

Holographic Lattices, Metals and Insulators

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Holographic tools provide a powerful framework for investigating strongly coupled systems, in a large N limit, using weakly coupled theories of gravity

Make contact with real systems?

Greatly enriched our understanding of holography and of black holes in AdS spacetime

Examples

- Superconducting phases - with s,p and d-wave order
- Spatially modulated phases - stripes, helices, checkerboards,...
- New ground states - Lifshitz, Schrodinger, hyperscaling violating, ...

Metal - Insulator transition

Dramatic reorganisation of degrees of freedom

Furthermore, seen in strongly coupled context in Nature

How can we realise them holographically?

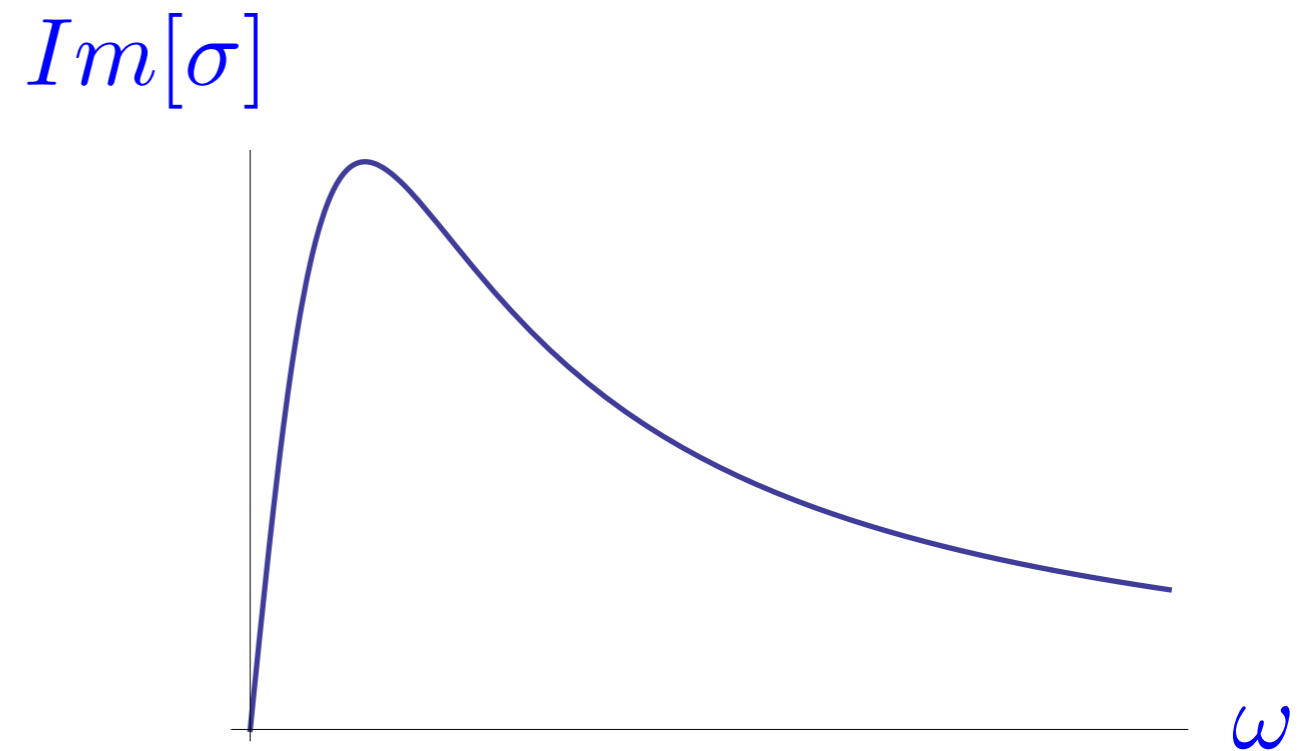
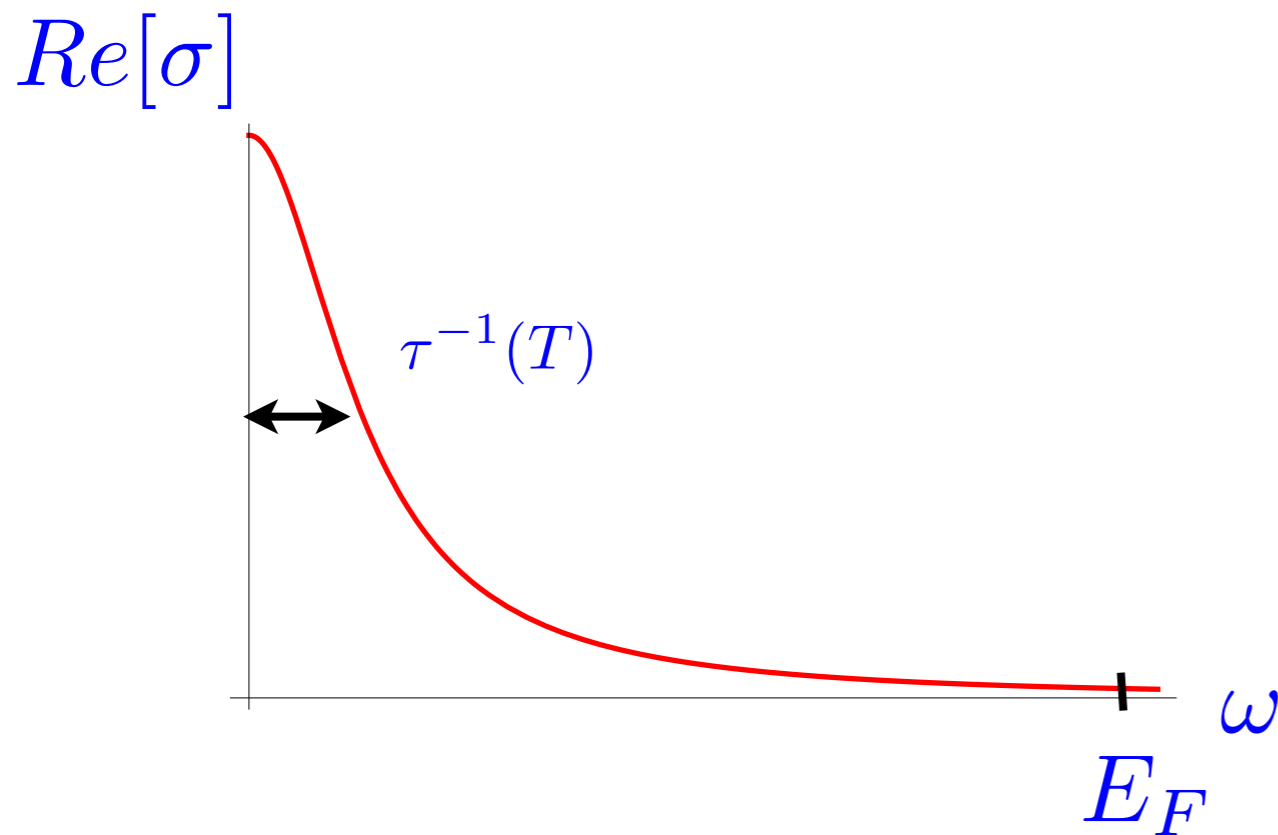
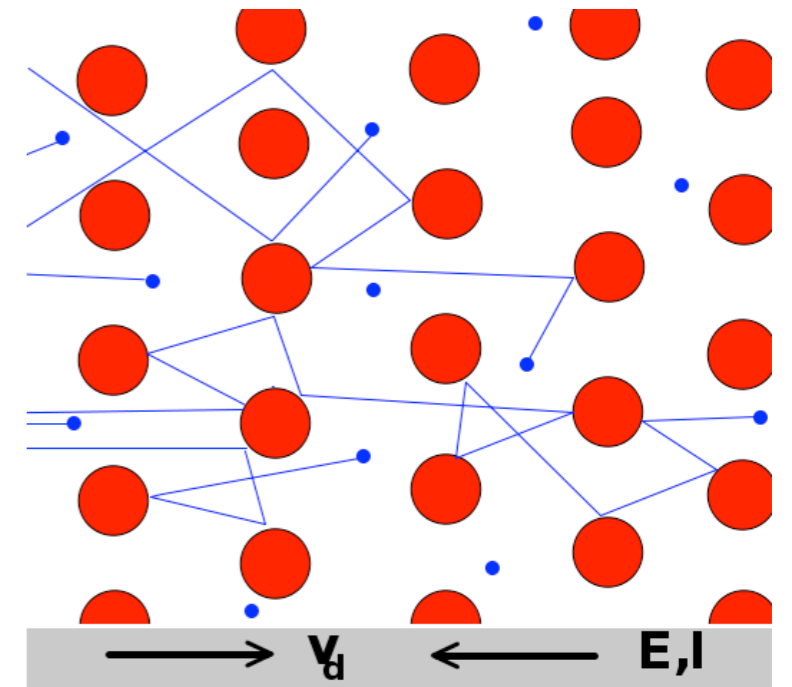
[Hartnoll, Donos]

Drude Model of transport in a metal
 e.g. quasi-particles and no interactions

$$J(\omega) = \sigma(\omega)E(\omega)$$

$$\sigma(\omega) = \frac{\sigma_{DC}}{1 - i\omega\tau}$$

$$\sigma_{DC} = \frac{nq^2\tau}{m}$$



“Coherent” or “good” metal

$$\tau \rightarrow \infty \quad \sigma(\omega) \sim \delta(\omega) + \frac{i}{\omega}$$

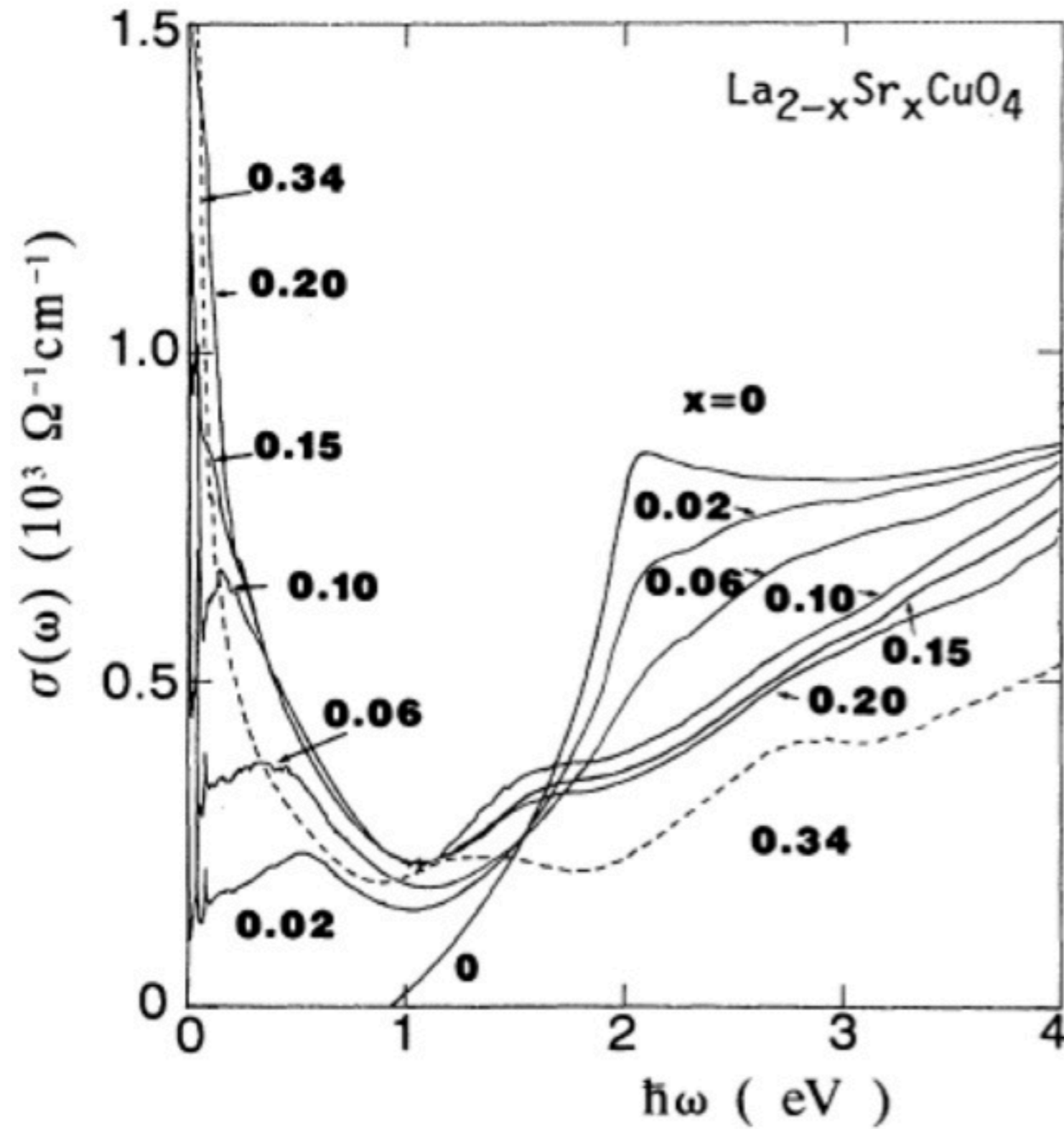
- Drude physics doesn't require quasi-particles

Arises when momentum is nearly conserved

In some situations can be studied perturbatively
using “memory matrix” formalism [Hartnoll, Hofman]

- There are also “incoherent” metals without Drude peaks
- Insulators with $\sigma_{DC} = 0$

Holographically we will realise coherent, incoherent metals, insulators and transitions between them.



Interaction driven and strongly coupled

Holographic CFTs at finite charge density

Focus on $d=3$ CFT and consider $D=4$ Einstein-Maxwell theory:

$$S = \int d^4x \sqrt{-g} \left[R + 6 - \frac{1}{4} F^2 + \dots \right]$$

Admits AdS_4 vacuum solution \leftrightarrow $d=3$ CFT with global $U(1)$

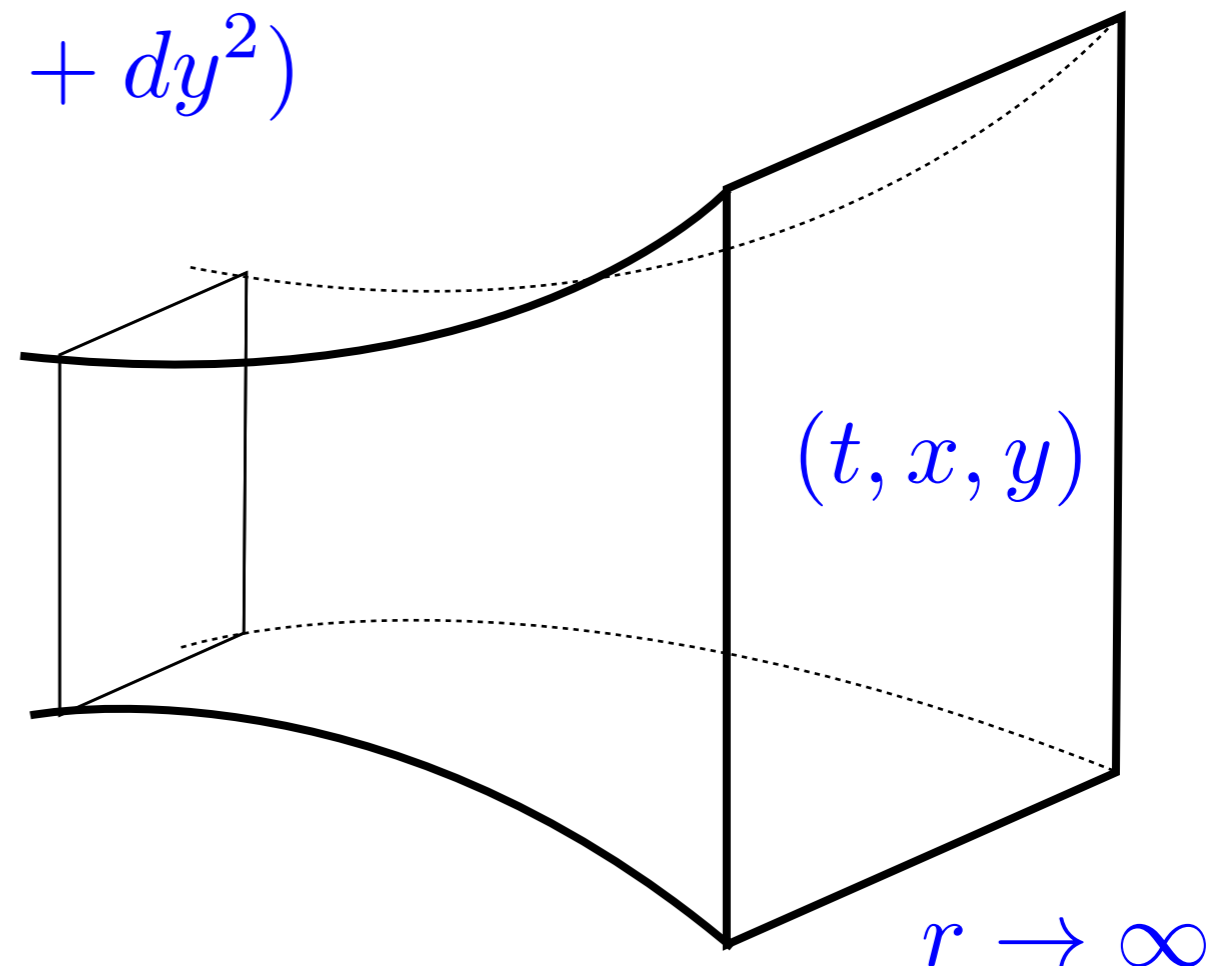
$$ds^2 = -r^2 dt^2 + \frac{dr^2}{r^2} + r^2(dx^2 + dy^2)$$

$$A = 0$$

Holographic dictionary

$$g_{\mu\nu} \leftrightarrow T^{ab}$$

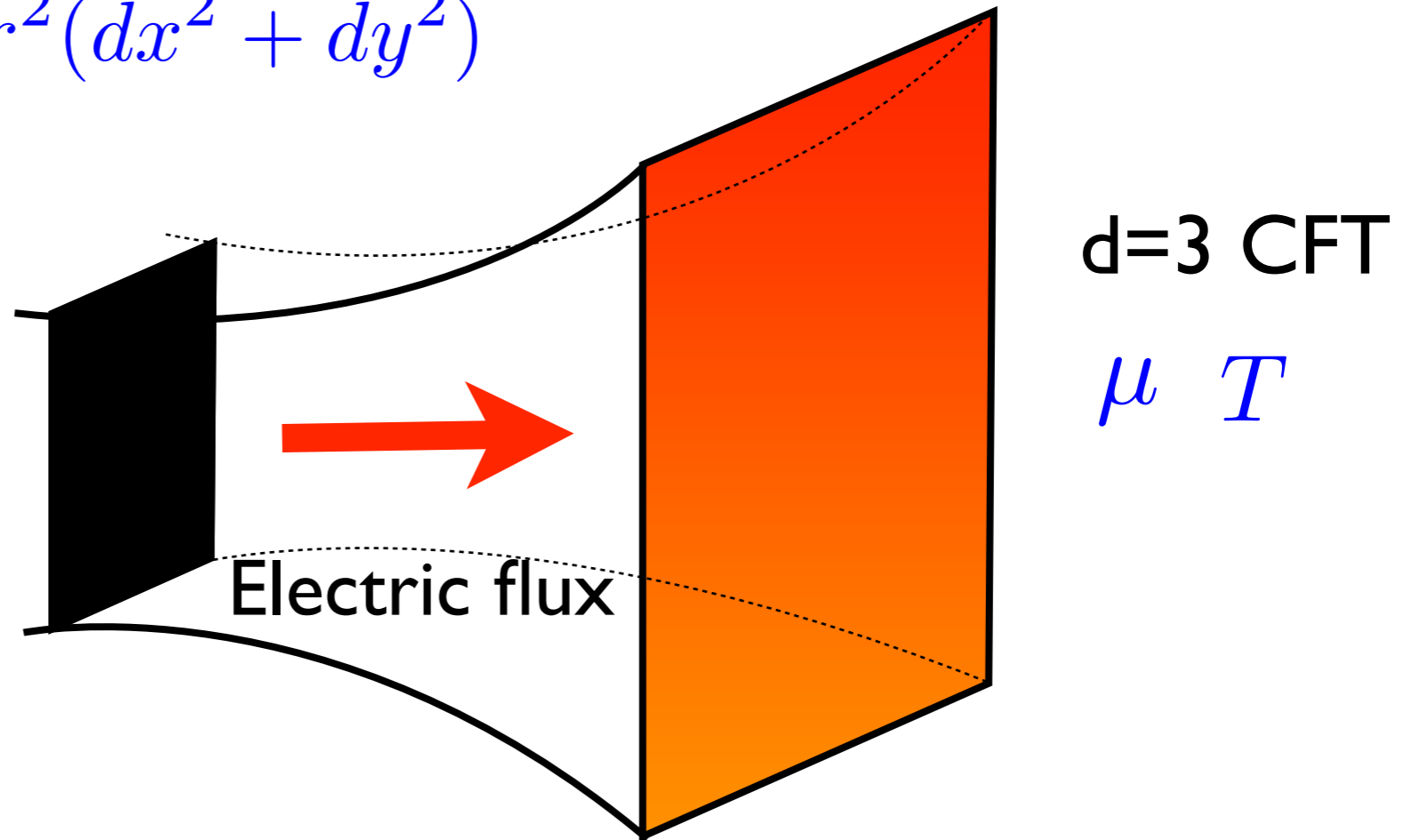
$$A_\mu \leftrightarrow J^a$$



CFT at finite T and chemical potential μ - described by the electrically charged AdS-Reissner-Nordstrom black hole

$$ds^2 = -U dt^2 + \frac{dr^2}{U} + r^2(dx^2 + dy^2)$$

$$A_t = \mu \left(1 - \frac{r_+}{r}\right)$$



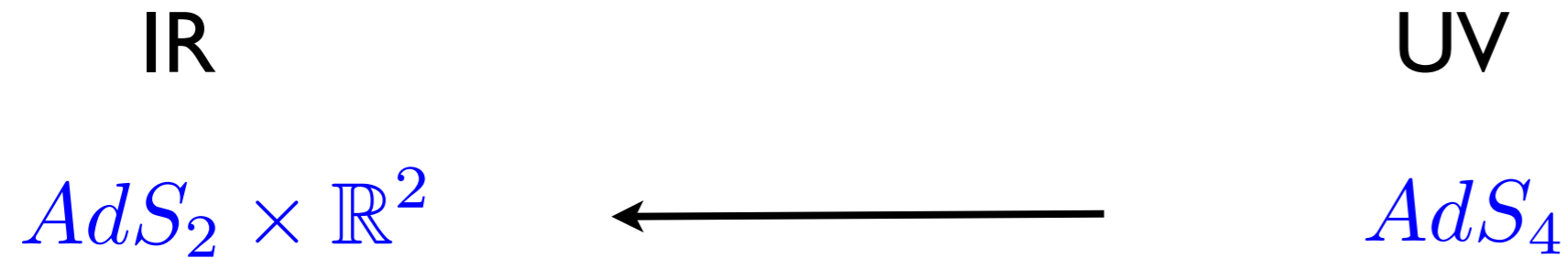
IR: $r \rightarrow r_+$

UV: $r \rightarrow \infty$

Black hole horizon
topology \mathbb{R}^2 and temp T

AdS_4

At $T=0$ AdS-RN black hole interpolates between



Interpretation: at $T=0$ a locally quantum critical ground state appears

The AdS-RN black hole describes holographic matter at finite charge density that is translationally invariant \Rightarrow momentum conserved

Electrical conductivity calculation

$$\delta A_x = e^{-i\omega t} a_x(r)$$

$$\delta g_{tx} = e^{-i\omega t} h_{tx}(r)$$

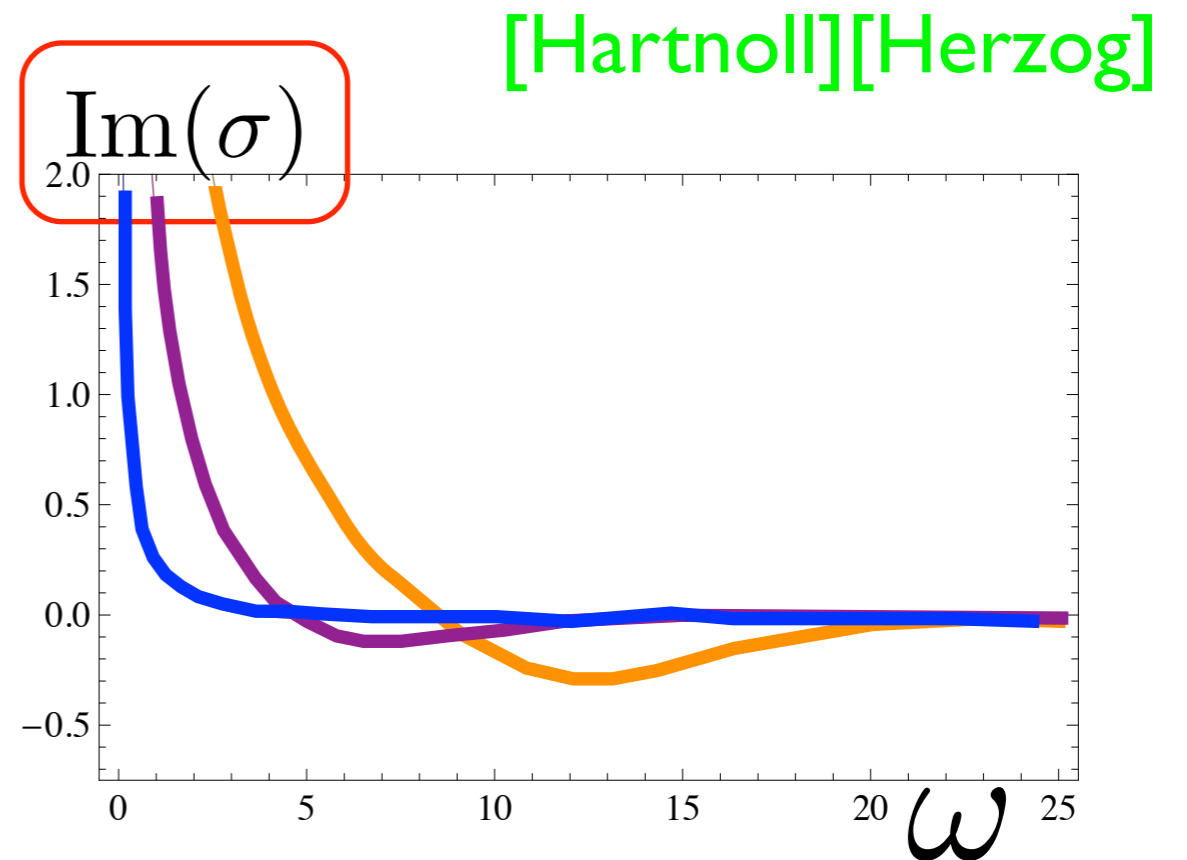
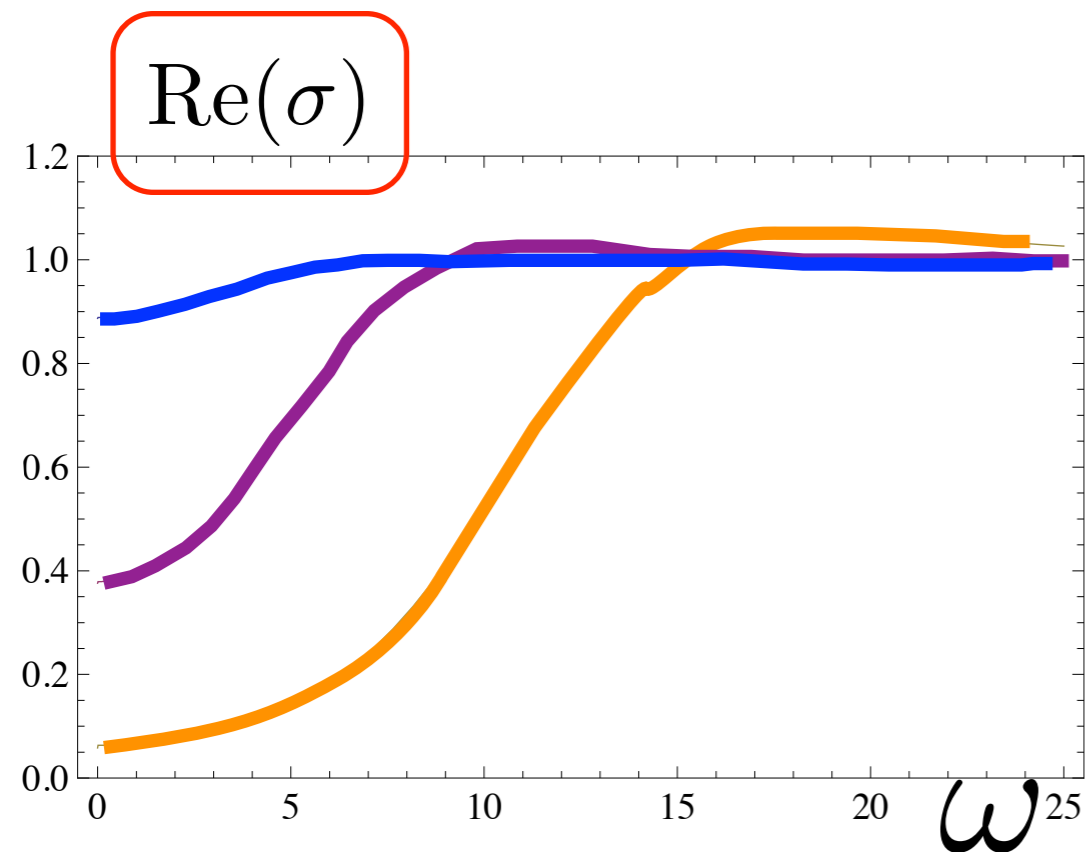
$$\sigma(\omega) = -i \frac{G_{J_x J_x}(\omega)}{\omega}$$

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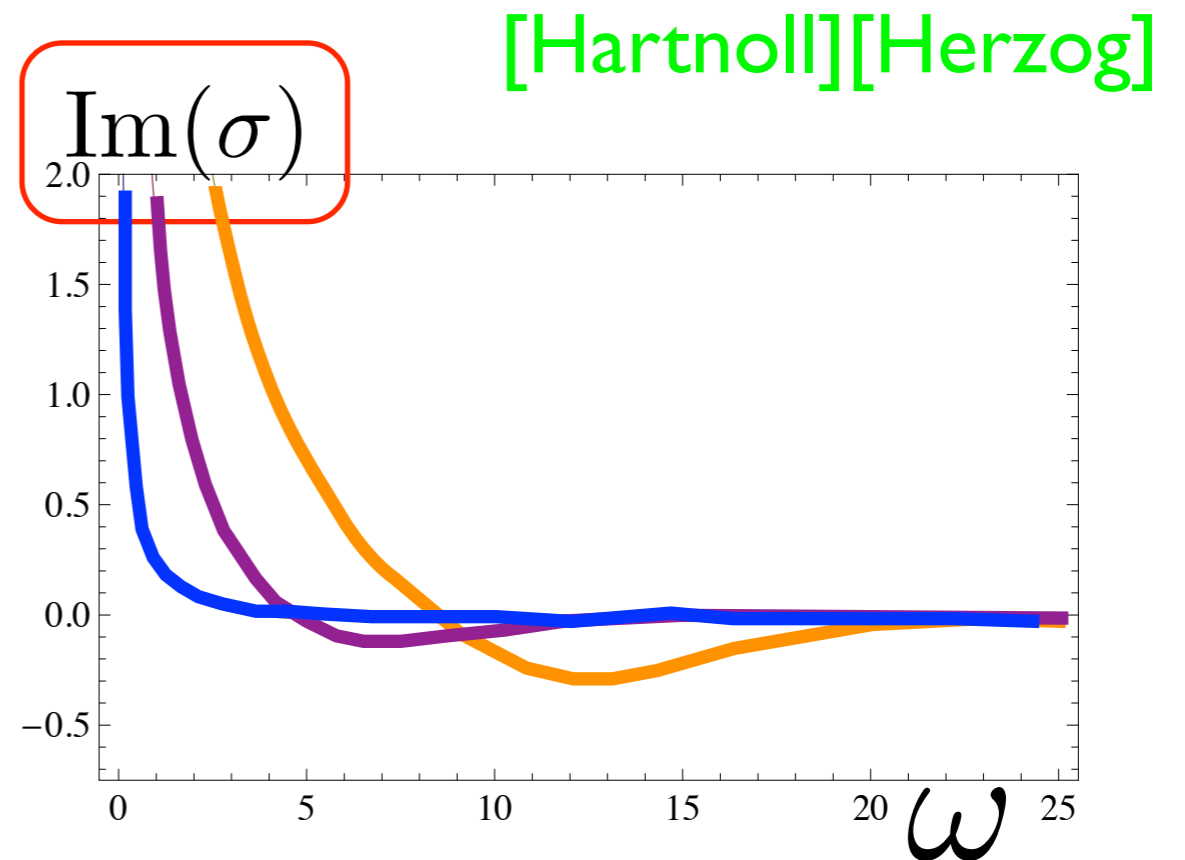
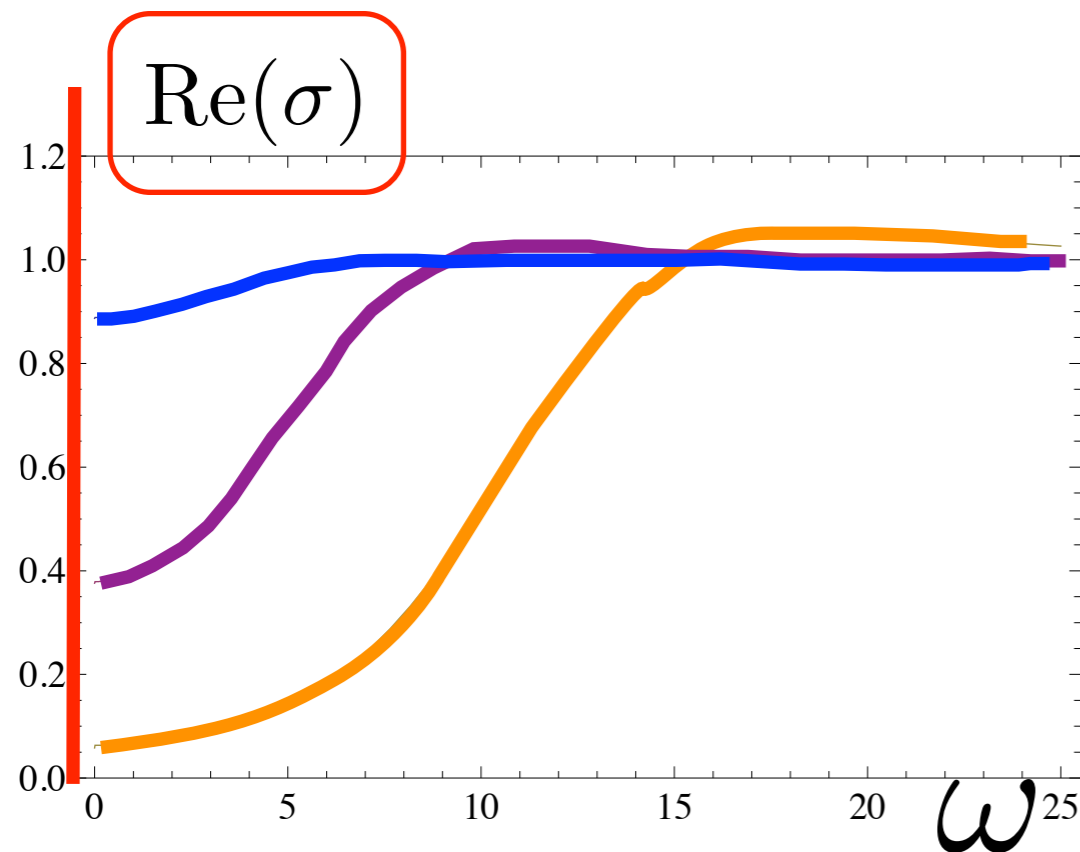


Electrical conductivity calculation

$$\delta A_x = e^{-i\omega t} a_x(r)$$

$$\delta g_{tx} = e^{-i\omega t} h_{tx}(r)$$

$$\sigma(\omega) = -i \frac{G_{J_x J_x}(\omega)}{\omega}$$



More precisely $\sigma(\omega) \sim \delta(\omega) + \frac{i}{\omega}$ near $\omega \sim 0$

Infinite DC conductivity arises because translation invariance implies there is no momentum dissipation: Drude physics

Holographic Lattices and metals

To realise more realistic metals and/or insulators we want to construct charged black holes that explicitly break translations using a deformation of the CFT

E.g. Spatially dependent $\mu(x)$

At the AdS_4 boundary, impose:

$$A_t(r, x) = \mu(x) + \frac{q(x)}{r} + \dots$$

E.g. Couple a D=4 bulk scalar field ϕ , dual to operator \mathcal{O} in the CFT with dimension Δ . Deform CFT by $\mathcal{O}(x)$:

$$\phi(r, x) \sim \frac{\lambda(x)}{r^{3-\Delta}} + \dots$$

A few examples of periodic, monochromatic lattices in one spatial dimension have been studied [\[Horowitz, Santos, Tong\]](#)

$$\mu(x) = \mu_0 + A \cos(kx)$$

(Case when $\mu_0 = 0$ [\[Chesler, Lucas, Sachdev\]](#))

$$\lambda(x) = \lambda \cos(kx)$$

Need to solve PDEs to get these D=4 black holes

Q-lattices: simplified construction with ODEs. Find some agreement and some differences with [\[Horowitz, Santos, Tong\]](#) as well as many new results

Also: revisited $\mu(x)$ case - see talk by [Donos](#)

Plan

- Holographic Q-lattices - solve ODEs
(D=5 helical lattices [Donos,Hartnoll][Donos,Gouteraux,Kiritsis])
- Calculation of thermoelectric DC conductivity σ_{DC} , α_{DC} , $\bar{\kappa}_{DC}$ in terms of black hole horizon data

Analogous to $\eta = \frac{s}{4\pi}$ [Policastro,Kovtun,Son,Starinets]

(For σ_{DC} c.f. [Iqbal,Liu][Davison][Blake,Tong,Vegh][Andrade,Withers])

Find some interesting general results eg a bound on $\bar{L} \equiv \bar{\kappa}/(\sigma T)$

- Q-lattices can give coherent metals, incoherent metals and insulators and transitions between them.

Holographic Q-lattices

- Illustrative D=4 model

$$\mathcal{L} = R - \frac{1}{2} [(\partial\phi)^2 + \Phi(\phi)(\partial\chi)^2] + V(\phi) - \frac{Z(\phi)}{4} F^2$$

- Choose Φ, V, Z so that we have an AdS_4 vacuum and that AdS-RN is a solution at $\phi = 0$
- Particularly interested in cases where χ is periodic.
eg if it is the phase of a complex scalar field $\varphi = \phi e^{i\chi}$
with $\Phi = \phi^2$
Analysis also covers cases when χ is not periodic e.g.
[\[Azeneyagi, Takayanagi, Li\]](#) [\[Mateos, Trancanelli\]](#) [\[Andrade, Withers\]](#)
- The model has a gauge $U(1)$ and a global $U(1)$ symmetry
Exploit the **global** bulk symmetry to break translations

Ansatz for fields

$$ds^2 = -U dt^2 + U^{-1} dr^2 + e^{2V_1} dx^2 + e^{2V_2} dy^2$$

$$A = a(r) dt$$

$$\chi = kx_1, \quad \phi = \phi(r)$$

UV expansion:

$$U = r^2 + \dots, \quad e^{2V_1} = r^2 + \dots, \quad e^{2V_2} = r^2 + \dots$$

$$a = \mu + \frac{q}{r} \dots, \quad \phi = \frac{\lambda}{r^{3-\Delta}} + \dots$$

IR expansion: regular black hole horizon

Homogeneous and anisotropic and periodic holographic lattices

$$\text{UV data: } T/\mu \quad \lambda/\mu^{3-\Delta} \quad k/\mu$$

- Reminiscent of Coleman's construction of Q-balls
- Various generalisations possible by allowing for more general global symmetries e.g. we can have two global U(1)s and two fields χ_i allowing for breaking more translations

$$\chi_1 = k_1 x \quad \chi_2 = k_2 y$$

Isotropic if $k_1 = k_2$

Analytic result for DC in terms of horizon data

Apply electric fields and thermal gradients and find linear response

Generalised Ohm/Fourier Law:

$$\begin{pmatrix} J \\ Q \end{pmatrix} = \begin{pmatrix} \sigma & \alpha T \\ \bar{\alpha} T & \bar{\kappa} T \end{pmatrix} \begin{pmatrix} E \\ -(\nabla T)/T \end{pmatrix}$$

J^a

Electric current

$$Q^a = T^{ta} - \mu J^a$$

Heat current

For Q-lattice black holes the DC matrices $\sigma, \alpha, \bar{\alpha}, \bar{\kappa}$ diagonal

- Calculating σ and $\bar{\alpha}$

Switch on constant electric field perturbation

$$A_x = -Et + \delta a_x(r)$$

supplemented with $\delta g_{tx}(r)$ $\delta g_{rx}(r)$ $\delta \chi(r)$

Gauge equation of motion:

$$\nabla_\mu (Z(\phi) F^{\mu\nu}) = 0 \quad \Rightarrow \quad \partial_r (\sqrt{-g} Z(\phi) F^{rx}) = 0$$

$$J = -e^{V_2 - V_1} Z(\phi) U \delta a'_x + q e^{-2V_1} \delta g_{tx}$$

Use Einstein equations and regularity at the black hole horizon to relate J and E to get σ

- Calculating σ and $\bar{\alpha}$

Switch on constant electric field perturbation

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Use Einstein equations and regularity at the black hole horizon to relate J and E to get σ

Perturbed metric has a timelike Killing vector k^μ

$$G^{\mu\nu} = \nabla^\mu k^\nu + \dots \quad \Rightarrow \quad \nabla_\mu G^{\mu\nu} = -\frac{V}{2} k^\mu$$

Similar steps then relate Q and E to get $\bar{\alpha}$

- Calculating α and $\bar{\kappa}$

Consider a source for electric and heat currents

$$g_{tx} = t\delta f_2(r) + \delta g_{tx}(r)$$

$$A_x = t\delta f_1(r) + \delta a_x(r)$$

Similar steps, with a subtlety that there is both a static and a linear in time-dependent heat current

Static piece: conductivity

Time dependent piece: static susceptibility

$$G_{QQ}(\omega = 0) = T^{xx}$$

Note: $G_{QJ}(\omega = 0) = G_{JJ}(\omega = 0) = 0$

$$\mathcal{L} = R - \frac{1}{2} [(\partial\phi)^2 + \Phi(\phi)(\partial\chi)^2] + V(\phi) - \frac{Z(\phi)}{4} F^2$$

$$ds^2 = -U dt^2 + U^{-1} dr^2 + e^{2V_1} dx^2 + e^{2V_2} dy^2$$

$$\chi = kx_1 \quad A = a dt$$

$$\alpha_{DC} = \bar{\alpha}_{DC} = - \left[\frac{4\pi q}{k^2 \Phi(\phi)} \right]_{r=r_+} \quad \bar{\kappa}_{DC} = \left[\frac{4\pi s T}{k^2 \Phi(\phi)} \right]_{r=r_+}$$

$$\sigma_{DC} = \left[e^{-V_1+V_2} Z(\phi) + \frac{q^2 e^{-V_1-V_2}}{k^2 \Phi(\phi)} \right]_{r=r_+}$$

“Pair evolution” term. Given by $(J/E)_{Q=0} \equiv \sigma - \alpha^2 \bar{\kappa}^{-1} T$

Second term “Dissipation” term

Different ground states can be dominated by first or second term

- Some general results

Define thermal conductivity at zero current

$$\kappa = \bar{\kappa} - \alpha \bar{\alpha} T / \sigma$$

For dissipation dominated $T=0$ ground states κ and $\bar{\kappa}$ can have different low temperature scaling (n.b. $\kappa = \bar{\kappa}$ for FL)

- $$\bar{L} \equiv \frac{\bar{\kappa}}{\sigma T} \leq \frac{s^2}{q^2}$$

Bound is saturated for dissipation dominated systems

c.f. Wiedemann-Franz Law.

Complementary result using memory matrix [\[Mahajan, Barkeshli, Hartnoll\]](#)

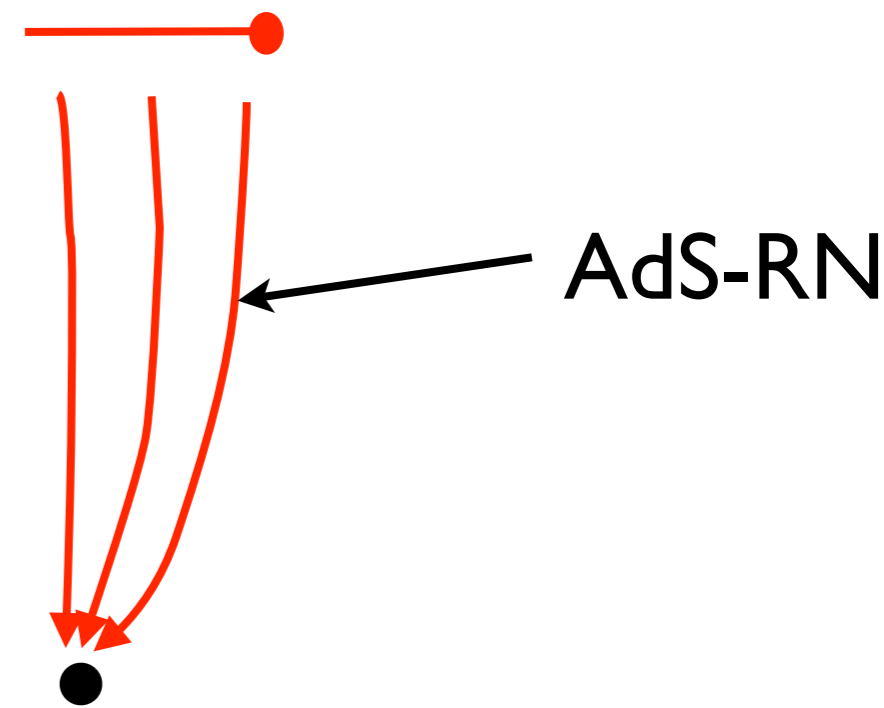
- $$\frac{\bar{\kappa}}{\alpha} = -\frac{T s}{q}$$

Coherent metal phases

UV data

$$\kappa/\mu \quad \lambda/\mu$$

$T=0$



AdS-RN

IR fixed point

$$AdS_2 \times \mathbb{R}^2$$

At $T=0$ the black holes approach $AdS_2 \times \mathbb{R}^2$ in the IR

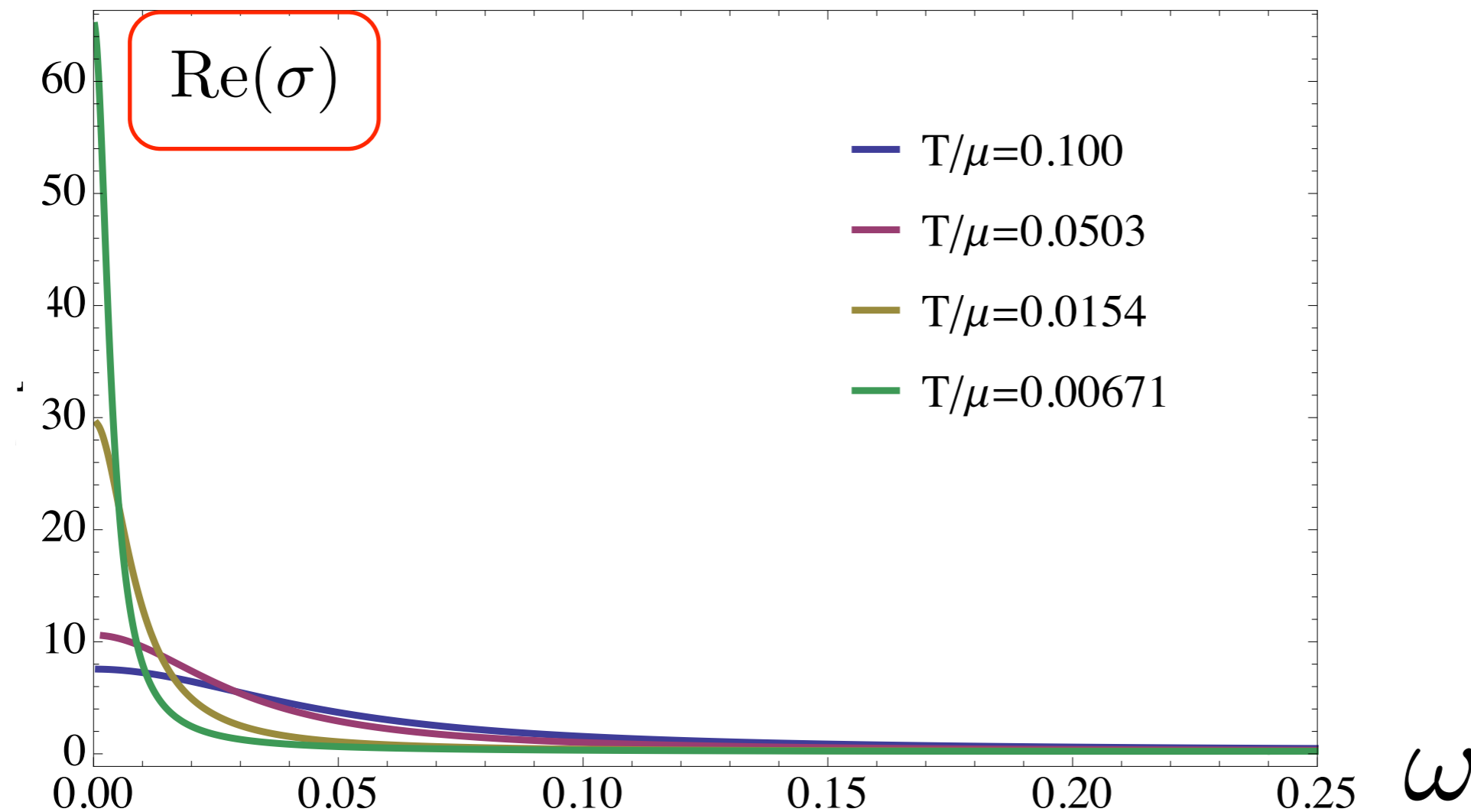
perturbed by irrelevant operator with $\Delta(k_{IR}) \geq 1$ [Hartnoll, Hoffman]

Note: k_{IR} depends on RG flow

Low T DC conductivity is dissipation dominated: $\sigma \sim T^{2-2\Delta(k_{IR})}$

Always have $\kappa \sim T$ but $\bar{\kappa} \sim T^{3-2\Delta(k_{IR})}$ and $\bar{\kappa} \rightarrow 0, \infty$

Drude peaks at finite T



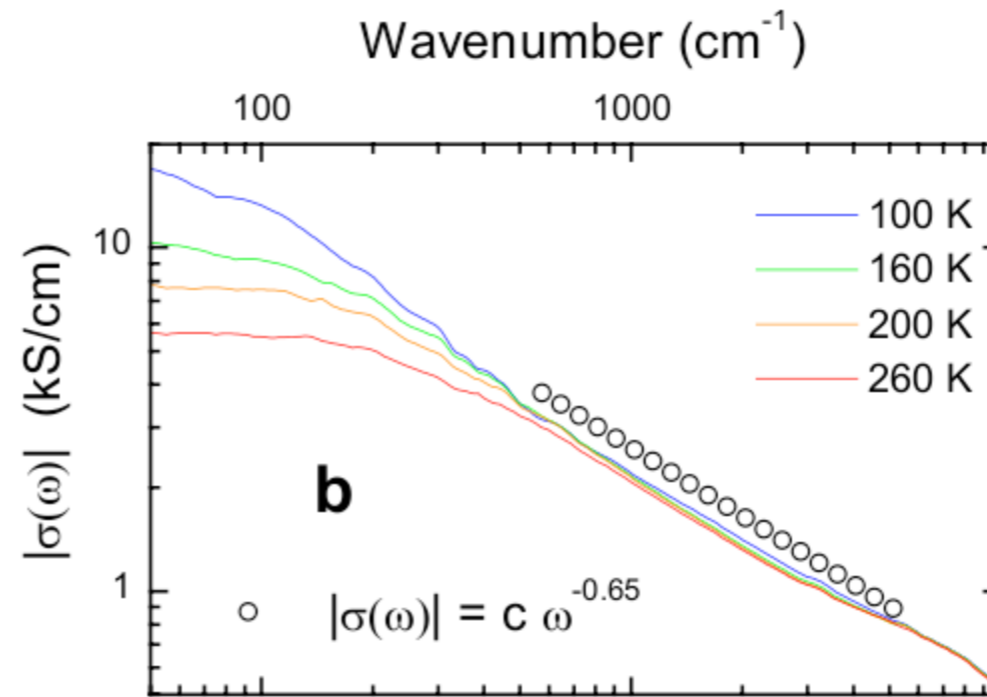
Similar to what was seen for different lattices in [\[Horowitz,Santos,Tong\]](#)

Intermediate scaling?

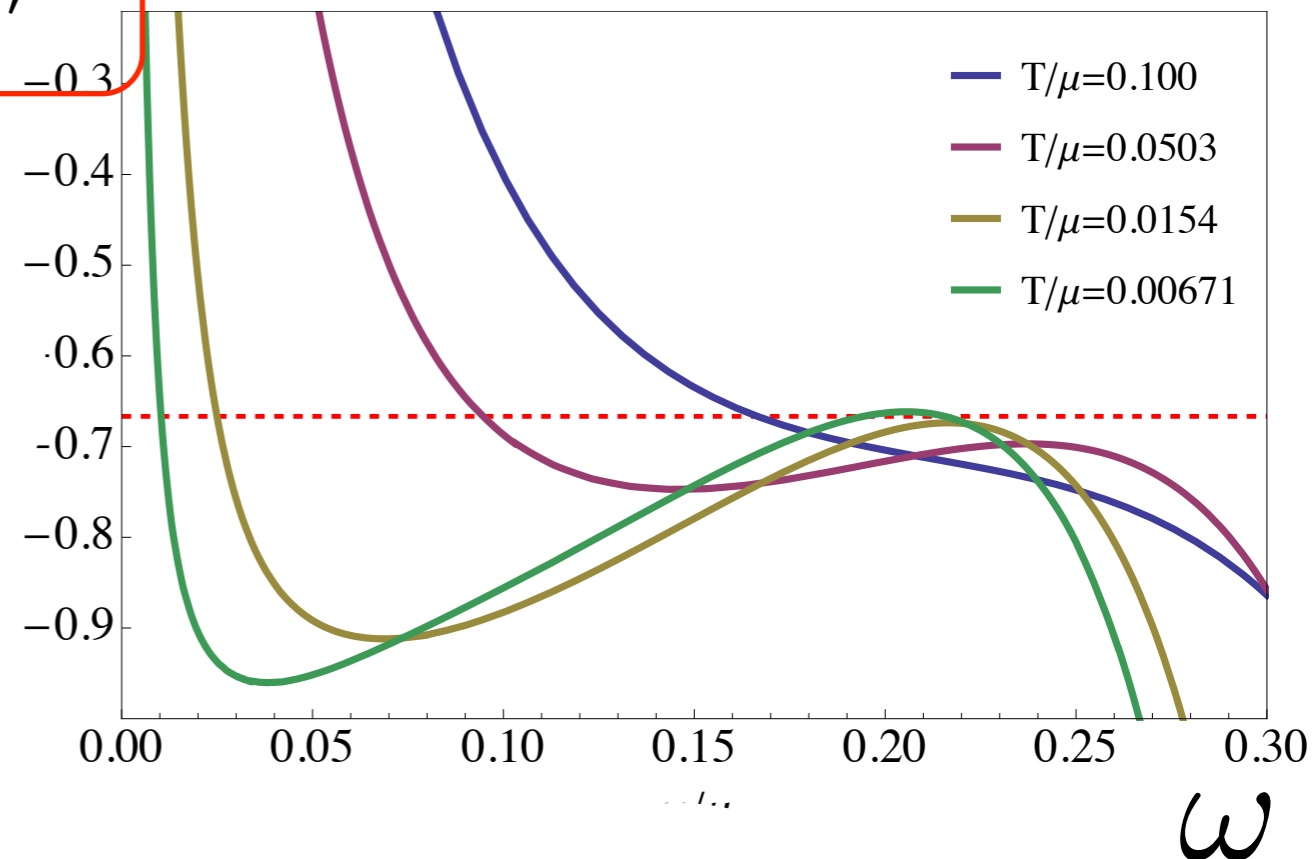
[Horowitz, Santos, Tong]

$$|\sigma(\omega)| = \frac{B}{\omega^{2/3}} + C$$

Reminiscent of cuprates



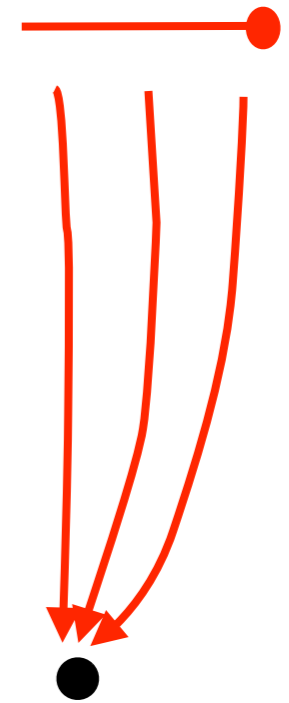
$$1 + \omega \frac{|\sigma|''}{|\sigma|'}$$



Do not see this scaling
for the Q-lattice:

Insulating phases

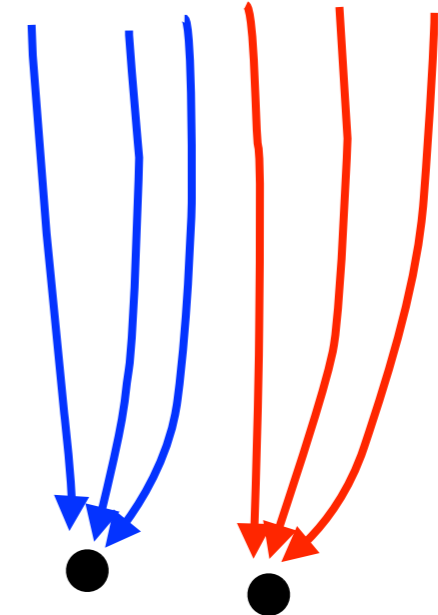
UV data



$AdS_2 \times \mathbb{R}^2$

Insulating phases

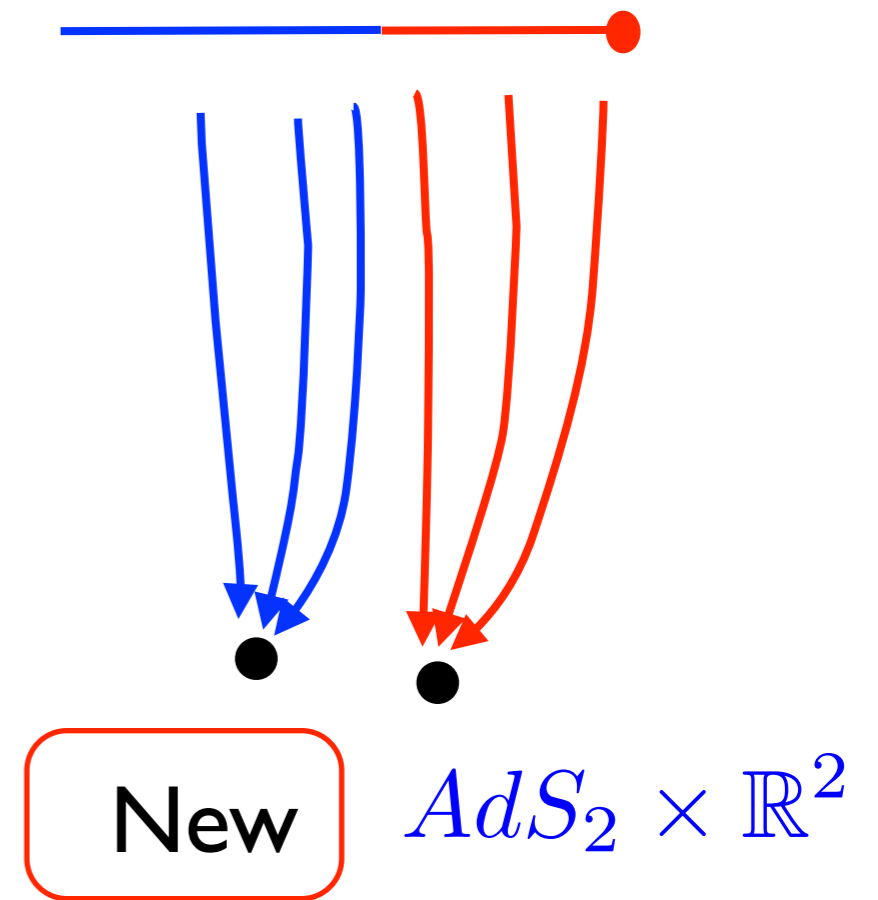
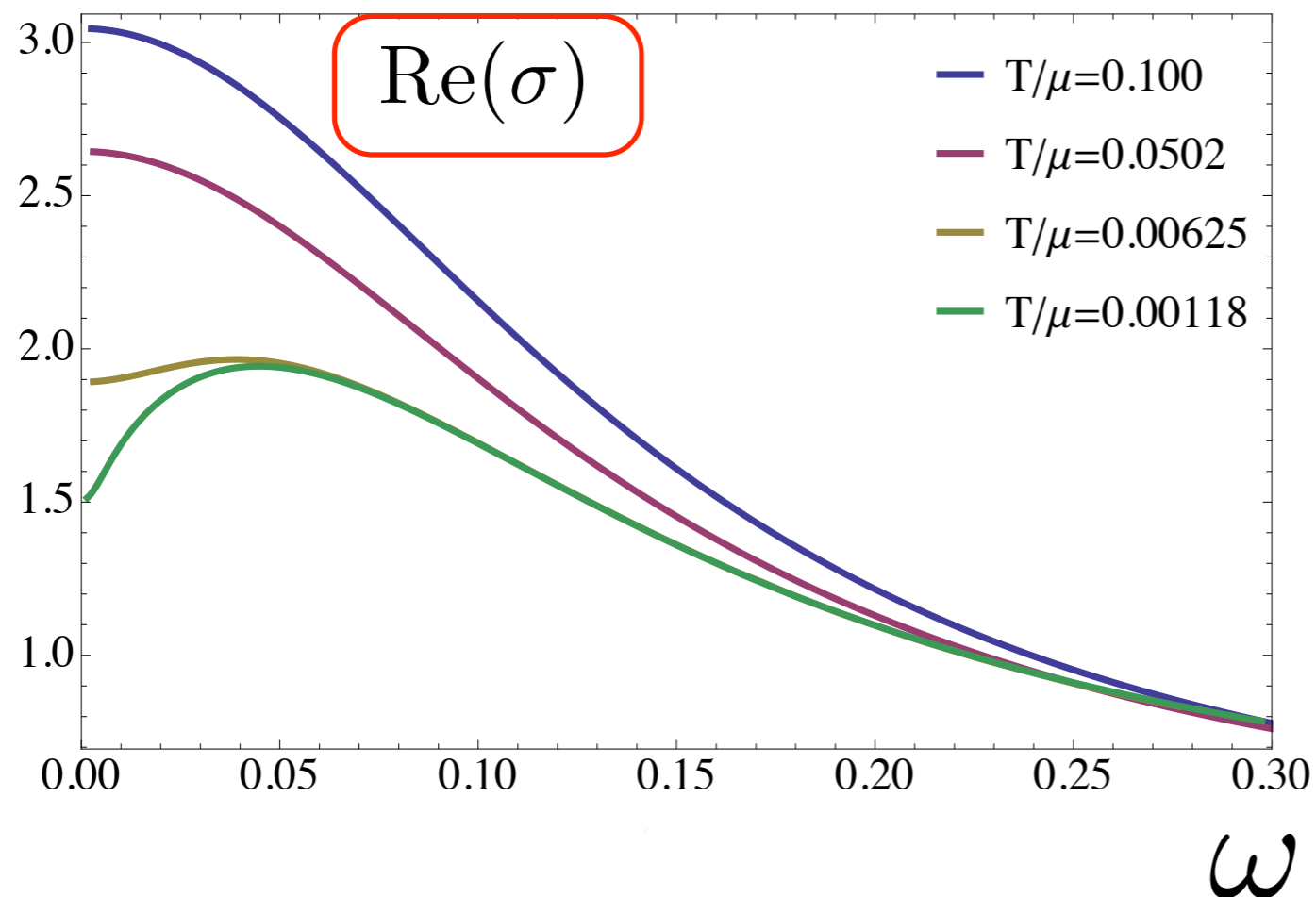
UV data



New

$AdS_2 \times \mathbb{R}^2$

Insulating phases



Appearance of a mid-frequency hump.

Spectral weight is being transferred, consistent with sum rule

What are the $T=0$ insulating ground states??

Focus on specific models (see also [\[Gouteraux\]](#))

New Insulating and Metallic ground states - Anisotropic

$$\mathcal{L} = R - \frac{1}{2} [(\partial\phi)^2 + \Phi(\phi)(\partial\chi)^2] + V(\phi) - \frac{Z(\phi)}{4} F^2$$

Focus on models and $T=0$ ground states which are solutions with $\phi \rightarrow \infty$ as $r \rightarrow 0$

and
$$\mathcal{L} \rightarrow R - \frac{3}{2} [(\partial\phi)^2 + e^{2\phi}(\partial\chi)^2] + e^\phi - \frac{e^{\gamma\phi}}{4} F^2$$

IR “fixed point” solutions

$$ds^2 \sim -r^u dt^2 + r^{-u} dr^2 + r^{v_1} dx^2 + r^{v_2} dy^2$$

$$e^\phi \sim r^{-\phi_0} \quad A \sim r^a dt \quad \chi = kx$$

with exponents fixed by γ

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with exponents fixed by γ

- Calculate AC conductivity

Obtained using a matching argument [Faulkner,Liu,McGreevy,Vegh] with ground state correlators at $T=0$. Valid: $T \ll \omega \ll \mu$

$$\sigma_{AC} \sim \omega^{c(\gamma)}$$

- Calculate DC conductivity using analytic formula

For $T \ll \mu$ the scaling is obtained from the IR fixed point solutions

$$\sigma_{DC} \sim T^{b(\gamma)}$$

In these models we have $b = c$
(as we have for the $AdS_2 \times \mathbb{R}^2$ coherent metals)

$$\sigma_{DC} \sim T^{b(\gamma)}$$

$$T \ll \mu$$

$$\sigma_{AC} \sim \omega^{c(\gamma)}$$

$$T \ll \omega \ll \mu$$

$$b = c > 0$$

Have new type of insulating ground states

$$b = c < 0$$

Have new type of incoherent metallic ground states not associated with Drude physics

$$b = c = 0$$

Novel metallic ground states with finite conductivity at $T=0$

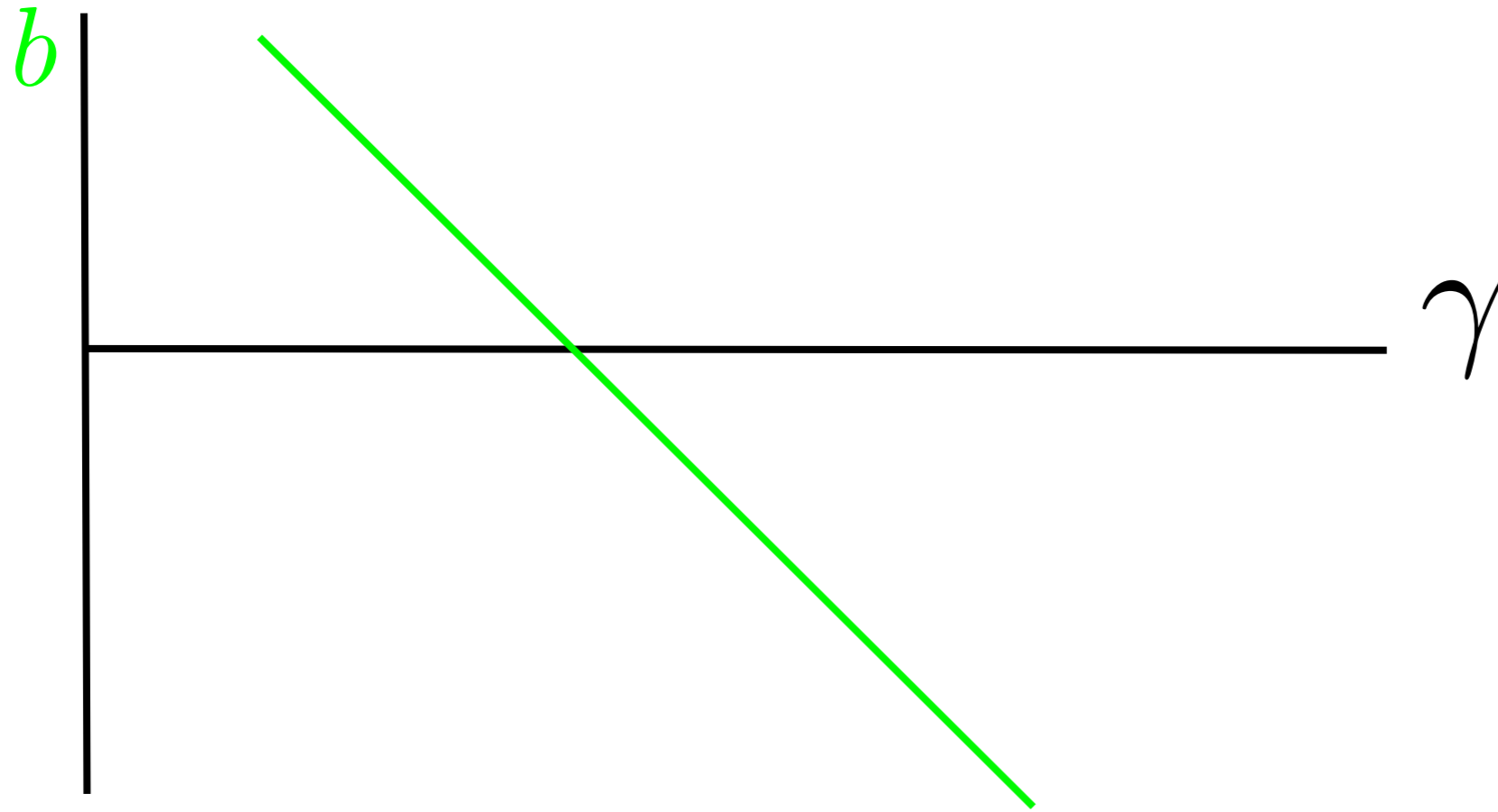
Metallic ground states are all thermal insulators: $\kappa, \bar{\kappa} \rightarrow 0$

Both terms in σ_{DC} scale in the same way

New Insulating and Metallic ground states - Isotropic

Models with $\chi_1 = kx$ and $\chi_2 = ky$

$$\sigma_{DC} \sim T^b$$



Insulators

Metals

(“pair evolution” dominated)
 $q = 0$

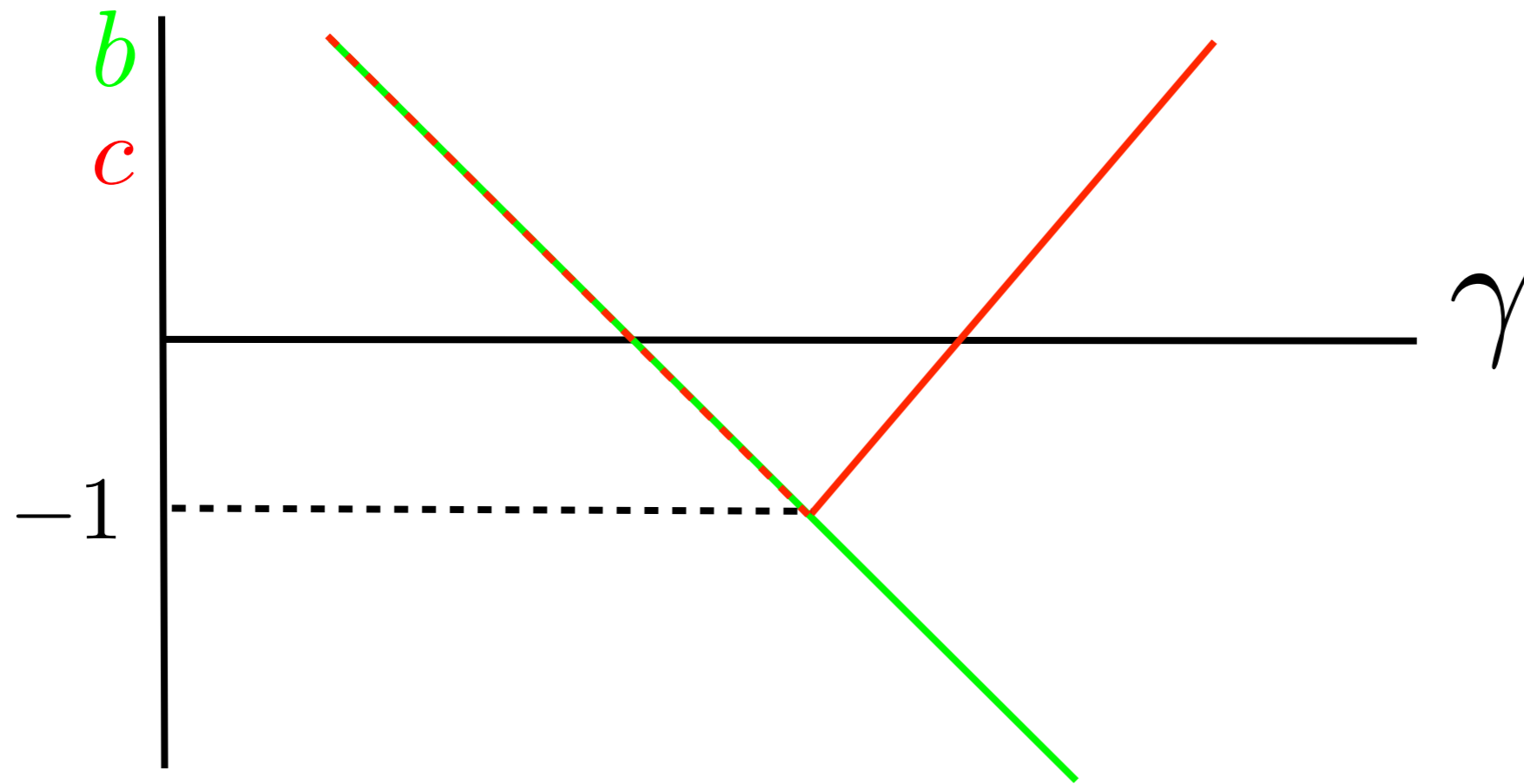
New Insulating and Metallic ground states - Isotropic

Models with $\chi_1 = kx$ and $\chi_2 = ky$

$$\sigma_{DC} \sim T^b$$

$$\sigma_{AC} \sim \omega^c$$

All have $\kappa, \bar{\kappa} \rightarrow 0$



Insulators



Metals

(“pair evolution” dominated)

$$q = 0$$



Reappearance of sharp peaks not related to the charge density and Drude physics

Summary

- Holographic Q-lattices are simple and illuminating
- Analytic result for DC conductivity in terms of horizon data.
Bound on $\bar{L} \equiv \bar{\kappa}/(\sigma T)$
- Coherent metallic phases with Drude peaks
- No intermediate 2/3 scaling in AC conductivity
Absent in another recent example [Taylor, Woodhead]
- Also find novel metallic ($\kappa \rightarrow 0$) and insulating ground states
Can be “pair evolution” dominated metal ground states
with $q = 0$
Metal-Insulator and Metal-Metal transitions

- Lattices are a good way to look for new holographic ground states

Alternatives

- Construct them directly
- Find the ground states of holographic phases that spontaneously break symmetries

Key results for Inhomogeneous lattices $\mu(x)$

Donos Talk @ 7:30 pm Friday!

- Analytic results for DC conductivity

- Bound on $\bar{L} \equiv \bar{\kappa}/(\sigma T)$

- High temperature behaviour

$$\sigma \rightarrow 1 + \frac{(\int \mu)^2}{\int \mu^2 - (\int \mu)^2} \geq 1$$

Like Mott-Ioffe-Regel bound?

- No intermediate scaling for $|\sigma(\omega)|$

- As $T \rightarrow 0$ black holes approach $AdS_2 \times \mathbb{R}^2$ in the IR

No exotic “floppy” ground states seen by [Hartnoll,Santos]