# Fractional quantum Hall effect In Graphene : from SU(4) to SO(5) 

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See arXiv : 1406.2330

## Outline :

- elementary facts about 2DEGs
- striking facts from FQHE (GaAs)
- Graphene and Landau levels
- SU(4) approximate symmetry
- explicit breaking of symmetry down to $\mathrm{SO}(5)$
- aspects of ferromagnetism at filling factor 2
- conclusions


## WHY study 2DEGs ???????

## The answer is: HEMTs



Single-chip WLAN MMIC, Morkner, RFIC 2007
Single MOCVD growth

## HEMT markets



- Biggest market: wireless communications
- Biggest applications: cell phone handsets, WLAN, base stations and CATV


## Better transistors require better mobility :

## This is good for fundamental physics !!!!



$\binom{j_{x}}{j_{y}}=\left(\begin{array}{ll}\sigma_{x x} & \sigma_{x y} \\ \sigma_{y x} & \sigma_{y y}\end{array}\right)\binom{E_{x}}{E_{y}}, \quad\binom{E_{x}}{E_{y}}=\left(\begin{array}{ll}\rho_{x x} & \rho_{x y} \\ \rho_{y x} & \rho_{y y}\end{array}\right)\binom{j_{x}}{j_{y}}$




- The 2D situation under a field has no kinetic energy: instead highly degenerate Landau levels.
- Only interactions fix the nature of the ground state.
- For many rational fillings $\nu=p / q$ of the lowest Landau level, the ground state is a liquid with gapped excitations.
- Quasiholes and quasielectrons with fractional charge and statistics.

FILLING FACTOR $\nu$





Pan et al, PRL90, 016801 (2003)



| $1 / 3$ | $1 / 5$ | $1 / 7$ | $1 / 9$ | $2 / 11$ | $2 / 13$ | $2 / 15$ | $2 / 17$ | $3 / 19$ | $5 / 21$ | $6 / 23$ | $6 / 25$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $2 / 3$ | $2 / 5$ | $2 / 7$ | $2 / 9$ | $3 / 11$ | $3 / 13$ | $4 / 15$ | $3 / 17$ | $4 / 19$ | $10 / 21$ |  |  |
| $4 / 3$ | $3 / 5$ | $3 / 7$ | $4 / 9$ | $4 / 11$ | $4 / 13$ | $7 / 15$ | $4 / 17$ | $5 / 19$ |  |  |  |
| $5 / 3$ | $4 / 5$ | $4 / 7$ | $5 / 9$ | $5 / 11$ | $5 / 13$ | $8 / 15$ | $5 / 17$ | $9 / 19$ |  |  |  |
| $7 / 3$ | $6 / 5$ | $5 / 7$ | $7 / 9$ | $6 / 11$ | $6 / 13$ | $11 / 15$ | $6 / 17$ | $10 / 19$ |  |  |  |
| $8 / 3$ | $7 / 5$ | $9 / 7$ | $11 / 9$ | $7 / 11$ | $7 / 13$ | $22 / 15$ | $8 / 17$ |  |  |  |  |
|  | $8 / 5$ | $10 / 7$ | $13 / 9$ | $8 / 11$ | $10 / 13$ | $23 / 15$ | $9 / 17$ |  |  |  |  |
|  | $9 / 5$ | $11 / 7$ | $14 / 9$ | $14 / 11$ | $19 / 13$ |  |  |  |  |  |  |
|  | $11 / 5$ | $12 / 7$ | $25 / 9$ | $16 / 11$ | $20 / 13$ |  |  |  |  |  |  |
|  | $12 / 5$ | $16 / 7$ |  | $17 / 11$ |  |  |  |  |  |  |  |
|  | $13 / 5(?)$ | $19 / 7$ |  |  |  |  |  |  |  |  | $5 / 2$ |
|  | $14 / 5$ |  |  |  |  |  |  |  |  |  | $7 / 2$ |
|  | $16 / 5$ |  |  |  |  |  |  |  |  |  | $3 / 8(?)$ |
|  | $19 / 5$ |  |  |  |  |  |  |  |  |  | $5 / 8(?)$ |
|  | $21 / 5$ |  |  |  |  |  |  |  |  |  | $19 / 8$ |
|  | $24 / 5$ |  |  |  |  |  |  |  |  |  | $3 / 9(?)$ |




2+1 dimensional Dirac fermions with two flavors and real spin



magnetic field B






## Quantum Hall Ferromagnetism at neutrality

$$
\Psi_{\alpha, \beta}=\prod_{k=1}^{N_{\phi}} c_{k \alpha}^{\dagger} c_{k \beta}^{\dagger}|0\rangle
$$

spinors $\phi_{\alpha, \beta}$ are in $\operatorname{Span}\left\{K \uparrow, K \downarrow K^{\prime} \uparrow, K^{\prime} \downarrow\right\}$

EXACT eigenstates of the fully $S U(4)$ symmetric Coulomb interaction


Effective Hamiltonian in the nu $=0$ Landau level :

$$
\begin{aligned}
H & =H_{\mathrm{C}}+H_{\mathrm{v}}+H_{\mathrm{Z}} \\
H_{\mathrm{C}} & =\frac{1}{2} \sum_{i \neq j} \frac{e^{2}}{\epsilon\left|\vec{r}_{i}-\vec{r}_{j}\right|}, \\
H_{\mathrm{v}} & =\frac{1}{2} \sum_{i \neq j}\left(g_{z} \tau_{z}^{i} \tau_{z}^{j}+g_{\perp}\left(\tau_{x}^{i} \tau_{x}^{j}+\tau_{y}^{i} \tau_{y}^{j}\right)\right) \delta\left(\vec{r}_{i}-\vec{r}_{j}\right), \\
H_{\mathrm{Z}} & =-\epsilon_{\mathrm{Z}} \sum_{i} \sigma_{z}^{i} . \\
& g_{\perp}=g \cos \theta, \quad g_{z}=g \sin \theta
\end{aligned}
$$

$$
\begin{array}{ll}
S_{\alpha}=\frac{1}{2} \sum_{i} \sigma_{\alpha}^{i}, & T_{\alpha}=\frac{1}{2} \sum_{i} \tau_{\alpha}^{i}, \\
N_{\alpha}=\frac{1}{2} \sum_{i} \tau_{z}^{i} \sigma_{\alpha}^{i}, & \Pi_{\alpha}^{\beta}=\frac{1}{2} \sum_{i} \tau_{\beta}^{i} \sigma_{\alpha}^{i},
\end{array}
$$

Coulomb interaction is $\operatorname{SU}(4)$ symmetric : 15 generators

|  | Symmetry of $H_{\mathrm{C}}+H_{\mathrm{v}}$ | generators |
| :--- | :---: | :---: |
| $g_{\perp}=0$ | $\mathrm{SU}(2)_{\mathrm{s}}^{K} \times \mathrm{SU}(2)_{\mathrm{s}}^{K^{\prime}} \times \mathrm{U}(1)_{\mathrm{v}}$ | $S_{\alpha}, N_{\alpha}, T_{z}$ |
| $g_{\perp}=g_{z}$ | $\mathrm{SU}(2)_{\mathrm{s}} \times \mathrm{SU}(2)_{\mathrm{v}}$ | $S_{\alpha}, T_{\alpha}$ |
| $g_{\perp}+g_{z}=0$ | $\mathrm{SO}(5)$ | $S_{\alpha}, T_{z}, \Pi_{\alpha}^{x}, \Pi_{\alpha}^{y}$ |

$$
g_{\perp}=g \cos \theta, \quad g_{z}=g \sin \theta
$$



$$
S U(2)_{K} X S U(2)_{K} X U(1)
$$

$S U(2) \times U(1)$ elsewhere....

## Mean-field phase diagram


$H_{\mathrm{v}}+H_{\mathrm{Z}}$ lifts the $\mathrm{SU}(4)$ degeneracy




## SO(5) group


$\left\{T_{x}, T_{y}\right\} \rightarrow$ Kekule distortion state
$\left\{N_{x}, N_{y}, N_{z}\right\} \rightarrow$ Antiferromagnetic state

## graphene vs $d$-wave superconductor

# A Unified Theory Based on SO(5) Symmetry of Superconductivity and Antiferromagnetism 

Shou-Cheng Zhang

The complex nhase diagram of high-critinal temneratu ure ( $T$ ) superconductors nan be deduced from an $S O(5)$ symmetry principle that unifies antiferromagnetism and $d$-wave superconductivity. The approximate $S O(5)$ symmetry has been derived from the microscopic Hamiltonian, and it becomes exact under renormalization group flow toward a bicritical point. This symmetry enables the construction of a $S O(5)$ quantum nonlinear or model that describes the phase diagram and the effective low-energy dynamics of the system. This model naturally explains the basic phenomenology of the high- $T_{c}$ superconductors from the insulating to the underdoped and the optimally doped region.

Shou-Cheng Zhang, Science 275, 1089 (1997).

SO(5) in $N=0$ LL of graphene AFM

Kekule-distortion state
 AFM

## How do we know it is true beyond mean field ??




## symmetry breaking pattern




AF
$\begin{array}{rlrl}\pi / 2 \mathrm{SU}(2)_{\mathrm{s}} 3 \pi / 4 & \mathrm{U}(1)_{\mathrm{v}} & 5 \pi / 4 \\ \mathrm{SO}(5) & & \end{array}$

## Finite size scaling analysis @ SO(5) point

$$
E_{\mathrm{v}} / g \theta_{g}=3 \pi / 4
$$

-For any finite size system, the ground state is an $\mathrm{SO}(5)$ singlet and nondegenerate.

- In the thermodynamic limit, ground states become degenerate, resulting in spontaneous $\mathrm{SO}(5)$ symmetry breaking.


## Summary

- Competing phases at graphene neutrality with rich symmetry-breaking pattern
- Anderson's Tower of states signature of symmetry breaking
- SO(5) symmetry relating Kekule and AF states for a realistic Hamiltonian
- MFT is true in this $2+1$ system
- All phases CDW, AF, CAF and KD are gapped : not clear yet what is the choice of Nature
- Under way is the study of fractions $5 / 3$ and $4 / 3$


## Summary

- SO(5) symmetry
- $v=0$ quantum hall states
finite-size effect;
numerical results agrees with mean-field theory
- fractional filling factors




Fermi :
$\nu=1 / 3$


Bose :

$$
\begin{aligned}
& \nu=1 / 2
\end{aligned}
$$



| Parameter | Kekulé-distortion state | $d$-wave state |
| :--- | :---: | :---: |
| Order Parameter | $\left(T_{x}, T_{y}\right)$ | $\left(\Delta_{x}, \Delta_{y}\right)$ |
| $\mathrm{U}(1)$ generator | $T_{z}$ | Charge $Q$ |
| External Potential | Staggered potential $\epsilon_{\mathrm{v}}$ | Chemical potential $\mu$ |

## IQHE

FQHE



## IQHE

FQHE



$v=0$ quantum Hall ferromagnetism
$v=0$ Coulomb ground states: 2 spinors are occupied at each LL orbital


SU(4) multiplet structure

