\mu P_\mu$$

Z^{IJ}, \bar{Z}^{IJ} are antisymmetric “central charges” (relevant for $\mathcal{N} \geq 1$).

- Extended ($\mathcal{N} > 1$) supersymmetry algebras do not have chiral representations. They have very special and interesting properties though.
- We will focus on $\mathcal{N} = 1$ supersymmetry from now on:

$$\{Q_\alpha, Q_\beta\} = 0 \quad , \quad \{\bar{Q}_{\dot{\alpha}}, \bar{Q}_{\dot{\beta}}\} = 0 \quad , \quad \{Q_\alpha, \bar{Q}_{\dot{\beta}}\} = 2\sigma_{\alpha\dot{\beta}}^\mu P_\mu$$

The Supersymmetric representations

- Consider first massive one-particle states with mass M . We go to the rest frame where $P_\mu = (M, \vec{0})$. We obtain

$$\{Q_\alpha, Q_\beta\} = 0 \quad , \quad \{\bar{Q}_\alpha, \bar{Q}_\beta\} = 0 \quad , \quad \{Q_\alpha, \bar{Q}_\beta\} = 2M \delta_{\alpha\beta} \quad \leftarrow \quad 2\sigma_{\alpha\beta}^\mu P_\mu$$

- The operators $A_\alpha = \frac{Q_\alpha}{\sqrt{2M}}$, $A_\alpha^\dagger = \frac{\bar{Q}_\alpha}{\sqrt{2M}}$ satisfy

$$\{A_\alpha, A_\beta\} = 0 \quad , \quad \{A_\alpha^\dagger, A_\beta^\dagger\} = 0 \quad , \quad \{A_\alpha, A_\beta^\dagger\} = \delta_{\alpha\beta}$$

and are therefore two fermionic creation and annihilation operators.

- All representations can be constructed out of a “ground state” with spin S, S_z satisfying

$$A_\alpha |S, S_z\rangle = 0 \quad , \quad \alpha = 1, 2$$

by acting with creation operators A_α^\dagger taking into account the Pauli principle: $(A_1^\dagger)^2 = (A_2^\dagger)^2 = 0$. There then 4 states in each irreducible representation :

$$|S, S_z, 0, 0\rangle \equiv |S, S_z\rangle \quad , \quad |S, S_z, 1, 0\rangle \equiv A_1^\dagger |S, S_z\rangle \quad , \quad |S, S_z, 0, 1\rangle \equiv A_2^\dagger |S, S_z\rangle \quad , \quad |S, S_z, 1, 1\rangle \equiv A_1^\dagger A_2^\dagger |S, S_z\rangle$$

- $|S, S_z, 0, 0\rangle$ and $|S, S_z, 1, 1\rangle$ have spin which is equal to that of the ground state.
- $|S, S_z, 1, 0\rangle$ and $|S, S_z, 0, 1\rangle$ have spin which is equal to the $S \otimes \frac{1}{2}$ representation of the rotation group.
- In total the spin content of a massive rep is $S \otimes [2(0) + (\frac{1}{2})]$.
- Parity acts as $A_1^\dagger \leftrightarrow A_2^\dagger$ so the two singlets have opposite parity.

The massless representations

- In this case we choose a frame where $P_\mu = (E, 0, 0, -E)$, $E > 0$.
- The supersymmetric anticommutator now becomes

$$\{Q_\alpha, \bar{Q}_\beta\} = 2E(1 + \sigma^3) = 4E \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

• Note that the second component satisfies $Q_2^2 = (\bar{Q}_2)^2 = \{Q_2, \bar{Q}_2\} = 0$. In a unitary theory, this implies $Q_2 = \bar{Q}_2 = 0$ in the Hilbert space.

- The operators $A = \frac{Q_1}{\sqrt{4E}}$, $A^\dagger = \frac{\bar{Q}_1}{\sqrt{4E}}$ are fermionic oscillator operators

$$\{A, A\} = \{A^\dagger, A^\dagger\} = 0 \quad , \quad \{A, A^\dagger\} = 1$$

and the representation is now two dimensional: starting with a ground state of helicity λ

$$A|\lambda\rangle = 0$$

we construct a single "excited" state with helicity $\lambda + \frac{1}{2}$

$$|\lambda + \frac{1}{2}\rangle = A^\dagger|\lambda\rangle$$

- Similarly from a helicity $-\lambda$ ground state we obtain a helicity $-(\lambda + \frac{1}{2})$ excited state.
- Interesting examples: $\lambda = \frac{1}{2} \rightarrow$, a massless vector and a massless spinor (gaugino)
 $\lambda = \frac{3}{2} \rightarrow$, a massless spin-2 (graviton) and a massless spin-3/2 (gravitino)

The Supersymmetric Multiplets

Up to spin one, there two supersymmetric multiplets:

- The **vector multiplets** containing a vector (gauge boson) and a Majorana fermion (gaugino). They must transform in the adjoint of the gauge group : $\rightarrow (A_{\mu}^a, \lambda^a)$.
- The **chiral multiplets** contain a complex scalar and a Weyl fermion. They transform in some representation of the gauge group $\Phi^i \equiv (\phi^i, \psi^i)$.

The Supersymmetry transformations

- For the chiral multiplet, (ϕ, ψ)

$$\delta_\xi \phi = \xi^\alpha \psi_\alpha \quad , \quad \delta_\xi \psi_\alpha = \sigma_{\alpha\dot{\beta}}^\mu \bar{\xi}^{\dot{\beta}} \partial_\mu \phi \quad (1)$$

- The supersymmetry algebra closes only on-shell (imposing the free equations of motion).

- The algebra will be unconstrained if we introduce an “auxiliary” scalar field F :

$$\delta_\xi \phi = \xi^\alpha \psi_\alpha \quad , \quad \delta_\xi \psi_\alpha = \sigma_{\alpha\dot{\beta}}^\mu \bar{\xi}^{\dot{\beta}} \partial_\mu \phi + 2\xi_\alpha F \quad , \quad \delta_\xi F = i\partial_\mu \psi_\alpha \sigma_{\alpha\dot{\beta}}^\mu \bar{\xi}^{\dot{\beta}} \quad (2)$$

- The auxiliary field is a non-propagating field (Free-field equations of motion imply $F = 0$)
- For the vector multiplet $(A_\mu^a, \lambda_\alpha^a, D^a)$:

$$\delta A_\mu^a = -i\bar{\lambda}^a \bar{\sigma}^\mu \xi + i\xi \bar{\sigma}^\mu \lambda^a \quad , \quad \delta \lambda^a = \sigma^{\mu\nu} \xi F_{\mu\nu}^a + i\xi D^a \quad , \quad \delta D^a = -\xi \sigma^\mu (\mathcal{D}_\mu \bar{\lambda})^a - (\mathcal{D}_\mu \lambda)^a \sigma^\mu \bar{\xi}$$

$$(\mathcal{D}_\mu \lambda)^a \equiv \partial_\mu \lambda^a + ig f_{bc}^a A_\mu^b \lambda^c \quad , \quad [T^a, T^b] = if_{ab}^c T^c$$

Exercise: Compute the supersymmetry commutator $[\delta_{\xi_1}, \delta_{\xi_2}]$ and verify that (1) closes on shell while (2) closes off-shell.

The simplest scalar action

- We consider a chiral multiplet $\Phi \equiv (\phi, \psi_\alpha, F)$
- The most general (real) supersymmetric Lagrangian with a general scalar potential depends on an arbitrary (holomorphic) function: The superpotential $W(\Phi)$
- It is given by

$$\mathcal{L} = \partial_\mu \phi \partial^\mu \phi^* + \frac{i}{2} \bar{\psi} \not{\partial} \psi + FF^* + \frac{\partial W(\phi)}{\partial \phi} F + \frac{\partial W(\phi^*)}{\partial \phi^*} F^* - \frac{1}{2} \frac{\partial^2 W(\phi)}{\partial \phi^2} \psi^\alpha \psi_\alpha - \frac{1}{2} \frac{\partial^2 W^*(\phi^*)}{\partial (\phi^*)^2} \psi^{\dot{\alpha}} \psi_{\dot{\alpha}}$$

- We may “integrate out” the non-propagating auxiliary field, by solving its equations of motion: $F = -\frac{\partial W(\phi)}{\partial \phi}$. Substituting back in the action we obtain

$$\mathcal{L} = \partial_\mu \phi \partial^\mu \phi^* + \frac{i}{2} \bar{\psi} \not{\partial} \psi - \frac{1}{2} \frac{\partial^2 W(\phi)}{\partial \phi^2} \psi \psi + c.c. - V(\phi, \phi^*)$$

$$V(\phi, \phi^*) = |F|^2 = \left| \frac{\partial W(\phi)}{\partial \phi} \right|^2$$

Exercise:

Supersymmetry implies the conservation of the spin-3/2 supercurrent, $\partial_\mu G_\alpha^\mu = 0$. Derive the supercurrent for this simple theory using the supersymmetry transformations and the Noether procedure. The conserved charges of the susy algebra are given as usual by $Q_\alpha = \int d^3x G_\alpha^0$

The supersymmetric gauge theory

- The general supersymmetric gauge theory contains a vector multiplet in the adjoint $\rightarrow (A_\mu^a, \lambda^a, D^a)$ of a gauge group G
- “Matter” is composed of **chiral multiplets** $\Phi^i = (\phi^i, \psi^i, F^i)$ transforming in a reducible representation R of G .
- The general supersymmetric action with canonical (quadratic) kinetic terms is completely determined by **the superpotential**: a gauge-invariant and holomorphic function of the chiral superfields, $W(\Phi^i)$

$$\begin{aligned} \mathcal{L}_{\text{SUSY}} = & -\frac{1}{4}F_{\mu\nu}^a F^{a,\mu\nu} + \frac{i}{2}\bar{\lambda}^a \gamma^\mu (\mathcal{D}_\mu \lambda)^a + (\mathcal{D}_\mu \phi)_i^\dagger (\mathcal{D}^\mu \phi)^i + \frac{i}{2}\bar{\psi}_i \gamma^\mu (\mathcal{D}_\mu \psi)^i + \\ & + [i\sqrt{2}g (\bar{\psi}_i \lambda^a) (T^a \phi)^i + h.c.] - \left[\frac{1}{2} \frac{\partial^2 W}{\partial \phi^i \partial \phi^j} \bar{\psi}^i \psi^j + h.c. \right] - V(\phi, \phi^\dagger) \\ (\mathcal{D}_\mu \lambda)^a \equiv & \partial_\mu \lambda^a + ig f^a_{bc} A_\mu^b \lambda^c, \quad (\mathcal{D}_\mu \phi)^i \equiv \partial_\mu \phi^i + ig (T^a)^i_j A_\mu^a \phi^j \\ V(\phi, \phi^\dagger) = & F_i^* F_i + \frac{g^2}{2} D^a D^a = \sum_i \left| \frac{\partial W}{\partial \phi^i} \right|^2 + \frac{g^2}{2} \sum_a \left[\phi_i^* (T^a)^i_j \phi^j \right]^2 \end{aligned}$$

- Couplings are unified. For a renormalizable theory, the superpotential must be at most cubic. The kinetic terms of the fields and their couplings to the gauge bosons are standard and determined by the representations/charges and the gauge couplings.

The Fayet-Iliopoulos term

- There is one extra addition to the supersymmetric gauge theory action that is allowed by supersymmetry when there is a U(1) gauge group factor: the addition of

$$\delta\mathcal{L}_{FI} = \xi D$$

to the supersymmetric Lagrangian:

$$\mathcal{L}_D = \frac{1}{2g^2}D^2 + \left(\xi + \sum_i Q_i |\phi_i|^2 \right) D$$

- Integrating out D , its only effect is to modify the D-term potential

$$V_{U(1)} = \frac{g^2}{2} \left(\xi + \sum_i Q_i |\phi_i|^2 \right)^2$$

- If $Tr[U(1)] \neq 0$, then a non-zero $\xi \sim \Lambda^2$ is generated at one loop and upsets the nice structure of supersymmetric perturbation theory.

The supersymmetric vacuum

Taking the trace of the susy algebra

$$\delta^{\alpha\beta}\{Q_\alpha, \bar{Q}_\beta\} = 2Tr[\sigma^\mu] P_\mu = 4P_0 = 4H$$

In the quantum theory $\bar{Q}_{\dot{\alpha}} = Q_\alpha^\dagger$ we obtain

$$H = \frac{1}{2} [Q_1 Q_1^\dagger + Q_2 Q_2^\dagger]$$

- The Hamiltonian of a supersymmetric theory is a positive definite operator:

$$\langle \psi | H | \psi \rangle \geq 0$$

- The vacuum preserves supersymmetry if it is annihilated by all conserved susy charges:

$$Q_\alpha |0\rangle = 0 \quad , \quad Q_\alpha^\dagger |0\rangle = 0$$

- Therefore if susy is unbroken, then $H|0\rangle = 0$. This is an exact equation in supersymmetric QFT.
- The converse is also true: susy is spontaneously broken iff $H|0\rangle \neq 0$ and positive.

Exercise:

Show that in a supersymmetric theory $[P_\mu, Q_\alpha] = 0$. In particular $[H, Q_\alpha] = 0$ as it should for conserved charges.

Supersymmetric Renormalization

- The parameters (coupling constants) of the canonical supersymmetric quantum field theory include:

(1) The gauge coupling constant g (simple group).

(2) The coefficients of the monomials in the superpotential:

$$W = W_0 + W_1^i \Phi_i + W_2^{ij} \Phi_i \Phi_j + W_3^{ijk} \Phi_i \Phi_j \Phi_k + \dots$$

- W_0 is a trivial constant that does not affect physics (in the absence of gravity).
- W_1^i contributes constants to the potential (vacuum energy).
- W_2^{ij} contribute to masses
- W_3^{ijk} contribute to Yukawa's and quartic scalar couplings.

If supersymmetry is unbroken, then :

- The superpotential is not renormalized in perturbation theory.
- The gauge coupling runs logarithmically with energy.

Therefore, all couplings including physical masses run at most logarithmically: there is no hierarchy problem in a supersymmetric QFT.

The Supersymmetric Standard Model: I

- In the SSM we must add for each gauge boson, a corresponding gaugino. Therefore we must have **vector multiplets** in the adjoint of $SU(3) \times SU(2) \times U(1)_Y$.
- For each standard model Weyl fermion we must add a complex scalar transforming in the same representation of the gauge group: We therefore have **chiral multiplets** in the standard representations of the gauge group.
- ♠ For the doublet Higgs scalar we should complement it with a doublet Weyl fermion. However this is not enough for two reasons:
 - (a) the theory is anomalous
 - (2) we cannot write Yukawa couplings for both Up and Down quarks.

We need two Higgs chiral multiplets with opposite hypercharges: H_1^{-1} , H_2^{+1} .

Exercise: Show that no particle of the SM can be a susy partner: a full doubling of the spectrum is necessary.

The quantum numbers of chiral multiplets

chiral supermultiplet	SU(3)	SU(2)	U(1) _Y
Q	3	2	$\frac{1}{6}$
U ^c	$\bar{\mathbf{3}}$	1	$-\frac{2}{3}$
D ^c	$\bar{\mathbf{3}}$	1	$\frac{1}{3}$
L	1	2	$-\frac{1}{2}$
E ^c	1	1	1
H ₁	1	2	$-\frac{1}{2}$
H ₂	1	2	$\frac{1}{2}$

Note that L and H₁ are indistinguishable in terms of gauge quantum numbers.

The Supersymmetric Standard Model:II

particles				Sparticles			
quarks	$\begin{pmatrix} u_L \\ d_L \end{pmatrix}$	u_R	d_R	squarks	$\begin{pmatrix} \tilde{u}_L \\ \tilde{d}_L \end{pmatrix}$	\tilde{u}_R	\tilde{d}_R
leptons	$\begin{pmatrix} e_L \\ \nu_L \end{pmatrix}$	e_R		sleptons	$\begin{pmatrix} \tilde{e}_L \\ \tilde{\nu}_L \end{pmatrix}$	\tilde{e}_R	
Higgs doublets	H_1 (hypercharge = -1)			Higgsinos	\tilde{H}_1		
	H_2 (hypercharge = +1)				\tilde{H}_2		
	W^+, H^+			charginos	χ_1^+, χ_2^+		
	Z, γ, H_i^0	$(i = 1, 2, 3)$		neutralinos	χ_a^0	$(a = 1, 2, 3, 4)$	
	g			gluino	\tilde{g}		

The supersymmetric interactions

- The most general cubic, gauge invariant and holomorphic superpotential:

$$W = \mu H_1 H_2 + \zeta^U Q U^c H_2 + \zeta^D Q D^c H_1 + \zeta^E L E^c H_1 + \\ + \lambda Q D^c L + \lambda' L L E^c + \mu' L H_2 + \lambda'' U^c D^c D^c$$

Exercise Show this!

- μ' has one family index $(\zeta^U, \zeta^D, \zeta^E)$ have two such indices and $(\lambda, \lambda', \lambda'')$ have three.
- The last four terms violate baryon and lepton number.

$$\lambda, \lambda', \mu' \neq 0 \rightarrow \Delta B = 0, |\Delta L| = 1 \quad , \quad \lambda'' \neq 0 \rightarrow \Delta B = 1, |\Delta L| = 0$$

A symmetry (R-parity) must be imposed to forbid them.

Exercise Why such offending terms are absent in the SM?

R-parity

To avoid problems with fast proton decay and lepton number violation we assume the existence of an extra Z_2 symmetry

$$R\text{-parity} = (-1)^{2S+3(B-L)} = (-1)^{\text{number of Sparticles}}$$

- Sparticles can only be produced or annihilated in pairs (harder to produce).
- The lightest Sparticle (LSP) is absolutely stable.
- It is almost always a neutralino \rightarrow it has only weak interactions \rightarrow it is not directly visible in experiments \rightarrow missing energy.

This is a characteristic SUSY signal at LHC.

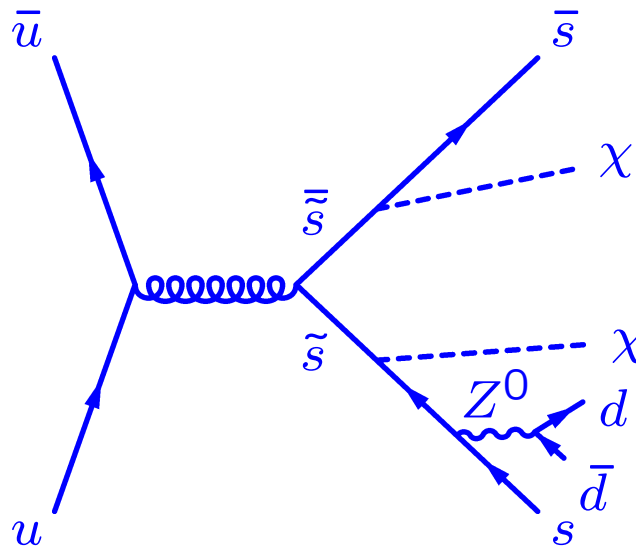
- When supersymmetry breaks, R-parity must remain intact!

Exercise 1 Show that if R-parity is a symmetry all B and L violating interactions are absent

Exercise 2 Why we do not set the coefficients of the offending (baryon+lepton violating) terms to zero in the superpotential?

Missing Energy

This is an example of a possible event that can be seen at LHC:

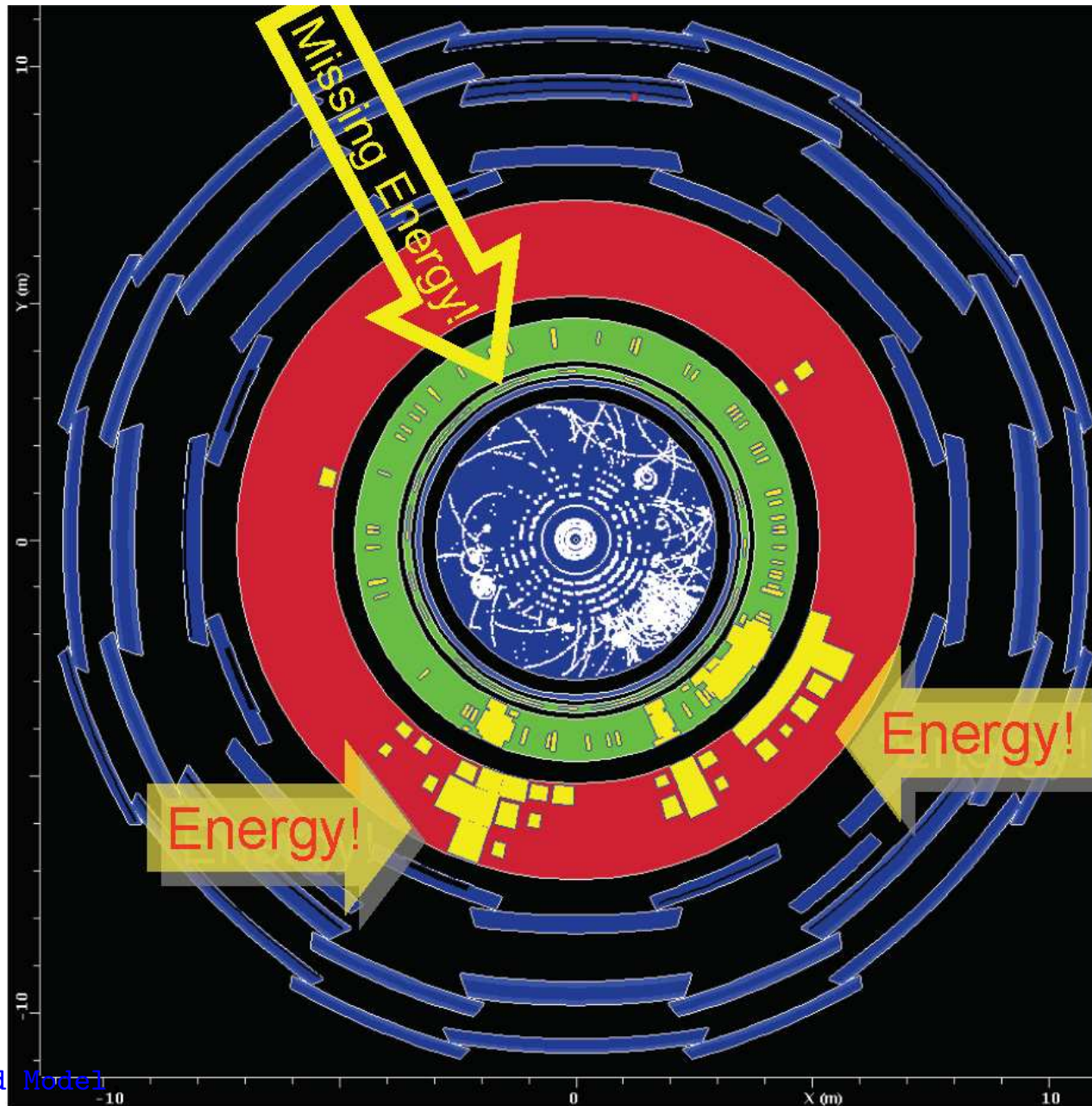


where:

$$\tilde{q} = \text{squark}$$

$$\chi = \text{LSP}$$

Missing Energy (Atlas simulation)



A link to the dark matter of the Universe

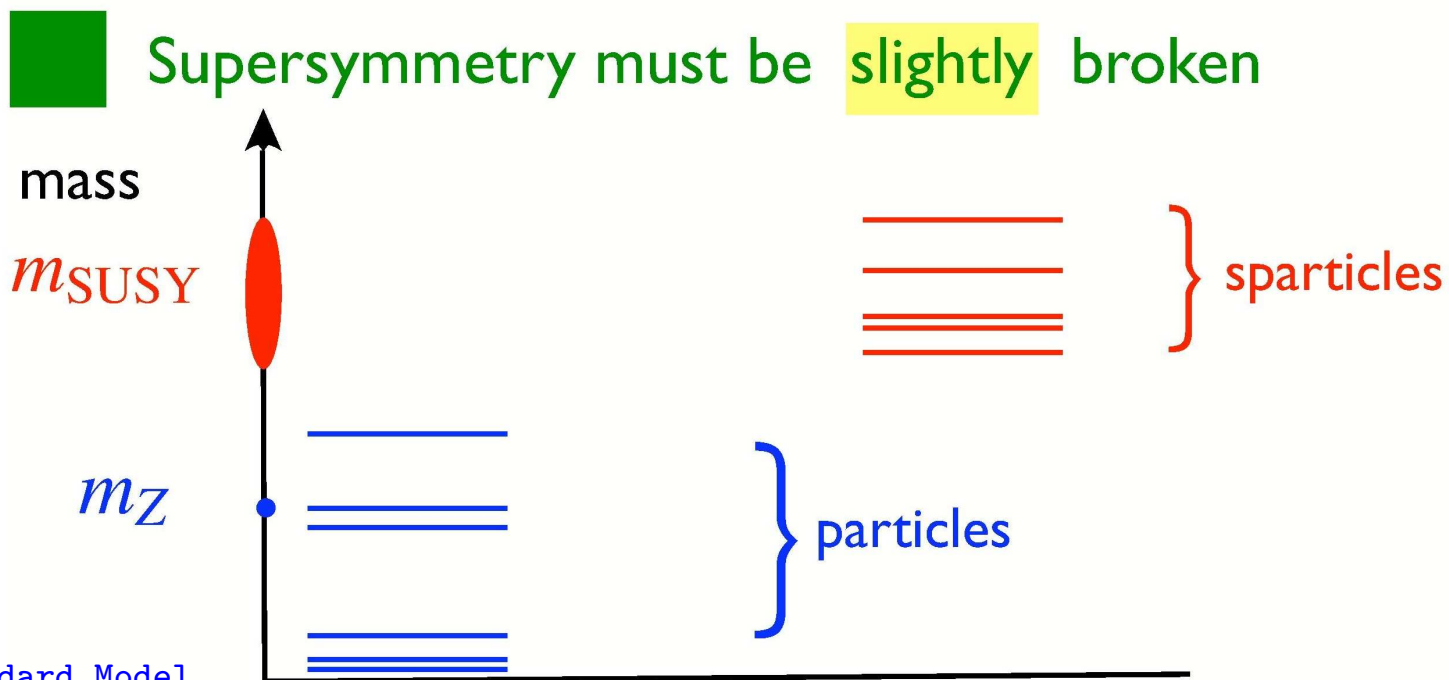
- The universe contains an important fraction (22%) of non-relativistic, non-SM matter. This is known as Dark Matter.
- This can be inferred from rotation curves of galaxies
- Its presence is also crucial for structure formation in the universe.
- It is mostly composed of Weakly Interacting (very) Massive Particles: WIMPS.
- The (neutral) supersymmetric LSP, is an excellent candidate for forming the dark matter of our universe, because it has weak interactions only and it must be heavy..

Supersymmetry breaking

So far we have neglected the fact that exact supersymmetry forces the superpartners to have the same mass as the SM particles, e.g.

$$m_e = m_{\tilde{e}} \quad , \quad \text{etc.}$$

It is unavoidable to conclude that:



Supersymmetry breaking, II

We must ensure that SUSY breaking does not destroy the good properties of SUSY:

- Like gauge symmetry breaking, supersymmetry breaking must be spontaneous. Then the Higgs mass runs logarithmically like that of the fermions!
- M_{SUSY} must not be very far from v_F . It should be 1 – 10 TeV.
- If $M_{\text{SUSY}} \gg v_F$ the hierarchy problem resurfaces.
- Therefore, naturalness tells us that the superpartners must be in the TeV range.
- If this idea is correct, most probably the superpartners will be found at LHC.

Spontaneous supersymmetry breaking

Spontaneous supersymmetry breaking is an important problem. There are many different classes of models.

- Global supersymmetry breaks spontaneously, when $\langle 0|H|0\rangle = \langle 0|V|0\rangle > 0$.
- Since $V \sim |F_i|^2 + |D^a|^2$, this implies that if some $\langle F_i\rangle$ or $\langle D^a\rangle$ are non-zero susy is broken.
- Like standard global symmetries, there is a massless fermion, the **Goldstino**, $\tilde{G} = \langle F_i\rangle\psi^i + \langle D_a\rangle\lambda^a$, associated with spontaneous global supersymmetry breaking.

The O'Raifeartaigh example

- Consider a theory with chiral multiplets X, Y_1, Y_2 and a (renormalizable) superpotential

$$W = X^2 Y_1 + X Y_2 - a Y_2 \quad , \quad a \neq 0$$

- The potential is $V = |F_1|^2 + |F_2|^2 + |F_X|^2$ with

$$F_1 = \frac{\partial W}{\partial Y_1} = X^2 \quad , \quad F_2 = \frac{\partial W}{\partial Y_2} = X - a \quad , \quad F_X = \frac{\partial W}{\partial X} = 2X Y_1 + Y_2$$

- There is no supersymmetric vacuum (solution to $F_1 = F_2 = F_X = 0$).
- The minimum of the potential is at $X_0 = X_0^*$, which minimizes

$$|F_1|^2 + |F_2|^2 = |X|^4 + |X - a|^2$$

- Moreover, at the minimum $Y_2 + 2X_0 Y_1 = 0$. There is a flat direction: one of the vevs (for example Y_1) is arbitrary.

Spontaneous Local Supersymmetry breaking: I

- Supersymmetry can be promoted into a local symmetry. The appropriate theory then contains also gravity and is known as supergravity.
- In particular, the "gauge-field" associated to local supersymmetry is a spin-3/2 fermion known as the **gravitino**. It is the supersymmetric partner of the graviton. Like the graviton, it is massless when supersymmetry is unbroken.
- When supersymmetry breaks spontaneously, the gravitino acquires a non-zero mass $m_{3/2}$. It becomes massive by combining with the Goldstino field. **This is the super-Higgs mechanism.**

Where is the Goldstino?

There are two possibilities:

(a) Supersymmetry is global and there is a massless fermion.

(b) Supersymmetry is local and there is a massive gravitino.

- In (a) the Goldstino must be made "invisible". The presence of unbroken R-parity can do this if all other superpartners are very heavy.

- The Goldstino cannot be any of the standard neutral superpartners (various reasons, including supertrace formula etc)

Therefore we must extend the minimal SSM.

The soft supersymmetry breaking terms

- What is the effective theory of a spontaneously broken supersymmetric theory?
- Spontaneous supersymmetry breaking invalidates the supersymmetric non-renormalization theorems, but their violation is “soft” .
- The (non-supersymmetric) effective action differs from the supersymmetric one by what are known as “soft terms” .
- They have the property, that when added to a supersymmetric action, they do not affect the UV behavior of the theory.
- They have dimension $\Delta = 2, 3$ and their coefficients are “masses” , M_i .
- For $E \gg M_i$ the theory behaves as a supersymmetric quantum theory.
- The soft terms are:
 - (a) masses for the scalar field of chiral multiplets.
 - (b) masses for gaugini.
 - (c) Cubic scalar interactions (if allowed by the superpotential)

A simple example of a softly-broken supersymmetric theory

We consider a theory that contains the supersymmetric chiral multiplets, Φ_i , $i = 1, 2, 3$. Each contains a complex scalar ϕ_i and a Weyl Fermion, ψ_i .

$$\Phi_i \equiv (\phi_i, \psi_i)$$

We will not include gauge interactions. In this case the only interactions will come from the superpotential. It must be written in terms of the chiral multiplets Φ_i but not of their conjugates, $\bar{\Phi}_i \equiv (\phi^*, \psi_c^i)$. We will choose it to be simple:

$$W = h \Phi_1 \Phi_2 \Phi_3$$

We first compute the Yukawa couplings using $\sum_{i,j} \frac{\partial^2 W}{\partial \phi_i \partial \phi_j} \bar{\psi}_i \psi_j$ to find

$$\mathcal{L}_{Yukawa} = h(\phi_1 \bar{\psi}_2 \psi_3 + \phi_2 \bar{\psi}_1 \psi_3 + \phi_3 \bar{\psi}_1 \psi_2) + c.c.$$

We then compute the potential from $V = \sum_i |F_i|^2 = \sum_i \left| \frac{\partial W}{\partial \phi_i} \right|^2$ (there are no D-terms as there is no gauge group and gauge interactions)

$$V = |h|^2 [|\phi_1 \phi_2|^2 + |\phi_1 \phi_3|^2 + |\phi_2 \phi_3|^2]$$

It contains only quartic couplings of the scalars. The complete supersymmetric Lagrangian is therefore

$$\mathcal{L} = \mathcal{L}_{kinetic} + \mathcal{L}_{Yukawa} - V$$

where $\mathcal{L}_{\text{kinetic}}$ contains the standard kinetic terms

$$\mathcal{L}_{\text{kinetic}} = \frac{1}{2} \sum_{i=1}^3 \partial_{\mu} \phi_i \partial^{\mu} \phi_i^* + \sum_{i=1}^3 \bar{\psi}_i \not{\partial} \psi_i$$

We will now add all allowed soft terms that would be present if supersymmetry is broken:

- Masses \tilde{m}_i for the scalars of the chiral multiplets.

$$\mathcal{L}_{\text{mass}}^{\text{soft-sb}} = \frac{1}{2} \sum_{i=1}^3 \tilde{m}_i^2 \phi_i \phi_i^*$$

- Cubic couplings for the scalars proportional to the superpotential couplings: The only superpotential non-zero coupling is $\Phi_1 \Phi_2 \Phi_3$ so

$$\mathcal{L}_{\text{cubic}}^{\text{soft-sb}} = A(\phi_1^* \phi_2 \phi_3 + \phi_1 \phi_2^* \phi_3 + \phi_1 \phi_2 \phi_3^*) + c.c.$$

- Gaugino masses are also soft, but there are no gaugini in this simple theory.

Exercise so that you see if you understood the above:

Exercise:

Consider now the same theory with the following superpotential $W = \sum_{i=1}^3 [h_i \Phi_i^3 + \mu_i \Phi_i^2 + \zeta_i \Phi_i]$

Repeat the procedure above to produce the softly broken supersymmetric action

MSSM

- In the absence of detailed mechanism for supersymmetry breaking, we may take another view: parametrize the low energy theory of the spontaneously broken supersymmetric standard model.
- The minimal such theory, known as MSSM, contains apart from the supersymmetric SM action, all the allowed soft terms.
- These are scalar masses, gaugino masses, and cubic scalar interactions proportional to the ones present in the superpotential (soft breaking terms):

$$L_{\text{soft}} = \sum_i \tilde{m}_i^2 |\phi_i|^2 + \frac{1}{2} \sum_A M_A \bar{\lambda}^A \lambda^A + \\ + \left(\zeta^U A^U \tilde{q} \tilde{u}^c h_2 + \zeta^D A^D \tilde{q} \tilde{d}^c h_1 + \zeta^E A^E \tilde{\lambda} \tilde{e}^c h_1 + m_3^2 h_1 h_2 + h.c. \right)$$

where $\tilde{q}, \tilde{u}^c, \tilde{d}^c$ are the respective squarks and $h_{1,2}$ the two Higgs doublets.

This gives rise to the Minimal Supersymmetric Standard Model (MSSM).

- ANY supersymmetric theory with spontaneously broken susy, and the SSM as the low energy EFT must have this form for some values of the soft parameters.
- Since A^i are matrices in flavor space, we have a large number of parameters. For generic values of such parameters there are phenomenological problems (like flavor changing neutral currents).
- There are several simple choices of soft parameters that are motivated by (i) simplicity (ii) some concrete Susy-breaking mechanism.

The tree-level MSSM potential that involves the Higgses* is:

$$V = m_1^2 |h_1|^2 + m_2^2 |h_2|^2 + m_3^2 (h_1 h_2 + h.c.) + \frac{g_2^2}{8} (h_2^\dagger \vec{\sigma} h_2 + h_1^\dagger \vec{\sigma} h_1)^2 + \frac{g_Y^2}{8} (|h_2|^2 - |h_1|^2)^2$$

$$m_1^2 = \mu^2 + \tilde{m}_{h_1}^2, \quad m_2^2 = \mu^2 + \tilde{m}_{h_2}^2$$

Without any extra input, there are no UV constraints on the MSSM parameters. Simple ansatz (compatible with data so far, and can arise from supergravity/string theory). Imposed at some UV scale Λ :

*There is also the potential for squarks and sleptons

Gaugino masses and soft scalar masses are universal

$$M_3 = M_2 = M_1 \equiv m_{1/2}$$

$$\tilde{m}_Q = \tilde{m}_{U^c} = \tilde{m}_{D^c} = \tilde{m}_L = \tilde{m}_{E^c} = \tilde{m}_{H_1} = \tilde{m}_{H_2} \equiv m_0$$

So are the soft scalar couplings

$$A^U = A^D = A^E \equiv A_0$$

If we now include the μ -term coefficient, μ and the soft breaking term m_3 we end up with 5 extra parameters on top of the SM ones:

$$\mu, m_{1/2}, m_0, A_0, m_3$$

• After minimization of the Higgs potential with $\langle H_1 \rangle = \begin{pmatrix} v_1 \\ 0 \end{pmatrix}$, $\langle H_2 \rangle = \begin{pmatrix} 0 \\ v_2 \end{pmatrix}$ we can trade μ and m_3 with $\text{sign}(\mu)$ and $\tan \beta \equiv \frac{v_1}{v_2}$.

$$\text{sign}(\mu), m_{1/2}, m_0, A_0, \tan \beta$$

This is known as the mSUGRA parametrization of the MSSM.

• The parameters, $m_{1/2}, m_0, A_0$, must be evolved to low energy using the RGE equations and eventually compared to data. $\tan \beta$ is already a low energy parameter.

Spontaneous Local Supersymmetry breaking: II

- The supersymmetry breaking scale Λ_S is related to the gravitino mass in a universal fashion:

$$\Lambda_S = \sqrt{3} m_{3/2} M_P$$

- The superpartner mass splittings depend on the sector I of the theory as:

$$(\Delta m^2)_I \sim \lambda_I \Lambda_S^2$$

where λ_I is the (renormalized) Goldstino/gravitino coupling to sector I .

There are two rough avenues to arrange for $\Delta m \sim \text{TeV}$:

(A) Heavy gravitino mass \rightarrow large Λ_S , but very small λ_I .

(B) Light gravitino mass, and $\lambda_I \sim 1$.

SSB: Heavy gravitino mass

- Here the supersymmetry breaking happens in a “hidden sector”.
- It is communicated to the observable sector by the gravitational interaction

$$\lambda_I \sim \frac{\Lambda_S^2}{M_P^2} \quad , \quad \Lambda_S \sim \sqrt{(\Delta m) M_P} \sim 10^{10} - 10^{11} \text{ GeV} \quad , \quad m_{3/2} \sim 1 \text{ TeV}$$

Taking the limit $M_P \rightarrow \infty$ to recover the EFT, we obtain the MSSM with typically universal soft terms.

- Such breaking can be realized in supergravity and in superstring vacua where susy is broken by hidden gaugino condensation.
- The EFT is MSSM and is valid up to close the Planck scale.
- There is another “mechanism” in this class: [Anomaly Mediated Susy Breaking](#).

Further reading: <http://doc.cern.ch/cernrep/1998/98-03/98-03.html>

- The idea of **anomaly mediated supersymmetry breaking** comes from brane realizations of the SM.
- The "hidden" sector where supersymmetry breaks spontaneously is localized on a brane different from the SSM-brane.
- The breaking of supersymmetry is communicated to the SSM via the Weyl anomaly.
- The form of the gaugino and scalar soft masses is of the form

$$M_a = \beta_a M \quad , \quad m_i^2 = m_0^2 - C_i^a \beta_a M^2$$

where M is a characteristic energy scale and m_0 a phenomenological parameter and β_a the gauge β -functions.

- This mechanism is still in its infancy and has many obscure points. It is known as **mAMSB** and characterized by the parameters $(m_0, M, \tan \beta, \text{sign}(\mu))$

Further reading: [\[arXiv:hep-th/9810155\]](#), [\[arXiv:hep-ph/9810442\]](#)

SSB: Light gravitino mass

This may be realized when supersymmetry is broken in a hidden sector, and is communicated to the observable sector by gauge or Yukawa interactions. Here $\lambda_I \sim \mathcal{O}(1)$.

- To obtain the desired mass splittings, $\Lambda_S \sim \text{TeV}$ and therefore $m_{3/2} \sim 10^3 - 10^{-5} \text{ eV}$.
- A class of models realizing this supersymmetry breaking pattern are known as **messenger or gauge mediated** supersymmetry breaking models. They contain apart from the observable sector, the “messenger” sector and the “hidden” sector.
- Here the gravitino is part of the low energy spectrum and its Goldstino component couples to the low energy fields with strength that ranges from order the gauge couplings to several orders smaller.
- **Such theories have new physics well below the Planck scale.**
- The LSP is the gravitino.

Further reading: <http://doc.cern.ch/cernrep/1998/98-03/98-03.html>

minimal Gauge-Mediated Susy Breaking

- There is a source of supersymmetry breaking due to a vev $\langle X \rangle = M + \theta^2 F$ in a hidden (secluded) sector.
- There are messenger superfields in complete SU(5) reps (not to upset gauge coupling unification) Φ_i that couple as $\lambda_{ij} \bar{\Phi}_i X \Phi_j$ both to the secluded and the SSM sector. They modify the GUT scale coupling as

$$\delta\alpha_{GUT}^{-1} = -\frac{N}{2\pi} \log \frac{M_{GUT}}{M} \quad , \quad N = \sum_i n_i$$

- Diagonalize and absorb λ 's into $(M, F) \rightarrow (M_i, F_i)$. Then the gaugino and scalar masses are given by

$$M_a = k_a \frac{\alpha_a}{4\pi} \Lambda_G \quad , \quad \Lambda_G = \sum_i n_i \frac{F_i}{M_i} \quad , \quad k_Y = \frac{5}{3} \quad , \quad k_2 = k_3 = 1$$

$$m_i^2(t) = 2 \sum_{a=1}^3 C_a^i k_a \frac{\alpha_a^2(0)}{(4\pi)^2} [\Lambda_S^2 + h_a \Lambda_G^2] \quad , \quad h_a = \frac{k_a}{b_a} \left[1 - \frac{\alpha_a^2(t)}{\alpha_a^2(0)} \right] \quad , \quad \Lambda_S^2 = N \frac{F^2}{M^2}$$

- The MSSM soft parameters are here parameterized by $(M, N, \Lambda_G, \tan \beta, \text{sign}(\mu))$

Further reading: [\[arXiv:hep-ph/9801271\]](https://arxiv.org/abs/hep-ph/9801271)

The gravitational coupling

The coupling of gravity, Newton's constant G_N has dimensions M^{-2} . This is how we define the Planck Mass : $G_N = M_{\text{Planck}}^{-2}$.

Gravitational force:

$$F = G_N \frac{M_1 M_2}{R^2} \sim G_N \frac{E_1 E_2}{R^2}$$

The dimensionless gravitational coupling runs fast with energy:

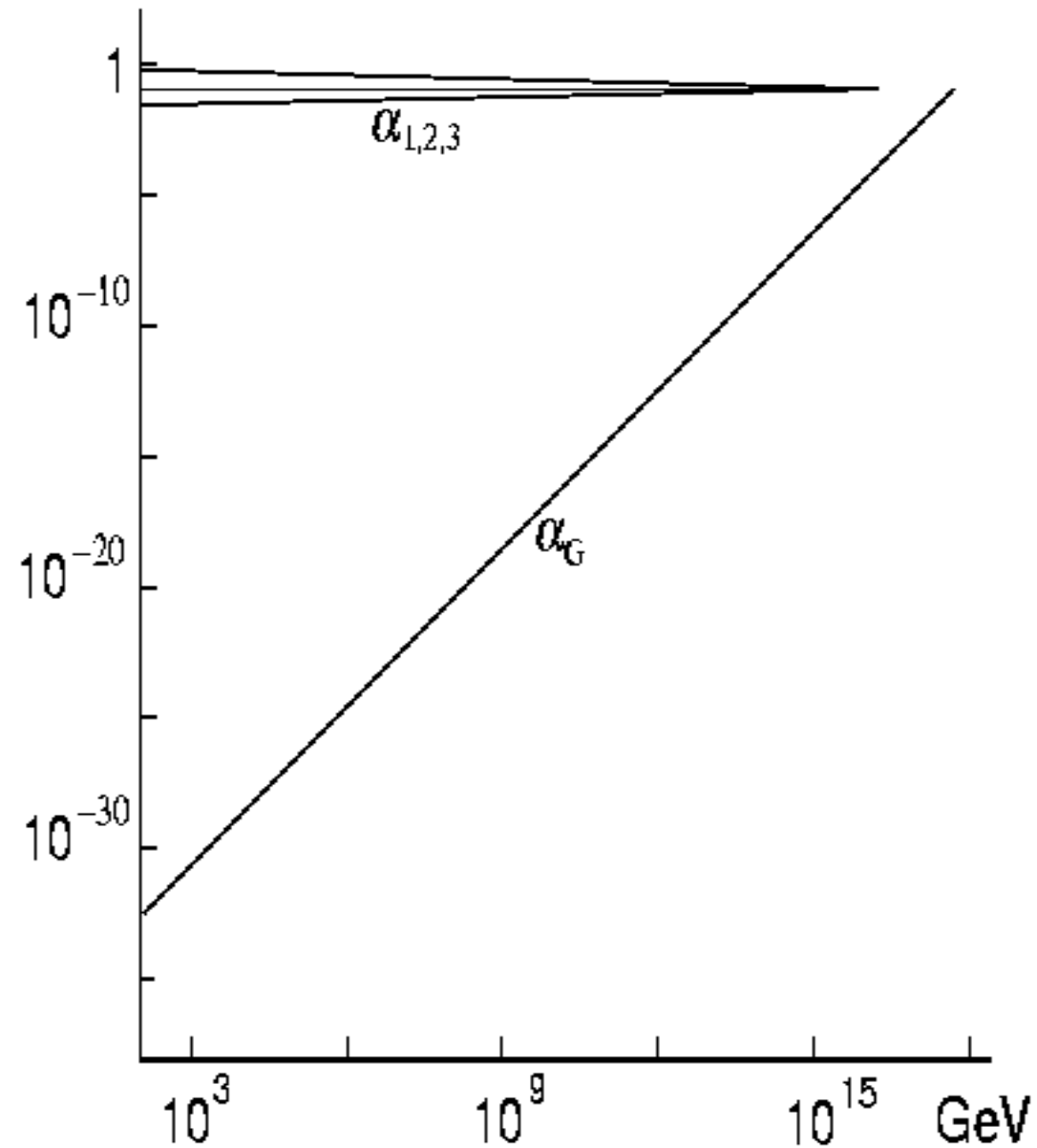
$$\alpha_{grav} \equiv G_N E^2 = \frac{E^2}{M_{\text{Planck}}^2}$$

Gravity versus other interactions

interaction	dimensionless coupling	strength
Strong	$\alpha_s = \frac{g_s^2}{4\pi\hbar c}$	~ 1
Electromagnetic	$\alpha_{\text{em}} = \frac{e^2}{4\pi\hbar c}$	$\sim \frac{1}{137}$
Weak	$G_F m_p^2$	$\sim 10^{-5}$
Gravity	$G_N \frac{m_p^2}{\hbar c}$	$\sim 10^{-36}$

Therefore until now gravity has been safely neglected in particle physics.

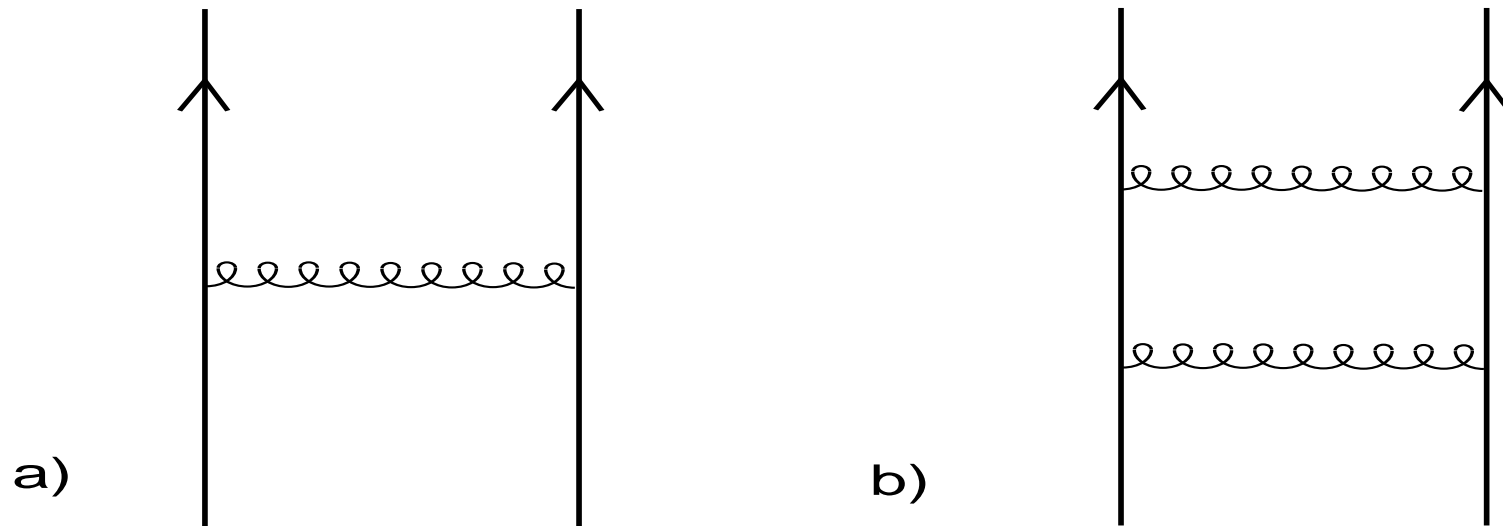
The running of all couplings



The existence of gravity is one of the most solid arguments that the SM is not the final theory.

- Gravity interacts with SM fields.
- At some high energy scale, Λ_P gravity will become strong, and quantum effects must be incorporated. This scale could be $M_P \sim 10^{19}$ GeV but (as we will see later) it could also be much lower if spacetime has extra dimensions.
- This fundamental theory, would look like classical gravity plus the SM at energies $E \ll \Lambda_P$.
- In this sense the SM is an effective theory, valid (at most) up to Λ_P .
- Things look bad, since classical gravity (general relativity) is a non-renormalizable theory.

Gravity at short distances?



- The classical gravitational theory is non-renormalizable

$$(b) \sim \frac{E^2}{M_{\text{Planck}}^4} \int_0^\Lambda dp p \sim \frac{\Lambda^2 E^2}{M_{\text{Planck}}^4},$$

- At higher orders it gets worse and worse.
- No clue as to what the short distance theory is.
- This has been an open problem for more than 50 years.

- **String theory** is a different framework for describing and unifying all interactions.
- It always includes quantum gravity, without UV problems (UV divergences)
- It also includes the other ingredients of the SM: Gauge interactions, chiral matter (fermions) and if needed, supersymmetry.
- It offers some conceptual features that are appealing to (many) physicists:
 - (a) **String theory ALWAYS contains gravity**
 - (b) **The existence of fermions implies supersymmetry at high energy.**
 - (c) **It has a priori no fundamental parameters but only one dimensionfull scale: the size of the strings.** All dimensionless parameters of a given ground state of the theory are “dynamical” (expectation values of scalar fields).
 - (d) **It contains solitonic extended objects (known as branes) that provide an incredible richness to the theory as well as a deep link between gauge theories and gravity.**

What is String Theory?

Shift in paradigm: from point particle to a closed string.

- In QFT fields are “point-like”. In string theory, they depend not on a point of space-time but a loop in space-time (the position of a closed string).

What is the difference between a closed “fundamental” string and a loop of wire?

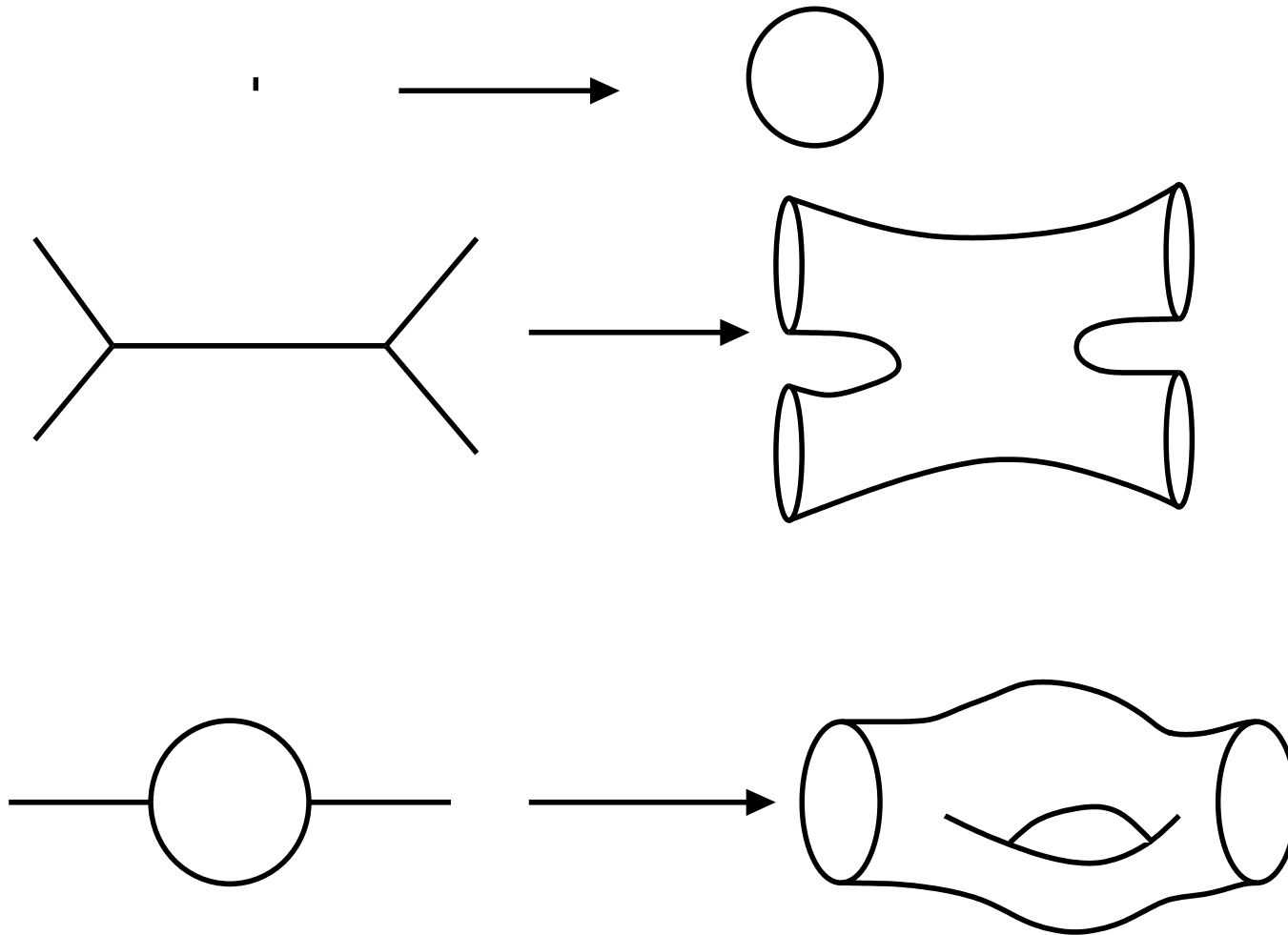
(A) The fundamental string is much smaller: its size is definitely smaller than 10^{-18} m. This would explain why we have not seen one so far. Therefore, quantum effects are dynamically important.

(B) Apart from the usual degrees of freedom (their coordinates in space-time), fundamental strings have also fermionic degrees of freedom. There is a kind of supersymmetry relating the coordinates to such fermionic degrees of freedom.

Since the smallest length we can see today (with accelerators) is approximately 10^{-18} m strings would appear in experiments so far as point-like objects.

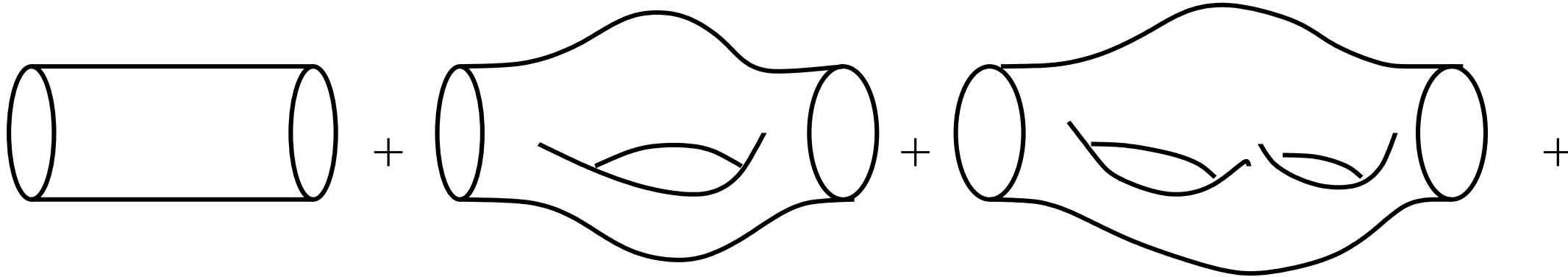


- In perturbation theory, standard QFT Feynman diagrams are replaced with string diagrams (two-dimensional surfaces)



String perturbation theory

- ♣ In QFT perturbation theory is formulated using Feynman diagrams.
- ♠ In string theory we have Riemann surfaces. For closed strings, each order contains a single diagram. At low energy, they reduce to the (many) QFT Feynman diagrams.



- String theory diagrams, when appropriately defined, give finite amplitudes in the UV. Quantum gravity, which is part of string theory is essentially finite.

- Fundamental strings, like the analogous classical objects, can vibrate in an infinite possible number of harmonics.
- Upon quantization, these harmonics behave like different particles in space-time.

A single string upon quantization \implies an infinite number of particles with ever increasing mass.

- Infinity of particles is responsible for the unusual properties of string theory (and its complicated structure).
- Strings live in diverse dimensions. Lorentz invariance \Leftrightarrow 9+1 dimensions. Although this seems to contradict common experience it can be compatible under certain circumstances. **How do we see the extra dimensions?**

Extra space dimensions

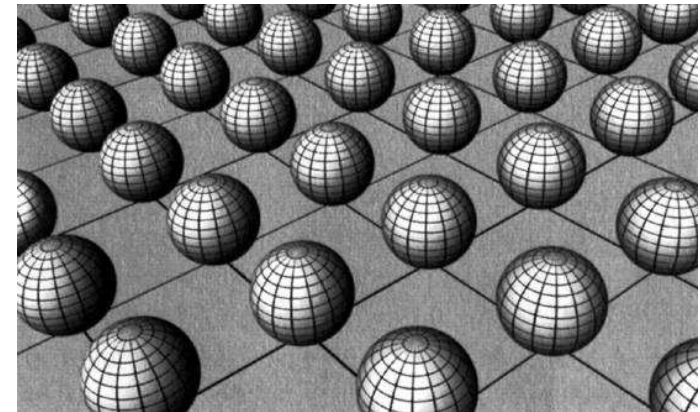
- The idea that space has extra, hitherto unobservable dimensions goes back to the beginning of the twentieth century, with Nordström (1914), Kaluza (1925) and Klein (1926).



- It comes naturally in string theory.

How come they are not visible today?

- (A) Because they compact and sufficiently small.
- (B) Because we are “stuck” on the 4D world.
- (C) Because they are of a more bizarre kind (for example, they are discretized appropriately)



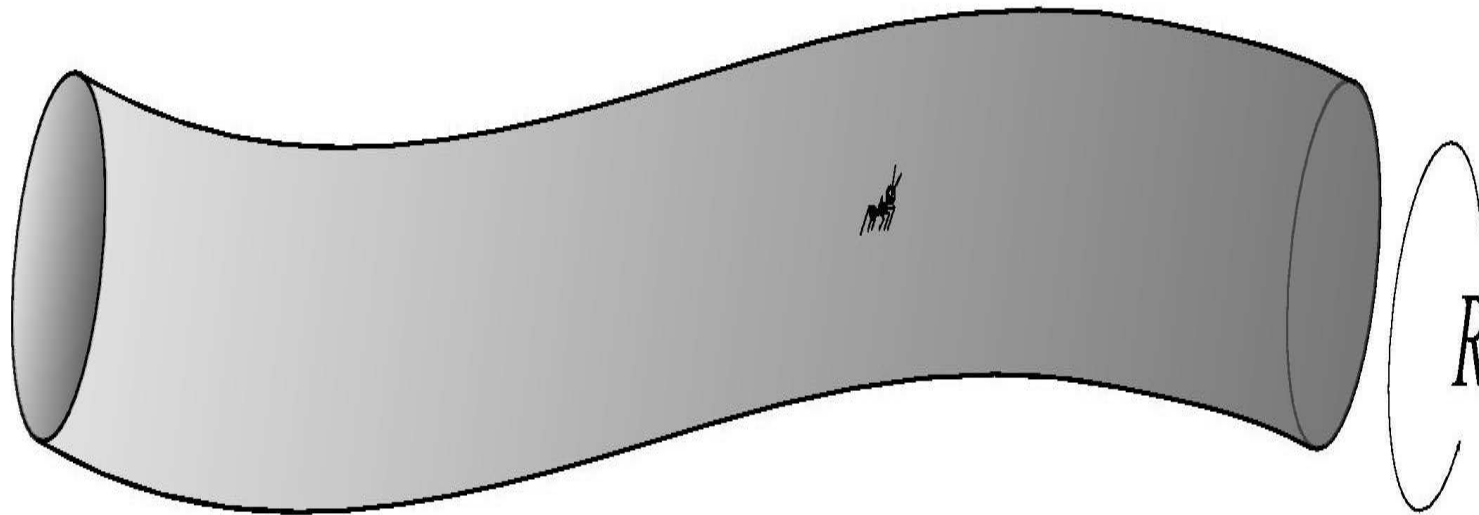
“Small” compact dimensions

A compact, sufficiently small extra dimension is not visible !

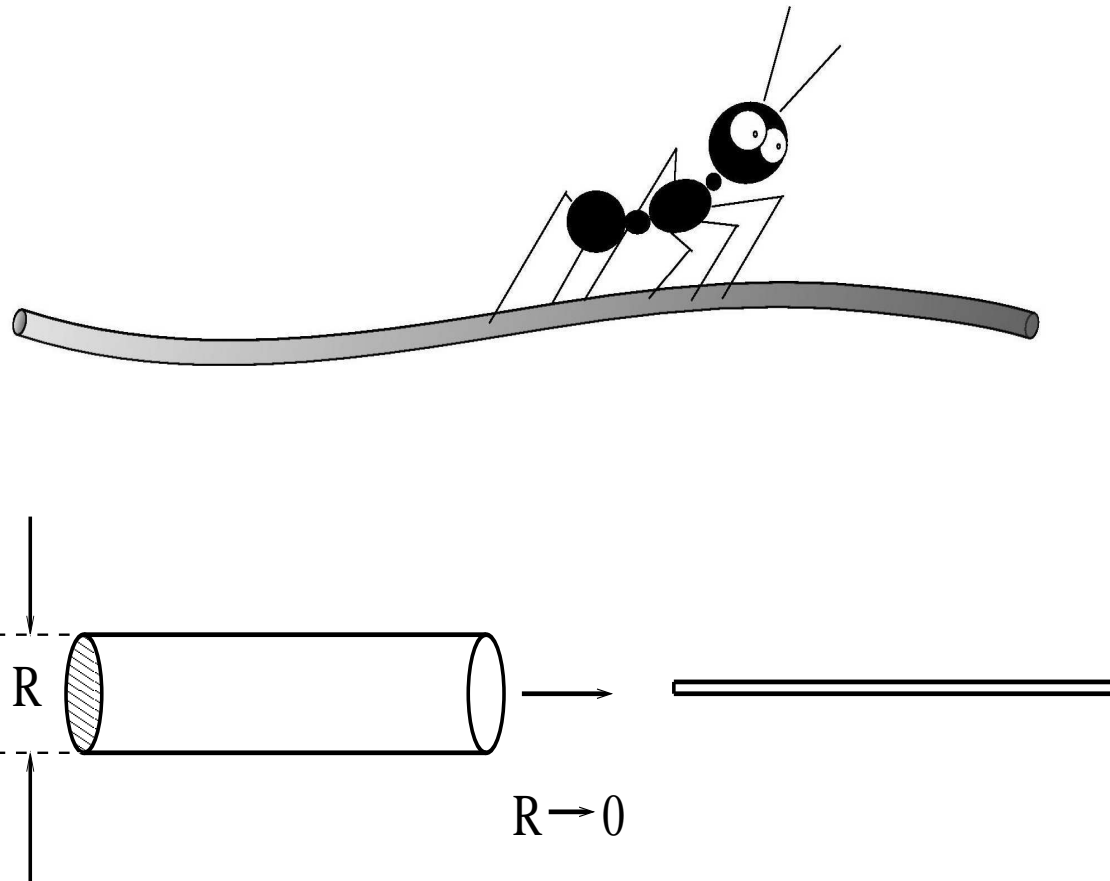
A simple example of a space with one compact (circle) and one non-compact (real line) dimension: a hose of infinite length and radius R .

There are two regimes:

(A) At distance $\ll R$ the space looks like an (infinite) two-dimensional plane.



(B) At distance $\gg R$ the compact direction of the hose is invisible. The hose looks one-dimensional.



We will now make this intuition more precise.

Kaluza-Klein states

Consider the usual 3+1 dimensional space-time and a fifth dimension that is a circle of radius R . Consider also a free massless scalar field in this 5d space-time.

- From QM: the momentum on a circle is quantized.

$$\exp \left[i p_4 (x^4 + 2\pi R) \right] = \exp \left[i p_4 x^4 \right]$$

From the mass-less condition in 5 dimensions: $e^{2\pi i p_4 R} = 1 \longrightarrow p_4 = \frac{n}{R}$

$$E^2 - \vec{p}^2 = 0 \rightarrow E^2 - p_1^2 - p_2^2 - p_3^2 - p_4^2 = 0 \rightarrow E^2 - p_1^2 - p_2^2 - p_3^2 = \frac{n^2}{R^2}$$

Compare with four-dimensional relation for massive particles:

$$E^2 - p_1^2 - p_2^2 - p_3^2 = M^2$$

This is equivalent to an infinite tower of four-dimensional particles (KK states) with masses

$$M_n = \frac{|n|}{R}, \quad n \in \mathbb{Z}$$

- A single massless scalar in 5d is equivalent to an infinite collection of (mostly) massive scalar in 4d.

This result is generic and applies also to massive fields or fields with spin.

Exercise Derive the KK masses for a massive 5d scalar. Derive the analogous result for a 5-dimensional gauge field. What is the spin of 4-dimensional fields that are obtained and what are their masses?

♣ If at low energy, our available energy in accelerators is

$$E \lesssim \frac{1}{R}$$

none of the massive KK-states can be produced (“seen”).

The extra dimension is invisible!

Exercise How about its virtual effects?

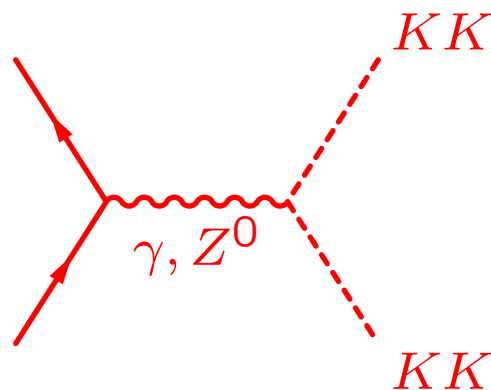
♠ When $E \gg \frac{1}{R}$ several KK states can be produced and studied. When many have been seen the extra compact dimension can be reconstructed.

◇ The fact that till today in colliders we have not seen such states (with SM charges) gives a limit on R :

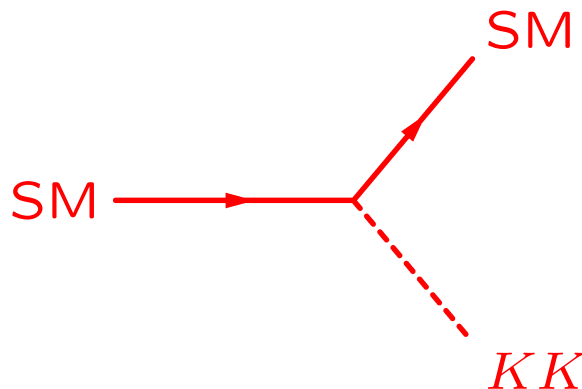
$$\frac{1}{R} > 300 \text{ GeV}$$

In LHC, there will be searches for KK states.

- Since a circle is translationally invariant, p_4 is conserved. n is therefore like a conserved KK U(1) charge.
- Therefore KK-states must be pair produced, so the threshold for their production is $\frac{2}{R}$.



- There are cases where the extra dimension is not translationally invariant. (e.g. a finite interval) Then KK-charge is not conserved, KK states can be singly produced and the threshold for production is $\frac{1}{R}$.



Kaluza-Klein states in string theory

In string theory the KK spectrum is more complex: beyond the usual KK states, the string can wind around the circle, m times. This gives an extra contribution to the energy:

$$\sim T (2\pi m R) \quad , \quad m \in \mathbb{Z} \quad , \quad T = \frac{1}{2\pi \ell_s^2}$$

The spectrum of KK masses now becomes

$$M^2 = \frac{n^2}{R^2} + (2\pi T R)^2 m^2 = \frac{n^2}{R^2} + \ell_s^4 R^2 m^2 \quad , \quad m, n \in \mathbb{Z}$$

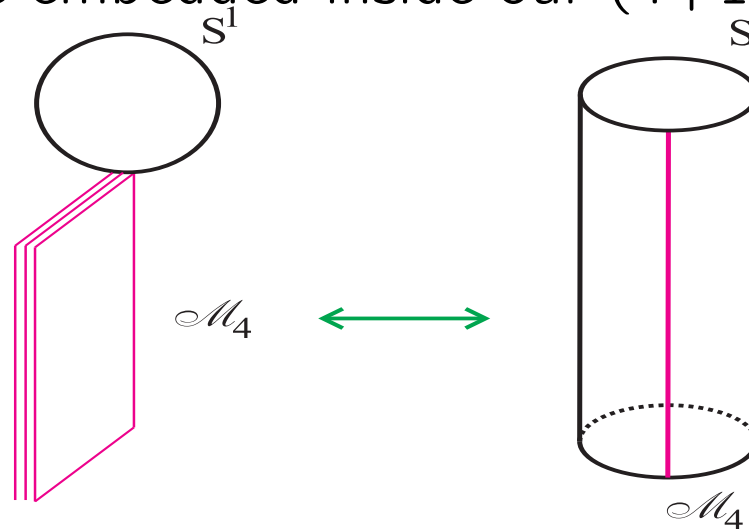
- The spectrum of stringy KK states is invariant under **T-duality**

$$m \leftrightarrow n \quad , \quad R \leftrightarrow \frac{\ell_s^2}{R}$$

There is no circle with $R < \ell_s$ in string theory!

Branes and large extra dimensions

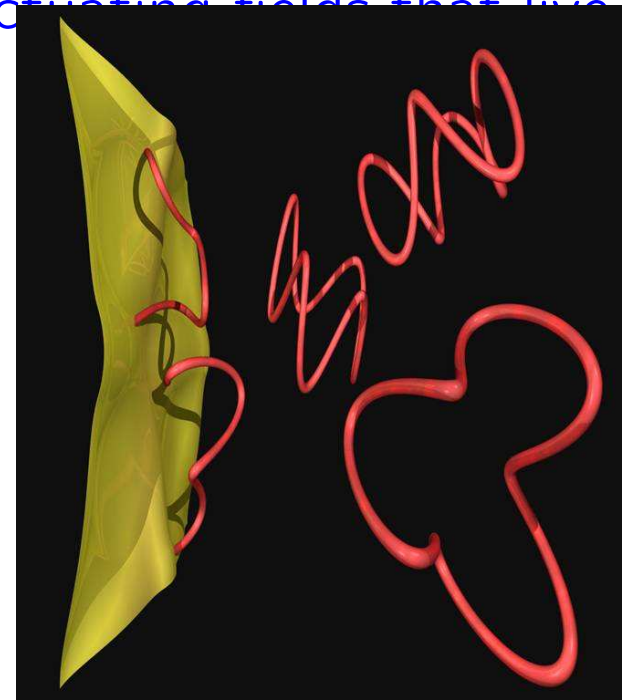
- The collider bound on R : $1/R > 300 \text{ GeV}$ can be simply evaded if the KK states carry no SM charges.
In the simplest case they couple gravitationally.
- This setup is possible using the idea of branes.
- ♠ Consider $M_4 \times S^1$ as an example, with the circle of radius R .
- A 3-brane is a (hyper)-membrane with 3 spacial dimensions. We can imagine such a 3-brane embedded inside our $(4+1)$ -dimensional space.



- Branes are part of string theory. They have fluctuating fields that live on them.

- Such localized fields are typically gauge fields, fermions and scalars.

- We may therefore arrange that the SM fields live on such a 3-brane and cannot propagate in the rest n dimensions (the “bulk”)



- The gravitational field on the other hand can propagate in all (4+1) directions.

- ♠ Consider the Newton constant and Planck mass in a (4+N)-dimensional theory:

$$\mathcal{L} = \frac{1}{G_{4+N}} \int d^{4+N}x \sqrt{g} R_{N+4} \quad , \quad G_{4+N} \sim \frac{1}{M_*^{(N+2)}}$$

- At distances $l \ll R$ gravity is effectively $(4+N)$ -dimensional.

$$V(r) \sim \frac{1}{r^{1+N}}$$

- At large distances $l \gg R$ gravity is four-dimensional. The effective 4D Newton constant $G_4 = M_P^{-2}$ can be calculated from

$$M_*^{N+2} \int d^{4+N}x \sqrt{g} R_{4+N} \sim M_*^{N+2} \int d^4x \sqrt{g} R^N R_4 + \dots$$

$$\frac{1}{G_4} \sim M_P^2 \sim M_*^{(N+2)} R^N$$

- By choosing appropriately the size of extra dimensions

$$R \sim 10^{\frac{32}{N}} \text{ TeV}^{-1} \sim 10^{\frac{32}{N}-12} \text{ eV}^{-1} \sim 10^{\frac{32}{N}-16} \text{ mm}$$

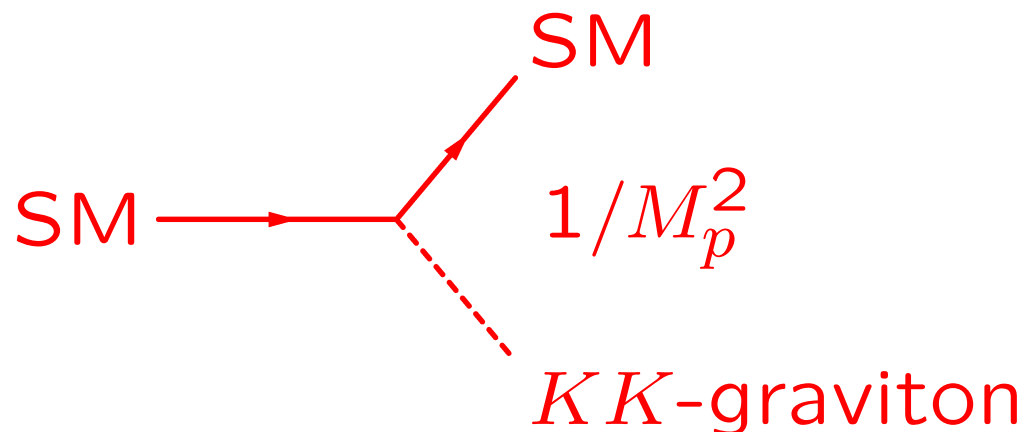
we can arrange that the quantum gravity scale of the full theory

M_* is as low as 1 TeV while $M_P = 10^{19}$ GeV.

- SM particles have no KK descendants (no bulk propagation). **They do not directly feel the extra dimensions.** The collider bound on R is not relevant here.

- The graviton has KK descendants, with the usual masses $\frac{|n|}{R}$. They couple to SM matter gravitationally.

- Each KK graviton couples with strength M_P^{-2} which is very weak.



- However, the existence of many KK-gravitons enhances this coupling (more later).

- For two extra dimensions their size can be 0.1 mm !!! How come we have not seen such a “large dimension” ?
- It cannot be seen at accelerators because of the weak coupling of KK gravitons. (It becomes substantial at 1 TeV or more).
- For distances smaller than 0.1 mm gravity becomes higher-dimensional :

$$F \sim \frac{1}{r^{2+N}} \quad \text{or} \quad V \sim \frac{1}{r^{1+N}}$$

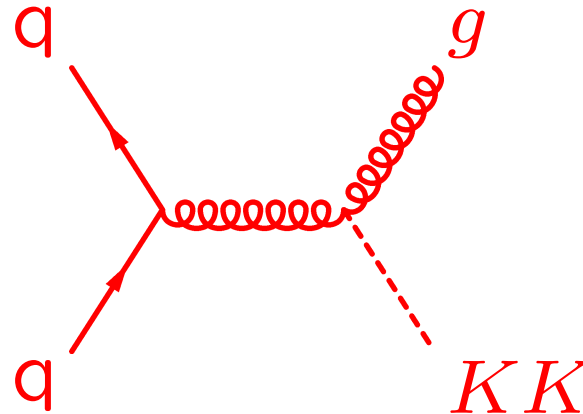
The compact Newton's law

♠ Surprisingly, until recently the gravitational law has been measured only up to distances of 1 mm! Today, the limiting distance has gone down to 10 μm .

Where can we see signals for all this?

(A) From tabletop short distance experiments

(B) At LHC. The signal is missing energy due to brehmstrahlung into KK gravitons that escape undetected in the bulk.



For $E \gg \frac{1}{R}$

$$\sigma \sim \frac{1}{M_P^2} (\# \text{ of } KK \text{ gravitons}) \sim \frac{1}{M_P^2} (ER)^N \sim \frac{1}{M_*^2} (EM_*)^N$$

where we used $M_P^2 \sim M_*^{(N+2)} R^N$.

Exercise: calculate the number $(ER)^N$ of KK states that can contribute to this process.)

Further reading: [\[arXiv:hep-ph/0503148\]](https://arxiv.org/abs/hep-ph/0503148)

LHC cross-sections for KK-gravitons

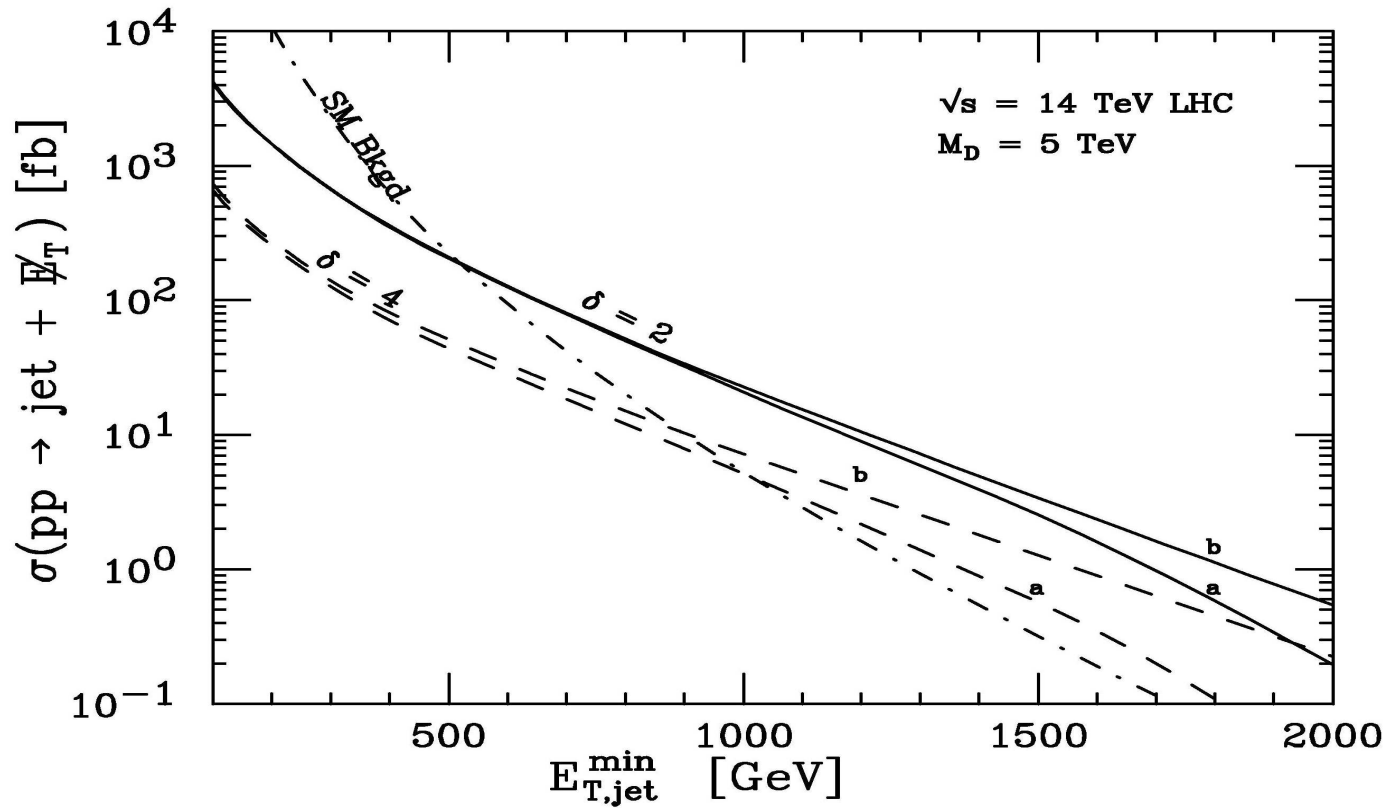


Figure 3: The total jet + nothing cross-section at the LHC integrated for all $E_{T,\text{jet}} > E_{T,\text{jet}}^{\min}$ with the requirement that $|\eta_{\text{jet}}| < 3.0$. The Standard Model background is the dash-dotted line, and the signal is plotted as solid and dashed lines for fixed $M_D = 5 \text{ TeV}$ with $\delta = 2$ and 4 extra dimensions. The **a** (**b**) lines are constructed by integrating the cross-section over $\hat{s} < M_D^2$ (all \hat{s}).

From Giudice, Rattazzi and Wells [arXiv:hep-ph/9811291]

The compact Newton's law

Assume 3+1 non-compact dimensions, and a single compact direction of radius R ($x^4 \rightarrow x^4 + 2\pi R$). The Newton's law, obtained by the method of images is

$$F = \frac{M_1 M_2}{M_*^3} \sum_{n \in \mathbb{Z}} \frac{1}{[(x^1)^2 + (x^2)^2 + (x^3)^2 + (x^4 + 2\pi n R)^2]^{\frac{3}{2}}}$$

$$r = \sqrt{(x^1)^2 + (x^2)^2 + (x^3)^2}$$

is the usual distance in 3+1 dimensions.

- When $r \ll R$, all other images $n \neq 0$ are far away and can be neglected. Therefore we have 5d gravity.

$$F(r \ll R) \simeq \frac{M_1 M_2}{M_*^3 [r^2 + x_4^2]^{\frac{3}{2}}}$$

- When $r \gg R$ all images give equally important contributions. The result can be obtained by a Poisson resummation: $\sum_{n \in \mathbb{Z}} f(2\pi n) = \sum_{n \in \mathbb{Z}} \tilde{f}(n)$

$$\sum_{n \in \mathbb{Z}} \frac{1}{[r^2 + (x^4 + 2\pi n R)^2]^{\frac{3}{2}}} \simeq \frac{1}{\pi R r^2} \left[1 + \mathcal{O}\left(\frac{R}{r}\right) \right]$$

$$F(r \gg R) \simeq \frac{M_1 M_2}{\pi M_*^3 R} \frac{1}{r^2}, \quad M_P^2 = \pi M_*^3 R$$

Black holes at colliders?

Black holes are very special (and singular) solutions of GR

$$ds^2 = -f(r)dt^2 + \frac{dr^2}{f(r)} + r^2(d\theta^2 + \sin^2\theta d\phi^2)$$

$$f(r) = 1 - \frac{2M}{M_P^2 r}, \quad f(R) = 0 \quad \rightarrow \quad R = 2\frac{M}{M_P^2} = 2\frac{M}{M_P} \ell_P$$

Far away, $r \rightarrow \infty$ the space is flat

$$ds^2 = -dt^2 + dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2) = -dt^2 + dx^i dx^i$$

- $r = R$ is the **horizon**, $r = 0$ is the **singularity**.
- Black holes are **classically stable** (and “hungry”).
- In the quantum theory they decay via **Hawking radiation**.
- This fact is correlated with many of the theoretical puzzles posed by black-holes (black-hole thermodynamics and “the black-hole information paradox”).

The black-hole information saga

- Particles with masses $M \ll M_P$ have an invisible horizon size: $R \ll \ell_P$
But very massive particles $M \gg M_P$ have a macroscopic horizon: $R \gg \ell_P$
They should be treated as black holes

- In the case of large extra dimensions, the higher-dimensional Planck scale M_* is much smaller than the four-dimensional one M_P .

$$\frac{M_P^2}{M_*^2} \sim (M_* R)^n \sim \left(\frac{R}{\ell_*}\right)^N \gg 1$$

- If $M_* \sim 1$ TeV then multi-TeV particles will behave as (higher-dimensional*) black holes.
- They will be created during a collision, and they will decay (democratically) via Hawking radiation.
- Although we do not yet control the details of such processes at LHC energies, we may be faced with such events at LHC

Further reading: start from [hep-ph/0111230](https://arxiv.org/abs/hep-ph/0111230)

Exercise*: Derive the higher-dimensional black-hole solution, by thinking simply about its asymptotic properties. In particular it must satisfy the (higher-dimensional) Poisson equation.

Black holes and the information paradox

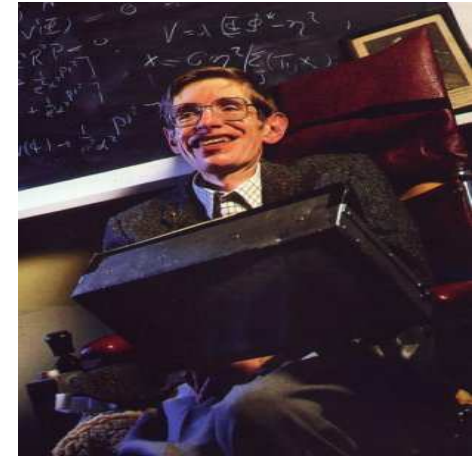
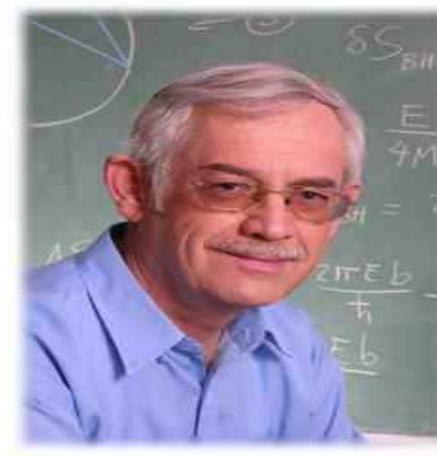
♠ SURGEON'S WARNING: The following descriptions are very qualitative and gloss over several important details (that some times are not well understood). They are meant to convey the spirit of the current progress in understanding the black hole paradoxes in string theory



- General Relativity predicts black hole solutions. They are sinks of energy. They are surrounded by a horizon. Classically they are the ultimate vacuum cleaners.

- Black holes follow the laws of thermodynamics!!!

Christodoulou, Carter, Bekenstein, Hawking, 1970-72



- The simplest black hole solution (found by Schwarzschild) is characterized only by its mass M .
- We may define the Hawking temperature and the Bekenstein-Hawking entropy as :

$$T_H \equiv \frac{\hbar c^3}{8\pi k} G M$$

$$S_B \equiv 4\pi \frac{G}{\hbar c} M^2 = \frac{1}{4} \frac{\text{Area of the horizon}}{\ell_P^2}$$

Black hole thermodynamics

0-th law: gravitational equilibrium = constant temperature

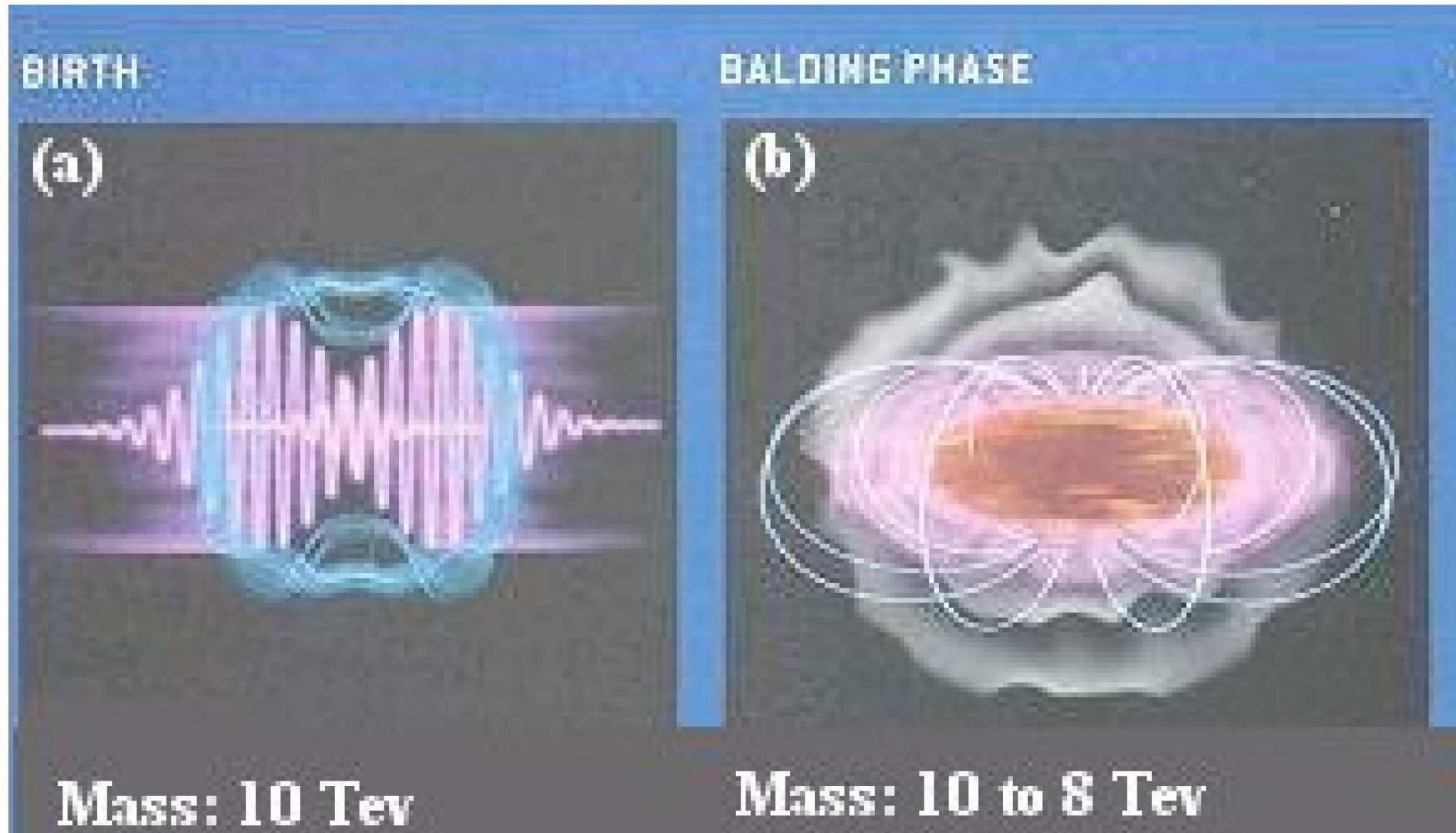
1st law: $\Delta E = T \Delta S + \text{WORK DONE}$

2nd law: $\Delta S \geq 0$

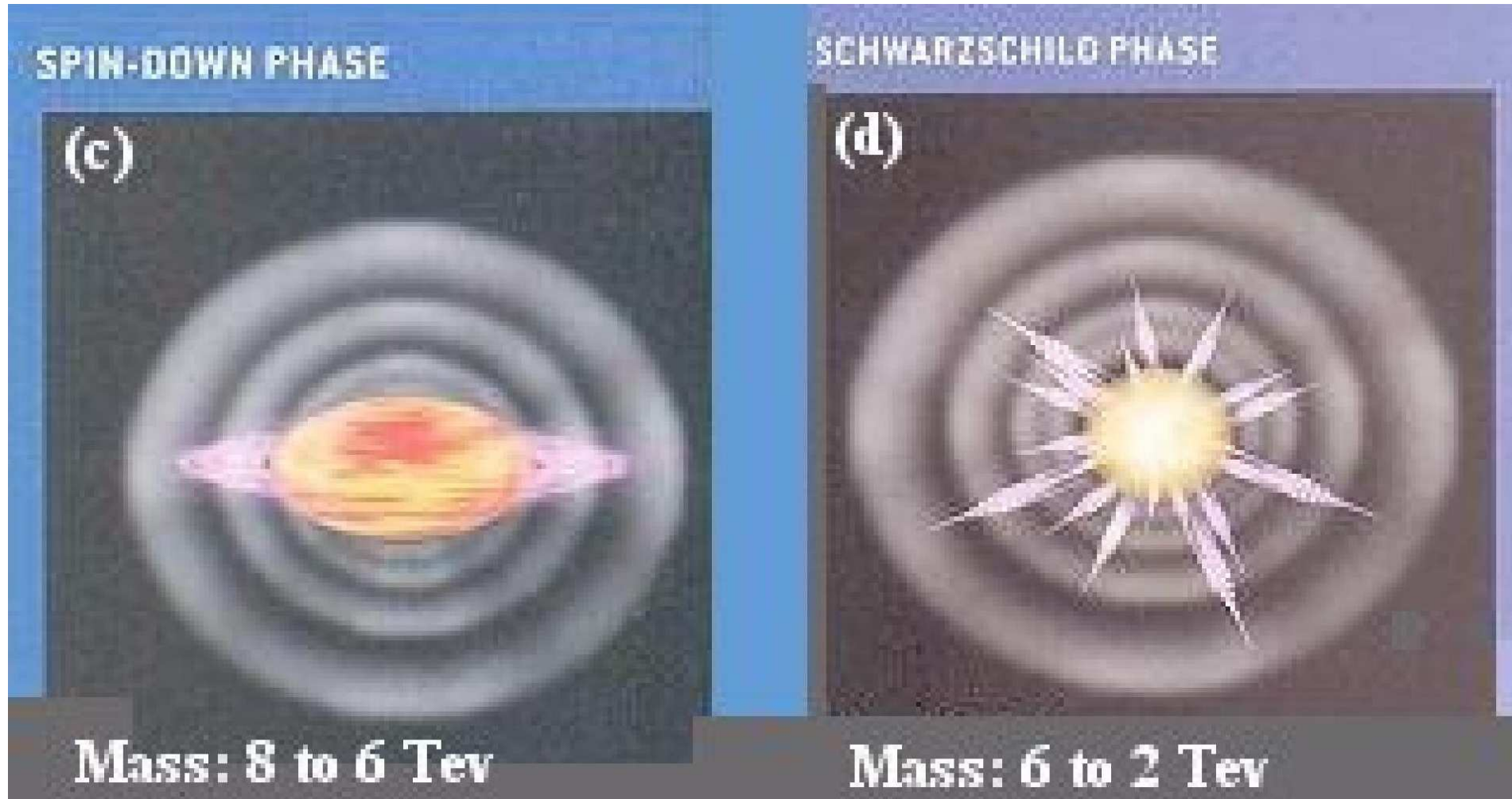
3rd law: No finite physical process can bring $T = 0$.

- The presence of such laws was a mystery
- In 1974 Hawking showed that if we treat matter around a black hole quantum mechanically (but gravity classically), the black hole evaporates emitting particles with a black-body spectrum at temperature T_H (the Hawking temperature)

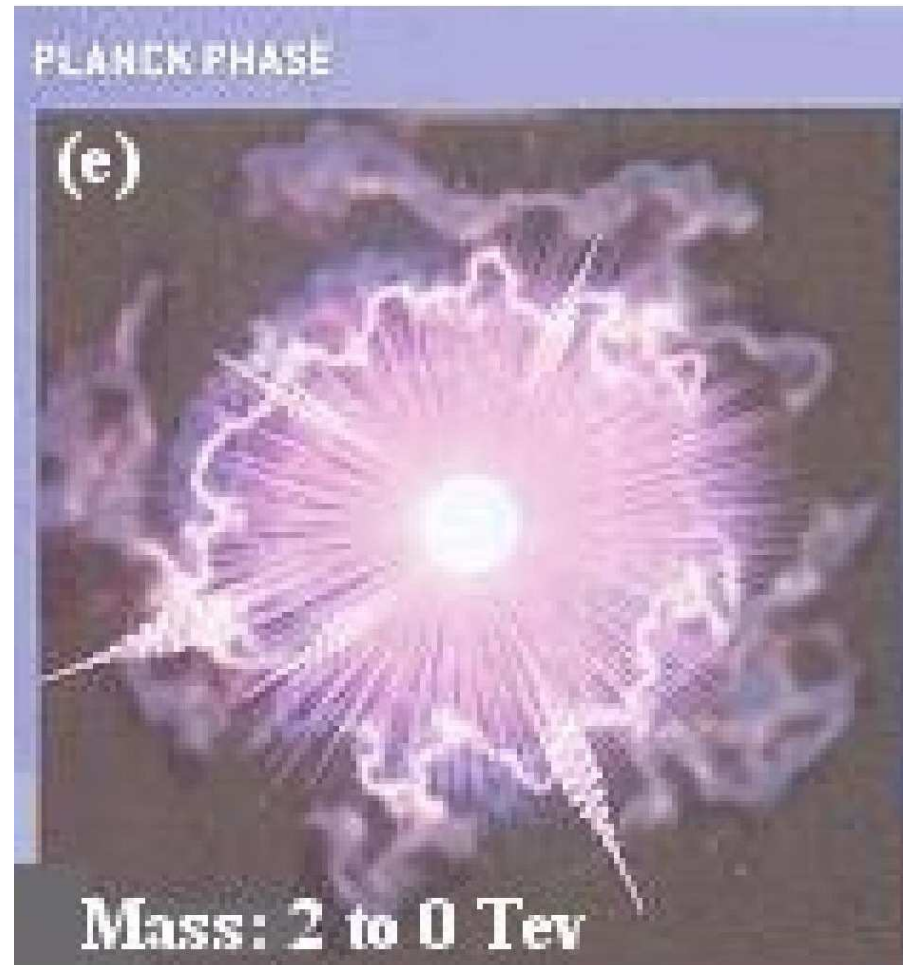
Black-hole evaporation



A simulation of black-hole evaporation:(a)+(b) Phase



A simulation of black-hole evaporation:(c)+(d) Phase



A simulation of black-hole evaporation: Final phase

Where are the microstates?

- In quantum statistical mechanics entropy is a measure of the possible different microstates available to the macroscopic system, (N).

$$S \sim \log[N]$$

- What are the microstates that give rise to the Bekenstein-Hawking entropy?
- All reasonable arguments give:

$$\text{Entropy} \sim \text{volume}$$

and not \sim surface as in the black-hole case.

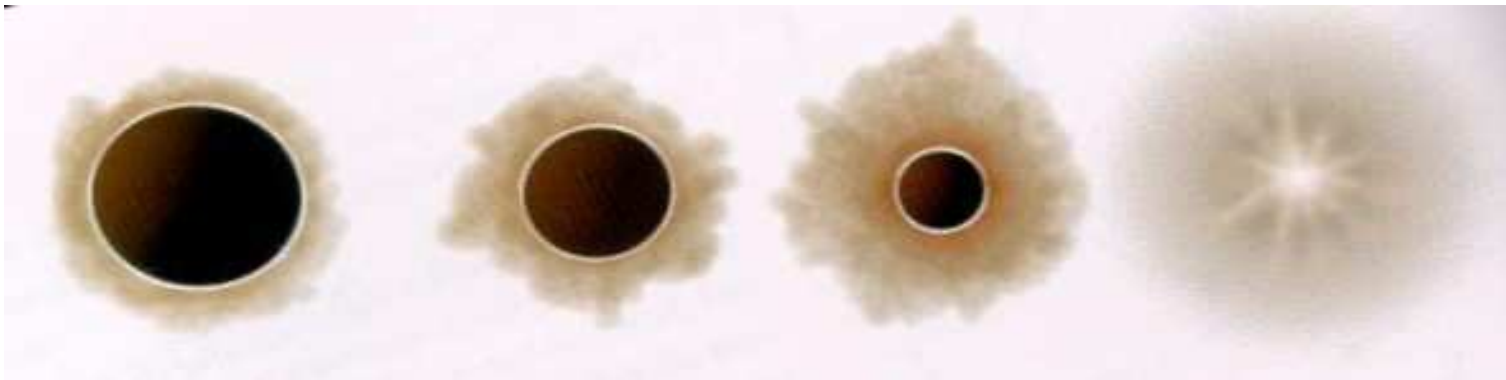
- What is the fundamental microscopic explanation of black-hole thermodynamics?

The information paradox

- According to quantum mechanics information is conserved: (pure states evolve to pure states).

But....

- A black hole that is created by matter+information (a pure initial state), radiates à la Hawking thermal radiation (that does not carry information), and evaporates leaving nothing behind.



Where did the original information go?

Interlude

- Hawking in 1974 conjectured that the information is lost permanently and therefore quantum mechanics must be modified in the presence of (strong) gravity.
- Last year he accepted defeat by embracing the solution given by the holographic description of string theory and paid his lost bet to **John Preskill**



Some string theory answers, I

- We may theoretically construct microscopic black-holes from (many) D-brane bound states.

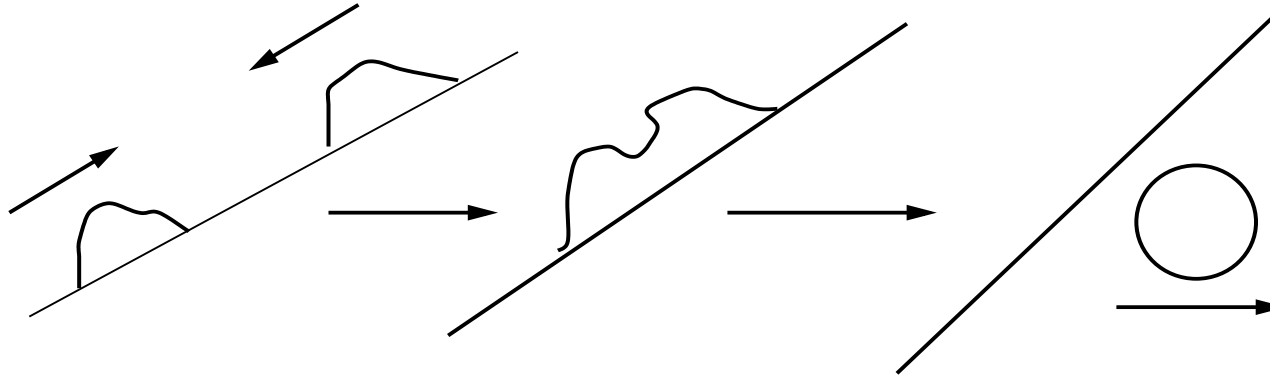
Strominger+Vafa, 1996

- When the string coupling constant is small $g_s \ll 1$, these bound states look like heavy composite particles without a horizon.
- In this case we can compute the possible microscopic quantum states and the answer agrees with the (gravitational) Bekenstein-Hawking entropy
- If we now extrapolate to $g_s \gg 1$, the composite particle becomes a heavy black hole with a macroscopic horizon.
- Do we trust our calculations when $g_s \gg 1$? Yes! (in special cases that are “protected” by supersymmetry)



Some string theory answers, II

- We can also microscopically produce the Hawking radiation



- Open string degrees of freedom describe the fluctuations of D-branes and therefore those of their bound states. When such open string scatter on the surface of the bound-state they may combine to form a closed string and leave the bound state. This process can be modeled and computed from first principles in string theory (in some interesting limits).

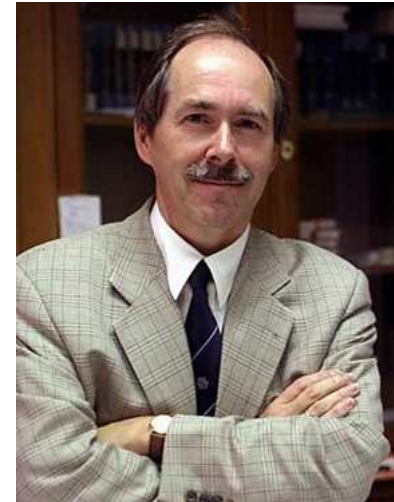
- This detailed microscopic string theory calculation agrees with the semi-classical Hawking result in their common area of validity.

- This gives us confidence that this is the correct picture of black-hole microstates (at least for special black holes)!

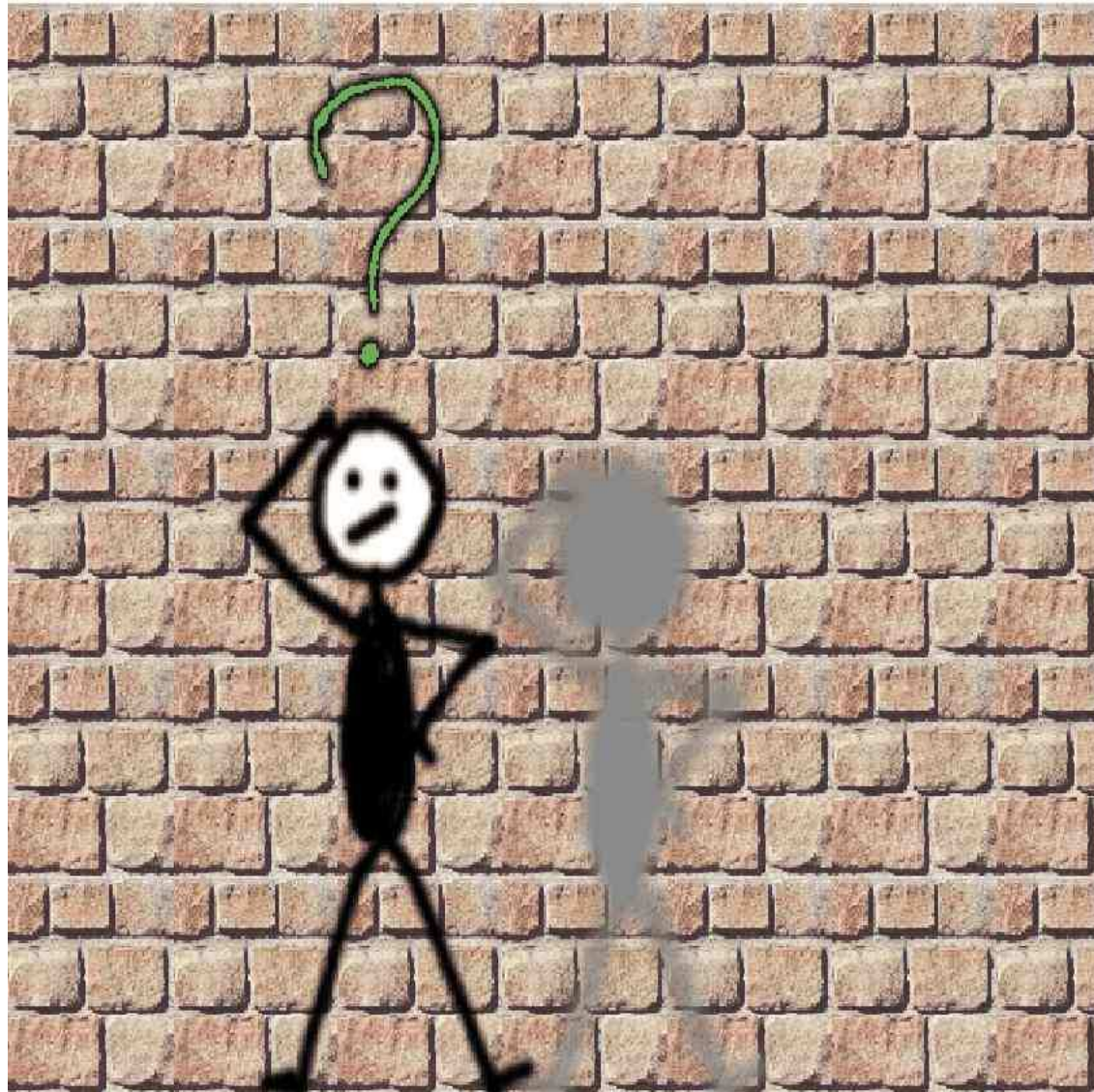
- It also suggests that **information is not lost**.

Gravity and Holography

- In standard dynamical systems entropy is proportional to the volume.
- In black hole systems it is proportional to the (horizon) area
- This suggests that the gravitational degrees of freedom are much less in number than what we thought (based on QFT).
- The surface degrees of freedom seem to capture all the information about the volume they surround. (holographic property)
- 't Hooft (1992) : The holographic property is a general property of any consistent theory of quantum gravity.



This property reminds us of "Plato's cave"



Holographic gauge theory/string theory correspondence

- Recently, concrete examples have been found where the holographic correspondence can be understood.
- A gauge theory living on the boundary of a space-time corresponds holographically to the string theory that lives in the bulk of the space-time.
Maldacena, 1997
- This opens the door for a deeper understanding of the puzzles of quantum gravity using gauge theory dynamics.
- It also suggests that information is not lost from black-holes as the dual description in terms of the gauge theory is explicitly unitary.
- Recently holographic techniques start making an impact as a tool to understand **strong coupling dynamics in QCD both zero temperature, as well as for the physics of the quark gluon plasma.**



Further reading on Black holes and holography

- Introductory general relativity:

<http://www.phys.uu.nl/~thooft/lectures/genrel.pdf>

- Black holes: [arXiv:gr-qc/9707012]

- Introduction to black-hole thermodynamics:

<http://www.glue.umd.edu/~tajac/BHTlectures/lectures.ps>

- Introductory descriptions on the counting of black-hole microstates and the holographic (bulk-boundary) correspondence can be found in the following string theory books:

♠ C. Johnson, “D branes”

♠ K+M. Becker, John Schwarz, “String theory and M-theory

♠ E. Kiritsis, “String theory in a nutshell

RETURN

Conclusions

We have seen that we already have experimental data that cannot be explained in the context of the Standard Model,

- Neutrino masses and mixings.
- Dark matter.
- Dark Energy.

We have also seen many ideas that attempt to unify the forces, make a UV stable theory, incorporate gravity, and try to explain the data above.

No theory so far can successfully accommodate all three data.

♠ **We need input from experiments!**

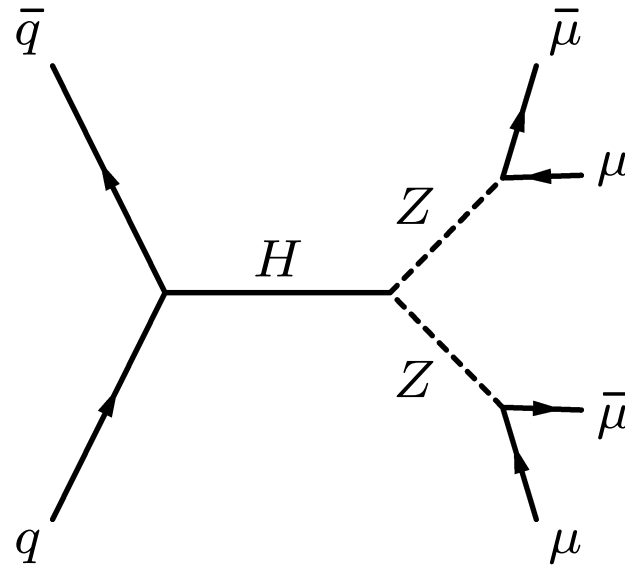
Happily, data are still flowing-in from cosmological observations, and accelerators like LHC are expected to provide complementary views of the fundamental physical theory.

◇ **We do count on your help!**

The (old) quest for understanding
nature is still on!

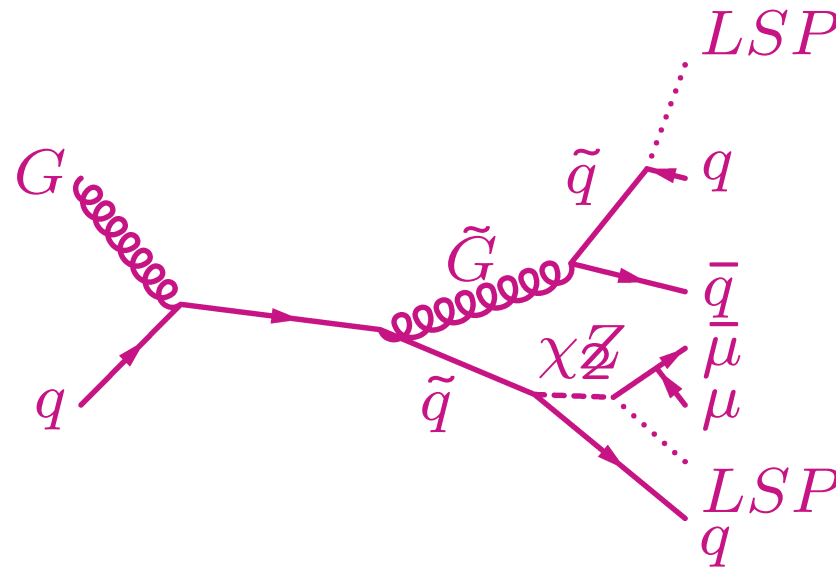
Thank you!

Higgs Event



Higgs \rightarrow $ZZ \rightarrow \mu\mu\mu\mu$ event

First SUSY event



The events were generated by [Maria Spiropulu](#) for the following SUSY mSUGRA parameters:

$$\tan \beta = 10 \quad , \quad m_{\frac{1}{2}} = 285 \text{ GeV} \quad , \quad m_0 = 210 \text{ GeV} \quad , \quad A = 0, \text{sign}(\mu) = +$$

This is known as the LM4 mSUGRA Point.

For these parameters the squark (gluino) masses are about 600 (700) GeV and the lightest neutralino, which escapes direct detection, has a mass of 114 GeV.

See <http://iguanacms.web.cern.ch/iguanacms/gallery-page4.html>

Renormalized couplings: a concrete example

Consider that there exists at low energy a single scalar ϕ and we write the basic interactions at a high scale Λ . (this is the definition scale. At higher scales the theory may change):

$$S = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{m_0^2}{2} \phi^2 + \lambda_0 \phi^4$$

We now calculate various low energy parameters, at a given scale $E_0 \ll \Lambda$.

$$m^2(E_0) = m_0^2 - \xi_1 \Lambda^2 + \dots, \quad \frac{1}{\lambda(E_0)} = \frac{1}{\lambda_0} - b_0 \log \frac{E_0}{\Lambda} + \dots$$

These are obtained from the two and four-point functions or equivalently from $\sigma_{2 \rightarrow 2}$. If we now compute a $2 \rightarrow 4$ scattering cross section:

$$\sigma_{2 \rightarrow 4}(m(E_0), \lambda(E_0), \Lambda) \sim \frac{\lambda_0^2}{m_0^2} \sim \frac{\lambda(E_0)^2}{m(E_0)^2 + \xi_1 \Lambda^2}$$

From this we can "measure" $\Lambda = \Lambda_*$.

If $\Lambda_* \neq \infty$ then the theory must change at $E \sim \Lambda_*$.

If instead we look at a theory like EM with a massive fermion, then both the gauge coupling constant and mass run logarithmically. Smaller sensitivity at the Λ scale.

Renormalization: the old view

In the traditional approach:

- $\Lambda = \infty$. The theory is defined to make sense at all possible energies.
- $\lambda_{n>0}(\infty) = 0$

Since

$$\tilde{\lambda}_n(E) \sim \Lambda^n$$

- Effective dimension > 4 interactions are insensitive to high energy physics.
- Effective dimension ≤ 4 couplings are infinite. We must choose **carefully** the $\lambda_n(\infty)$ so that this infinity cancels.

$$\tilde{\lambda}_2(E) = \lambda_2 + a\Lambda^2 + b\lambda_2 \log \frac{E^2}{\Lambda^2} + \text{finite as } \Lambda \rightarrow \infty$$

Choose

$$\lambda_2 = -a\Lambda^2 - b\lambda_2 \log \frac{E^2}{\Lambda^2}$$

RETURN

Preliminaries

- Title page 1 minutes
- Quote 1 minutes
- Preview 1 minutes
- Preview:Higgs 2 minutes
- Preview:Supersymmetry 5 minutes
- Preview:Small black holes 7 minutes
- Preview:Strings? 8 minutes
- Preview:Dark Matter and Dark Energy 10 minutes
- The purpose of these lectures 11 minutes
- Suggested reading 12 minutes
- A tentative plan 13 minutes
- High Energy Units 13 minutes

The Standard Model and its parameters

- The Standard Model: principles 16 minutes
- The Standard Model: ingredients 18 minutes
- Standard Model: the quarks 20 minutes
- Standard Model: the leptons 22 minutes
- Standard Model: the Higgs 24 minutes
- Standard Model: Open Problems 26 minutes
- Standard Model: Open Problems II 28 minutes
- SM patterns and parameters 30 minutes
- The pattern of masses 32 minutes
- Other parameters in the SM 34 minutes
- How parameters affect us 41 minutes

Grand Unification

- Grand Unification: The idea 53 minutes
- SU(5): the matter 58 minutes
- SU(5) symmetry breaking 72 minutes
- A glimpse of the hierarchy problem 76 minutes
- Proton decay 79 minutes
- Proton Decay Experiments 83 minutes
- Proton decay channels 86 minutes
- Coupling Unification 90 minutes
- Hypercharge normalization 95 minutes
- Mass relations 98 minutes
- SO(10) unification 101 minutes
- neutrino masses and the see-saw mechanism 104 minutes
- Outlook on baryon number violation 109 minutes

Renormalization and the hierarchy problem

- Renormalization: Integrating out high-energy d.o.f 113 minutes
- The effective field theory 115 minutes
- Effective couplings: the Fermi Theory paradigm 121 minutes
- Irreversibility 127 minutes
- Running Couplings 131 minutes
- Renormalization:the coupling constants 135 minutes
- Renormalization Summary 139 minutes
- Renormalization: Further reading 139 minutes
- The hierarchy problem:Introduction 151 minutes
- Fermion masses 156 minutes
- Gauge boson masses 159 minutes
- The Higgs mass term 162 minutes
- The hierarchy problem 165 minutes
- Avoiding the hierarchy problem 166 minutes
- Technicolor 176 minutes

- Supersymmetry 179 minutes
- Supersymmetry, Vol II 181 minutes
- Weyl spinors 187 minutes
- The supersymmetry algebra 191 minutes
- The supersymmetric representations 196 minutes
- The massless representations 201 minutes
- The supersymmetric multiplets 203 minutes
- The supersymmetry transformations 207 minutes
- The simplest scalar action 211 minutes
- The supersymmetric gauge theory 217 minutes
- The Fayet-Iliopoulos term 223 minutes
- The supersymmetric vacuum 227 minutes
- Supersymmetric renormalization 231 minutes

- The Supersymmetric Standard Model:I 233 minutes
- The quantum numbers of chiral multiplets 236 minutes
- The Supersymmetric Standard Model:II 240 minutes
- The supersymmetric interactions 245 minutes
- R-parity 249 minutes
- Missing Energy 250 minutes
- Missing Energy (Atlas simulation) 251 minutes
- A link to dark matter 254 minutes

Supersymmetry Breaking

- Supersymmetry Breaking 257 minutes
- Supersymmetry Breaking, II 260 minutes
- Spontaneous Supersymmetry Breaking 263 minutes
- The O’Raifertaigh example 267 minutes
- Spontaneous Local Supersymmetry Breaking: I 270 minutes
- Where is the Goldstino? 272 minutes
- The soft supersymmetry breaking terms 275 minutes
- A simple example of a softly-broken supersymmetric theory 281 minutes
- MSSM 289 minutes
- Spontaneous Local Supersymmetry Breaking: II 292 minutes
- SSB: Heavy gravitino mass 295 minutes
- minimal Anomaly-Mediated Susy Breaking 298 minutes
- SSB: Light gravitino mass 301 minutes
- minimal Gauge-Mediated Susy Breaking 305 minutes

String Theory

- The gravitational coupling 307 minutes
- Gravity versus other interactions 309 minutes
- The running of all couplings 311 minutes
- Gravity and the SM 313 minutes
- Gravity at short distances? 316 minutes
- Gravity and String Theory 319 minutes
- What is String Theory? 321 minutes
- String Theory, Vol II 322 minutes
- String perturbation theory 324 minutes
- String Theory, Vol III 326 minutes

Extra space-time dimensions

- Extra space-time dimensions 329 minutes
- “Small” compact dimensions 332 minutes
- Kaluza-Klein states 337 minutes
- Kaluza-Klein states in string theory 340 minutes
- Branes and large extra dimensions 350 minutes
- The compact Newton’s law 355 minutes
- LHC cross-sections for Kaluza-Klein graviton production 357 minutes

Black Holes

- Black holes at colliders? 361 minutes
- Black holes and the information paradox 363 minutes
- Black hole thermodynamics 365 minutes
- Black hole evaporation 367 minutes
- Where are the microstates? 368 minutes
- The information paradox 369 minutes
- Interlude 370 minutes
- Some string theory answers, I 372 minutes
- Some string theory answers, II 374 minutes
- Gravity and Holography 376 minutes
- Holographic gauge theory/string theory correspondence 378 minutes
- Further reading 378 minutes

- Conclusions 380 minutes

Appendices

- Higgs event
- First SUSY event
- renormalized couplings: an example 384 minutes
- Renormalization: the old view