

Non-equilibrium dynamics and compact stars in AdS/CFT

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Outline

1 Introduction

2 Perturbations of Schwarzschild and SUSY QM

3 Robinson-Trautman spacetimes

4 Holography

5 Conclusions

Gauge/gravity duality and non-equilibrium dynamics

- Gauge/gravity duality offers a new tool to study non-equilibrium dynamics at strong coupling.
- AdS black holes correspond to thermal states of the CFT.
- Black hole formation corresponds to thermalization.

Gauge/gravity duality and non-equilibrium dynamics

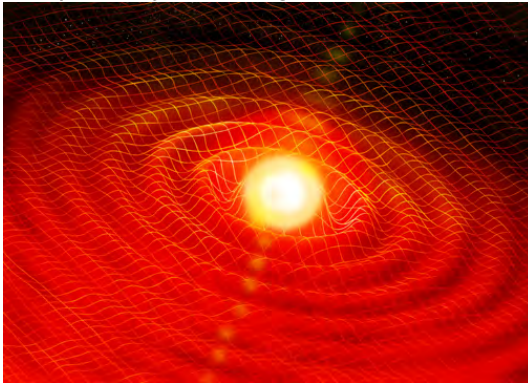
- Hydrodynamics captures the dynamics in the long wave-length, late time behavior of QFTs close to thermal equilibrium.
- On the gravitational side, one can construct bulk solutions in a gradient expansion that describe the hydrodynamic regime.
- Global solutions corresponding to **non-equilibrium** configurations should be well-approximated by the solutions describing the hydrodynamic regime **at sufficiently long distances and late times**.

Gauge/gravity duality and non-equilibrium dynamics

- Almost all work on global solutions is numerical.
- In this work we aim at obtaining **analytic solutions** describing out-of-equilibrium dynamics.
- We will discuss this in the context AdS_4/CFT_3 .

Compact stars and AdS/CFT

- Another motivation for this work is to initiate the study of compact objects using AdS/CFT.



References

- This talk is based on work done with I. Bakas, 1404.4824.
- Related work appeared in [G. de Freitas, H. Reall, 1403.3537]

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Equilibrium configuration

- The thermal state corresponds to the AdS Schwarzschild black hole

$$ds^2 = -f(r)dt^2 + \frac{dr^2}{f(r)} + r^2 (d\theta^2 + \sin^2\theta d\phi^2),$$

with $f(r) = 1 - \frac{2m}{r} - \frac{\Lambda}{3}r^2$.

- Linear perturbations around the Schwarzschild solution describe holographically **thermal 2-point functions** in the dual QFT.
- From those, using linear response theory, one can obtain the **transport coefficients** entering the hydrodynamic description close to thermal equilibrium.
- To describe out-of-equilibrium dynamics we need to go **beyond linear perturbations**.

Strategy

To describe analytically non-equilibrium phenomena and their approach to equilibrium we need

- **Exact time-dependent solutions** of Einstein equations.
- These solutions should limit at late times to the **Schwarzschild solution**.

- Can we find analytically exact solutions corresponding to linear perturbations of the Schwarzschild solution?

Linear perturbations of AdS Schwarzschild

Linear perturbations can be classified into **parity even** and **parity odd**. The **parity even** are parametrized by

$$\begin{pmatrix} f(r)H_0(r) & H_1(r) & 0 & 0 \\ H_1(r) & H_0(r)/f(r) & 0 & 0 \\ 0 & 0 & r^2K(r) & 0 \\ 0 & 0 & 0 & r^2K(r)\sin^2\theta \end{pmatrix} e^{-i\omega t} P_l(\cos\theta),$$

where $P_l(\cos\theta)$ are Legendre polynomials. (For simplicity we only display axially symmetric perturbations.)

► There is a similar formula for **parity odd** perturbations.

Effective Schrödinger problem

- The study of these perturbations can be reduced to an effective Schrödinger problem [Regge, Wheeler] [Zerilli] ...

$$\left(-\frac{d^2}{dr_\star^2} + W^2 \pm \frac{dW}{dr_\star} \right) \Psi(r_\star) = E \Psi(r_\star) .$$

- The two signs correspond to the parity even and odd cases.
- $E = \omega^2 - \omega_s^2$, $\omega_s = -\frac{i}{12m}(l-1)l(l+1)(l+2)$.
- $\Psi_{\text{even}}(r) = \frac{r^2}{(l-1)(l+2)r+6m} \left(K(r) - i\frac{f(r)}{\omega r} H_1(r) \right)$ and there is a similar formula for the odd case.
- r_\star is the tortoise radial coordinate, $dr_\star = dr/f(r)$.
- $W(r) = \frac{6mf(r)}{r[(l-1)(l+2)r+6m]} + i\omega_s$

Supersymmetric Quantum mechanics

- There is an underlying **supersymmetric structure** with W being the superpotential,

$$H_{even} = Q^\dagger Q + \omega_s^2, \quad H_{odd} = Q Q^\dagger + \omega_s^2$$

with

$$Q = \left(-\frac{d}{dr_\star} + W(r_\star) \right), \quad Q^\dagger = \left(\frac{d}{dr_\star} + W(r_\star) \right)$$

- Forming

$$H = \begin{pmatrix} H_{even} & 0 \\ 0 & H_{odd} \end{pmatrix} \quad \mathbf{Q} = \begin{pmatrix} 0 & 0 \\ Q & 0 \end{pmatrix}$$

one finds that they form a **SUSY algebra**, $\{\mathbf{Q}, \mathbf{Q}^\dagger\} = H$ etc.

Remarks

- The Hamiltonian is only formally hermitian.
- Boundary conditions break supersymmetry.
- E is not bounded from below, it is not even real.

Zero energy solutions

- A special class of solutions are those with zero energy,

$$E = 0 \quad \Leftrightarrow \quad \omega = \omega_s$$

- These modes satisfy a **first order equation**

$$Q\Psi_0 = \left(-\frac{d}{dr_\star} + W(r_\star) \right) \Psi_0 = 0$$

They are the **supersymmetric ground states** of supersymmetric quantum mechanics.

- These are the so-called **algebraically special modes** [Chandrasekhar].
- It is these modes that we would like to study at the **non-linear level**.

Properties of the zero energy solutions

- Ψ_0 vanishes at the horizon.
- It satisfies **mixed boundary conditions** at the conformal boundary,

$$\frac{d}{dr_\star} \Psi_0(r_\star) \Big|_{r_\star=0} = \left(i\omega_s - \frac{2m\Lambda}{(l-1)(l+2)} \right) \Psi_0(r_\star=0) .$$

- It is **normalizable**,

$$\int_{-\infty}^0 dr_\star | \Psi_0(r_\star) |^2 < \infty .$$

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Robinson-Trautman spacetimes

- The metric is given by

$$ds^2 = 2r^2 e^{\Phi(z, \bar{z}; u)} dz d\bar{z} - 2du dr - F(r, u, z, \bar{z}) du^2$$

- The function F is uniquely determined in terms of Φ ,

$$F = r \partial_u \Phi - \Delta \Phi - \frac{2m}{r} - \frac{\Lambda}{3} r^2$$

where Λ is the cosmological constant and $\Delta = e^{\Phi} \partial_z \partial_{\bar{z}}$ is the Laplace-Beltrami operator on S^2 .

- The function $\Phi(z, \bar{z}; u)$ should solve the *Robinson-Trautman equation*,

$$3m \partial_u \Phi + \Delta \Delta \Phi = 0.$$

Robinson-Trautman equation and the Calabi flow

- The Robinson-Trautman equation governs a particular geometric flow on S^2 : **the Calabi flow**

$$\partial_u g_{z\bar{z}} = \frac{\partial^2 R}{\partial z \partial \bar{z}}, \quad g_{z\bar{z}} = e^{\Phi(z, \bar{z}; u)}$$

The Calabi flow is defined more generally for a metric $g_{a\bar{b}}$ on a Kähler manifold M .

- ➡ The **area** of S^2 and the average curvature $\langle R \rangle^1$ are **fixed along the flow**, while $\langle R^2 \rangle$ acts as an **"entropy functional"**: it **decreases monotonically** along the flow.

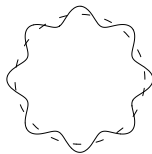
¹ $\langle A \rangle = \int_{S^2} \sqrt{g} A$

Calabi flow on S^2

- The Calabi flow can be regarded as a **non-linear diffusion process** on S^2 .
- Starting from a **general initial metric** $g_{a\bar{b}}(z, \bar{z}; 0)$, the flow deforms the metric to the **constant curvature metric** on S^2 , described by

$$e^{\Phi_0} = (1 + z\bar{z}/2)^{-2} .$$

- Curvature perturbations of the round sphere **dissipate** under Calabi flow.



AdS Schwarzschild as Robinson-Trautman

- Using the fixed point solution of the Robinson-Trautman equation

$$e^{\Phi_0} = \frac{1}{(1 + z\bar{z}/2)^2} .$$

the metric becomes

$$ds^2 = \frac{2r^2}{(1 + z\bar{z}/2)^2} dzd\bar{z} - 2dudr - \left(1 - \frac{2m}{r} - \frac{\Lambda}{3}r^2\right) du^2$$

which is the **Schwarzschild metric in the Eddington - Filkenstein coordinates.**

Zero energy solutions as Robinson-Trautman

- Perturbatively solving the Robinson-Trautman equation around the round sphere

$$ds_2^2 = [1 + \epsilon_l(u)P_l(\cos\theta)] (d\theta^2 + \sin^2\theta d\phi^2)$$

one finds

$$\epsilon_l(u) = \epsilon_l(0)e^{-i\omega_s u}$$

with

$$\omega_s = -i \frac{(l-1)l(l+1)(l+2)}{12m}$$

- This is exactly the frequency of the zero energy solutions we found earlier!
- Inserting in the Robinson-Trautman metric we find the zero energy perturbations of AdS Schwarzschild we discussed earlier.

Summary

The Robinson-Trautman solution is a non-linear version of the algebraically special perturbations of Schwarzschild.

Late-time behavior of solutions [Chruściel, Singleton]

- We parametrize the conformal factor of the S^2 line element as

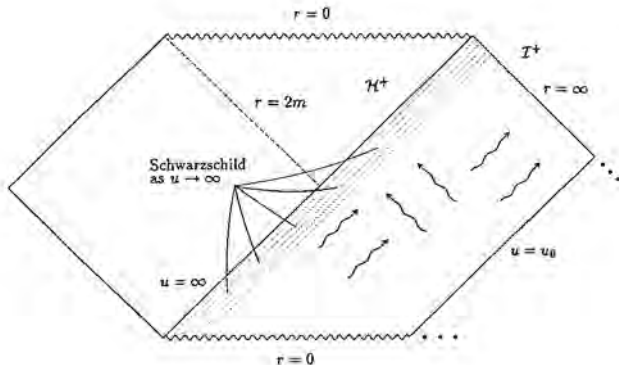
$$e^{\Phi(z, \bar{z}; u)} = \frac{1}{\sigma^2(z, \bar{z}; u) (1 + z\bar{z}/2)^2}.$$

- $\sigma(z, \bar{z}; u)$ has the following asymptotic expansion

$$1 + \sigma_{1,0}(z, \bar{z})e^{-2u/m} + \sigma_{2,0}(z, \bar{z})e^{-4u/m} + \dots + \sigma_{14,0}(z, \bar{z})e^{-28u/m} \\ + [\sigma_{15,0}(z, \bar{z}) + \sigma_{15,1}(z, \bar{z})u]e^{-30u/m} + \mathcal{O}\left(e^{-32u/m}\right).$$

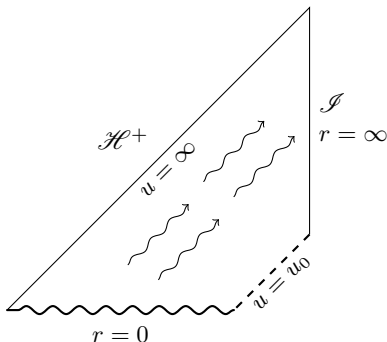
- The terms with $\sigma_{1,0}, \sigma_{5,0}, \sigma_{15,0}, \dots$ are due to the linear algebraically special modes with $l = 2, 3, 4, \dots$
- The other terms are due to **non-linear effects**.

Global aspects: $\Lambda = 0$



- The extension across the horizon \mathcal{H}^+ is not smooth: the metric is $C^{1,7}$ -differentiable not C^∞ [Chruściel, Singleton].

Global aspects: $\Lambda < 0$



- With $\Lambda < 0$ things are worse: for large black holes, the solution does not appear to have a smooth extension beyond $u \rightarrow \infty$ [Bicak, Podolsky].

Bondi mass

- In asymptotically flat spacetimes, a measure of mass at null infinity is the **Bondi mass**.
- For the Robinson-Trautman spacetimes it is equal to [Singleton]

$$\mathcal{M}_{\text{Bondi}}(u) = \frac{m}{4\pi} \int_{S^2} d\mu_0 \frac{1}{\sigma^3},$$

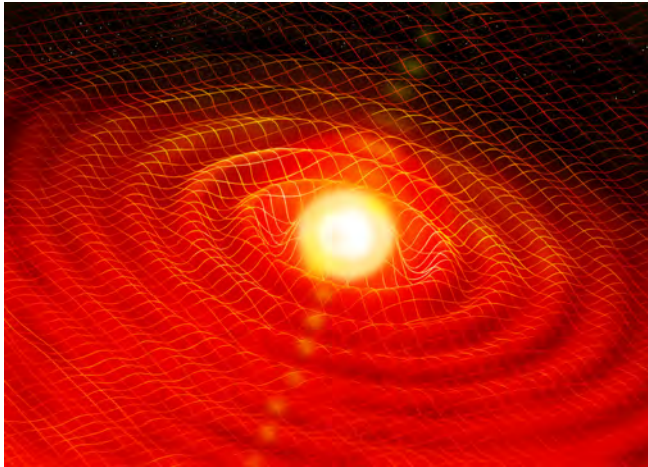
where the integral with respect to the unit round metric on S^2 .

- $\mathcal{M}_{\text{Bondi}}$ has the properties

$$\mathcal{M}_{\text{Bondi}} \geq m, \quad \frac{d}{du} \mathcal{M}_{\text{Bondi}} \leq 0.$$

Interpretation of the solution when $\Lambda = 0$

- The solution describes the gravitational field due to a compact star which **relaxes to equilibrium by radiating away its excess energy and asymmetry**.
- **As $u \rightarrow \infty$** , we approach a spherically symmetric configuration and the gravitational field becomes that of the **Schwarzschild solution**.
- As the system radiates away the excess energy, $\mathcal{M}_{\text{Bondi}}$ **decreases and becomes equal to m as $u \rightarrow \infty$** .
- There is only **out-going radiation**.



Bondi mass for Asymptotically AdS spacetimes?

- Asymptotically AdS spacetimes **do not have null infinity**, so a priori it is not clear if there is an analogue of the Bondi mass.
- Here we take the perspective that the formula for $\mathcal{M}_{\text{Bondi}}$ in Robinson-Trautman spacetimes makes sense also for $\Lambda < 0$.
- The properties

$$\mathcal{M}_{\text{Bondi}} \geq m, \quad \frac{d}{du} \mathcal{M}_{\text{Bondi}} \leq 0.$$

continue to hold.

Penrose inequality and Hoop conjecture

- The Bondi mass satisfies a Penrose inequality

$$16\pi \mathcal{M}_{\text{Bondi}}^2 \geq \text{Area}(\Sigma) \left(1 - \frac{\Lambda}{3} \frac{\text{Area}(\Sigma)}{4\pi} \right)^2 .$$

where $\text{Area}(\Sigma)$ is the area of the past apparent horizon.

- **Hoop conjecture (Thorne):** *Horizons form when and only when a **mass** E gets compacted into a region whose **circumference** C in every direction is $4\pi E \geq C$.*
- We formulated (and proved for some cases) a version of this conjecture.

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R-T as an Asymptotically locally AdS solution

- When $\Lambda < 0$ the solution is **asymptotically locally AdS**. This means that near the conformal boundary the metric takes the Fefferman-Graham form:

$$ds^2 = \frac{d\varrho^2}{\varrho^2} + \frac{1}{\varrho^2} (g_{(0)ab}(x) + \varrho^2 g_{(2)ab}(x) + \varrho^3 g_{(3)ab}(x) + \dots) dx^a dx^b$$

- One can reach this gauge by a coordinate transformation $(r^* = u - t, t, z, \bar{z}) \rightarrow (\varrho, t, z, \bar{z})$:

$$\begin{aligned} r_* &\rightarrow \varrho + \left(\right) \varrho^3 + \left(\right) \varrho^4 + \mathcal{O}(\varrho^5), \\ t &\rightarrow t + \left(\right) \varrho^2 + \left(\right) \varrho^3 + \left(\right) \varrho^4 + \mathcal{O}(\varrho^5), \\ z &\rightarrow z + \left(\right) \varrho^3 + \left(\right) \varrho^4 + \mathcal{O}(\varrho^5), \\ \bar{z} &\rightarrow \bar{z} + \left(\right) \varrho^3 + \left(\right) \varrho^4 + \mathcal{O}(\varrho^5), \end{aligned}$$

Asymptotic structure

- The boundary metric is **time-dependent** and it is **not conformally flat**

$$ds_0^2 = -dt^2 - \frac{6}{\Lambda} e^{\hat{\Phi}(z, \bar{z}; t)} dz d\bar{z} .$$

where $\hat{\Phi}(z, \bar{z}; t) = \Phi(z, \bar{z}; u = t - r^*)|_{r^*=0}$.

- $g_{(2)ab} = -\mathcal{R}_{ab} + \frac{1}{4}\mathcal{R}g_{(0)ab}$, where \mathcal{R}_{ab} is the Ricci tensor of $g_{(0)}$, as expected [de Haro, Solodukhin, KS].

Energy-momentum tensor

- Asymptotically locally AdS spacetime have a conserved T_{ab} which in even dimension is traceless,

$$\nabla^b T_{ab} = 0, \quad T_a^a = 0$$

- The tensor can be extracted from the asymptotics of the solution [Henningson, KS][de Haro, Solodukhin, KS]

$$T_{ab} = -\frac{3}{2\kappa^2} \left(-\frac{3}{\Lambda} \right) g^{(3)ab}$$

- For the R-T solution we obtain,

$$\begin{aligned} \kappa^2 T_{tt} &= -\frac{2m\Lambda}{3}, & \kappa^2 T_{tz} &= -\frac{1}{2} \partial_z (\hat{\Delta} \hat{\Phi}) \\ \kappa^2 T_{z\bar{z}} &= m e^{\hat{\Phi}}, & \kappa^2 T_{zz} &= -\frac{3}{4\Lambda} \partial_t \left((\partial_z \hat{\Phi})^2 - 2\partial_z^2 \hat{\Phi} \right), \end{aligned}$$

Hydrodynamics

- As $t \rightarrow \infty$ the stress energy tensor approaches that of Schwarzschild AdS.
- Including the late time corrections, it takes the hydrodynamic form:

$$T^{ab} = (\rho + p)u^a u^b + pg^{ab} + \Pi^{ab}$$

ρ is the energy density, p is the pressure. Conformal invariance implies

$$p = \rho/2$$

- Π^{ab} encodes the dissipation and it satisfies the Landau condition

$$u_a \Pi^{ab} = 0.$$

Late-time expansion

- One can obtain the late-time corrections to ρ, u^a, Π^{ab} to all orders:

$$\rho = \rho_0 + \rho_1 + \dots, \quad u^a = u_0^a + u_1^a + \dots, \quad \Pi^{ab} = \Pi_1^{ab} + \dots$$

where ρ_0, u_0^α are the equilibrium values.

- One can also define an entropy current

$$s^a = s u^a, \quad s = s_0 + s_1 + \dots$$

where s_0 is the entropy at equilibrium which has a non-negative divergence

$$\nabla_a s^a \geq 0$$

Violation of KSS bound

- Specialising to **linear algebraically special modes** we obtain

$$\Pi_1^{ab} = -\eta\sigma^{ab}, \quad \eta = \frac{1}{4\kappa^2}l(l+1)$$

which then leads to

$$\frac{\eta}{s} = \frac{l(l+1)}{8\pi} \frac{r_h}{2m - r_h}$$

- ⇒ The bound $\eta/s \geq 1/4\pi$ is violated for large black holes and small enough l .

Violation of KSS bound

- These modes however **do not satisfy Dirichlet boundary conditions**.
- The modes are **out-going** rather than in-going at the horizon.
- All modes that violate the bound **do not extend smoothly beyond $u = \infty$** (however there are modes that do not have smooth extension but nevertheless satisfy the bound).

KSS bound and normalised Ricci-flow

- The result for η/s can be rewritten as

$$\frac{\eta}{s} = \frac{1}{4\pi} \frac{\omega_s}{\Omega_S}$$

where

$$\Omega_S = -i \frac{(l-1)(l+2)}{3r_h}$$

- Curiously, these modes are associated with the late time expansion of a different geometric flow: the **normalised Ricci flow**.
- However, there are no (known) non-linear gravitational solutions associated with this flow.

Remarks

- The physics of the R-T solutions is different than the cases studied in the literature.
- **In the usual cases:** one injects energy to the system from the boundary and this energy is **dissipated by getting absorbed by the black hole**.
- **In this case:** nothing is absorbed by the black hole. There is only out-going radiation (coming from an isolated star which sheds its asymmetry by radiation) and the radiation is absorbed by the boundary. **Dissipation is due to coupling to external sources.**

Entropy production

- Computing the leading correction to the entropy we find

$$s_1 = \frac{\rho_1}{T_0}.$$

- ρ_1 is negative and goes to zero as $t \rightarrow \infty$.
- As gravitational radiation is absorbed at the boundary the energy increases and there is entropy production.

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Conclusions/Outlook

- The Robinson-Trautman solution is a non-linear version of the algebraically special perturbation of Schwarzschild.
- One can study **quantitatively and analytically** the approach to equilibrium and the effects of non-linear terms.
- It would be interesting to understand better holography for these solutions, in particular the implications of the **unusual boundary conditions**, the **holographic meaning of the Bondi mass**, the **Penrose inequality**, the **hoop conjecture**, etc. ...