

A holographic model for the fractional quantum Hall effect

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with Matthew Lippert and Anastasios Taliotis, 1409.1369
Many Thanks go to Elias Kiritsis.

Outline

Introduction: Modular invariance in FQHE

Review: Dyonic Black Holes and Modular Invariance

The $SL(2,Z)$ invariant model

Conclusions & Further Directions

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Introduction: Modular invariance in FQHE

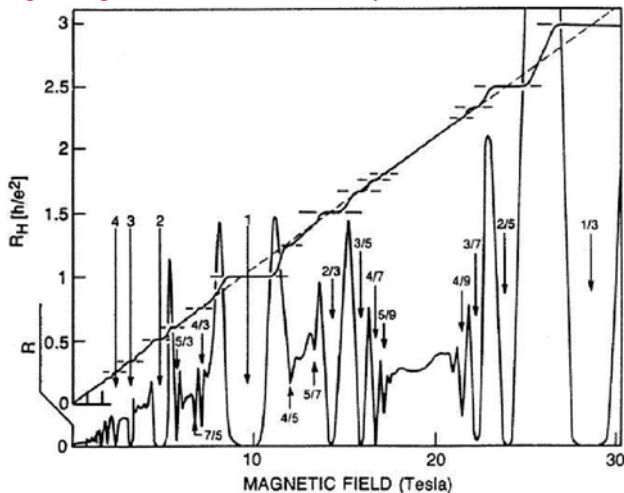
Review: Dyonic Black Holes and Modular Invariance

The $SL(2, \mathbb{Z})$ invariant model

Conclusions & Further Directions

The Fractional Quantum Hall Effect

- ▶ In systems with 2D electron gases, at very low temperatures, high magnetic fields, clean samples :



Stormer (1992)

The Fractional Quantum Hall Effect

- ▶ FQHE states are **gapped** states with **quantized Hall conductivity**

$$\sigma_{xy} = \frac{p}{q} \left(\frac{e^2}{h} \right), \quad p, q \in \mathbb{Z}, \quad q \text{ odd}$$

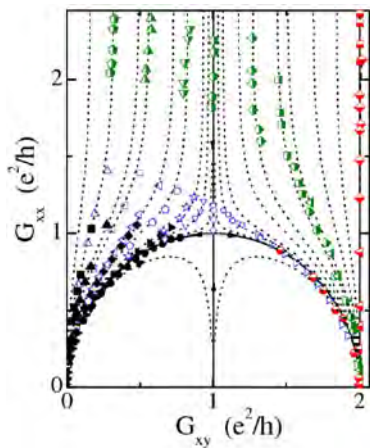
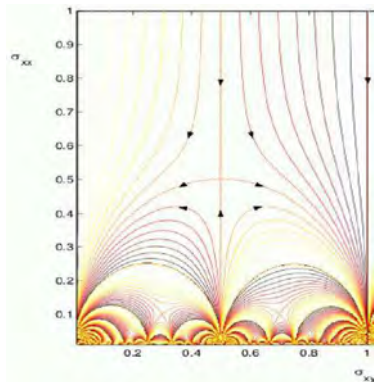
- ▶ Physics of charged quasiparticle excitations symmetric under **Modular Group Action** : $\sigma = \sigma_{xy} + i\sigma_{xx}$

$$\sigma \mapsto \frac{a\sigma + b}{c\sigma + d}, \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(2) \subset SL(2, \mathbb{Z}), \quad c \text{ even}$$

- ▶ Assumption: **RG flow reduces to two-dimensional subspace**
- ▶ **Group action commuting with the RG flow implies** that RG fixed points are $\Gamma_0(2)$ fixed points, structure imprinted on σ flows in $\sigma_{xx} - \sigma_{xy}$ plane

[Burgess+Lutken 1997, Dolan 1999, Lutken+Ross 2009, S.S. Murzin et al 2002]

The Fractional Quantum Hall Effect



The Fractional Quantum Hall Effect

- ▶ Examples:

Selection Rule: $p'q - pq' = 1$ (e.g. $1/3 \rightarrow 2/5$)

[Dolan 1998]

Superuniversality of QH transitions

- ▶ CAN WE REPRODUCE THIS STRUCTURE (AND OTHER UNIVERSAL TRANSPORT FEATURES) IN A SINGLE HOLOGRAPHIC MODEL EMPLOYING THE MODULAR GROUP ACTION?

- ▶ Holographic model based on $SL(2, Z)$ invariance with GAPPED Quantum Hall states

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$SL(2, Z)/SL(2, R)$ and Black Hole Charges

- ▶ **Main idea** of [1007.2490, 1008.1917, 1409.1369] : Use an $SL(2, Z)$ (or $SL(2, R)$) invariant Einstein-Maxwell-Axio-Dilaton **action** to generate dyonic black branes by acting on known purely electrically charged black branes.

$$S = M_{Pl}^2 \int d^4x \sqrt{-g} \left[R - \frac{1}{2\gamma^2} \frac{\partial\tau\partial\bar{\tau}}{\tau^2} + V(\tau, \bar{\tau}) - \frac{1}{4} \left(\tau_2 F^2 + \tau_1 F\tilde{F} \right) \right]$$

$SL(2, Z)/SL(2, R)$ and Black Hole Charges

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- ▶ Filling fraction and all other observables inherit the group action automatically.
- ▶ $SL(2, Z)/SL(2, R)$ acts on the fields as

$$\tau = a + ie^{\gamma\phi} = \tau_1 + i\tau_2, \quad \tau \rightarrow \frac{a\tau + b}{c\tau + d}, \quad ds^2 \rightarrow ds^2 \quad \text{and}$$

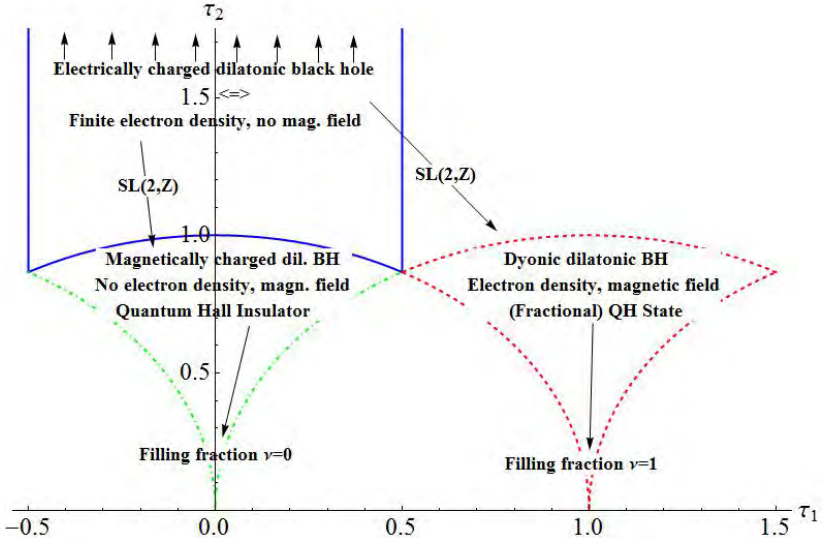
$$F \rightarrow F' = (c\tau_1 + d)F - c\tau_2\tilde{F}$$

- ▶ **Dyonic black branes** have charges

$$Q'_e = aQ_e, \quad Q'_m = cQ_e$$

Any rational ($SL(2, Z)$) or real ($SL(2, R)$) filling fraction generated in this way.

$SL(2, Z)/SL(2, R)$ and Black Hole Charges



$SL(2, Z)/SL(2, R)$ and Black Hole Charges

- ▶ Dyonic domain wall solutions flow to

$$\tau'_{1*} = \frac{a}{c} \text{ and } \tau'_{2*} = \tau_{2*}^{-1} = 0.$$

The **filling fraction** is hence **equal to the value of the transformed axion**

$$\nu = \frac{Q'_e}{Q'_m} = \frac{a}{c} = \tau'_{1*},$$

which can be roughly thought of setting the Chern-Simons level in the dual field theory.

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- ▶ [1007.2490] used a $SL(2, R)$ invariant model without scalar potential ($V(\tau, \bar{\tau}) = 2\Lambda$) and with the special value $\gamma = -1$.

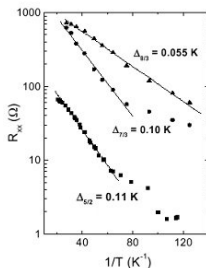
Their QH states are unique due to the **attractor mechanism** , with **Hall conductivity** given again by a/c .

- ▶ [1008.1917] use a $SL(2, R)$ invariant DBI action on top of a $z = 5$ Lifshitz background. Besides the Hall conductivity, they reproduce the **superuniversality exponents** .

SL(2, R) and Black Hole Charges

► Two Problems:

1. No hard gap in the charged excitations, σ_{DC} vanishes as a power law.
2. In SL(2,R) transformations between QH states $\sigma_{DC}|_{T=0} = 0$.
This is not experimentally observed.

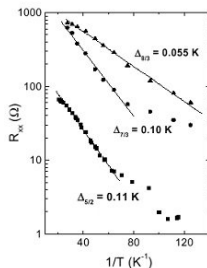


[Pan et al PRL 83 1999]

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[Pan et al PRL 83 1999]

- Our model improves on the first point by **using dyonic black branes in a confined phase** (i.e. with a discrete and gapped spectrum), and on the second point by **using $SL(2, Z)$ instead of $SL(2, R)$** . In particular the latter point is expected to allow for real dynamical transitions between QH states. We also impose several well-motivated physical as well as consistency constraints.

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A Gapped Holographic FQH State

- ▶ In string theory, $SL(2, \mathbb{R})$ is usually broken to $SL(2, \mathbb{Z})$ by nonperturbative effects. This typically will generate a $SL(2, \mathbb{Z})$ invariant potential for the axio-dilaton .
- ▶ A simple choice is the real-analytic Eisenstein series

$$V(\tau, \bar{\tau}) = E_s(\tau, \bar{\tau}) = \sum_{m, n \in \mathbb{Z}^2 / 0, 0} \left(\frac{|m + n\tau|}{\tau_2} \right)^{-s}$$

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- ▶ For large τ_2 the instanton expansion is dominated by a single exponential ($\tau_2 = e^{\gamma\phi}$),

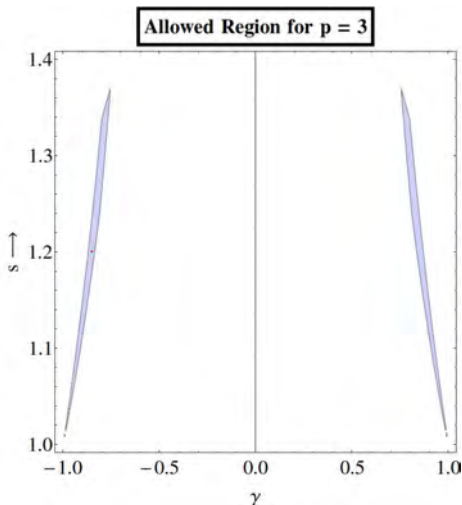
$$E_s = 2\zeta(2s)\tau_2^s + 2\sqrt{\pi} \frac{\Gamma(s-1/2)}{\Gamma(s)} \zeta(2s-1)\tau_2^{1-s} + \text{instanton contributions}$$

→ [\[Charmousis+Goutraux+Kim+Kiritsis+R.M. 1005.4690\]](#)

- ▶ We tune the two parameters (γ, s) such that the ground states are consistent and in particular confined. We then analyse the $SL(2, \mathbb{Z})$ image of the electric state and confirm that these Quantum Hall states have a gapped and discrete spectrum.

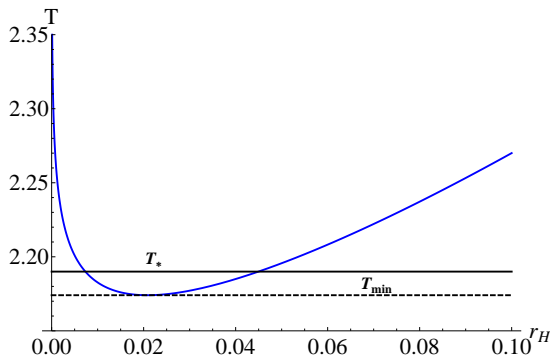
A Gapped Holographic FQH State

- ▶ Gubser's constraint, existence of a (de)confinement transition, existence of a discrete and gapped spectrum, relevancy of UV fixed points and correct flow pattern restrict (γ, s) :



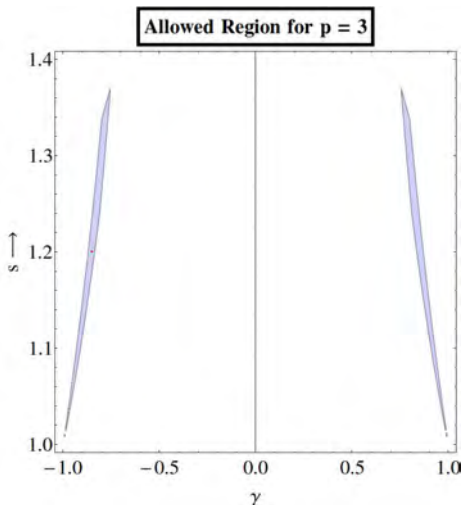
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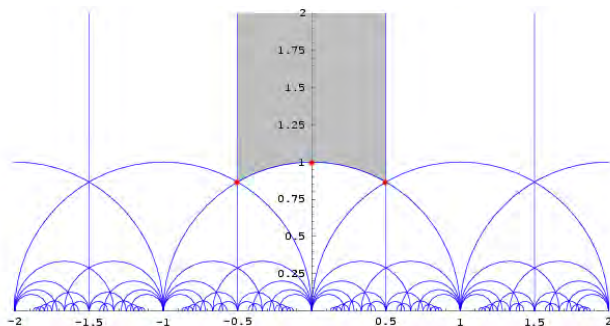
A Gapped Holographic FQH State

- ▶ **QH Plateaux?** Runaway minima at $\tau_1 = \frac{p}{q}$, $\tau_2 = 0$ are the images of the CDBH at $\tau_2 = \infty$, with charges

$$\frac{Q_e}{Q_m} = \frac{p}{q} = \tau_{1*}.$$

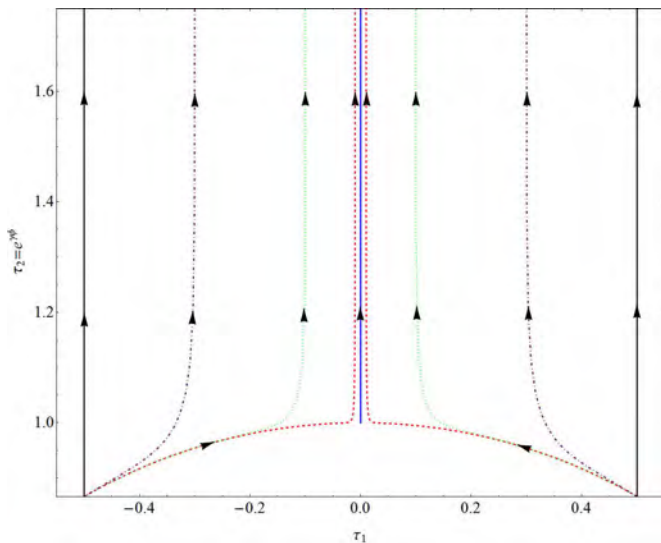
IR Geometry: Magnetically charged DBH w. $\tau_2 = e^{-\gamma\phi}$

- ▶ **RG Flows:** E_s is stationary in the fundamental domain at the $SL(2, Z)$ fixed points:



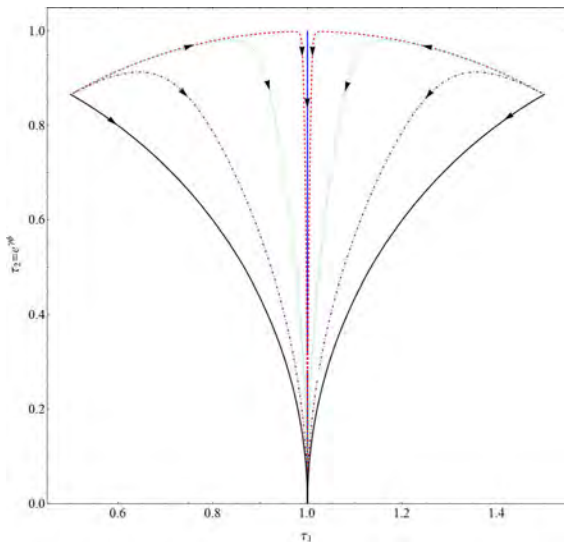
A Gapped Holographic FQH State

- ▶ Since $SL(2, Z)$ commutes with the RG flow it suffices to construct the **RG flows inside the fundamental domain**:



A Gapped Holographic FQH State

- ▶ By $SL(2, \mathbb{Z})$ we can generate flows to any QH plateau $\tau_1 = p/q$.
E.g. $\nu = 1$:



A Gapped Holographic FQH State

- ▶ **Conductivity in el. Frame:** At low enough temperatures the electric state is in a confined phase. The conductivity at small ω is dominated by the **contribution from translation invariance:**

$$\sigma_{xx}(\omega) \simeq \frac{iC''\mu}{\omega} + C''\delta(\omega) + \dots$$

We found by numerically solving the fluctuation equations

$$C'' = O(1)$$

- ▶ Using $SL(2, Z)$ we find the **correct Hall conductivity**

$$\sigma_{xy}^{dyon} = \text{Re} \left(\frac{a\sigma + b}{c\sigma + d} \right) = \frac{(a\sigma_{xy} + b)(c\sigma_{xy} + d) + ac\sigma_{xx}^2}{(c\sigma_{xy} + d)^2 + c^2\sigma_{xx}^2} = \frac{a}{c} + O(\omega^2)$$

- ▶ Consistent with direct small ω calculation of σ_{xy} in dyonic frame.
- ▶ But are the dyonic domain walls really gapped?

A Gapped Holographic FQH State

- ▶ In **general dyonic solutions** with running scalars the vector fluctuation equations can be decoupled into a single second order equation by

$$E_z = \omega(\delta A_x + i\delta A_y) + hg_{rr}(\delta g^x_t - i\delta g^y_t). \quad [0910.0645]$$

$$E_z'' + F(r, \omega)E_z' + G(r\omega)E_z = 0$$

With $\Psi(r) = E_z(r)e^{\frac{1}{2} \int dr F(z)}$ this is equivalent to

$$-\Psi'' + V(r, \omega)\Psi = 0 \quad V(r, \omega) = \frac{1}{4} (F^2 - 4G + 2\partial_r F)$$

- ▶ For our choice of γ, s and at low frequencies:

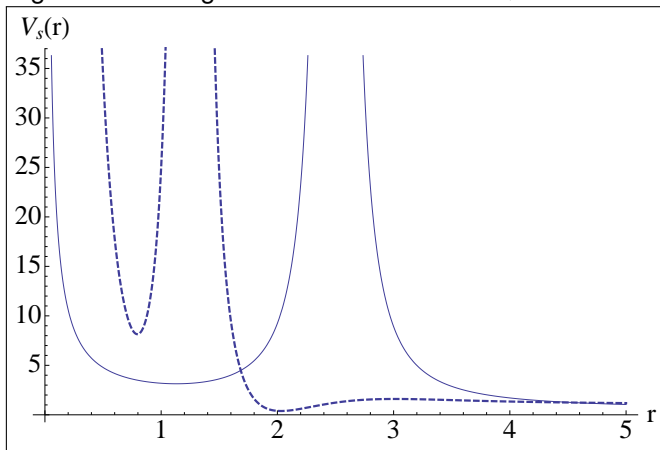
$$V \sim r^{-|\alpha|} \rightarrow +\infty \quad \text{in the IR}$$

$$V \sim \frac{1}{4L^2} \quad \text{in the UV.}$$

The spectrum is hence gapped in EVERY QH state!

A Gapped Holographic FQH State

- ▶ E.g. Flow to filling fraction one from $\tau = 1 + i$:



(solid: smaller w , dashed: bigger w)

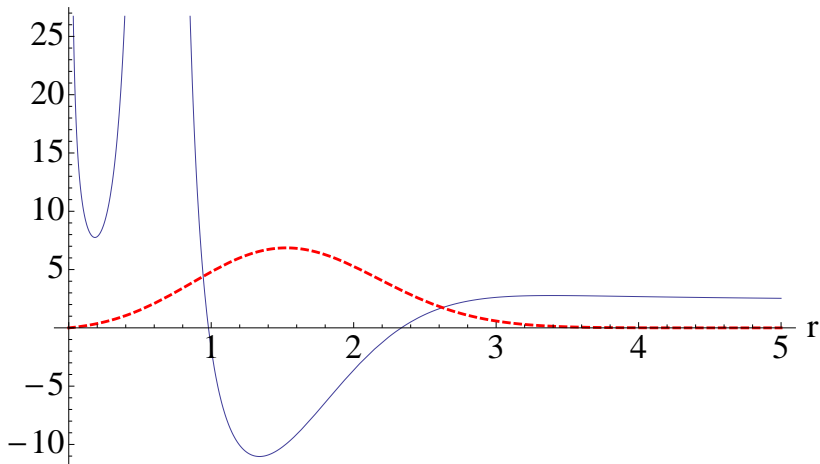
- ▶ N.B.: The **singularity** in the potential is an accessory singularity, i.e. there is no monodromy, and the singularity is traversable by the wavefunctions. This was not appreciated in e.g. [0910.0645]

A Gapped Holographic FQH State

- For larger frequencies bound states appear

E.g. Ground state:

$V_s(r)$, $\mathcal{E}(r)$

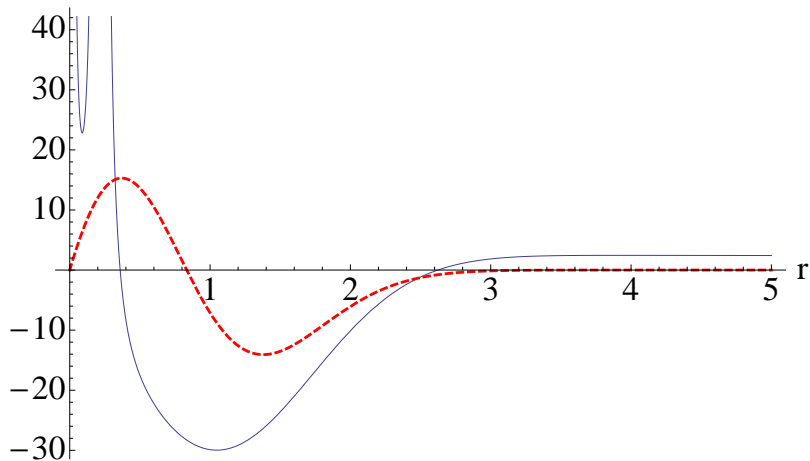


A Gapped Holographic FQH State

- ▶ For larger frequencies bound states appear

E.g. First excited state:

$V_s(r)$, $\mathcal{E}(r)$



A Gapped Holographic FQH State

- ▶ The first bound state defines the charge gap.
We find numerically that the gap and spectrum are approximately independent of the $SL(2,Z)$ frame:

$$\frac{n}{B} = \frac{a}{c}, \quad B = cQ_{el}.$$

We scanned $(a, c) \in [-20, \dots, 20]$, i.e. filling fractions of $|\frac{n}{B}| \in [1/20, 20]$, with ω_0 varying less than 1%.

- ▶ A better analytic understanding is clearly needed.

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- ▶ A better analytic understanding is clearly needed.
- ▶ N.B.: Excitations are not Landau levels, but rather "charged mesons" (as in holographic QCD).
- ▶ Our flows also show an **anomalous Hall effect**:

$$\sigma_{xy}^{AHE} = \tau_1^{UV}$$

At least two UV completions for each QH state.

IR physics universal.

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- ▶ We improved on [1007.2490,(1008.1917)] by constructing **holographic fractional Quantum Hall states with a gapped and discrete charge spectrum**. We use a **$SL(2,Z)$ invariant Eisenstein potential**, and showed that the QH states have the **correct Hall conductivity**, and a real gap (no $\delta(\omega)$ pole). **The charged quasiparticle spectrum is independent of filling fraction and applied magnetic field.**
- ▶ **Future Directions/Open Questions:**
 1. Interpretation of the two UV fixed points?
 2. Role of other IR fixed points (AdS_2 and running τ_1)? Transitions between QH Plateaux as a QPT? Universal Phenomenology?
 3. Why gap nearly $SL(2, Z)$ invariant?
 4. How to break $SL(2,Z)$? B and n/B dependent gap? How to include impurities? How to get plateaux?
 5. Subgroups – $\Gamma_0(2)/\Gamma_\theta(2)$?
 6. Top-down constructions?

[G. Semenoff et.al.]

▶ **STAY TUNED!**

Backup Slides

QH Plateaux Transition

Transition is a **2nd order QPT** :

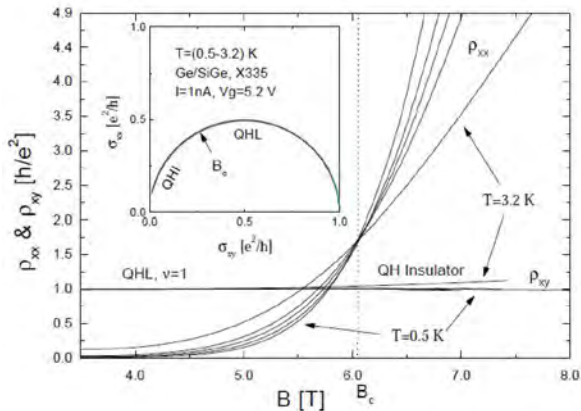
[Fisher '90]

- ▶ Simple scaling $\Rightarrow \sigma(T, \Delta B, n, \dots) = \sigma(\Delta B/T^\kappa, n/T^{\kappa'}, \dots)$
- ▶ **Superuniversality**: κ and κ' are same for all transitions
- ▶ Experimentally: $\kappa = \kappa' = 0.42 \pm 0.01$

[Wanli et al 2009]

The Fractional Quantum Hall Effect

- ▶ Semicircle law: Conductivity sweeps out a semicircle in σ plane during QH transitions
[e.g. Burgess etal 1008.1917]



SL(2,R) invariant probe branes [1008.1917]

- ▶ [Burgess et al 1008.1917] realized that the DC conductivity in the QH state of [1007.2490] vanishes due to the momentum-conservation pole in $\Im\sigma_{xx}$ of the purely electric solution.

SL(2,R) invariant probe branes [1008.1917]

- ▶ [Burgess et al 1008.1917] realized that the DC conductivity in the QH state of [1007.2490] vanishes due to the momentum-conservation pole in $\Im\sigma_{xx}$ of the purely electric solution.
- ▶ They **introduce dissipation** by separating the sector that generates the gravity background of [1007.2490] from the sector of charge carriers, which they model using a **SL(2,R) invariant probe brane**

$$S = M_{Pl}^2 \int d^4x \sqrt{-g} \left[R - 2\Lambda - \frac{1}{2} ((\partial\phi)^2 + e^{2\phi}(\partial a)^2) \right] + M_{Pl}^2 S_{Lifshitz} + S_{gauge}$$

The first two terms are assumed to be separately SL(2,R) invariant, and $S_{Lifshitz}$ to be chosen such as to generate the metric of the $z = 5$ Lifshitz black hole of [1007.2490], together with an appropriate axio-dilaton profile.

SL(2,R) invariant probe branes [1008.1917]

- ▶ S_{gauge} is taken to be a SL(2,R) invariant version of the DBI action, treated in the probe limit:

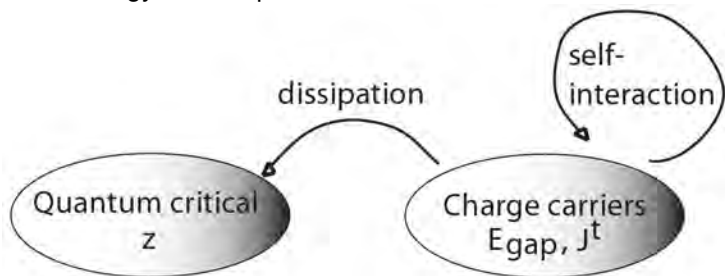
$$S_{gauge} = -T \int d^4x \left[\sqrt{-\det(g_{\mu\nu} + \ell^2 e^{-\phi/2} F_{\mu\nu})} - \sqrt{-g} \right] - \frac{1}{4} \int d^4x \sqrt{-g} a F_{\mu\nu} \tilde{F}^{\mu\nu}$$

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- ▶ This describes self-interacting charge carriers coupled to a large reservoir of quantum critical excitations into which they can lose energy via dissipation:



SL(2,R) invariant probe branes [1008.1917]

- ▶ The method of [Karch, O'Bannon '07] is used to calculate the (nonlinear) DC conductivity in the purely electric background solution

SL(2,R) invariant probe branes [1008.1917]

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- ▶ The QH state conductivity is then inferred by a SL(2,R) (or a subgroup such as $\Gamma_0(2)$) transformation

$$\sigma_{xx} = \frac{\sigma_0}{d^2 + c^2\sigma_0^2}, \quad \sigma_{xy} = \frac{ac\sigma_0^2 + bd}{d^2 + c^2\sigma_0^2},$$

with $\sigma_0(T/\mu)$ the DC conductivity of the probe brane in the purely electric state (with $\sigma_{yx} = 0$). For probe branes in Lifshitz backgrounds like

$$ds_z^2 = L^2 \left[-h(r) \frac{dt^2}{r^{2z}} + \frac{dr^2}{r^2 h(r)} + \frac{dx^2 + dy^2}{r^2} \right]$$

σ_0 grows monotonically with falling temperature $\propto T^{-2/z}$, and parametrizes the RG flow of the conductivity in the QH state.

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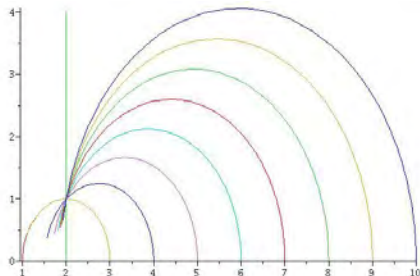
σ_0 grows monotonically with falling temperature $\propto T^{-2/z}$, and parametrizes the RG flow of the conductivity in the QH state.

- ▶ This temperature flow commutes with SL(2,R) or any subgroup.

SL(2,R) invariant probe branes [1008.1917]

- ▶ The four parameters of the necessary SL(2,R) transformation are fixed by the data of the endpoint $(Q'_e, Q'_m, a, e^{-\phi})$. The temperature flow of the conductivities then trace out semi-circles in the σ plane, and for small T asymptote to (in linear response)

$$\begin{aligned}\sigma^{xx} &\sim \frac{\rho T^{2/z}}{B^2} \rightarrow 0 \\ \sigma^{xy} &= \nu = \frac{a}{c}\end{aligned}$$



This also predicts the superuniversality exponents $\kappa \approx \frac{2}{z} = \kappa'$ close to the measured value if $z = 5$ as in [1007.2490].

However there is still no hard gap. .