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Gravity Theories and their Avatars Heraklion, Crete 13 July 2012

based mainly on

MRG, R. Gopakumar, arXiv:1011.2986 MRG, R. Gopakumar, T. Hartman & S. Raju, arXiv:1106.1897 MRG, R. Gopakumar, arXiv:1205.2472

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AdS/CFT correspondence

Recall that in the AdS/CFT correspondence the relation between the parameters of the two theories is

$$\left(\frac{R}{l_{\rm Pl}}\right)^4 = N \qquad g_{\rm string} = g_{\rm YM}^2 \qquad \left(\frac{R}{l_{\rm s}}\right)^4 = g_{\rm YM}^2 N = \lambda$$

$$AdS \text{ radius in} \qquad AdS \text{ radius in} \qquad for all string units$$

$$Hooft \qquad \text{parameter}$$

Strong weak duality

For example, in the large N limit of gauge theory at large 't Hooft coupling



Supergravity (point particle) approximation is good for AdS description.

AdS/CFT duality

This is interesting since it gives insights into strongly coupled gauge theories using supergravity methods, e.g.

- anomalous dimensions in N=4 SYM [Minahan,Zarembo,Beisert,Staudacher,Janik,...]
- structural insights into amplitudes
 [Witten,Cazacho,Arkani-Hamed,Alday,Maldacena,
- Verify of the second second
- quantum critical systems

[Polichastro,Son,Starinets,Hartnoll,Herzog,Horowitz, Kachru,Sachdev,Kiritsis,...]

holographic QCD

[Karch,Katz,Kruczenski,Mateos,Myers,Erdmenger,Kirsch,

Sakai, Sugimoto, Kiritsis,...]

Conceptual understanding

However, at present, we are far from a conceptual understanding of why the duality works, and what ingredients are crucial for it, e.g. whether it requires

supersymmetry integrability

This is obviously an important question since in many applications these features are absent.

Weakly coupled gauge theory

In order to make progress in this direction analyse another corner of AdS/CFT: consider case where gauge theory is weakly coupled

Tensionless limit

In tensionless limit all string excitations become massless:



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Higher spin theory

Resulting theory has an infinite number of massless higher spin fields, which generate a very large gauge symmetry:

maximally unbroken phase of string theory

Idea: try to understand AdS/CFT correspondence starting from this highly symmetric theory!

see also [Sagnotti, et.al.], [Jevicki et.al.], [Douglas et.al.], ...

Higher spin theories

Higher spin (HS) theories have a long history.

Fronsdal (1978): free HS theory in flat space with gauge symmetry

Generalisation to AdS straightforward: $\partial \rightarrow \nabla$

Higher spin theories

- Fradkin & Vasiliev (1987): interacting HS theory on AdS (or dS) background.
 - involves infinitely many higher spin fields
 - cosmological constant allows for higher derivative interactions

(Evades various no-go theorems a la Coleman-Mandula.)

Higher spin currents

Note that large symmetry is mirrored on the field theory side since free field theory has conserved (traceless) higher spin currents

$$J_{\mu_1\cdots\mu_s} = \phi^i \partial_{(\mu_1}\cdots\partial_{\mu_s)} \phi^i + \cdots$$
other arrangement
of derivatives

s=2: stress-energy tensor --- dual to graviton under AdS/CFT correspondence

higher s currents --- dual to higher spin fields on AdS



Take the above considerations as general motivation to understand dualities between



Disclaimer: At present do not understand how these dualities fit into the stringy AdS/CFT correspondence, see however [Giombi et.al.], [Chang et.al.].



Actually different versions, depending on whether vector model fields are bosons or fermions and on whether one considers free or interacting fixed point.

N=1 susy generalisation: [Leigh, Petkou]

Checks of the proposal

During the last few years impressive checks of the duality have been performed, in particular

3-point functions of HS fields on AdS4

have been matched to

3-point functions of HS currents in O(N) model to leading order in 1/N. [Giombi & Yin]

Recently, generalisations to a family of parity-violating theories have also been proposed. [Giombi et.al.], [Aharony et.al.]



Here: describe 3d/2d CFT version of this duality.

Lower dimensional version interesting

2d CFTs well understood

Higher spin theories simpler in 3d

Also, 3d conformal field theories with unbroken higher spin symmetry and finite number of d.o.f. (finite N) are necessarily free, but this is not the case in 2d.

[Maldacena,Zhiboedov]



The 3d/2d proposal takes the form

[MRG,Gopakumar]

AdS3: higher spin theory with a complex scalar of mass M

$$\leftrightarrow$$

2d CFT: $\mathcal{W}_{N,k}$ minimal models in large N 't Hooft limit with coupling λ

where
$$\lambda = \frac{N}{N+k}$$

and
$$M^2 = -(1 - \lambda^2)$$



In original version of conjecture there were two scalars.

Given our more detailed understanding of the symmetries (see below), it now seems that one of the scalars should be rather thought of as a non-perturbative state.

cf. also [Chang, Yin]

[This new point of view resolves also some puzzles regarding the structure of the correlation functions.]

> [Papadodimas, Raju] [Chang, Yin]



In the rest of the talk I want to explain the proposal in more detail and indicate which consistency checks have been performed.

- The HS theory in 3d
- Matching the symmetries
- The spectrum
- Conclusions

The HS theory on AdS3

The AdS3 HS theory can be described very simply.

Recall that pure gravity in AdS3: Chern-Simons theory based on $sl(2,\mathbb{R})$ [Achucarro & Townsend] [Witten]

Higher spin description: replace

$$sl(2,\mathbb{R}) \to hs[\lambda]$$
 [Vasiliev]

Higher spin algebra

The higher spin algebra $hs[\lambda]$ is an infinite dimensional Lie algebra that can be thought of as

$$hs[\lambda] \equiv sl(\lambda, \mathbb{R})$$

[Bordemann et.al.] [Bergshoeff et.al.] [Pope, Romans, Shen] [Fradkin, Linetsky]

since

$$hs[\lambda] \Big|_{\lambda=N} \cong sl(N,\mathbb{R}) \quad \text{for integer N}.$$

Higher spin algebra

More explicitly this algebra can be defined as follows: consider the associative algebra

$$B[\lambda] = \frac{U(sl(2))}{C_2 - \frac{1}{4}(\lambda^2 - 1)\mathbf{1}}$$

[Bordemann et.al.] [Bergshoeff et.al.] [Pope, Romans, Shen]

On this vector space then define Lie algebra with Lie brackets given by commutators; as vector space

$$B[\lambda] = \operatorname{hs}[\lambda] \oplus \mathbb{C}$$
.

Higher spin algebra

Generators of $hs[\lambda]$:

$$V_n^s$$
 with $|n| < s$, $s = 2, 3, ...$

`wedge algebra'

Commutation relations can be easily determined explicitly, e.g.

$$\begin{bmatrix} V_2^3, V_1^3 \end{bmatrix} = 2 V_3^4 \qquad \begin{bmatrix} V_2^3, V_0^3 \end{bmatrix} = 4 V_2^4 \begin{bmatrix} V_2^3, V_{-1}^3 \end{bmatrix} = 6 V_1^4 + \frac{1}{5} (4 - \lambda^2) V_1^2 \qquad \begin{bmatrix} V_2^3, V_0^3 \end{bmatrix} = 8 V_0^4 + \frac{4}{5} (4 - \lambda^2) V_0^2$$

Asymptotic symmetries

For these higher spin theories asymptotic symmetry algebra can be determined following Brown & Henneaux, leading to classical

$$\mathcal{W}_{\infty}[\lambda]$$
 algebra

[Henneaux & Rey] [Campoleoni et al] [MRG, Hartman]

Extends algebra `beyond the wedge':

pure gravity: $sl(2,\mathbb{R}) \rightarrow Virasoro$ higher spin: $hs[\lambda] \rightarrow \mathcal{W}_{\infty}[\lambda]$

[Figueroa-O'Farrill et.al.]

W-algebra

Resulting algebra generated by W_n^s , but now there is no restriction on n any longer.

In the generic case, the resulting W-algebra is non-linear, and hence does not contain $hs[\lambda]$ as a subalgebra. [MRG,Hartman]

Exception: $\lambda = 1$ for which $\mathcal{W}_{\infty}[1] \cong \mathcal{W}_{\infty}$

linear W-algebra of Pope, Romans & Shen

Henneaux-Rey: analysis for $\lambda = \frac{1}{2}$.

Campoleoni et.al.: analysis for sl(N) and formal large N limit.



By the usual arguments, dual CFT should therefore have

 $\mathcal{W}_{\infty}[\lambda]$ symmetry.

Basic idea:

 $\mathcal{W}_{\infty}[\lambda] = \lim_{N \to \infty} \mathcal{W}_{N,k}$ with $\lambda = \frac{N}{N+k}$. 't Hooft limit of 2d CFT!



The minimal model CFTs are the cosets

$$\mathcal{W}_{N,k}: \quad \frac{su(N)_k \oplus su(N)_1}{su(N)_{k+1}} \sim \underbrace{\text{e.g. Ising model (N=2, k=1)}}_{\text{e.g. Ising model (N=2, k=1)}}$$

with central charge

tricritical Ising (N=2, k=2) 3-state Potts (N=3,k=1),...

$$c_N(k) = (N-1) \left[1 - \frac{N(N+1)}{(N+k)(N+k+1)} \right]$$

General N: higher spin analogue of Virasoro minimal models. [Spin fields of spin s=2,3,..,N.]

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Relation of symmetries

On the face of it, the two symmetries

$$\mathcal{W}_{\infty}[\lambda] \qquad ext{vs} \qquad \lim_{N,k o\infty} \mathcal{W}_{N,k}$$

appear to be quite different. However, the asymptotic symmetry analysis only determines the classical symmetry algebra, i.e. the commutative Poisson algebra.

In order to understand above relation, we need to understand the quantum version of this algebra.

Quantum symmetry

The full structure of the quantum algebra can actually
be determined completely.[MRG, Gopakumar]

There are two steps to this argument. To illustrate them consider an example. Naive quantisation of classical algebra leads to

$$[W_m^3, W_n^3] = 2(m-n)W_{m+n}^4 + \frac{N_3}{12}(m-n)(2m^2 + 2n^2 - mn - 8)L_{m+n}$$

$$\int + \frac{8N_3}{c}(m-n)(LL)_{m+n} + \frac{N_3c}{144}m(m^2 - 1)(m^2 - 4)\delta_{m,-n}$$
spin-3 field
non-linear term

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Jacobi identity

$$[W_m^3, W_n^3] = 2(m-n)W_{m+n}^4 + \frac{N_3}{12}(m-n)(2m^2 + 2n^2 - mn - 8)L_{m+n}$$

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Jacobi identity determines quantum correction

$$[W_m^3, W_n^3] = 2(m-n)W_{m+n}^4 + \frac{N_3}{12}(m-n)(2m^2 + 2n^2 - mn - 8)L_{m+n} + \frac{8N_3}{c + \frac{22}{5}}(m-n)\Lambda_{m+n}^{(4)} + \frac{N_3c}{144}m(m^2 - 1)(m^2 - 4)\delta_{m,-n}$$

where

$$\Lambda_n^{(4)} = \sum_n : L_{n-p} L_p : +\frac{1}{5} x_n L_n$$

Similar considerations apply for the other commutators.

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Structure constants

The second step concerns structure constants. The fields can be rescaled so that

$$W^{(3)} \cdot W^{(3)} \sim \frac{c}{3} \cdot \mathbf{1} + 2 \cdot L + \frac{32}{(5c+22)} \cdot \Lambda^{(4)} + 4 \cdot W^{(4)}$$

but then coupling constant

$$W^{(3)} \cdot W^{(4)} \sim C_{33}^4 \cdot W^{(3)} + \cdots$$

characterises algebra. Classical analysis determines

[MRG, Hartman]

$$(C_{33}^4)^2 = \frac{64}{5} \frac{\lambda^2 - 9}{\lambda^2 - 4} + \mathcal{O}(\frac{1}{c}) \; .$$

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Requirement that representation theory agrees for $\lambda = N$ with \mathcal{W}_N :

$$(C_{33}^4)^2 = \frac{64(c+2)(\lambda-3)(c(\lambda+3)+2(4\lambda+3)(\lambda-1))}{(5c+22)(\lambda-2)(c(\lambda+2)+(3\lambda+2)(\lambda-1))}$$

[Note: $hs[\lambda]|_{\lambda=N} \cong sl(N,\mathbb{R})$ implies $\mathcal{W}_{\infty}[\lambda]|_{\lambda=N} = \mathcal{W}_N$.]

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Higher Structure Constants

Similarly, higher structure constants can be determined [Blumenhagen, et.al.] [Hornfeck]

$$\begin{split} C^4_{33}C^4_{44} &= \frac{48 \left(c^2 (\lambda^2 - 19) + 3c (6\lambda^3 - 25\lambda^2 + 15) + 2(\lambda - 1)(6\lambda^2 - 41\lambda - 41) \right)}{(\lambda - 2)(5c + 22) \left(c(\lambda + 2) + (3\lambda + 2)(\lambda - 1) \right)} \\ (C^5_{34})^2 &= \frac{25 (5c + 22)(\lambda - 4) \left(c(\lambda + 4) + 3(5\lambda + 4)(\lambda - 1) \right)}{(7c + 114)(\lambda - 2) \left(c(\lambda + 2) + (3\lambda + 2)(\lambda - 1) \right)} \\ C^5_{45} &= \frac{15}{8(\lambda - 3)(c + 2)(114 + 7c) \left(c(\mu + 3) + 2(4\lambda + 3)(\lambda - 1) \right)} \\ &\times \left[c^3 (3\lambda^2 - 97) + c^2 (94\lambda^3 - 467\lambda^2 - 483) + c(856\lambda^3 - 5192\lambda^2 + 4120) \right. \\ &\left. + 216\lambda^3 - 6972\lambda^2 + 6756 \right] . \end{split}$$

Higher Structure Constants

Actually, can rewrite all of them more simply as

0(a+3) = 06(a+10)

[MRG, Gopakumar]

$$C_{44}^{4} = \frac{9(c+3)}{4(c+2)}\gamma - \frac{90(c+10)}{(5c+22)}\gamma^{-1}$$

$$(C_{34}^{5})^{2} = \frac{75(c+7)(5c+22)}{16(c+2)(7c+114)}\gamma^{2} - 25$$

$$C_{45}^{5} = \frac{15(17c+126)(c+7)}{8(7c+114)(c+2)}\gamma - 240\frac{(c+10)}{(5c+22)}\gamma^{-1}$$
where
$$\gamma^{2} \equiv (C_{33}^{4})^{2}$$

These structure constants (and probably all) are actually determined in terms of γ^2 by Jacobi identity. [Candu, MRG, Kelm, Vollenweider, to appear]

Quantum algebra

Thus full quantum algebra characterised by two free parameters [MRG, Gopakumar]

$$\gamma^2$$
 and c .

But

$$(C_{33}^4)^2 \equiv \gamma^2 = \frac{64(c+2)(\lambda-3)(c(\lambda+3)+2(4\lambda+3)(\lambda-1))}{(5c+22)(\lambda-2)(c(\lambda+2)+(3\lambda+2)(\lambda-1))}$$

Thus there are three roots that lead to the same algebra:

$$\mathcal{W}_{\infty}[\lambda_1] \cong \mathcal{W}_{\infty}[\lambda_2] \cong \mathcal{W}_{\infty}[\lambda_3]$$
 at fixed c

`Triality'



In particular,

$$\mathcal{W}_{\infty}[N] \cong \mathcal{W}_{\infty}[\frac{N}{N+k}] \cong \mathcal{W}_{\infty}[-\frac{N}{N+k+1}] \quad \text{at } c = c_{N,k}$$

minimal model

asymptotic symmetry algebra of hs theory

This is even true at finite N and k, not just in the 't Hooft limit!

This triality generalises level-rank duality of coset models of [Kuniba, Nakanishi, Suzuki] and [Altschuler, Bauer, Saleur].

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Higher spin symmetry

Since $\mathcal{W}_{\infty}[\lambda]$ algebra is non-linear, it does not contain higher spin algebra $hs[\lambda]$ as a subalgebra at finite c:

Finite c: hs-symmetry is `broken', but non-linear deformation remains true symmetry.

cf. [Maldacena, Zhiboedov]

NB. Exception for $\lambda = 1$ --- free theory.



So the symmetries suggest that we should have



Semiclassical limit: take c large --- 't Hooft limit!

Spectrum

Higher spin fields themselves correspond only to the vacuum representation of the W-algebra!

To see this, calculate partition function of massless spin s field on thermal AdS3 [MRG, Gopakumar, Saha]

$$Z^{(s)} = \prod_{n=s}^{\infty} \frac{1}{|1 - q^n|^2} \cdot \qquad q = \exp(-\frac{1}{k_{\rm B}T})$$

[Generalisation of Giombi, Maloney & Yin calculation to higher spin, using techniques developed in David, MRG, Gopakumar.]

1-loop partition function

The complete higher spin theory therefore contributes

$$Z_{\rm hs} = \prod_{s=2}^{\infty} \prod_{n=s}^{\infty} \frac{1}{|1 - q^n|^2} \cdot \underbrace{\text{MacMahon}}_{\text{function!}}$$

This reproduces precisely contribution of CFT vacuum representation in 't Hooft limit

--- not a consistent CFT by itself.....

Representations

Indeed, the full CFT also has the representations labelled by

Compatibility constraint: $\rho + \mu - \nu \in \Lambda_R(\mathfrak{s}u(N))$

fixes μ uniquely: label representations by (
ho;
u) .

Simple representations

Simplest reps that generate all W-algebra reps upon fusion: (f;0) and (0;f) (& conjugates).

't Hooft limit:
$$h(\mathbf{f}; 0) = \frac{1}{2}(1 + \lambda)$$
 $h(0; \mathbf{f}) = \frac{1}{2}(1 - \lambda)$
semiclassical: $h(\mathbf{f}; 0) = \frac{1}{2}(1 - N)$ $h(0; \mathbf{f}) = -\frac{c}{2N^2}$
 \bigwedge
dual to
perturbative
scalar
 $h(\mathbf{f}; 0) = \frac{1}{2}(1 - N)$ $h(0; \mathbf{f}) = -\frac{c}{2N^2}$
 \bigwedge
non-perturbative

Proposal

Contribution from all representations of the form (*;0) is accounted for by adding to the hs theory a complex scalar field of the mass

[MRG,Gopakumar]

$$-1 \le M^2 \le 0$$
 with $M^2 = -(1 - \lambda^2)$.

[Compatible with hs symmetry since hs theory has massive scalar multiplet with this mass.] [Vasiliev]

Corresponding conformal dimension then

$$M^2 = \Delta(\Delta - 2) \Rightarrow \Delta = 1 + \lambda$$
.



Note that for masses in above window, there are two quantisations [Klebanov & Witten]

$$\Delta_{\pm} = 1 \pm \lambda \; .$$

The scalar is quantised in the `usual' (+) quantisation.

[At least formally, the other primitive CFT representation (0;f) seems to correspond to a scalar with the (-) quantisation --- see original version of proposal.]

Checks of proposal

Main evidence from 1-loop calculation:

Contribution of single real scalar to thermal partition function is [Giombi, Maloney & Yin]

$$Z_{\text{scalar}}^{(1)} = \prod_{l=0,l'=0}^{\infty} \frac{1}{(1 - q^{h+l}\bar{q}^{h+l'})} ,$$

where

$$h = \frac{1}{2}\Delta = \frac{1}{2}(1+\lambda) \ .$$

Total 1-loop partition function

The total perturbative 1-loop partition function of our AdS theory is then:

$$Z_{\text{pert}}^{(1)} = \prod_{s=2}^{\infty} \prod_{n=s}^{\infty} \frac{1}{|1-q^n|^2} \times \prod_{l,l'=0}^{\infty} \frac{1}{(1-q^{h+l}\bar{q}^{h+l'})^2}$$

higher spin scalar fields

Total 1-loop partition function

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Total 1-loop partition function

The total perturbative 1-loop partition function of our AdS theory is then:

We have shown analytically that this agrees exactly with CFT partition function of (*;0) representations in 't Hooft [MRG,Gopakumar] [MRG,Gopakumar,Hartman,Raju]

Lowest orders

For example, for single scalar first non-trivial terms (including higher spin mode contributions) are

$$Z^{(1)} = q^{h}\bar{q}^{h}\left(1+q+2q^{2}+4q^{3}+\cdots\right)\left(1+\bar{q}+2\bar{q}^{2}+4\bar{q}^{3}+\cdots\right)$$
$$+q^{2h}\bar{q}^{2h}\left(1+q+3q^{2}+\cdots\right)\left(1+\bar{q}+3\bar{q}^{2}+\cdots\right)$$
$$+q^{2h+1}\bar{q}^{2h+1}\left(1+q+\cdots\right)\left(1+\bar{q}+\cdots\right)+\cdots$$

This is of the form

$$Z^{(1)} = \chi_{h_1}(q)\chi_{h_1}(\bar{q}) + \chi_{h_2}(q)\chi_{h_2}(\bar{q}) + \chi_{h_3}(q)\chi_{h_3}(\bar{q}) + \cdots$$

characters of \mathcal{W}_N reps



$$Z^{(1)} = q^{h}\bar{q}^{h}\left(1+q+2q^{2}+4q^{3}+\cdots\right)\left(1+\bar{q}+2\bar{q}^{2}+4\bar{q}^{3}+\cdots\right)$$
$$+q^{2h}\bar{q}^{2h}\left(1+q+3q^{2}+\cdots\right)\left(1+\bar{q}+3\bar{q}^{2}+\cdots\right)$$
$$+q^{2h+1}\bar{q}^{2h+1}\left(1+q+\cdots\right)\left(1+\bar{q}+\cdots\right)+\cdots$$

with

$$\chi_{h_1}(q) = q^h (1 + q + 2q^2 + 4q^3 + \cdots) = \chi(f; 0)$$

$$\chi_{h_2}(q) = q^{2h} (1 + q + 3q^2 + \cdots) = \chi([0, 1, 0^{N-3}]; 0)$$

$$\chi_{h_3}(q) = q^{2h+1} (1 + q + \cdots) = \chi([2, 0^{N-2}]; 0) .$$

$$\chi_{h_3}(q) = \chi([2, 0^{N-2}]; 0) .$$

$$\chi_{h_3}(q) = \chi([2, 0^{N-2}]; 0) .$$

Non-perturbative states

The remaining states, i.e. those of the form

$$(*; \nu)$$
 with $\nu \neq 0$

seem to correspond to conical defect solutions (possibly dressed with perturbative excitations).

[Castro, Gopakumar, Gutperle, Raeymaekers] [MRG, Gopakumar]

Generalisations

Various generalisations of the duality have also been proposed and tested, in particular

supersymmetric version

[Creutzig, Hikida, Ronne] [Candu, MRG] [Henneaux,Gomez,Park,Rey] [Hanaki,Peng] [Ahn]

orthogonal (instead of unitary) groups
 [Ahn], [MRG, Vollenweider]

Correlation functions

A comparison between 3-point functions has also been performed (as for AdS4), and again perfect agreement has been found to leading order in 1/N.

[Chang, Yin]

With the above interpretation, only the scalar (f;0) has to behave as a perturbative state, and the 1/N corrections in the mixed correlators do not pose any problems any longer.

[Papadodimas, Raju]

Classical solutions

Another very interesting development concerns the classical solutions of the HS theory.

[Gutperle, Kraus, et.al.]

Very interesting lessons (that are maybe applicable more generally): because of large HS gauge symmetry, usual GR tensors are not gauge invariant any longer!

Characterisation of regular classical solutions is therefore subtle!



However CS description allows for HS gauge invariant formulation. Using this point of view, black hole solutions for these theories have been constructed. [Gutperle,Kraus,et.al.]

Their entropy can be matched to dual CFT description. [Kraus,Perlmutter] [MRG,Hartman,Jin]

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Given strong evidence for duality between



2d CFT:

 $\mathcal{W}_{N,k}$ minimal models in large N 't Hooft limit with coupling λ

and $M^2 = -(1 - \lambda^2)$

where
$$\lambda = \frac{N}{N+k}$$



- ► The duality is non-supersymmetric.
- It allows for detailed precision tests: spectrum, correlation functions, etc.
- Can shed maybe interesting light on conceptual aspects of quantum gravity.

Main challenges

- Prove the duality in the 't Hooft limit. [Main challenge: understand scalars from CS point of view.]
- Understand phase structure of partition function.
- Reproduce calculable quantum corrections of CFT from Higher Spin Quantum Gravity on AdS3.
- Embed hs theories on AdS3 into string theory, e.g. into the D1-D5 system.
 cf [Kiritsis, Niarchos]

