A Holographic Model of the Kondo Effect (Part I)

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### Based on 1310.3271

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# Outline:

- The Kondo Effect
- The CFT Approach
- Top-Down Holographic Model
- Bottom-Up Holographic Model
- Summary and Outlook

# The Kondo Effect

The screening of a magnetic moment by conduction electrons at low temperatures



### The Kondo Hamiltonian

$$H_{K} = \sum_{k,\sigma} \varepsilon(k) c_{k\sigma}^{\dagger} c_{k\sigma} + g_{K} \vec{S} \cdot \sum_{k\sigma k'\sigma'} c_{k\sigma}^{\dagger} \frac{1}{2} \vec{\tau}_{\sigma\sigma'} c_{k'\sigma'}$$

$$c_{k\sigma}^{\dagger}$$
 ,  $c_{k\sigma}$ 

$$\sigma=\uparrow,\downarrow$$

Spin 
$$SU(2)$$

$$c_{k\sigma} \to e^{i\alpha} c_{k\sigma}$$

$$\varepsilon(k) = \frac{k^2}{2m} - \varepsilon_F$$

Charge 
$$U(1)$$

**Dispersion** relation

### The Kondo Hamiltonian

$$H_{K} = \sum_{k,\sigma} \varepsilon(k) c_{k\sigma}^{\dagger} c_{k\sigma} + g_{K} \vec{S} \cdot \sum_{k\sigma k'\sigma'} c_{k\sigma}^{\dagger} \frac{1}{2} \vec{\tau}_{\sigma\sigma'} c_{k'\sigma'}$$



Running of the Coupling

$$\beta_{g_K} \propto -g_{_K}^2 + \mathcal{O}(g_{_K}^3)$$

### Asymptotic freedom!



The Kondo Temperature



Running of the Coupling

$$\beta_{g_K} \propto -g_{_K}^2 + \mathcal{O}(g_{_K}^3)$$

### At low energy, the coupling diverges!



#### The Kondo Problem

### What is the ground state?

Solutions of the Kondo Problem

Numerical RG (Wilson 1975)

Fermi liquid description (Nozières 1975)

Bethe Ansatz/Integrability (Andrei, Wiegmann, Tsvelick, Destri, ... 1980s)

Large-N expansion (Anderson, Read, Newns, Doniach, Coleman, ... 1970-80s)

Quantum Monte Carlo (Hirsch, Fye, Gubernatis, Scalapino,... 1980s)

> Conformal Field Theory (CFT) (Affleck and Ludwig 1990s)









### Kondo Effect in Many Systems

# Alloys

#### Cu, Ag, Au, Mg, Zn, ... doped with Cr, Fe, Mo, Mn, Re, Os, ...

### Quantum dots





Goldhaber-Gordon, et al., **Nature** 391 (1998), 156-159. Cronenwett, et al., **Science** 281 (1998), no. 5376, 540-544. Generalizations

Enhance the spin group  $SU(2) \to SU(N)$ 

Representation of impurity spin  $s_{\rm imp} = 1/2 \longrightarrow R_{\rm imp}$ 

Multiple "channels" or "flavors"  $c \rightarrow c^{\alpha} \quad \alpha = 1, \dots, k$  $U(1) \times SU(k)$  Generalizations

Kondo model specified by  $N, \, k, \, R_{
m imp}$ 

Apply the techniques mentioned above...

IR fixed point: NOT always a fermi liquid

"Non-Fermi liquids"

**Open Problems** 

Entanglement Entropy

Affleck, Laflorencie, Sørensen 0906. 1809

Quantum Quenches

Latta et al. 1102.3982

**Multiple Impurities** 

Kondo: Form singlets with electrons

 $\vec{S}_i \cdot \vec{S}_j$  Form singlets with each other

Competition between these can produce a

#### **QUANTUM PHASE TRANSITION**

**Open Problems** 

Entanglement Entropy

Affleck, Laflorencie, Sørensen 0906.1809

Quantum Quenches

Latta et al. 1102.3982

**Multiple Impurities** 







### Find a holographic description of the Kondo Effect



# Eind a bolographic description Single Impurity ONLY KONGO ETTECT

Solutions of the Kondo Problem

Numerical RG (Wilson 1975)

Fermi liquid description (Nozières 1975)

Bethe Ansatz/Integrability (Andrei, Wiegmann, Tsvelick, Destri, ... 1980s)

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### CFT Approach to the Kondo Effect

Affleck and Ludwig 1990s

Reduction to one spatial dimension

Kondo interaction preserves spherical symmetry

$$g_{\kappa}\delta^{3}(\vec{x})\vec{S}\cdot c^{\dagger}(\vec{x})\frac{\vec{\tau}}{2}c(\vec{x})$$

restrict to s-wave

restrict to momenta near  $k_F$ 

$$c(\vec{x}) \approx \frac{1}{r} \left[ e^{-ik_F r} \psi_L(r) - e^{+ik_F r} \psi_R(r) \right]$$



### CFT Approach to the Kondo Effect

$$H_K = \frac{v_F}{2\pi} \int_{-\infty}^{+\infty} dr \left[ \psi_L^{\dagger} i \partial_r \psi_L + \delta(r) \, \tilde{g}_K \, \vec{S} \cdot \psi_L^{\dagger} \vec{\tau} \, \psi_L \right]$$

$$\tilde{g}_{\scriptscriptstyle K} \equiv \frac{k_F^2}{2\pi^2 v_F} \times g_{\scriptscriptstyle K}$$

#### **RELATIVISTIC** chiral fermions

$$v_F =$$
 "speed of light"







 $J = \psi_L^{\dagger} \psi_L$ 

U(1)

$$\vec{J} = \psi_L^\dagger \, \vec{\tau} \, \psi_L$$

SU(N)

 $J^A = \psi_L^\dagger t^A \psi_L$ 

SU(k)

 $z \equiv \tau + ir$ 

$$J^A(z) = \sum_{n \in \mathbb{Z}} z^{-n-1} J_n^A$$

$$[J_n^A, J_m^B] = if^{ABC}J_{n+m}^C + N\frac{n}{2}\delta^{AB}\delta_{n,-m}$$

### $SU(k)_N$ Kac-Moody Algebra

#### N counts net number of chiral fermions

### CFT Approach to the Kondo Effect

$$H_K = \frac{v_F}{2\pi} \int_{-\infty}^{+\infty} dr \left[ \psi_L^{\dagger} i \partial_r \psi_L + \delta(r) \, \tilde{g}_K \, \vec{S} \cdot \psi_L^{\dagger} \vec{\tau} \, \psi_L \right]$$

### Full symmetry:

# (1+1)d chiral conformal symmetry $SU(N)_k \times SU(k)_N \times U(1)_{kN}$

### CFT Approach to the Kondo Effect

$$H_{K} = \frac{v_{F}}{2\pi} \int_{-\infty}^{+\infty} dr \begin{bmatrix} \psi_{L}^{\dagger} i \partial_{r} \psi_{L} + \delta(r) \, \tilde{g}_{K} \, \vec{S} \cdot \psi_{L}^{\dagger} \vec{\tau} \, \psi_{L} \end{bmatrix}$$
$$J = \psi_{L}^{\dagger} \psi_{L} \qquad U(1)$$
$$\vec{J} = \psi_{L}^{\dagger} \vec{\tau} \, \psi_{L} \qquad SU(N)$$
$$J^{A} = \psi_{L}^{\dagger} t^{A} \psi_{L} \qquad SU(k)$$
$$\text{Kondo coupling: } \vec{S} \cdot \vec{J}$$
$$\text{marginal}$$



IR

 $SU(N)_k \times SU(k)_N \times U(1)_{Nk}$ 

### CFT Approach to the Kondo Effect

# Central role of the Kac-Moody Algebra

# Kondo coupling: $\vec{S} \cdot \vec{J}$

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### Find a holographic description of the Kondo Effect



# Follow the CFT approach

# Reproduce the Symmetries

# Reproduce the Kondo coupling $\vec{S} \cdot \vec{J}$
What classical action do we write on the gravity side of the correspondence?

## How do we describe holographically...



The impurity?

2

3

The Kondo coupling?





$$3-3$$
 and  $5-5$  and  $7-7$   
 $3-7$  and  $7-3$   
 $3-5$  and  $5-3$   
 $7-5$  and  $5-7$ 











	0	1	2	3	4	5	6	7	8	9
$N_c \text{ D3}$	X	X	X	X						
$N_7 \text{ D7}$	X	X			X	X	X	X	X	X
$N_5 \text{ D5}$	X				X	X	X	X	X	

$$3-3$$
 and  $5-5$  and  $7-7$   
 $3-7$  and  $7-3$   
 $3-5$  and  $5-3$   
 $7-5$  and  $5-7$   
Decouple





















3-3 strings



 $\int_{S^5} F_5 \propto N_c \qquad F_5 = dC_4$ 



3-3 strings



't Hooft and Kondo

Anti-de Sitter Space





	0	1	2	3	4	5	6	7	8	9
$N_c \text{ D3}$	X	X	X	X						
$N_7 \text{ D7}$	X	X			X	X	X	X	X	X
$N_5 \text{ D5}$	X				X	X	X	X	X	

$$3-3$$
 and  $5-5$  and  $7-7$   
 $3-7$  and  $7-3$   
 $3-5$  and  $5-3$   
 $7-5$  and  $5-7$   
Decouple

Probe Limit

$$N_7/N_c \rightarrow 0$$
 and  $N_5/N_c \rightarrow 0$ 

 $U(N_7) imes U(N_5)$  becomes a global symmetry

#### Total symmetry:



(plus R-symmetry)







	0	1	2	3	4	5	6	7	8	9
$N_c \text{ D3}$	X	X	X	X						
$N_7 \text{ D7}$	X	X			X	X	X	X	X	X

Skenderis, Taylor hep-th/0204054 Harvey and Royston 0709.1482, 0804.2854 Buchbinder, Gomis, Passerini 0710.5170

(1+1)-dimensional chiral fermions  $\psi_L$ 

$$SU(N_c) \times U(N_7) \times U(N_5)$$
  
 $N_c \quad \overline{N_7} \quad \text{singlet}$ 

	0	1	2	3	4	5	6	7	8	9
$N_c \text{ D3}$	X	X	X	X						
$N_7 \text{ D7}$	X	X			X	X	X	X	X	X

Skenderis, Taylor hep-th/0204054

Harvey and Royston 0709.1482, 0804.2854 Buchbinder, Gomis, Passerini 0710.5170

(1+1)-dimensional chiral fermions  $\psi_L$ 

Kac-Moody algebra  $SU(N_c)_{N_7} \times SU(N_7)_{N_c} \times U(1)_{N_cN_7}$ 



**Differences from Kondo** 



#### Do not come from reduction from (3+1) dimensions

Genuinely relativistic

	0	1	2	3	4	5	6	7	8	9
$N_c \text{ D3}$	X	X	X	X						
$N_7 \text{ D7}$	X	X			X	X	X	X	Х	X

(1+1)-dimensional chiral fermions  $\psi_L$ 

### Differences from Kondo

$$SU(N_c)$$
 is gauged!

$$\vec{J} = \psi_L^\dagger \vec{\tau} \, \psi_L$$

	0	1	2	3	4	5	6	7	8	9
$N_c \text{ D3}$	X	X	X	X						
$N_7 \text{ D7}$	X	X			X	Х	X	X	Х	Х

 $SU(N_c)$  is gauged!



#### Harvey and Royston 0709.1482, 0804.2854 Buchbinder, Gomis, Passerini 0710.5170

Probe Limit

$$N_7/N_c \to 0$$

In the probe limit, the gauge anomaly is suppressed...

$$SU(N_c)_{N_7} \to SU(N_c)$$

... but the global anomalies are not.

 $SU(N_7)_{N_c} \times U(1)_{N_c N_7} \to SU(N_7)_{N_c} \times U(1)_{N_c N_7}$ 

















**Probe D7-branes**  $AdS_3 \times S^5$ 





rank and level of algebra rank and level of gauge field

Gukov, Martinec, Moore, Strominger hep-th/0403225 Kraus and Larsen hep-th/0607138

Probe D7-branes along 
$$\,AdS_3 imes S^5$$

$$S_{D7} = +\frac{1}{2}T_{D7}(2\pi\alpha')^2 \int P[C_4] \wedge \operatorname{tr} F \wedge F + \dots$$

$$= -\frac{1}{2}T_{D7}(2\pi\alpha')^2 \int P[F_5] \wedge \operatorname{tr}\left(A \wedge dA + \frac{2}{3}A \wedge A \wedge A\right) + \dots$$

$$= -\frac{N_c}{4\pi} \int_{AdS_3} \operatorname{tr} \left( A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right) + \dots$$

 $U(N_7)_{N_c}$  Chern-Simons gauge field

Answer #1

## The chiral fermions:

## Chern-Simons Gauge Field in $AdS_3$







	0	1	2	3	4	5	6	7	8	9
$N_c \text{ D3}$	X	X	X	X						
$N_5 \text{ D5}$	X				X	X	X	X	X	

Skenderis, Taylor hep-th/0204054 Camino, Paredes, Ramallo hep-th/0104082 Gomis and Passerini hep-th/0604007

(0+1)-dimensional fermions  $\chi$ 

$$SU(N_c) \times U(N_7) \times U(N_5)$$
  
 $N_c \quad \text{singlet} \quad \overline{N}_5$ 

	0	1	2	3	4	5	6	7	8	9
$N_c \text{ D3}$	X	X	X	X						
$N_5 \text{ D5}$	X				X	X	X	X	X	

 $SU(N_c)$  is "spin"

$$\vec{S} = \chi^{\dagger} \vec{\tau} \chi$$

"slave fermions"

"Abrikosov pseudo-fermions"

Abrikosov, **Physics** 2, p.5 (1965)









# Probe D5-branes $AdS_2 \times S^4$





Probe D5-brane along  $AdS_2 \times S^4$ 

#### Camino, Paredes, Ramallo hep-th/0104082

## Dissolve $\boldsymbol{Q}$ strings into the D5-brane



$$\sqrt{-g}f^{tr}\big|_{\partial AdS_2} = Q = \chi^{\dagger}\chi$$

Answer #2

## The impurity:

# Yang-Mills Gauge Field in $AdS_2$






#### The Kondo Interaction

	0	1	2	3	4	5	6	7	8	9
$N_5$ D5	X				X	X	X	X	X	
$N_7 \text{ D7}$	X	X			X	X	X	X	X	X

Complex scalar!

$$SU(N_c) \times U(N_7) \times U(N_5)$$
  
singlet  $\overline{N}_7$   $N_5$ 

$$\mathcal{O} \equiv \psi_L^{\dagger} \chi$$

#### The Kondo Interaction

$$SU(N_c)$$
 is "spin"

$$\vec{J} = \psi_L^\dagger \vec{\tau} \, \psi_L$$

$$\vec{S} = \chi^{\dagger} \vec{\tau} \, \chi$$

$$\vec{S} \cdot \vec{J} = \chi^{\dagger} \vec{\tau} \, \chi \cdot \psi_L^{\dagger} \vec{\tau} \, \psi_L$$

$$\vec{\tau}_{ij} \cdot \vec{\tau}_{kl} = \delta_{il} \delta_{jk} - \frac{1}{N_c} \delta_{ij} \delta_{kl}$$

$$\vec{S} \cdot \vec{J} = |\psi_L^{\dagger} \chi|^2 + \mathcal{O}(1/N_c)$$

"double trace"

$$\mathcal{N}=4~\mathrm{SYM}$$
  
 $N_c \to \infty$   
 $\lambda \to \infty$ 

Probe 
$$\psi_L$$





Type IIB Supergravity  $AdS_5 \times S^5$ 

Probe D7-branes 
$$AdS_3 \times S^5$$

Probe D5-branes  $AdS_2 \times S^4$ 

Bi-fundamental scalar  $AdS_2 \times S^4$ 

Answer #3

#### The Kondo interaction:

### Bi-fundamental scalar in $AdS_2$









Switch to bottom-up model!

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Bottom-Up Model



Bottom-Up Model



Bottom-Up Model



#### Boundary Conditions

We choose  $m^2 = \operatorname{Breitenlohner-Freedman}$  bound

$$\Phi(r) = \tilde{c} r^{-1/2} + c r^{-1/2} \log r + \dots$$

Our double-trace (Kondo) coupling:

$$c = \tilde{g}_K \, \tilde{c}$$

Witten hep-th/0112258 Berkooz, Sever, Shomer hep-th/0112264

$$\sqrt{-g}f^{rt}\big|_{\partial AdS_2} = Q$$

$$\begin{array}{c|c} T > T_c & \sqrt{-g} f^{tr} \big|_{\partial AdS_2} \neq 0 & \phi \left( r \right) = 0 \\ & & \langle \psi_L^{\dagger} \chi \rangle = 0 \end{array}$$

$$\begin{array}{c|c} T < T_c & \sqrt{-g} f^{tr} |_{\partial AdS_2} \neq 0 & \phi(r) \neq 0 \\ & & \langle \psi_L^{\dagger} \chi \rangle \neq 0 \end{array}$$

A holographic superconductor in  $AdS_2$ 

$$\begin{array}{c|c} T > T_c & \sqrt{-g} f^{tr} \big|_{\partial AdS_2} \neq 0 & \phi\left(r\right) = 0 \\ & & \langle \psi_L^{\dagger} \chi \rangle = 0 \end{array}$$

$$\begin{split} T < T_c \quad \sqrt{-g} f^{tr} |_{\partial AdS_2} \neq 0 \quad \phi(r) \neq 0 \\ \langle \psi_L^{\dagger} \chi \rangle \neq 0 \end{split}$$

Superconductivity???

$$\begin{array}{c|c} T > T_c & \sqrt{-g} f^{tr} \big|_{\partial AdS_2} \neq 0 & \phi\left(r\right) = 0 \\ & & \left\langle \psi_L^{\dagger} \chi \right\rangle = 0 \end{array}$$

$$\begin{split} T < T_c \quad & \sqrt{-g} f^{tr} |_{\partial AdS_2} \neq 0 \quad \phi(r) \neq 0 \\ & \langle \psi_L^{\dagger} \chi \rangle \neq 0 \end{split}$$

#### The large-N Kondo effect!

Solutions of the Kondo Problem Numerical RG (Wilson 1975) Fermi liquid description (Nozières 1975) Bethe Ansatz/Integrability (Andrei, Wiegmann, Tsvelick, Destri, ... 1980s)

Large-N expansion (Anderson, Read, Newns, Doniach, Coleman, ... 1970-80s)

> Quantum Monte Carlo (Hirsch, Fye, Gubernatis, Scalapino,... 1980s)

> > Conformal Field Theory (CFT) (Affleck and Ludwig 1990s)

$$\begin{array}{c|c} T > T_c & \sqrt{-g} f^{tr} \big|_{\partial AdS_2} \neq 0 & \phi \left( r \right) = 0 \\ & & \langle \psi_L^{\dagger} \chi \rangle = 0 \end{array}$$

$$\begin{split} T < T_c \quad \sqrt{-g} f^{tr} |_{\partial AdS_2} \neq 0 \quad \phi(r) \neq 0 \\ & \langle \psi_L^{\dagger} \chi \rangle \neq 0 \\ \hline T_c \propto T_K \end{split}$$

$$\begin{array}{c|c} T > T_c & \sqrt{-g} f^{tr} \big|_{\partial AdS_2} \neq 0 & \phi \left( r \right) = 0 \\ & & \langle \psi_L^{\dagger} \chi \rangle = 0 \end{array}$$

$$\begin{array}{c|c} T < T_c & \sqrt{-g} f^{tr} |_{\partial AdS_2} \neq 0 & \phi(r) \neq 0 \\ & & \langle \psi_L^{\dagger} \chi \rangle \neq 0 \\ & & \\ \end{array}$$
Represents the formation of the Kondo singlet

$$\begin{array}{c|c} T > T_c \\ \hline \sqrt{-g} f^{tr} \Big|_{\partial AdS_2} \neq 0 \\ \hline & \phi \left( r \right) = 0 \\ \hline & \left\langle \psi_L^{\dagger} \chi \right\rangle = 0 \end{array}$$

$$\begin{array}{c|c} T < T_c & \sqrt{-g} f^{tr} |_{\partial AdS_2} \neq 0 & \phi(r) \neq 0 \\ & & \langle \psi_L^{\dagger} \chi \rangle \neq 0 \end{array}$$

The phase transition is an ARTIFACT of the large-N limit! The actual Kondo effect is a crossover

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### Summary

#### What is the holographic dual of the Kondo effect?

Holographic superconductor in  $AdS_2$ coupled as a defect to a Chern-Simons gauge field in  $AdS_3$ 

## Outlook

- Entropy? Heat Capacity? Resistivity?
- Multi-channel?
- Other impurity representations?
- Entanglement entropy?
- Quantum Quenches?
- Multiple Impurities?

