

# Low Energy Effective Theories for non-Fermi liquids

Sung-Sik Lee

McMaster University

Perimeter Institute



Denis Dalidovich (PI)

1307.3170



Shouvik Sur (McMaster)

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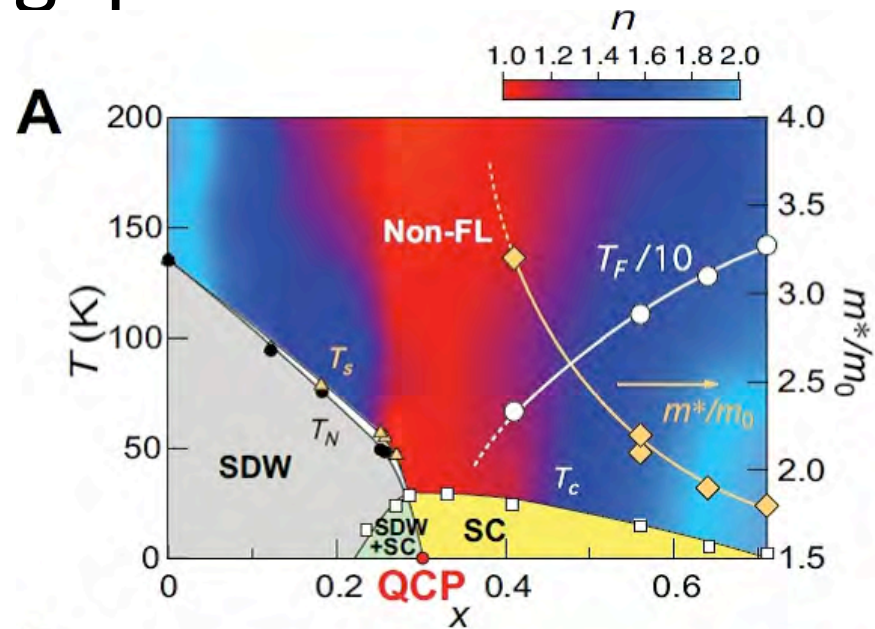
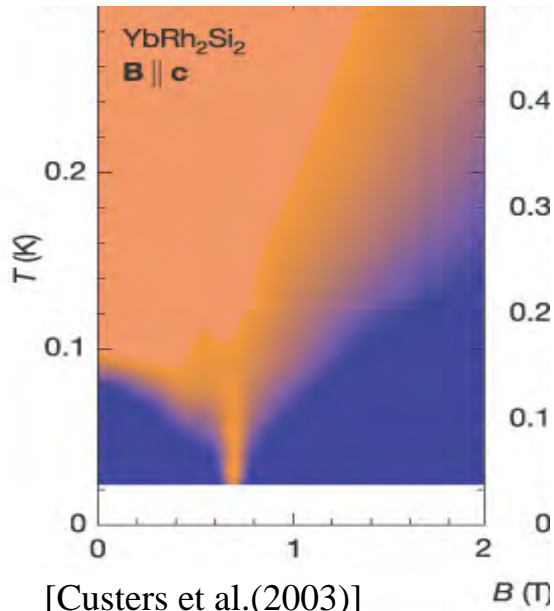


Ipsita Mandal (PI)

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# A route to non-Fermi liquid :

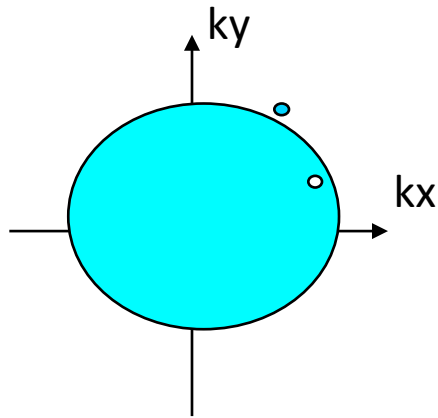
FS coupled with gapless collective mode



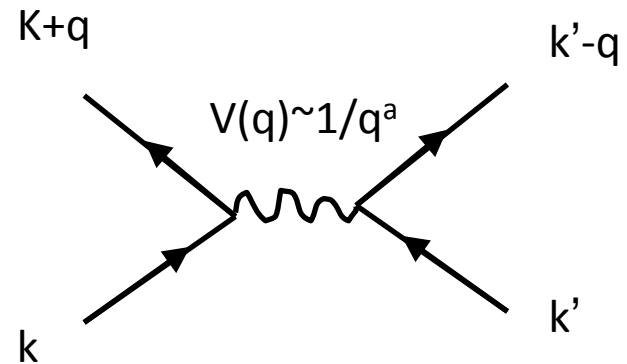
[Hashimoto et al. Science 336, 1554 (2012)]

- QCP in metal (AF, Nematic, CDW, ... )
- Bose metal (Quantum spin liquid with spinon FS, ...)

# Long-range force destroys coherent quasiparticle



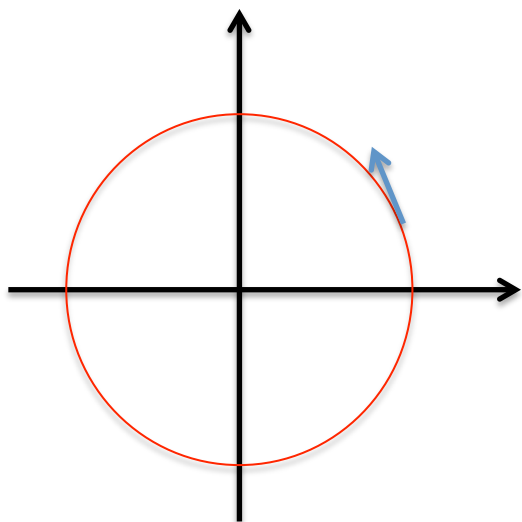
Fermi surface  
+ gapless boson



Non-forward scatterings are enhanced by long-range interactions (singular in momentum space) mediated by gapless boson

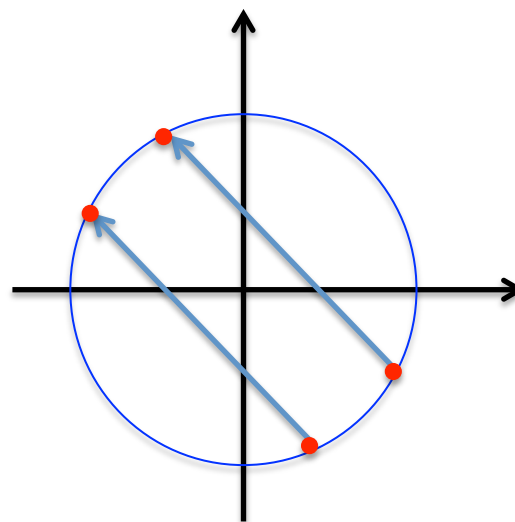
: bare fermion decays into a complicated superposition of states  
single particle is no longer a good basis at low energies

# Holy Grail : NFL in $d=2$



$$Q=0$$

Nematic, ferromagnetic QCP  
Spin liquids with emergent gauge boson



$$Q \neq 0$$

Spin & CDW QCP

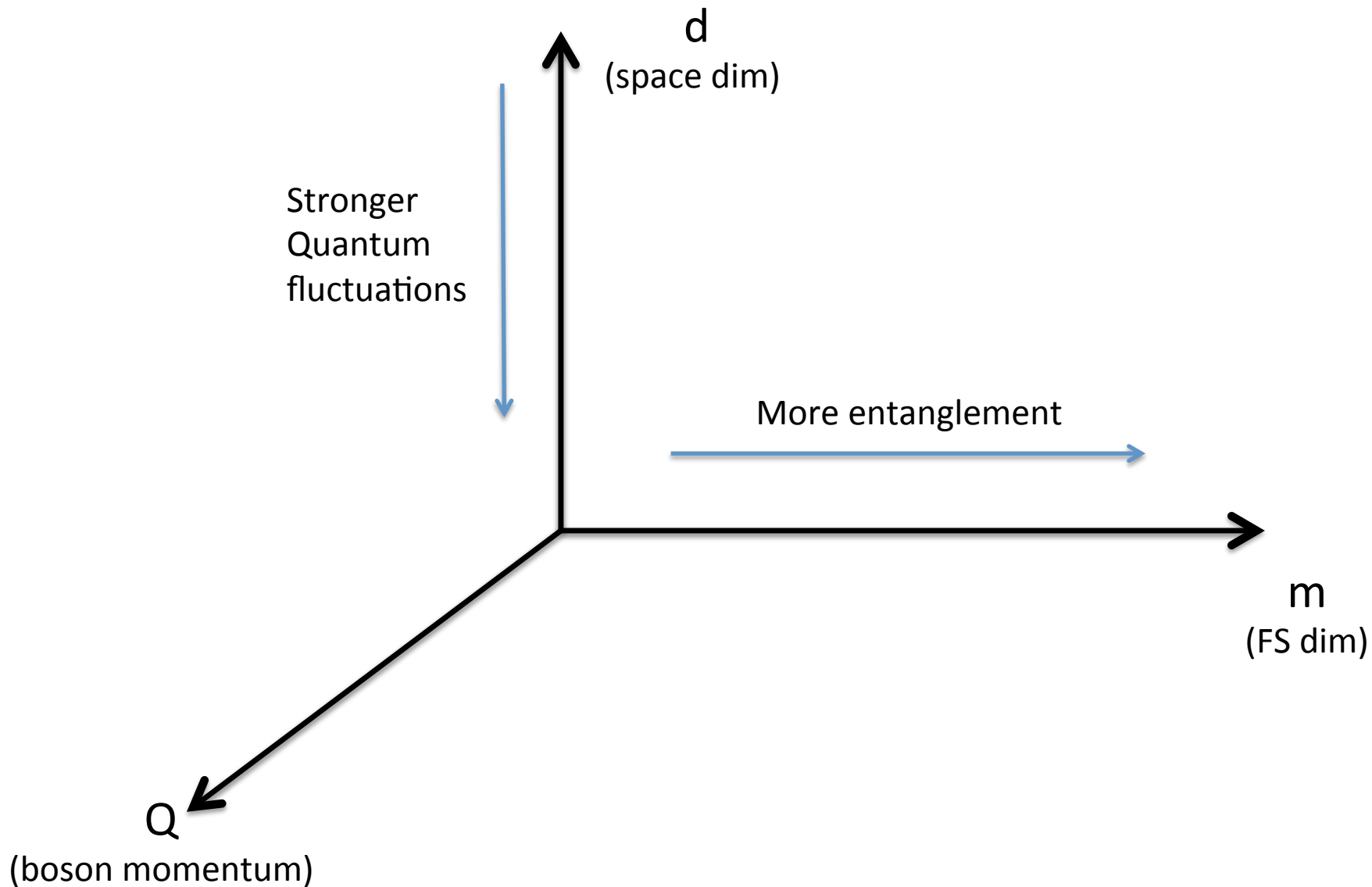
# Theoretical status (Q=0)

- Coupling grows strong at low energies [Reizer (89); Nagaosa, Lee (92); Halperin, Lee, Read (93), Polchinski(93); Althuler, Ioffe, Millis(94); Kim, Furusaki, Lee, Wen (94)]
- Even in the large N limit, the saddle point approximation breaks down [SL(09)]
- Exact scaling known for chiral non-Fermi liquids : genus expansion in large N [Sur, SL (14)]
- In non-chiral theories, even non-planar diagrams become important [Metlitski and Sachdev (10)]
- Perturbatively NFL with dynamical tuning (modified boson dispersion  $\sim |k|^{1+\epsilon}$ ) [Nayak, Wilczek(94); Mross, McGreevy, Liu, Senthil(10)]
- Perturbative NFL based on dim. Reg. [Dalidovich, SL (13); Mandal, SL(14)]

# Theoretical status ( $Q \neq 0$ )

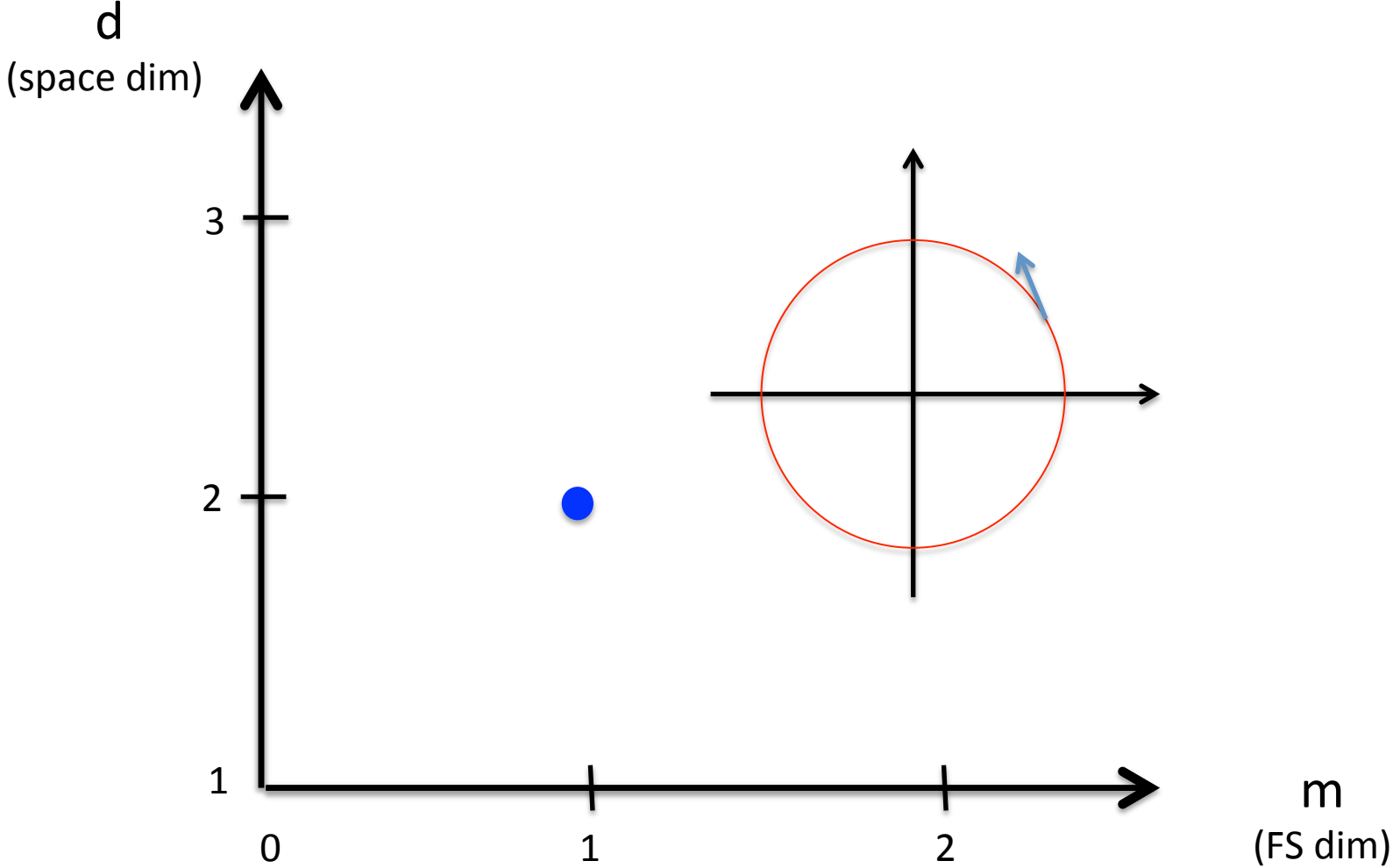
- Fermi surfaces tend to get nested, and quasiparticle is destroyed near the hot spots [Abanov, Chubukov]
- The theory flows to strong coupling regime even in the large  $N$  limit [Metlitski, Sachdev]
- Without considering flow of velocities, interacting fixed point found at one-loop [J. Lee, Strack, Sachdev]
- Strong SC and CDW fluctuations; some of them are degenerate due to pseudospin symmetry [Metlitski, Sachdev]
- The field theory can be regularized by a sign-problem-free lattice model : QMC shows strong enhancement of d-wave SC at QCP [Berg, Metlitski, Sachdev]
- Perturbative NFL based on dim. Reg. [Sur, SL(14)]

# A classification of metal

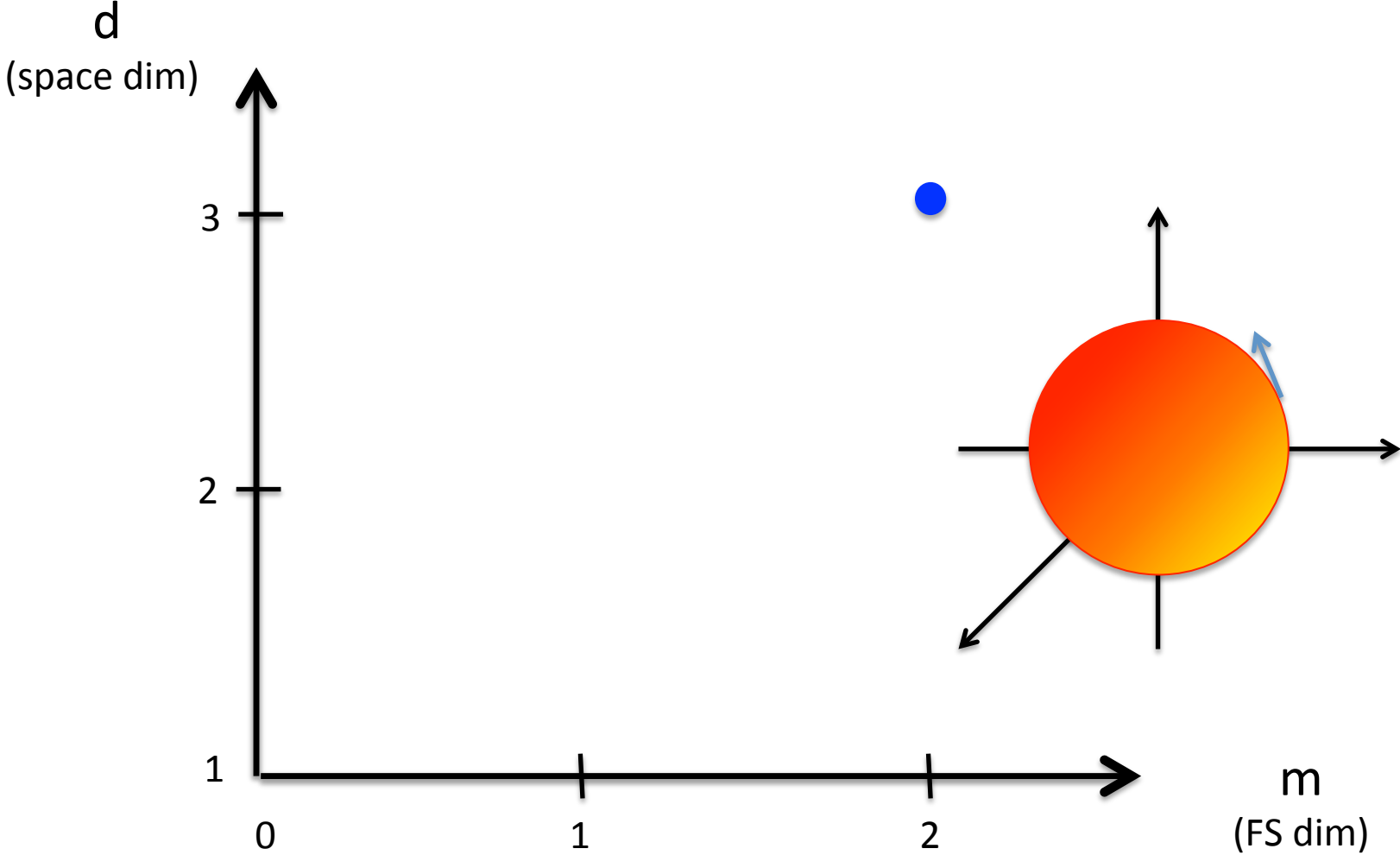




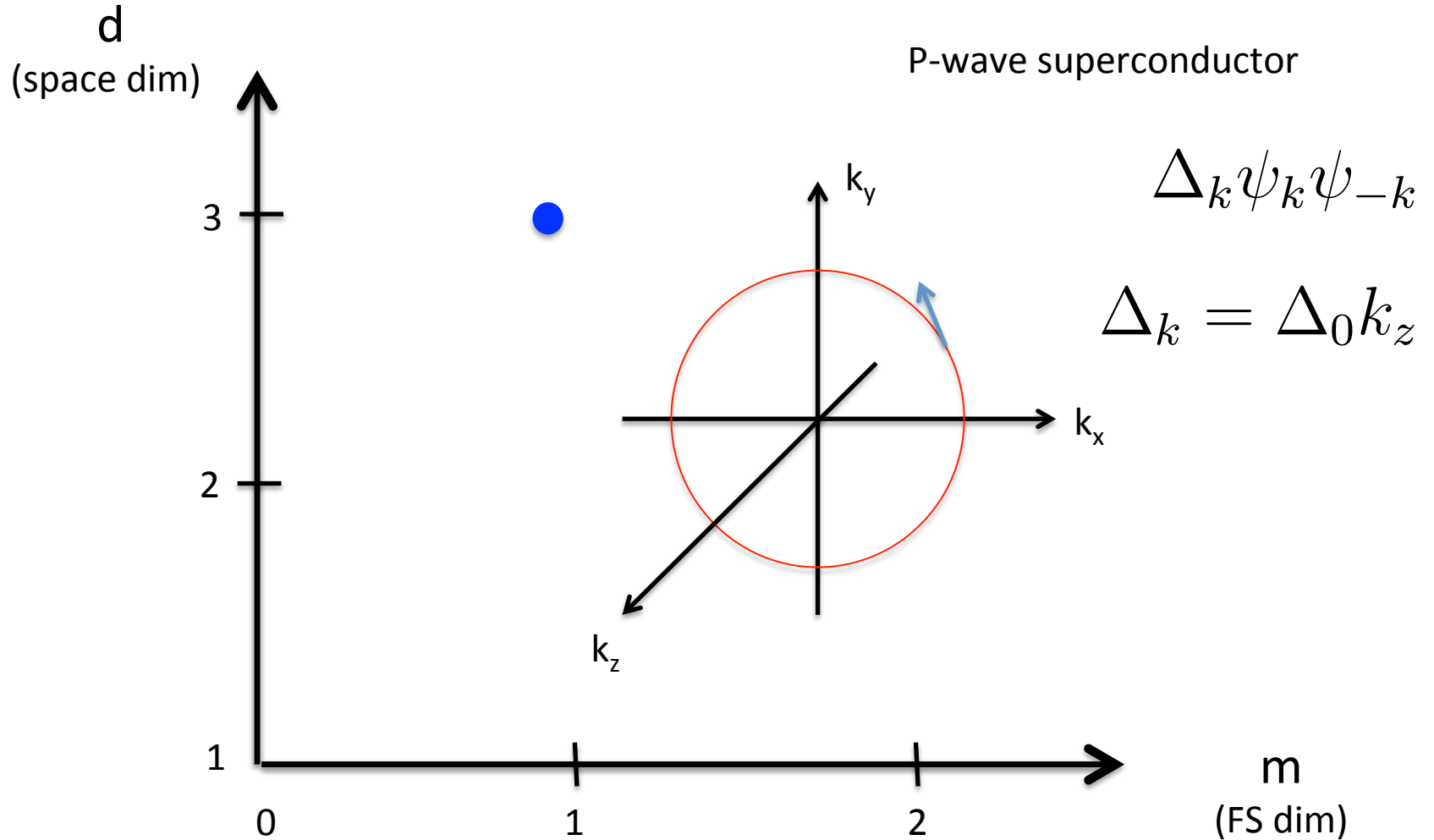
# A classification of metal ( $Q=0$ )



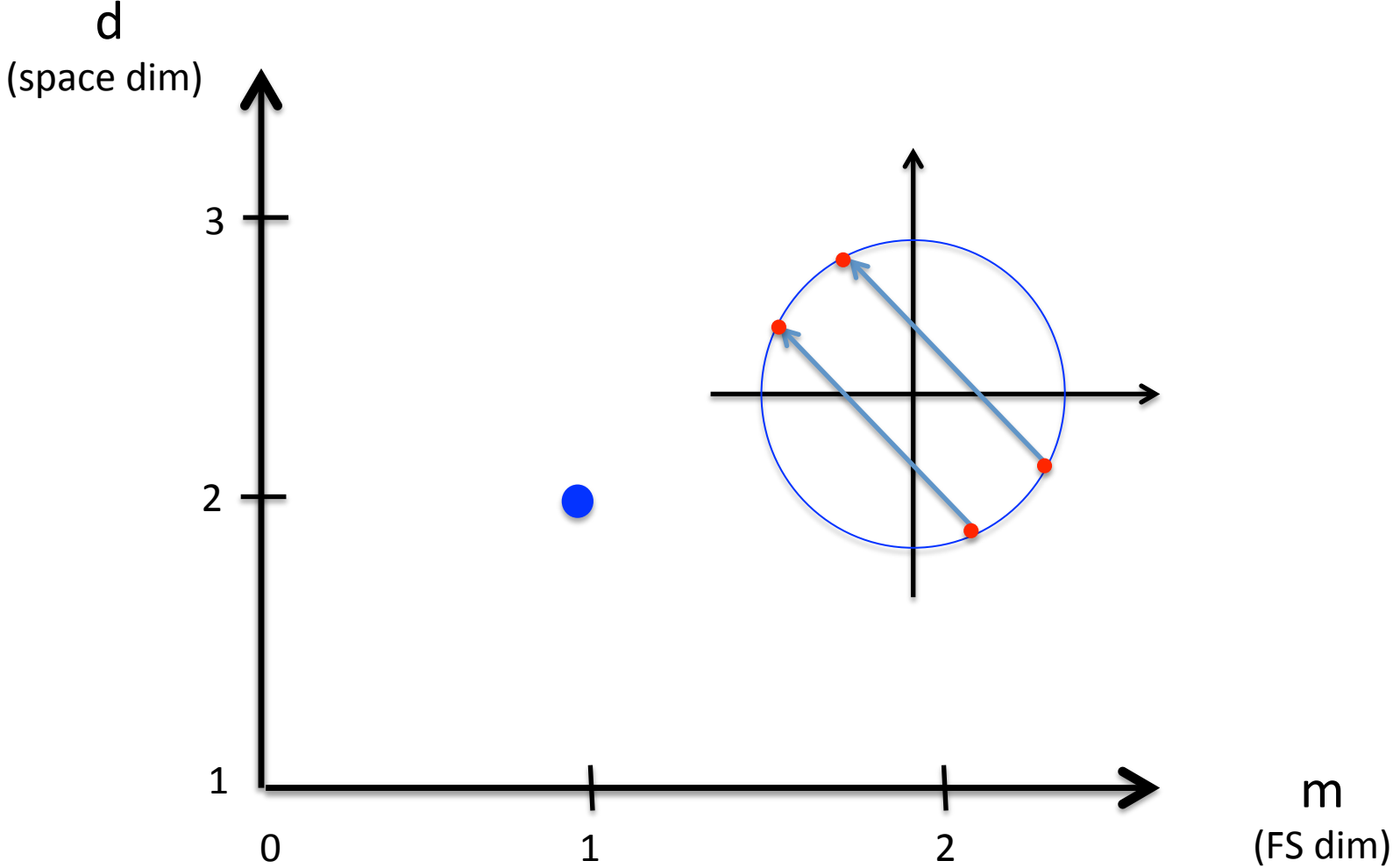
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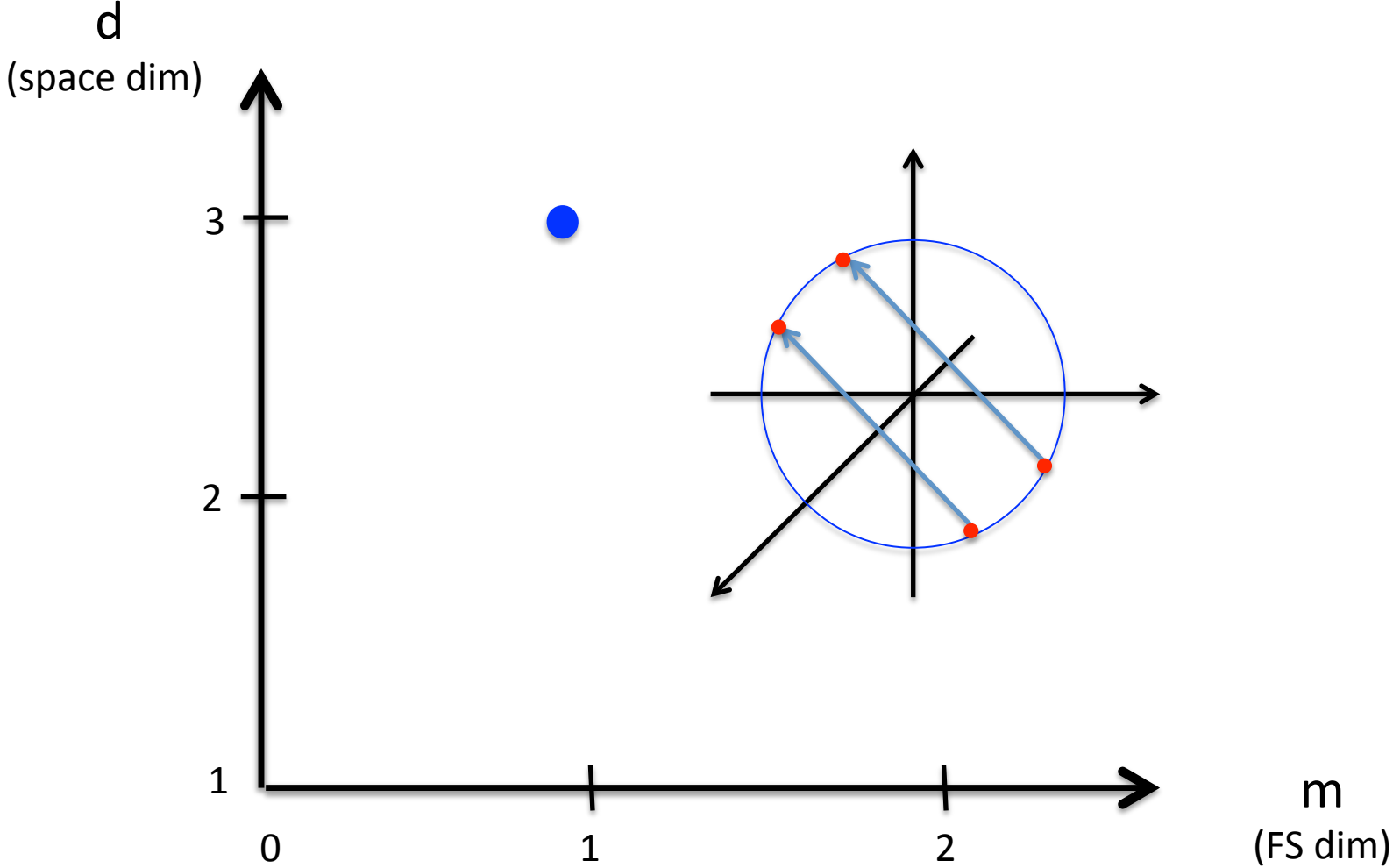
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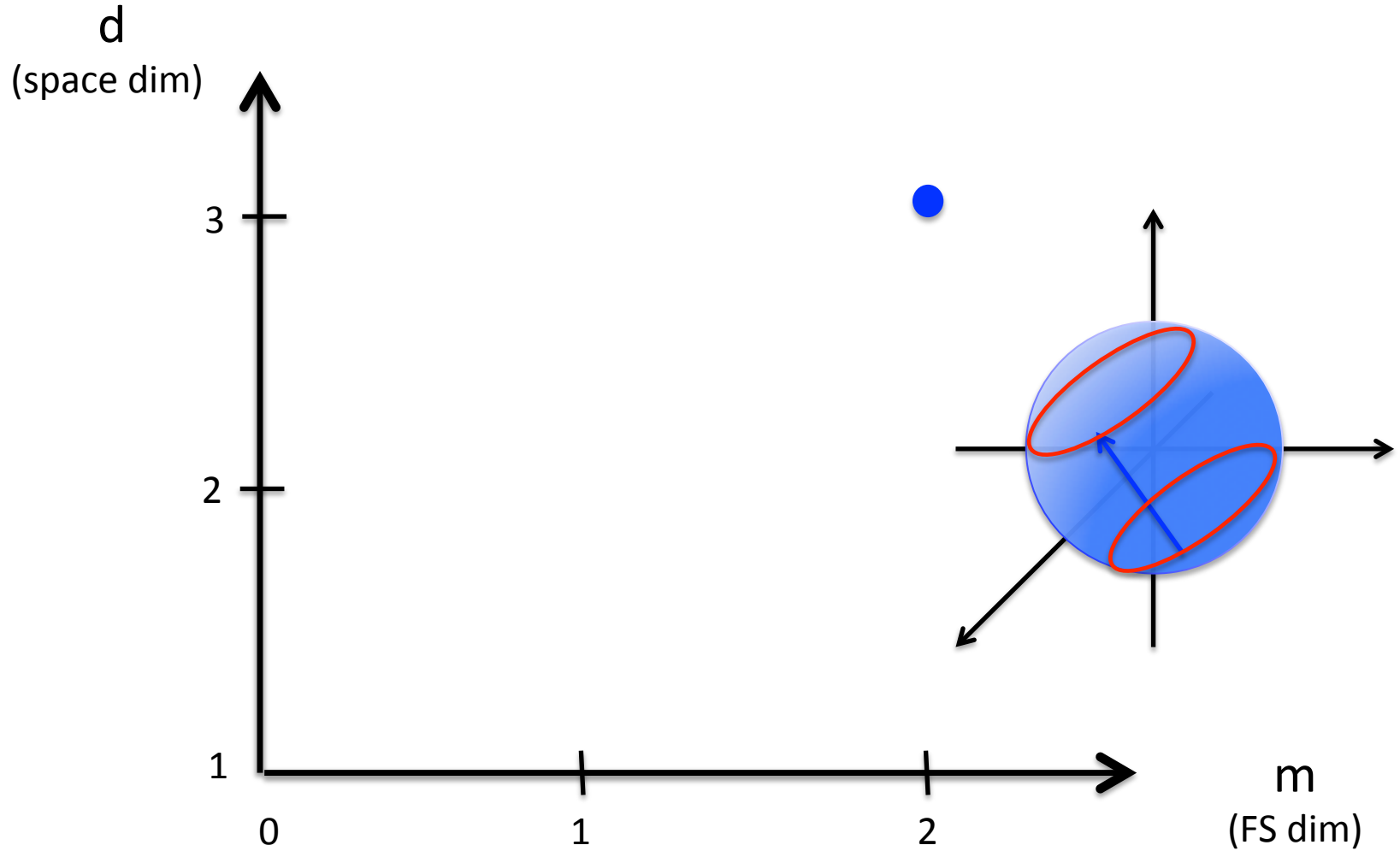
# A classification of metal ( $Q \neq 0$ )



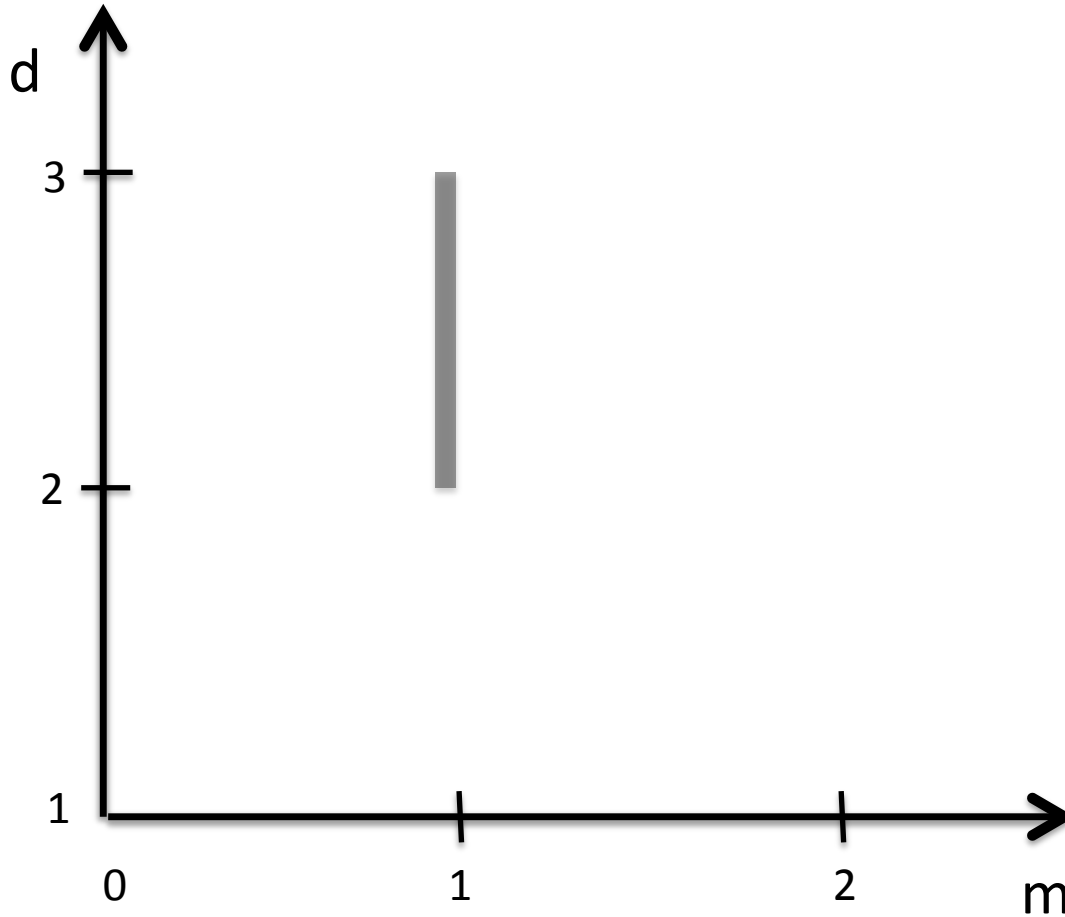
# A classification of metal ( $Q \neq 0$ )



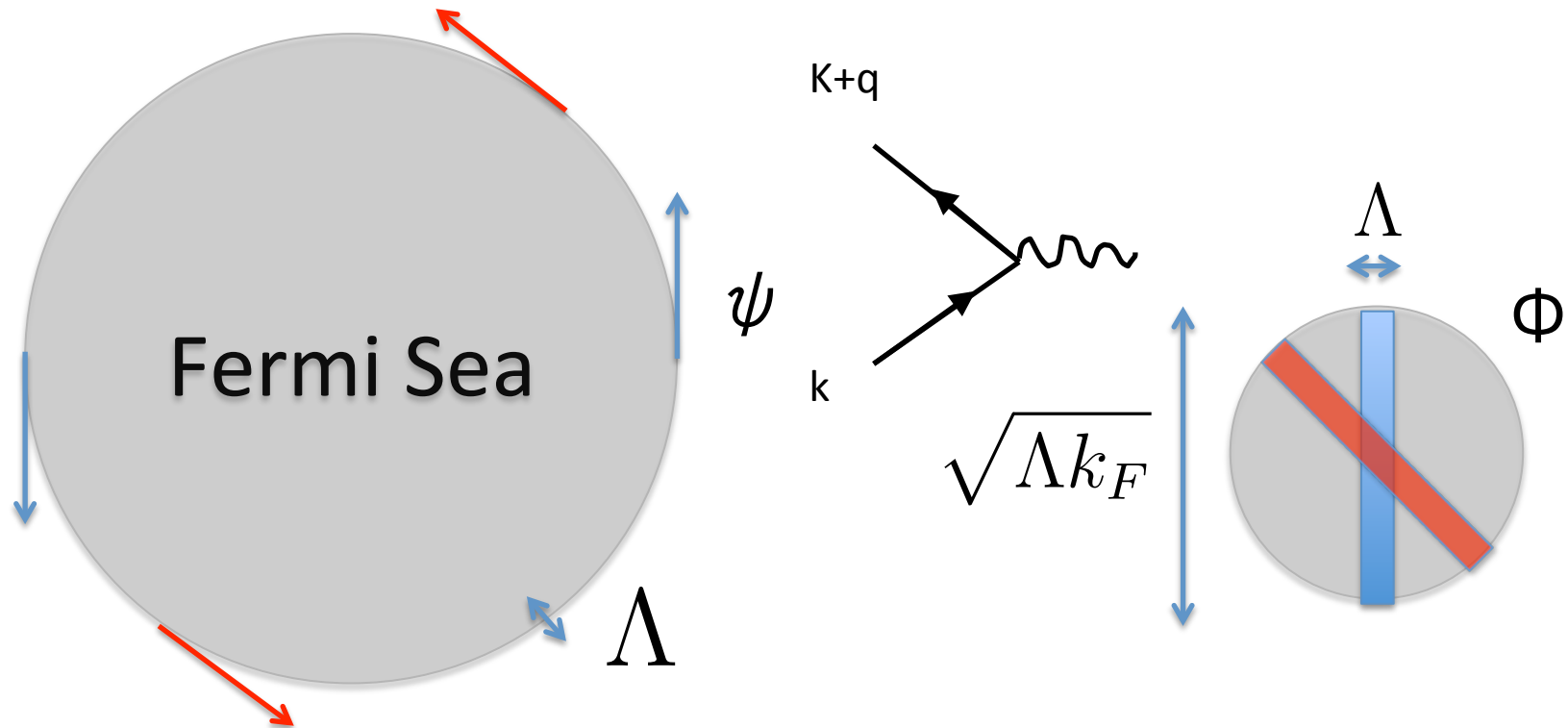
# A classification of metal ( $Q \neq 0$ )



$$m=1, Q=0$$



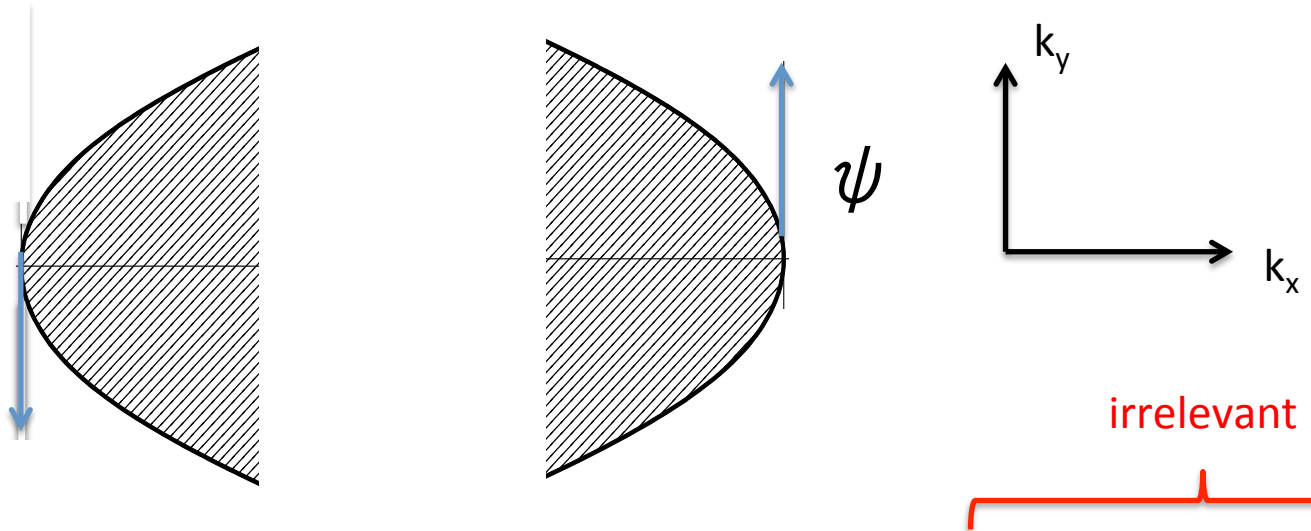
# Emergent locality in momentum space for $m=1$ in any $d$



- Fermions are primarily scattered along the directions tangential to FS
- At low energies, fermions with different tangential vectors are decoupled from each other in the  $\Lambda \rightarrow 0$  limit



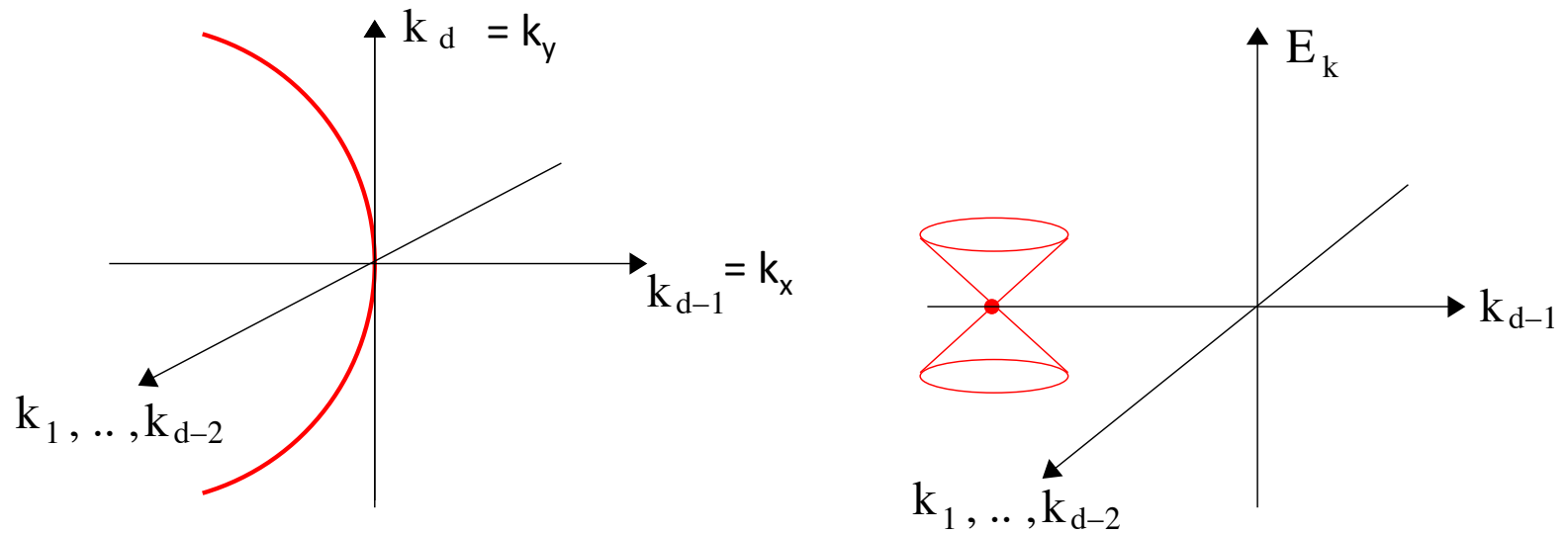
# Two-patch theory (m=1)



$$S_0 = \sum_{s=\pm, j} \int dk \psi_{s,j}^\dagger(k) \left[ ik_0 + sk_x + k_y^2 + c_3 k_y^3 / \sqrt{k_F} + \dots \right]$$

- For those quantities that are local in momentum space (such as Green's function), the size of Fermi surface ( $k_F$ ) does not enter
- Patch description with non-compact FS

# 1-dimensional line of Dirac points embedded in d-dimensional k-space

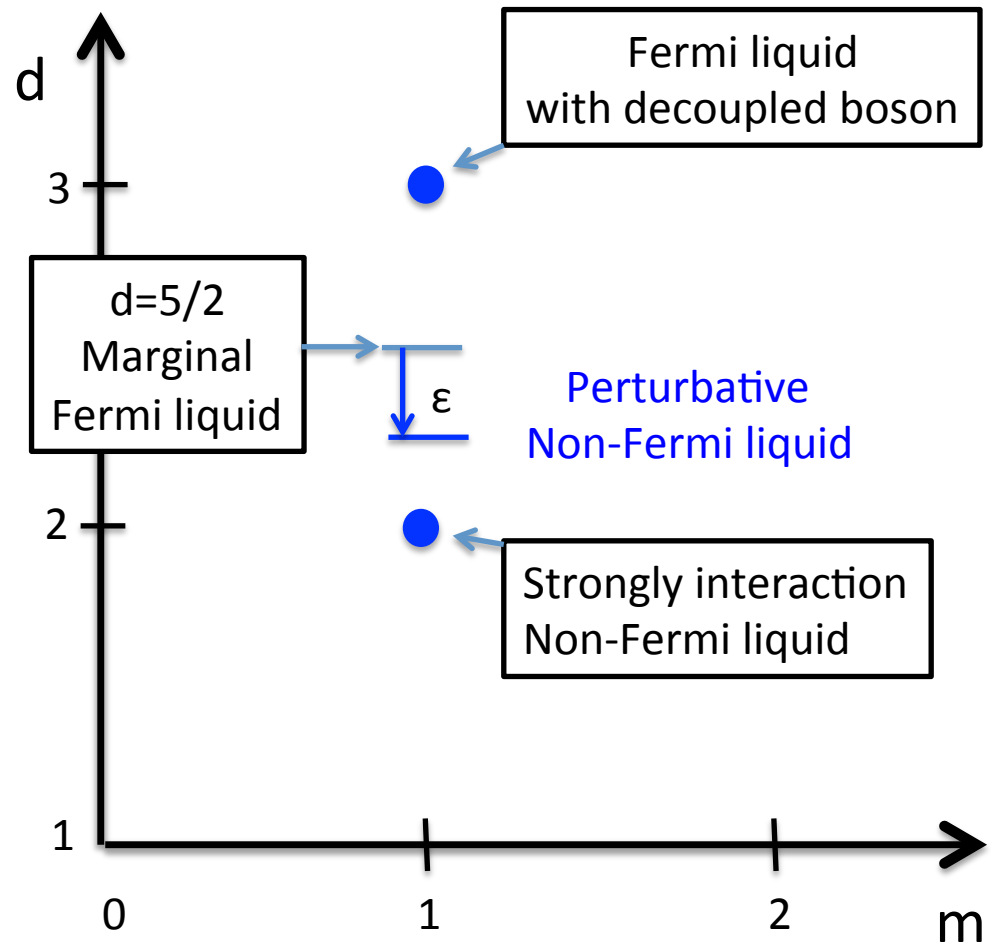
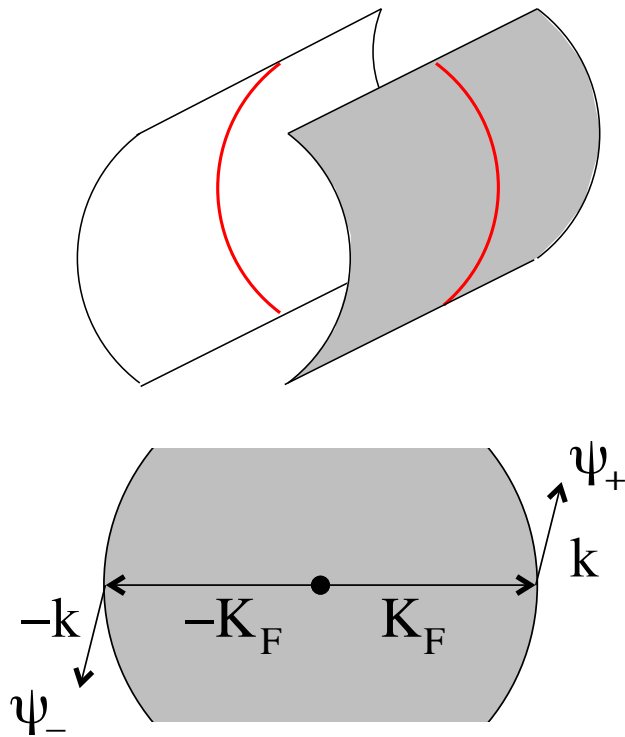


$$E_k = \pm \sqrt{k_1^2 + k_2^2 + \dots + k_{d-2}^2 + \delta_k^2}$$

Non-local (in real space) implementation [ Senthil & Shankar (09) ]

Local (in real space) implementation : [ Dalidovich & SL (13) ]

# A continuous interpolation between 2d Fermi surface to 3d p-wave SC



# Two-loop results

$$\frac{de}{dl} = \frac{\epsilon}{2}e - 0.02920 \left( \frac{3}{2} - \epsilon \right) \frac{e^{7/3}}{N} + 0.01073 \left( \frac{3}{2} - \epsilon \right) \frac{e^{11/3}}{N^2}$$



Stable  
Non-Fermi liquid  
Fixed point

$$\frac{e^{*4/3}}{N} = 11.417\epsilon + 55.498\epsilon^2$$
$$z = \frac{3}{3 - 2\epsilon}$$

# Expansion in $e^{4/3}$ instead of $e^2$

$$\frac{de}{dl} = \frac{\epsilon}{2}e - 0.02920 \left( \frac{3}{2} - \epsilon \right) \frac{e^{7/3}}{N} + 0.01073 \left( \frac{3}{2} - \epsilon \right) \frac{e^{11/3}}{N^2}$$



$$D_1(k) = \frac{1}{|\vec{K}|^2 + k_x^2 + k_y^2 + \beta_d e^2 \frac{|\vec{K}|^{d-1}}{|k_y|}}$$

irrelevant

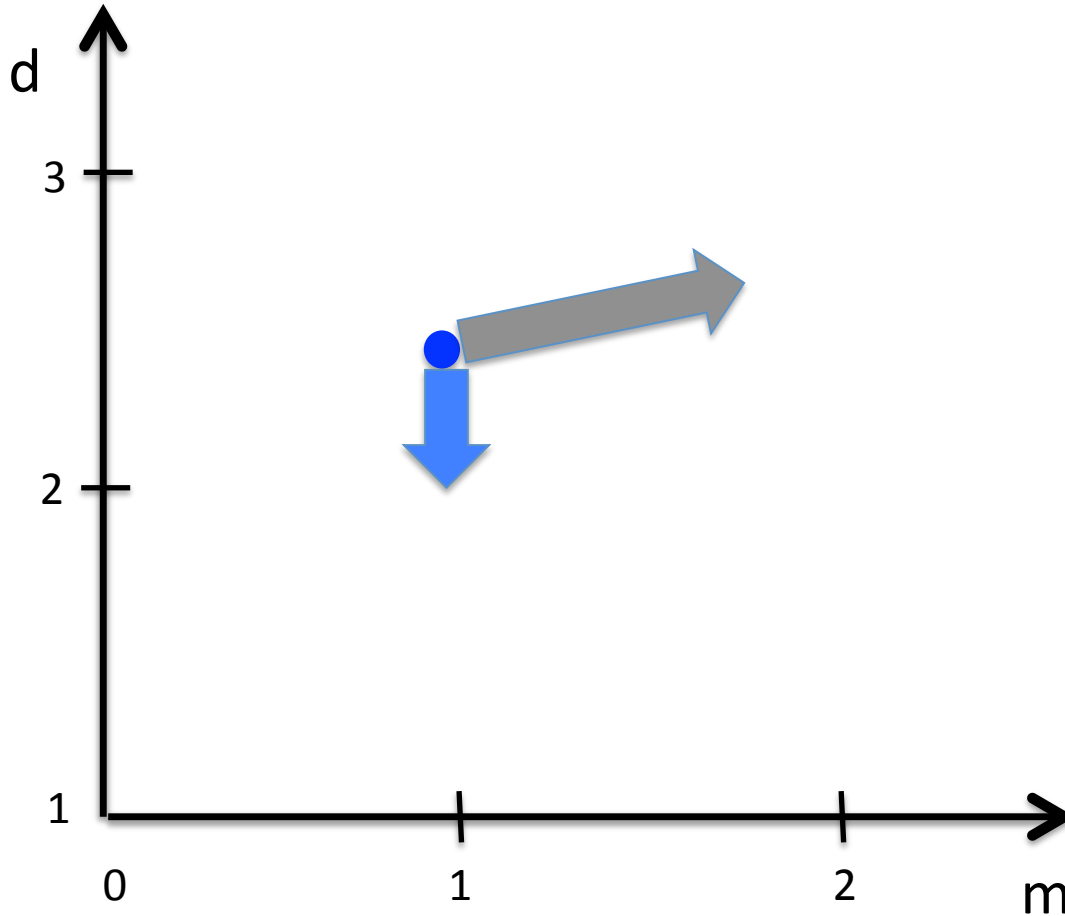
$$\vec{K} = k_0, k_1, \dots, k_{d-2}$$

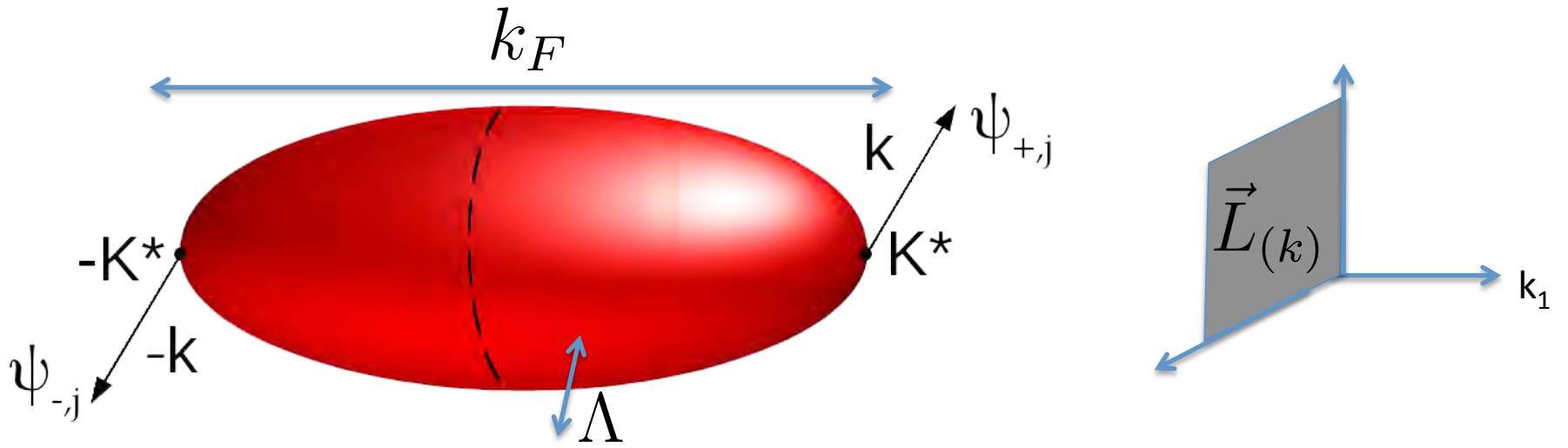
Landau damping, which is generated by interaction, dominates over the bare kinetic term

# Physical properties

- Fermion Green fnt :  $G(k) = \frac{1}{|\delta_k|^{1-0.1508\epsilon^2}} g \left( \frac{|\vec{K}|^{1/z}}{\delta_k} \right)$
- Boson Green fnt :  $D(k) = \frac{1}{k_d^2} f \left( \frac{|\vec{K}|^{1/z}}{k_d^2} \right)$
- Specific heat :  $c \sim T^{(d-2)+\frac{1}{z}}$
- Magnetic susceptibility :  $\chi_{ss} \sim T^{(d-1)-\frac{1}{z}}$   
 $\chi_{aa} \sim T^{(d-3)+\frac{1}{z}}$

$$m > 1, Q = 0$$





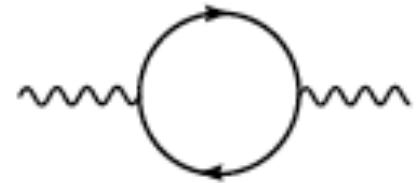
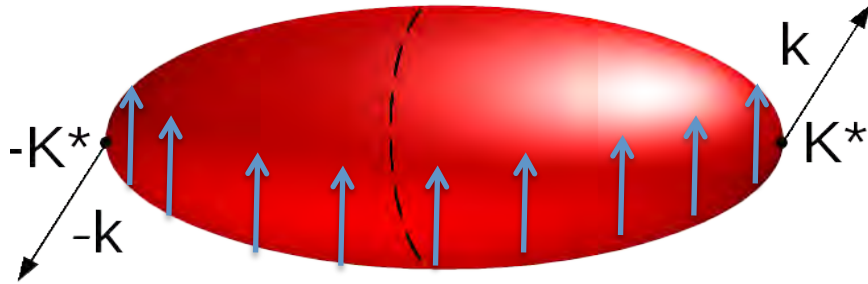
$$\begin{aligned}
 S &= \sum_{s=\pm,j} \int dk \psi_{s,j}^\dagger(k) \left[ ik_0 + sk_1 + \vec{L}_{(k)}^2 + \boxed{H(\vec{L}_{(k)}^2)} \right] \psi_{s,j}(k) \\
 &+ \frac{1}{2} \int dk \left[ k_0^2 + k_1^2 + \vec{L}_{(k)}^2 \right] \phi(-k) \phi(k) \\
 &+ \frac{1}{\sqrt{N}} \sum_{s=\pm,j} \int dk dq e_s \phi(q) \psi_{s,j}^\dagger(k+q) \psi_{s,j}(k)
 \end{aligned}$$

Irrelevant  
By power counting

$$H(\vec{L}_{(k)}^2) = \sum_{n=3}^{\infty} \sum_{i_1, \dots, i_n=2}^d \frac{C_{i_1, \dots, i_n}}{k_F^{n-2}} k_{i_1} \dots k_{i_n}$$



# UV Sensitivity



$$D_1(k) = \frac{1}{\vec{L}_{(k)}^2 + \beta_d e^2 \mu^x \frac{(\mu \tilde{k}_F)^{\frac{m-1}{2}} |\vec{K}|^{d-m}}{|\vec{L}_{(k)}|}}$$

- Landau damping is divergent in the large  $k_F$  limit for  $m > 1$
- The 'UV' divergence can not be subtracted by a local counter term

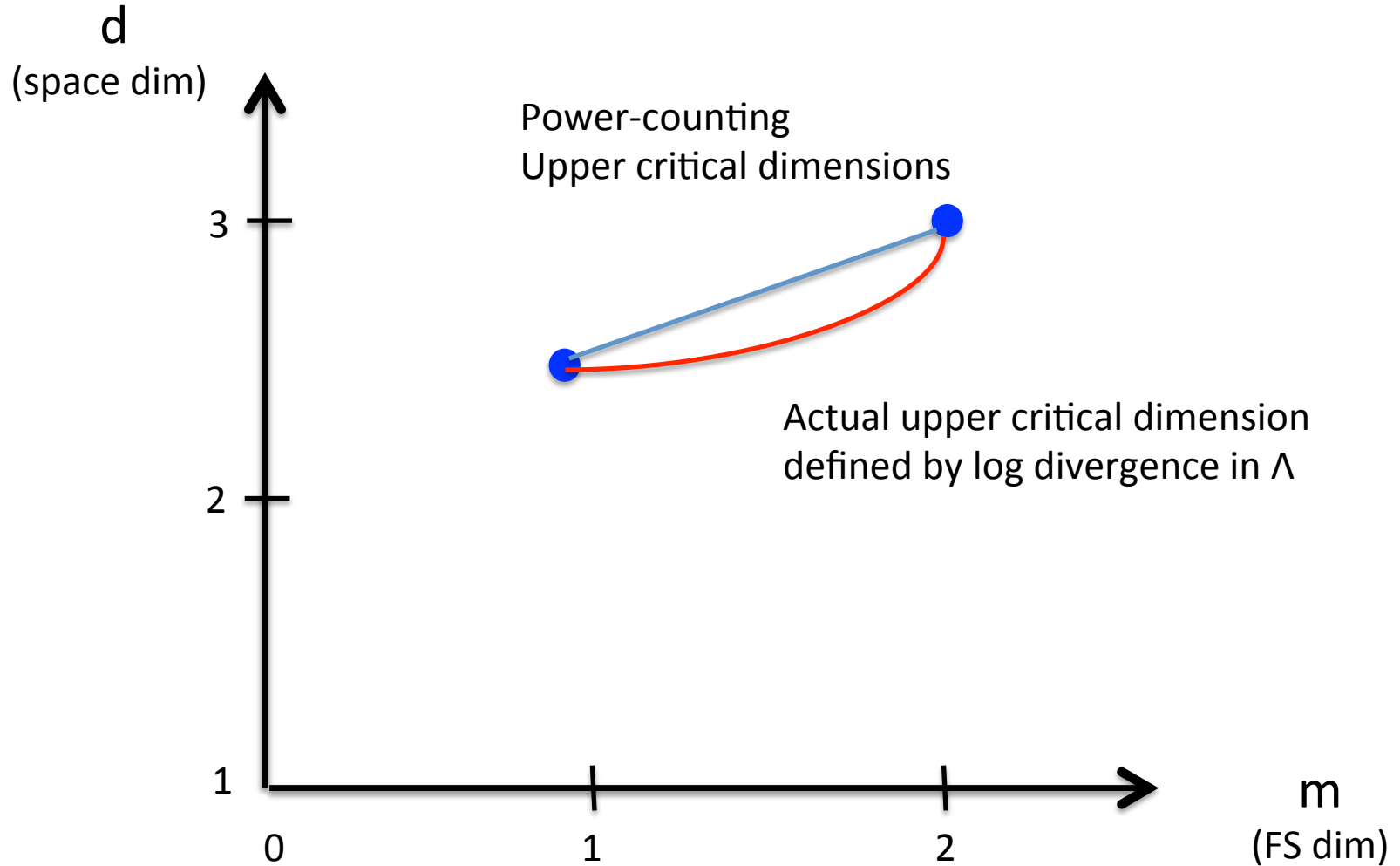
The Landau damping can be ignored over a finite energy window which can be made parametrically large in the presence of a large number of flavor of boson [Fitzpatrick, Kachru, Kaplan, Raghu (13)]

However, the system eventually flows to a regime dictated by the Landau damping for any fixed number of flavors

# UV/IR mixing

- Modes with large momenta singularly affect low energy physics in the large  $k_F$  limit
- Low energy effective theory can not be specified without specifying the size/shape of FS
- Low energy limit and  $k_F \rightarrow \infty$  limit do not commute
- $k_F$  should be treated as a dimensionful 'coupling' instead of UV cut-off
- Naïve patch scaling is 'dressed' by  $k_F$

# Breakdown of patch scaling



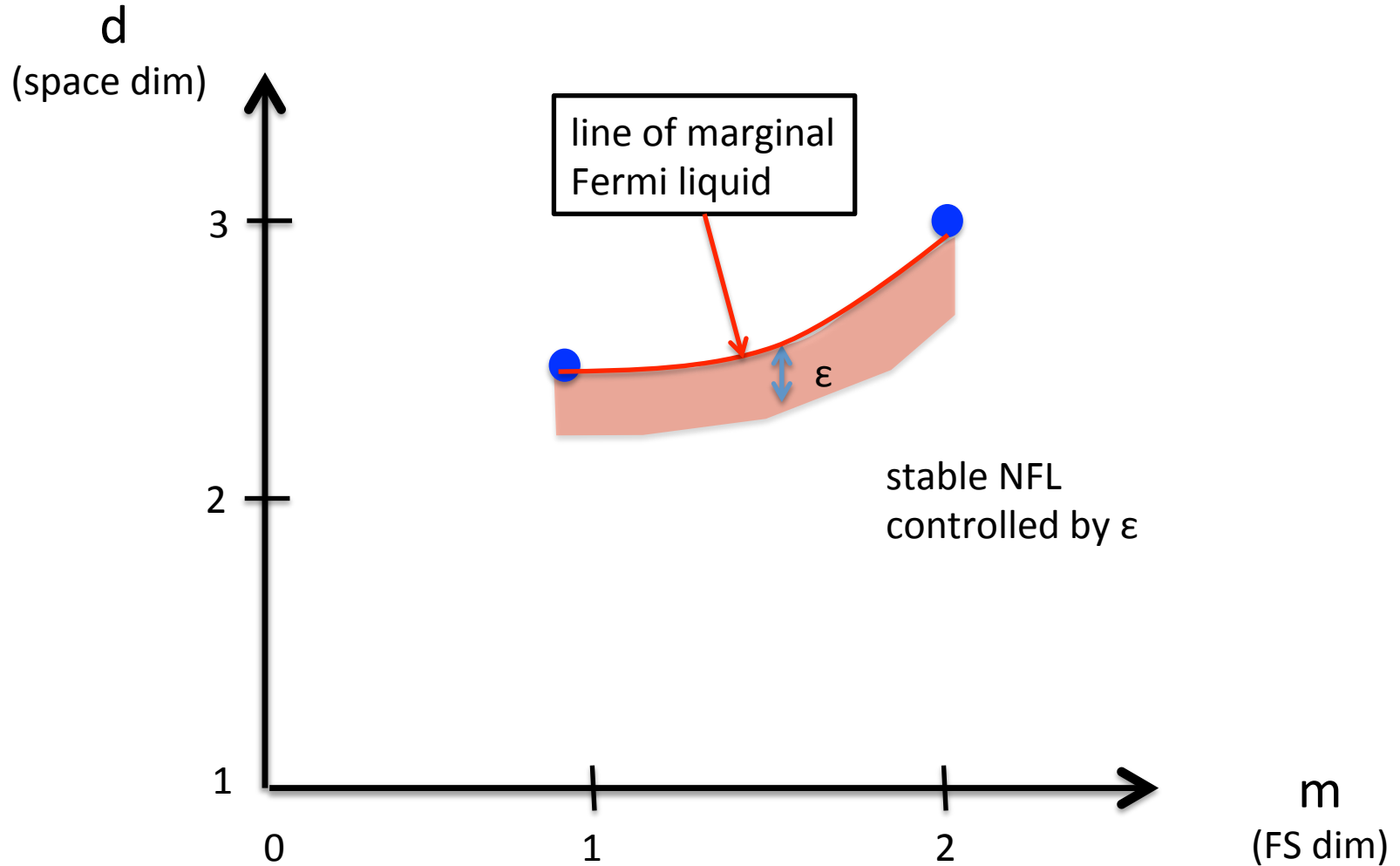
# Breakdown of patch scaling

- Below the power counting upper critical dimension, the Yukawa coupling runs to infinity
- However,  $k_F$  also runs to infinity with increasing screening
- The actual perturbation series is controlled by a new parameter

$$\tilde{e} \equiv \frac{e^{2(m+1)/3}}{\tilde{k}_F \frac{(m-1)(2-m)}{6}}$$

which flows to a finite value controlled by the distance from the actual upper critical dimension

# Breakdown of patch scaling



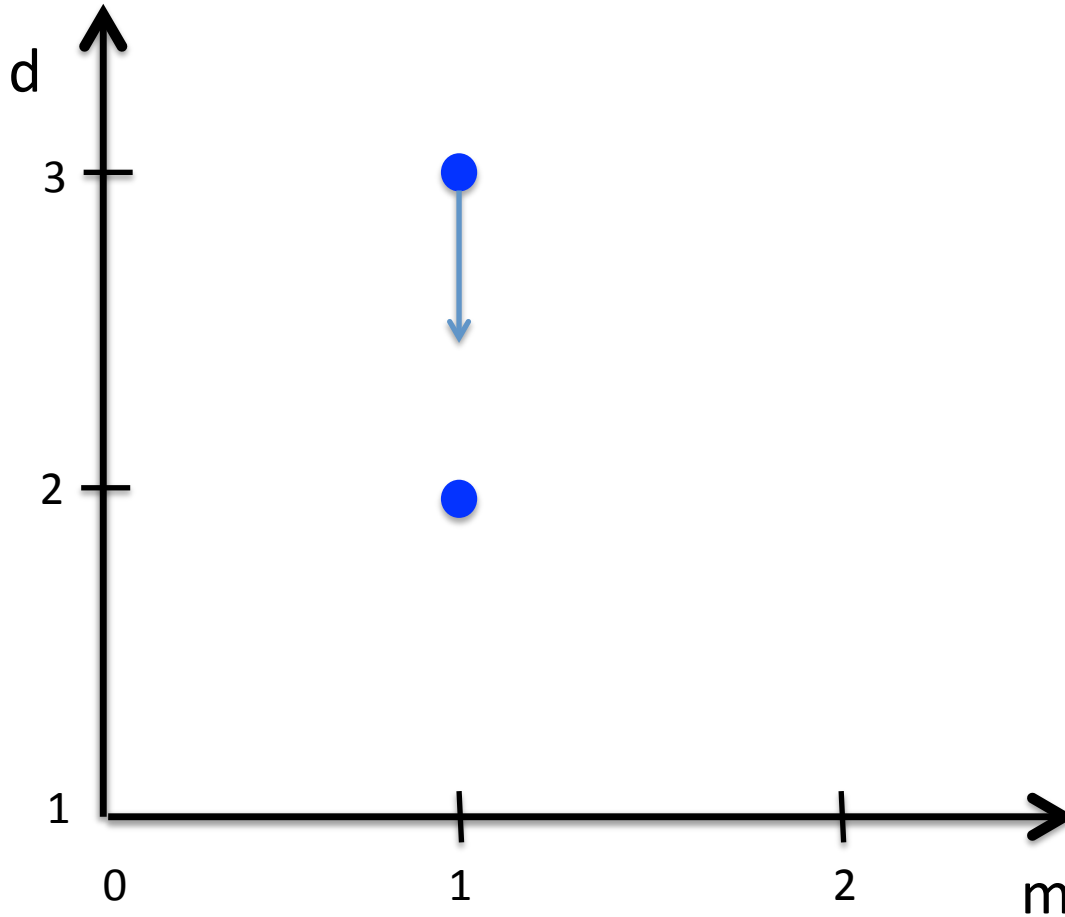
# Correlation functions

$$D = \frac{1}{\left(\vec{L}_{(k)}^2\right)^{2\Delta_\phi}} f_D \left( \frac{|\vec{K}|^{1/z^*}}{\vec{L}_{(k)}^2}, \frac{k_{d-m}}{k_F}, \frac{\vec{L}_{(k)}^2}{k_F} \right),$$

$$G = \frac{1}{|\delta_k|^{2\Delta_\psi}} f_G \left( \frac{|\vec{K}|^{1/z^*}}{\delta_k}, \frac{\delta_k}{k_F}, \frac{\vec{L}_{(k)}^2}{k_F} \right),$$

- Because of  $k_F$ , boson and fermion appear to have different dynamical critical exponents
- However, there is only one dynamical critical exponent once running of  $k_F$  is taken into account

$$m=1, Q \neq 0$$

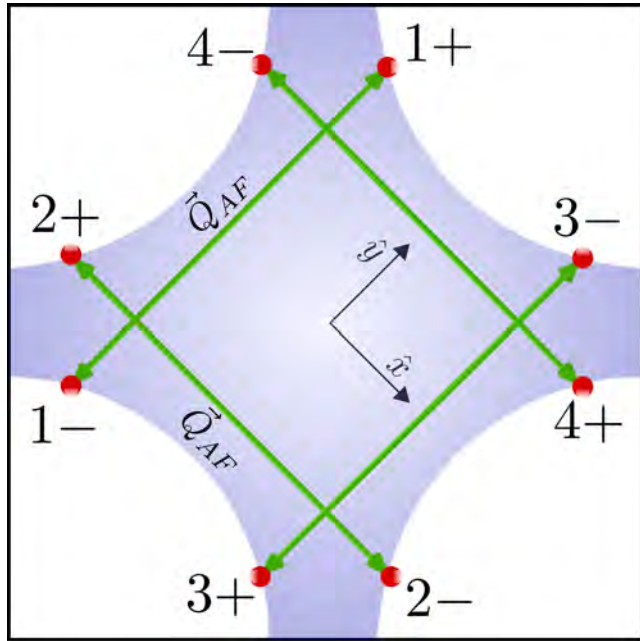


# Minimal Theory for SDW in 2d

[Abanov, Chubukov]

$$e_1^\pm(\vec{k}) = -e_3^\pm(\vec{k}) = vk_x \pm k_y$$

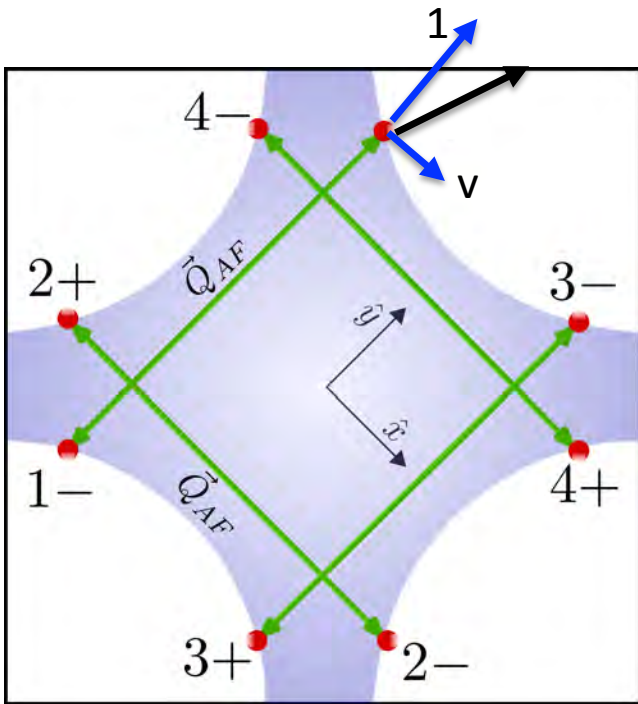
$$e_2^\pm(\vec{k}) = -e_4^\pm(\vec{k}) = \mp k_x + vk_y$$



$$\begin{aligned} \mathcal{S} = & \sum_{l=1}^4 \sum_{m=\pm} \sum_{\sigma=\uparrow,\downarrow} \int \frac{d^3k}{(2\pi)^3} \psi_{l,\sigma}^{(m)*}(k) \left[ ik_0 + e_l^m(\vec{k}) \right] \psi_{l,\sigma}^{(m)}(k) \\ & + \frac{1}{2} \int \frac{d^3q}{(2\pi)^3} [q_0^2 + c^2|\vec{q}|^2] \vec{\Phi}(-q) \cdot \vec{\Phi}(q) \\ & + g_0 \sum_{l=1}^4 \sum_{\sigma,\sigma'=\uparrow,\downarrow} \int \frac{d^3k}{(2\pi)^3} \frac{d^3q}{(2\pi)^3} \left[ \vec{\Phi}(q) \cdot \psi_{l,\sigma}^{(+)*}(k+q) \vec{\tau}_{\sigma,\sigma'} \psi_{l,\sigma'}^{(-)}(k) + c.c. \right] \\ & + \frac{u_0}{4!} \int \frac{d^3k_1}{(2\pi)^3} \frac{d^3k_2}{(2\pi)^3} \frac{d^3q}{(2\pi)^3} \left[ \vec{\Phi}(k_1+q) \cdot \vec{\Phi}(k_2-q) \right] \left[ \vec{\Phi}(k_1) \cdot \vec{\Phi}(k_2) \right] \end{aligned}$$



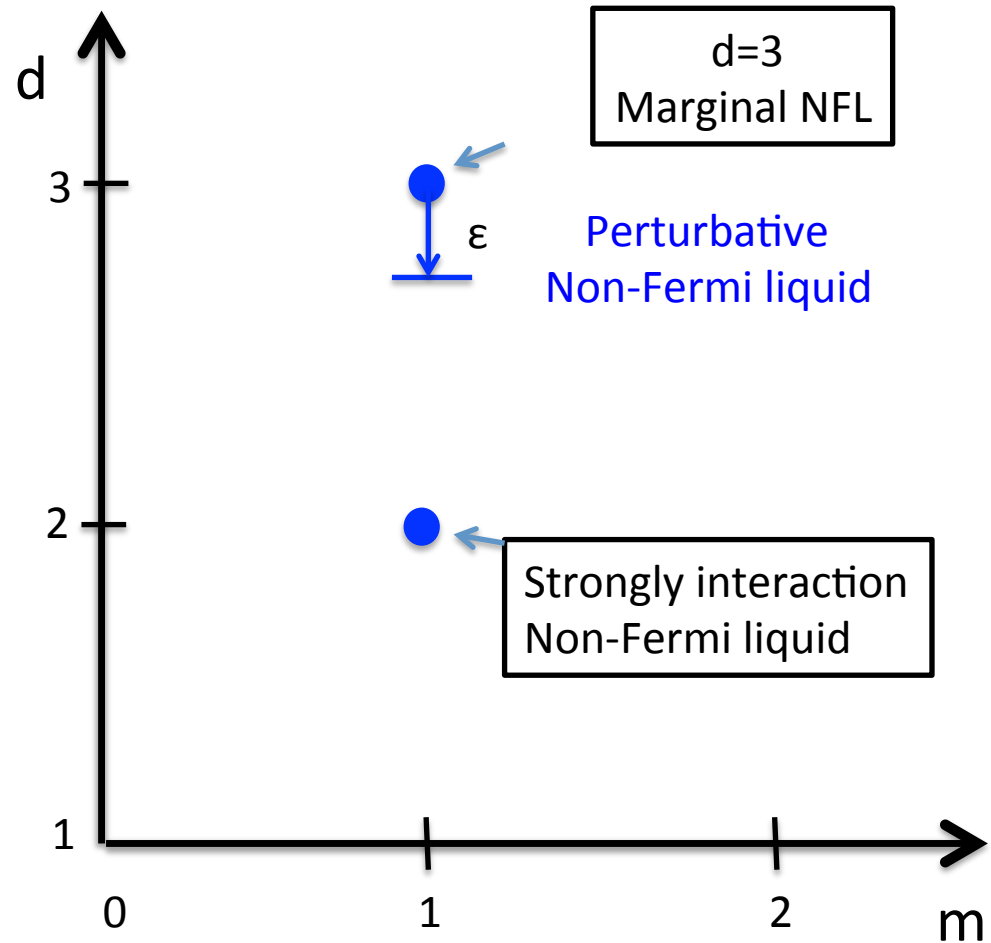
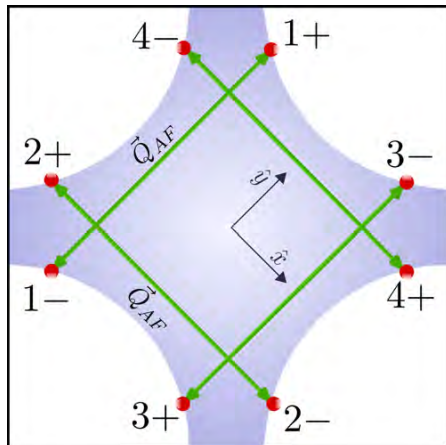
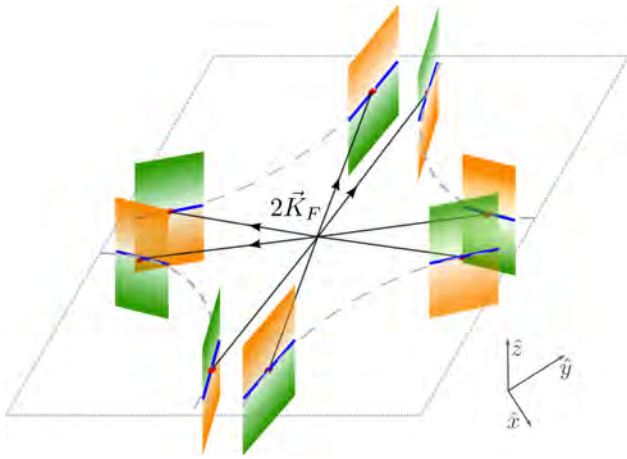
# Parameters of the theory



- $v$  : Fermi velocity perpendicular to  $Q_{AF}$
- $c$  : boson velocity
- $g$  : Yukawa coupling
- $u$  : quartic boson coupling

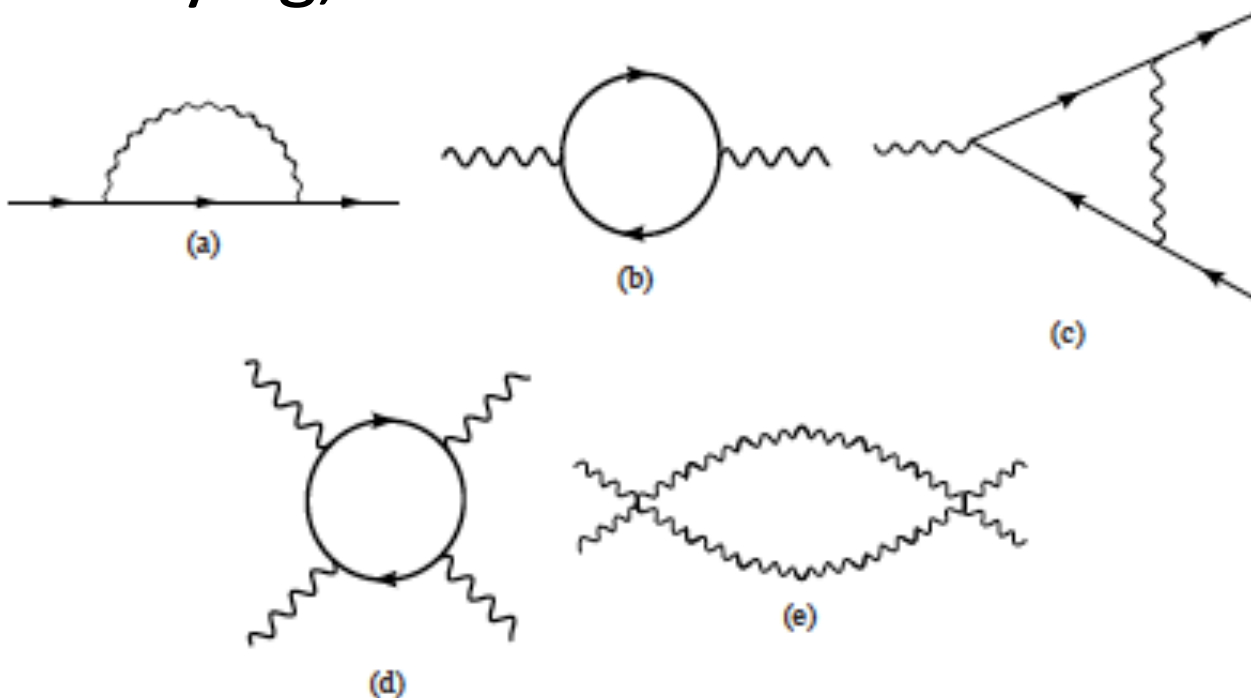
- If  $v=0$ , hot spots connected by  $Q_{AF}$  are nested
- The four parameters can not be scaled away

# A continuous interpolation between 2d Fermi surface and 3d metal with line nodes

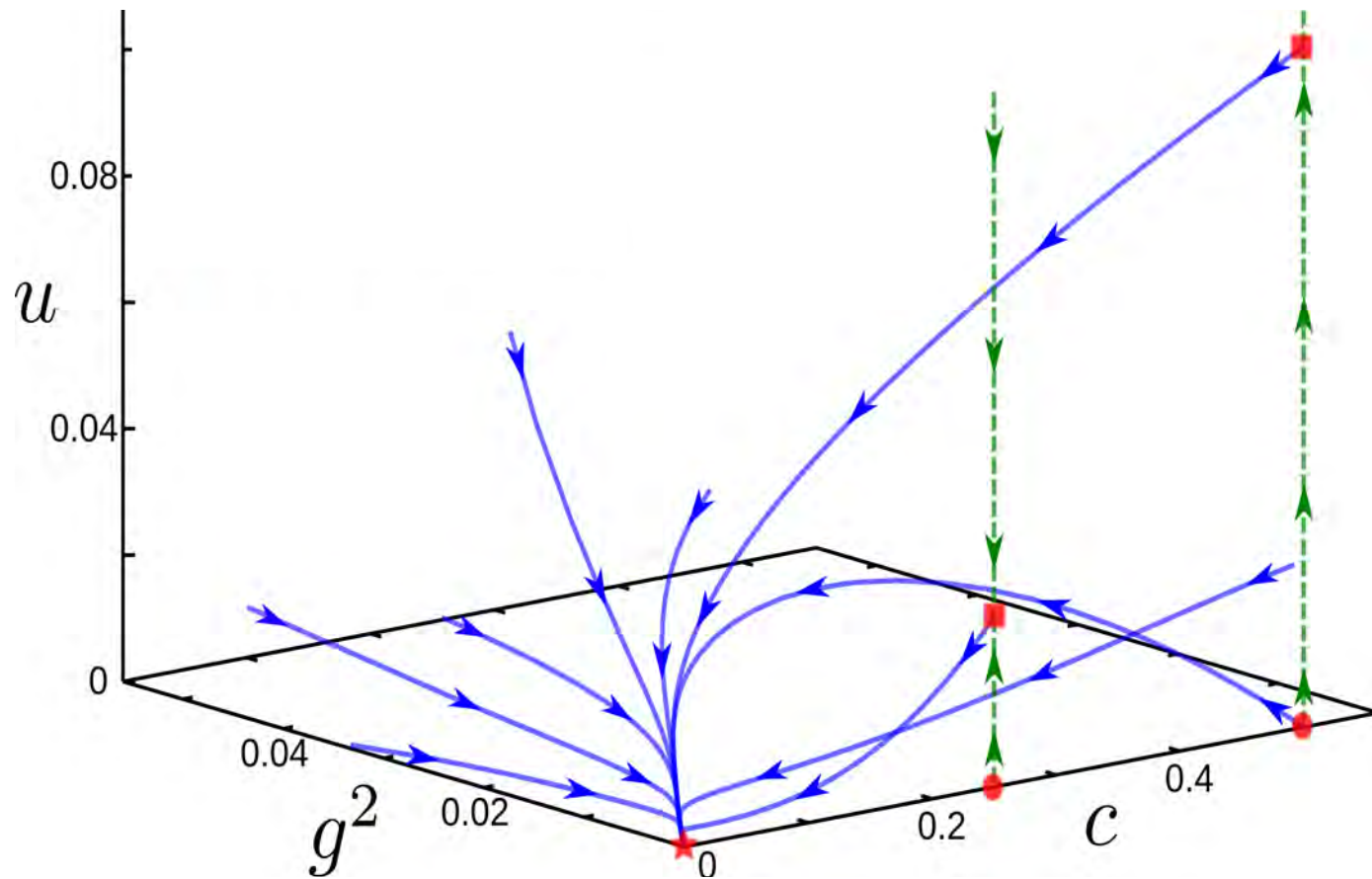


# One-loop RG flow

- Yukawa coupling induces nesting :  $v \searrow$
- Nesting makes boson slower :  $c \searrow$
- Nested FS and slow boson screen more efficiently :  $g, u \searrow$

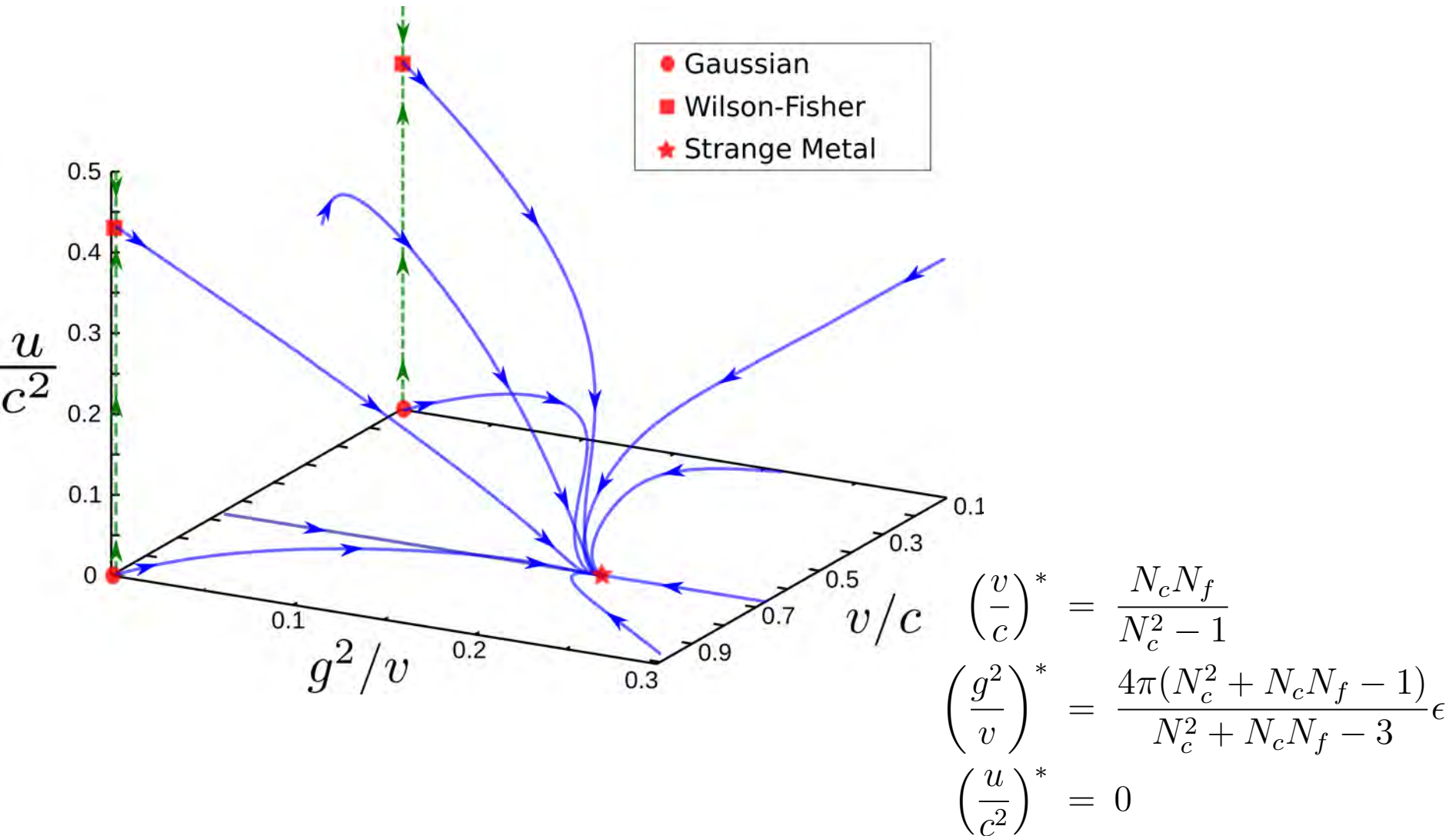


Cycle of negative feedback between  $(v,c)$   
and  $(g,u)$  make them all flow to zero!



One-loop is asymptotically exact in the low energy limit in three dimensions

# The kinetic energy & interactions maintain balance as they die



# Properties of the IR fixed point

- Interactionless
- Nested FS + dispersionless boson (quasi-local)
  - $v, c$  flow to zero  $1/\log(L)$  for  $d < 3$
  - $v, c$  flow to zero  $1/\log(\log(L))$  at  $d = 3$
- Breakdown of Fermi liquid (strange metal)
  - Non-Fermi liquid for  $d < 3$
  - Marginal Fermi liquid at  $d = 3$
- New form of stable metallic state :

Quasi-Local Strange Metal

[S. Sur, SL (14)]

# Spectral functions in QLSM

$$\mathcal{G}(k) = \frac{1}{|k_y|^{1-2\tilde{\eta}_\psi}} \tilde{G} \left( \frac{\mathbf{K}}{|k_y|^z} \right) \quad \text{For hot spots 1,3}$$

$$\mathcal{D}(k) = \frac{C}{|\mathbf{K}|^{\frac{2-2\tilde{\eta}_\phi}{z}}}$$

$$z = 1 + \frac{(N_c^2 + N_c N_f - 1)}{2(N_c^2 + N_c N_f - 3)} \epsilon$$

$$\tilde{\eta}_\psi, \tilde{\eta}_\phi \sim O(\epsilon^2)$$

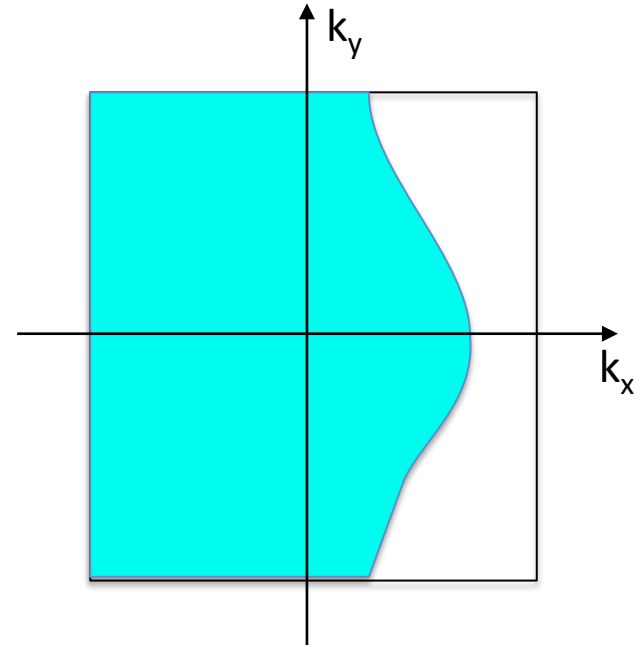
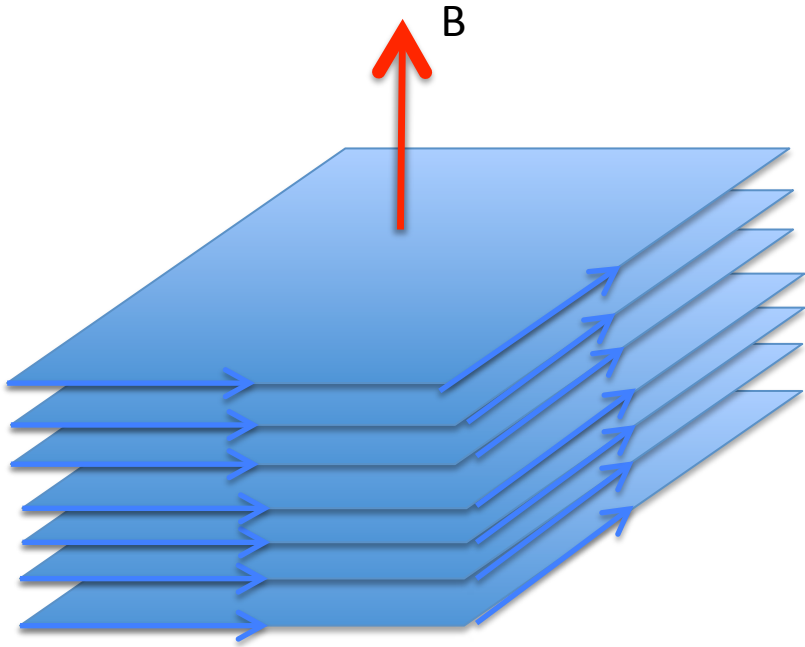
Q. Can one find a strongly interacting 2d non-Fermi liquid state that can be accessed non-perturbatively ?

## **CHIRAL NON-FERMI LIQUID**



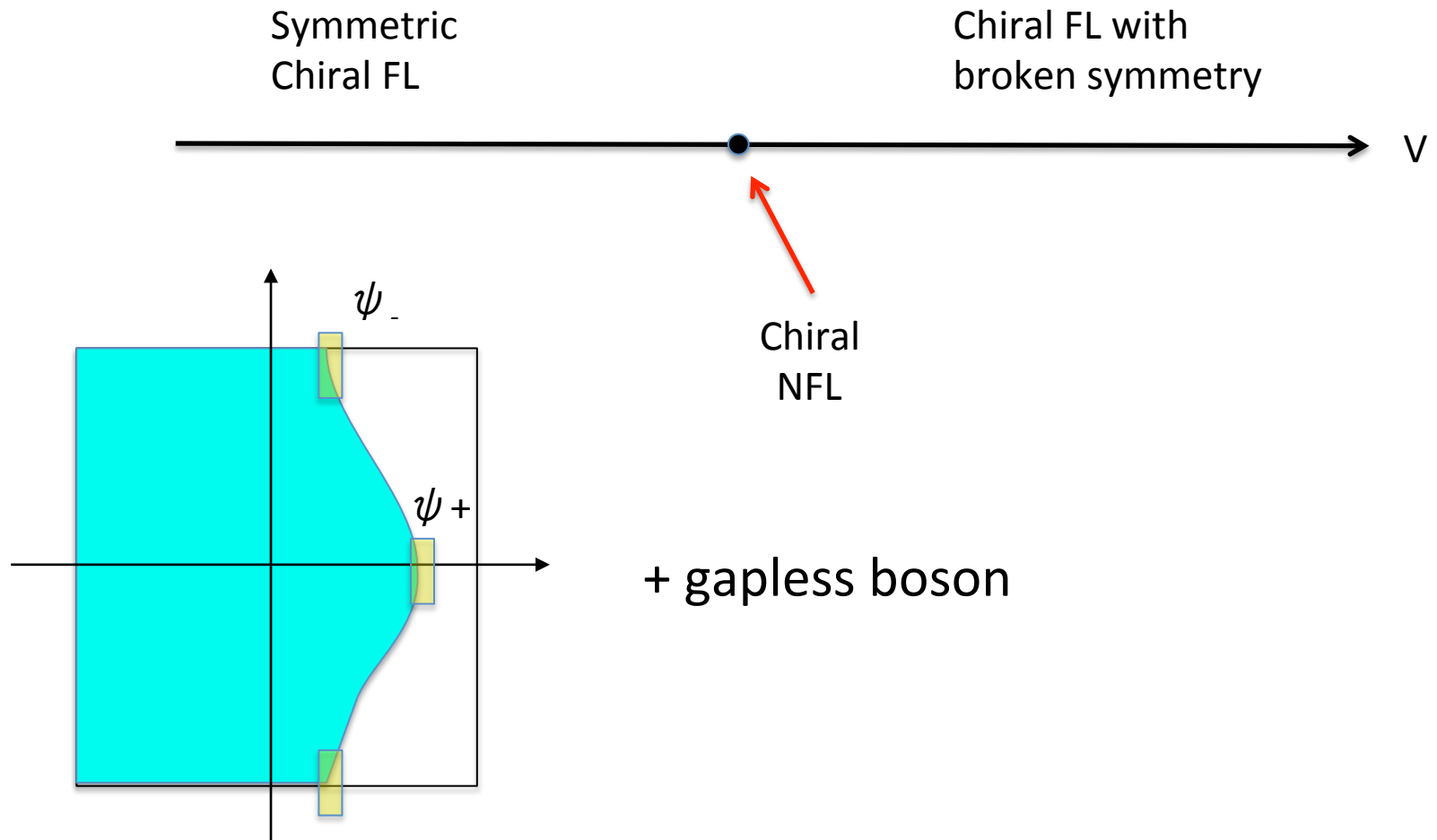


# Chiral Metal



A stack of quantum Hall layers creates a two-dimensional chiral Fermi surface [Balents and Fisher (96)]

# Minimal theory for QPT in chiral metal : chiral patch theory



# Interaction driven scaling (as opposed to the Gaussian scaling)

$$S = \int dk \left( i \frac{k_0}{\Lambda^{1/2}} + k_x + \gamma k_y^2 \right) \psi_j^*(k) \psi_j(k) \\ + \int dk k_y^2 \phi_\alpha(-k) \phi_\alpha(k) \\ + \int dk dq \phi_\alpha(k) \psi_i^*(k+q) T_{ij}^\alpha \psi_j(q)$$

irrelevant

marginal

- The interaction is kept as a marginal term while one of the quadratic term is deemed irrelevant
- Irrelevant term enters as a scale

# Wilsonian effective action with running length scale $X_0$

$$S_{X_0}(\Lambda, g)$$

dimensionful

dimensionless

- The Wilsonian effective action depends on all parameters of the theory
- In non-chiral case, divergence in  $\Lambda \rightarrow \infty$  alter the naïve scaling
- In this case, thanks to chirality, the theory is UV finite :  $\Lambda$  can be dropped !

# Stable fixed point

- UV/IR finiteness + absence of scale : the interaction driven scaling gives the exact scaling
- Exact Scaling form of the Green's function :

$$G^{-1}(k) = (k_x + k_y^2)g(|\omega|^{2/3}/(k_x + k_y^2))$$

- However, the full Green's function **can not** be computed perturbatively

# Summary

- Perturbative non-Fermi liquids based on dimensional regularization
- Patch description for  $m=1$
- UV/IR mixing for  $m>1$
- Quasi-local non-Fermi liquids for SDW QCP
- Exact solution for chiral NFL at  $d=2$