

# Helical black holes with higher derivative corrections

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# Motivation

- 5D RN black holes in AdS unstable to helical phase if CS-term in

$$S = \int d^5x \sqrt{-g} \left[ (R + 12) - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} \right] - \frac{2}{3} \alpha \int F \wedge F \wedge A$$

large enough, i.e.  $\alpha > \alpha_c \approx 0.2896$  [Nakamura, Ooguri, Park]

- 5D minimal gauged  $\mathcal{N} = 2$  SUGRA:

$$\alpha_s = \frac{1}{2\sqrt{3}} \approx 0.2887 < \alpha_c$$

- But  $\frac{\alpha_c - \alpha_s}{\alpha_c} \approx 0.003$

- Could higher derivative corrections to  $\alpha_s$  and  $\alpha_c$  lead to  $\alpha_s > \alpha_c$ , i.e. an instability?
- New BH solutions in string theory with helical structure of horizon?
- Potential applications in AdS/CFT?

[Related work: Takeuchi; Ooguri, Park]

- Minimal 5D SUGRA relevant:

*For any supersymmetric solution of  $D = 10$  or  $D = 11$  supergravity that consists of a warped product of  $d + 1$  dimensional anti-de-Sitter space with a Riemannian manifold  $M$ ,  $AdS_{d+1} \times_w M$ , there is a consistent Kaluza-Klein truncation on  $M$  to a gauged supergravity theory in  $d + 1$ -dimensions for which the fields are dual to those in the superconformal current multiplet of the  $d$ -dimensional dual SCFT. [Gauntlett, Varela]*

# Overview

- Review instability without higher derivative corrections  
[Nakamura, Ooguri, Park; Donos, Gauntlett]
- Review higher derivative corrections to 5D minimal  $\mathcal{N} = 2$  gauged SUGRA and RN black hole  
[Myers, Paulos, Sinha]
- Instability with higher derivative corrections
- Outlook

# Instability without higher derivative corrections

- Instability most likely to occur at  $T = 0$

⇒ consider extremal RN

- Near horizon geometry  $AdS_2 \times \mathbb{R}^3$

⇒ look for modes with  $m_{AdS_2}^2 < m_{BF}^2 = -\frac{1}{4r_2^2}$

$r_2$  :  $AdS_2$ -radius

- Ansatz: [Donos, Gauntlett; cf. also Nakamura, Ooguri, Park]

$$ds^2 = \frac{-dt^2 + dr^2}{12r^2} + d\vec{x}^2 + Q^2 dt^2 + 2Q\omega_2 dt$$

with

$$\omega_2 = \cos(kx_1)dx_2 - \sin(kx_1)dx_3$$

- Killing vectors:  $\partial_{x_2}, \partial_{x_3}$   
 $\partial_{x_1} - k(x_2\partial_{x_3} - x_3\partial_{x_2})$

- $A = \frac{E}{12r} dt + b\omega_2$  with  $E = 2\sqrt{6}$   
near horizon electrical field

- To linear order in  $b, Q$  (enough to analyze onset of instability):

$$(\square_{AdS_2} - k^2)\psi + E \square_{AdS_2} b = 0$$

$$(\square_{AdS_2} - k^2)b - 4\alpha E k b + E\psi = 0$$

with  $\psi = -12r^2 Q'$

- Strategy: (1) Determine effective mass  $m^2(k, \alpha)$
- (2) Determine  $k_0(\alpha)$  minimizing  $m^2(k, \alpha)$   
for fixed  $\alpha \Rightarrow m_{\min}^2(\alpha) = m^2(k_0(\alpha), \alpha)$
- (3) Find  $\alpha_c$  for which  $m_{\min}^2(\alpha) < m_{BF}^2$   
for  $\alpha > \alpha_c$

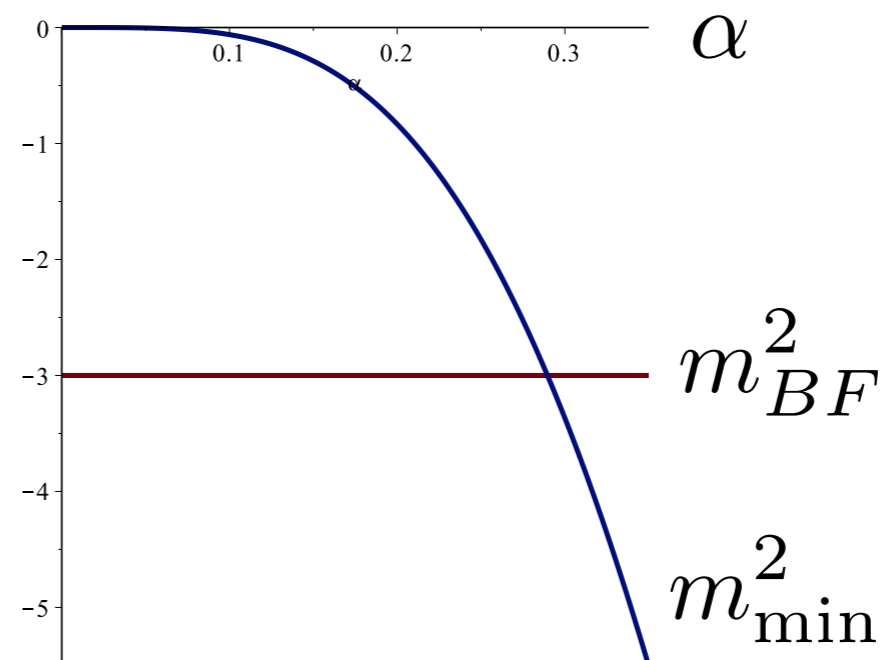
Concretely:

$$(1) \quad \det \begin{pmatrix} m^2 - k^2 & Em^2 \\ E & m^2 - k^2 - 4\alpha Ek \end{pmatrix} = 0$$

$$\Rightarrow m^2 = \frac{1}{2} \left( 2k^2 + E^2 + 4\alpha Ek - E \sqrt{E^2 + 8\alpha Ek + 4k^2(1 + 4\alpha^2)} \right)$$

$$(2) \quad k_0 = E \frac{2\alpha + 4\alpha^3 + \alpha \sqrt{1 + 4\alpha^2 + 16\alpha^4}}{1 + 4\alpha^2}$$

$$(3) \quad \alpha_c = 0.2896$$

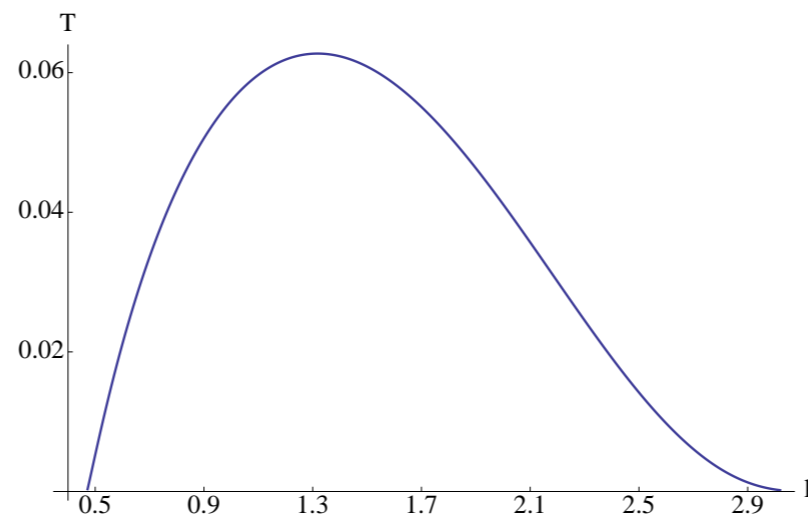




- $\alpha_c$  coincides with value obtained by looking for normalizable fluctuations in full geometry

[Nakamura, Ooguri, Park]

- For  $\alpha > \alpha_c$  instability appears for range of  $k$   
e.g.  $\frac{\alpha}{\alpha_c} \approx 1.47$



[Taken from:  
Donos, Gauntlett]

- Solution with particular  $k(T)$  minimizes free energy at fixed  $T$

[Donos, Gauntlett]

# Higher derivative terms

- Most general form up to 4 derivatives (modulo field redefinitions and partial integration) [Myers, Paulos, Sinha]

$$S = S_0 + \int d^5x \sqrt{-g} [c_1 R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} + c_2 R_{\mu\nu\rho\sigma} F^{\mu\nu} F^{\rho\sigma} + c_3 (F^2)^2 + c_4 F^4 + c_5 \epsilon^{\mu\nu\rho\sigma\tau} A_\mu R_{\nu\rho\alpha\beta} R_{\sigma\tau}{}^{\alpha\beta}]$$

- $c_1 = \frac{1}{8} \frac{c - a}{c}$

$$T_a^a = \frac{c}{16\pi^2} W_{abcd} W^{abcd} - \frac{a}{16\pi^2} (R_{abcd} R^{abcd} - 4R_{ab} R^{ab} + R^2)$$

Weyl-tensor
4D Euler density

- If  $A$  dual to  $\mathcal{N} = 1$  R-symmetry current:

$$c_2 = -\frac{c_1}{2}, \quad c_3 = \frac{c_1}{24}, \quad c_4 = -\frac{5c_1}{24}, \quad c_5 = \frac{c_1}{2\sqrt{3}}, \quad \alpha_s = \frac{1 - 288c_1}{2\sqrt{3}}$$

- Leave  $c_i$  arbitrary for moment, but for sensible derivative expansion need  $\forall_i : c_i \ll 1$

$\Rightarrow$  supersymmetric case:  $c \sim a \gg 1$

- Following above strategy, need to take into account

[Myers, Paulos, Sinha]

- ★ Correction to condition for extremality:

$$\frac{q^2}{r_0^6} = 2[1 - 48(c_1 - 2(2c_3 + c_4))]$$

- ★ Correction to  $AdS_2$ -radius:  $r_2^2 = \frac{1}{12} + (4c_2 + 16c_3 + 8c_4)$

$$\Rightarrow m_{BF}^2 = -\frac{1}{4r_2^2} = -(3 - 144c_2 - 576c_3 - 288c_4)$$

Ansatz:

$$ds^2 = \frac{-dt^2 + dr^2}{(12 - 576c_2 - 2304c_3 - 1152c_4)r^2} + d\vec{x}^2 + Q^2 dt^2 + 2Q dt \omega_2$$

$$A = \left( \frac{2\sqrt{6}}{12} - 4\sqrt{6}(c_1 + 2c_2 + 4c_3 + 2c_4) \right) r^{-1} dt + b\omega_2$$

Plug this into Einstein & Maxwell eqs.

$\Rightarrow$  4th order eqs. for  $b, Q$

- Toy example:  $Q'' + AQ' + BQ + cQ''' = 0$

Define:  $\psi = Q + cQ'$

$$\Rightarrow \psi'' + A\psi' + B\psi = -\cancel{cQ'''} + \cancel{cQ'''} + AcQ'' + BcQ'$$

$$\Rightarrow (1 - cA)\psi'' + (A - cB)\psi' + B\psi = 0(+\mathcal{O}(c^2))$$

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- In our case, define

$$\psi = -12r^2Q' - c_1 2304r^3Q'' - c_1 576r^4Q''' - c_2 96\sqrt{6}r^2b''$$

$$\Rightarrow (1 + a_1)\square_{AdS_2}\psi + (-k^2 + a_2)\psi + (2\sqrt{6} + a_3)\square_{AdS_2}b + a_4b = 0$$

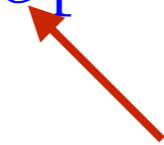
$$(1 + a_5)\square_{AdS_2}b + (-k^2 - 8\sqrt{6}k\alpha + a_6)b + a_7\square_{AdS_2}\psi + (2\sqrt{6} + a_8)\psi = 0$$

$\forall_i : a_i(k, \alpha, c_j)$  constants linear in  $c_j$

Result:

$$\alpha_c = \alpha_c^{(0)} + 11.82c_1 + 37.06c_2 + 183.67c_3 + 55.00c_4 - 12.61c_5$$

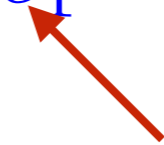
i.e. in supersymmetric case:

$$\alpha_c = \alpha_c^{(0)} - 14.16c_1$$

$$\frac{1}{8} \frac{c-a}{c}$$

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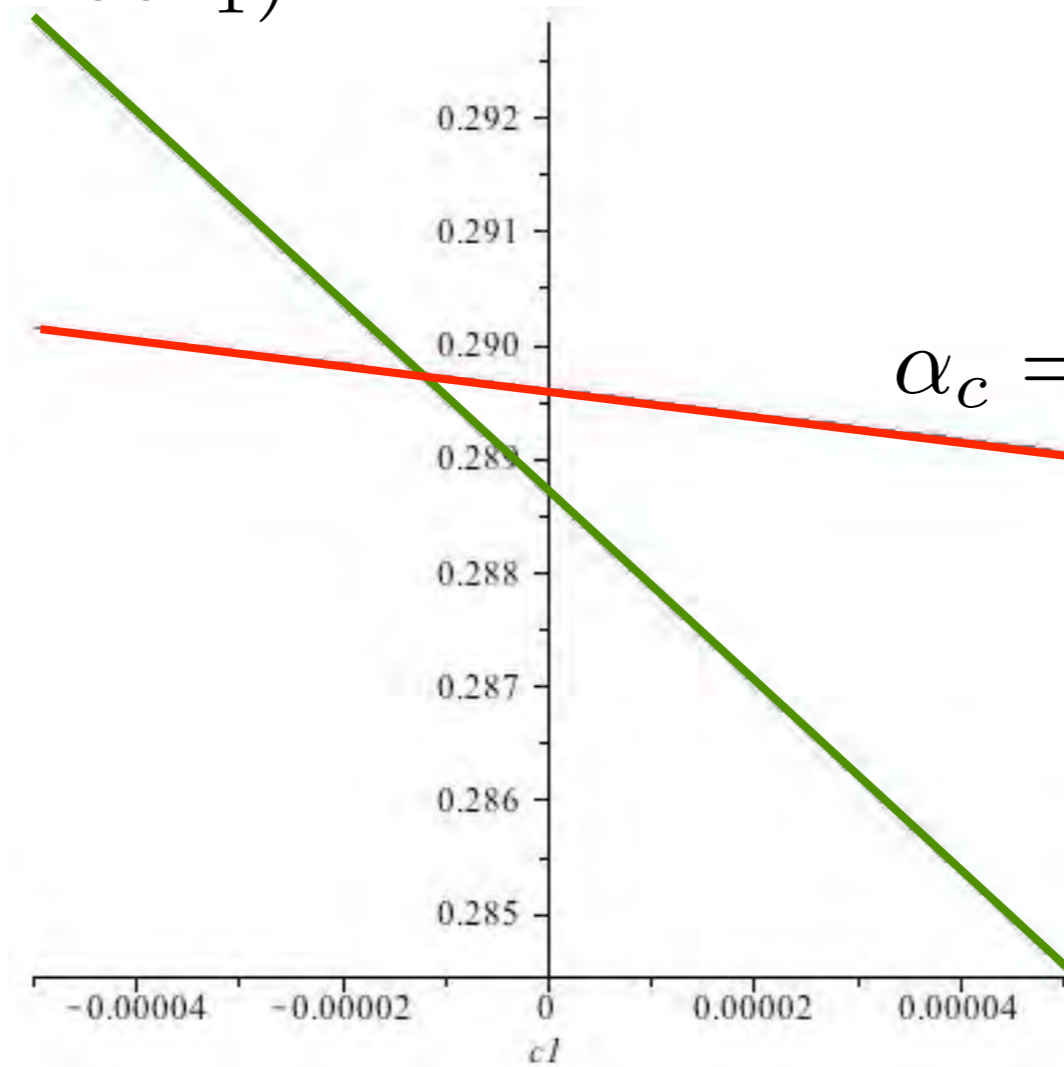
i.e. in supersymmetric case:

$$\alpha_c = \alpha_c^{(0)} - 14.16c_1$$

$$\frac{1}{8} \frac{c - a}{c}$$

But  $\alpha_s$  also decreases with positive  $c_1$

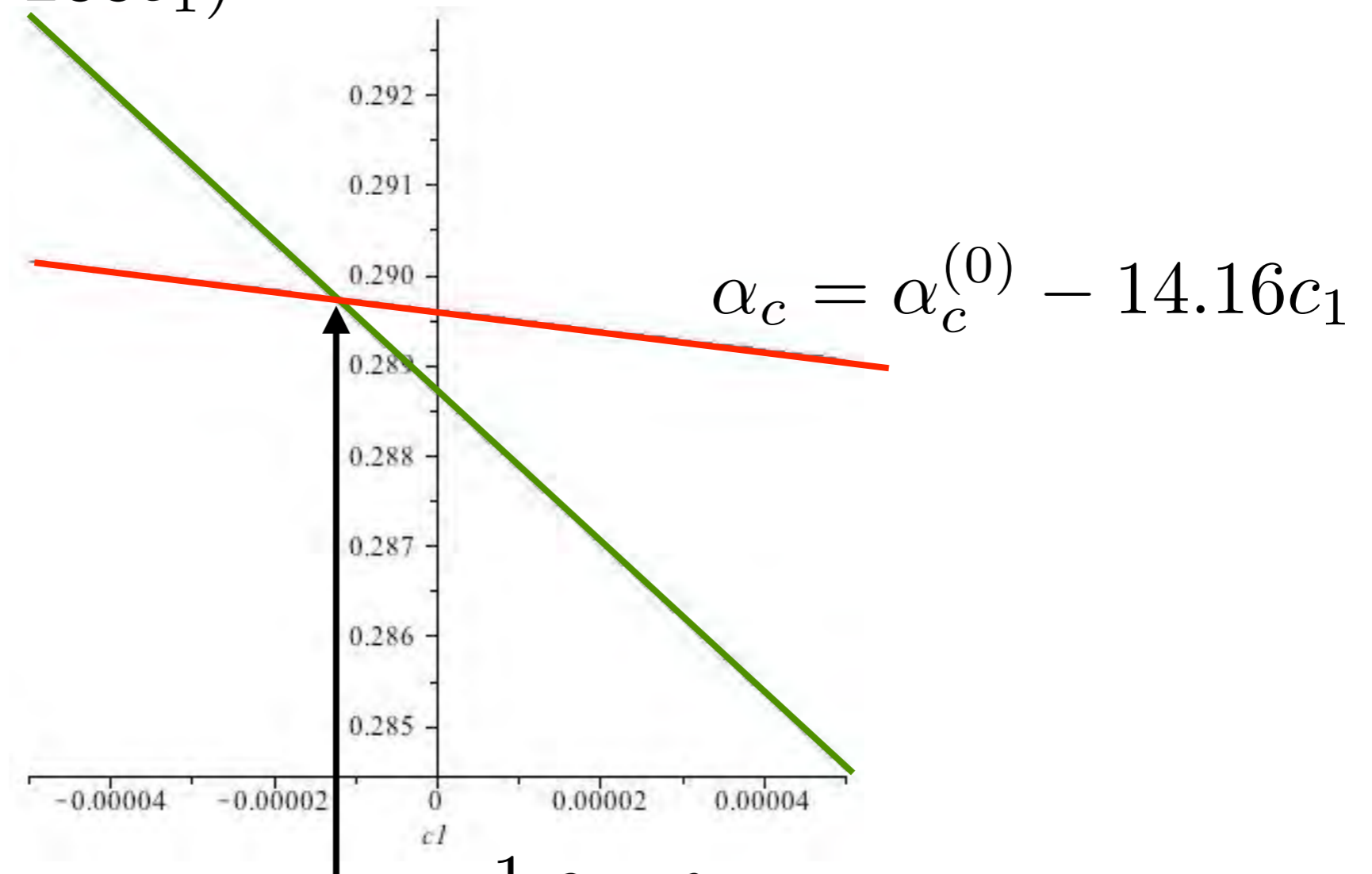


$$\alpha_s = \alpha_s^{(0)} (1 - 288c_1)$$



$$\alpha_c = \alpha_c^{(0)} - 14.16c_1$$

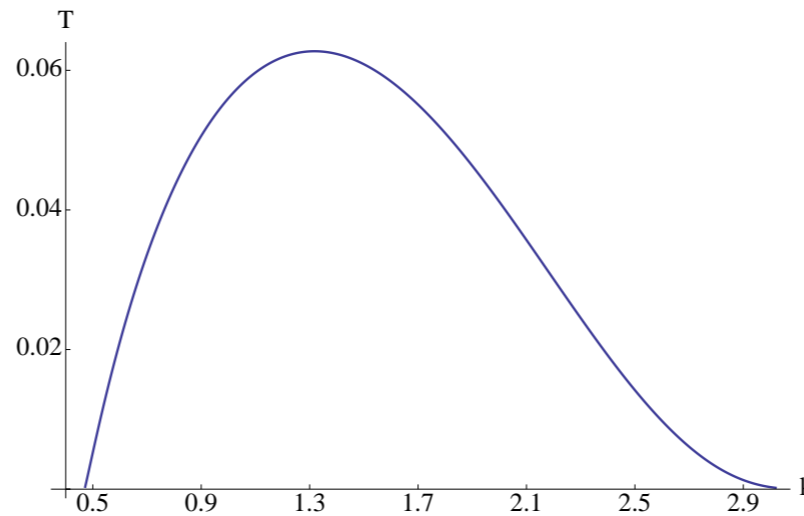
$$\alpha_s = \alpha_s^{(0)} (1 - 288c_1)$$



$$c_1 = \frac{1}{8} \frac{c - a}{c} = -1.30027 \times 10^{-5}$$

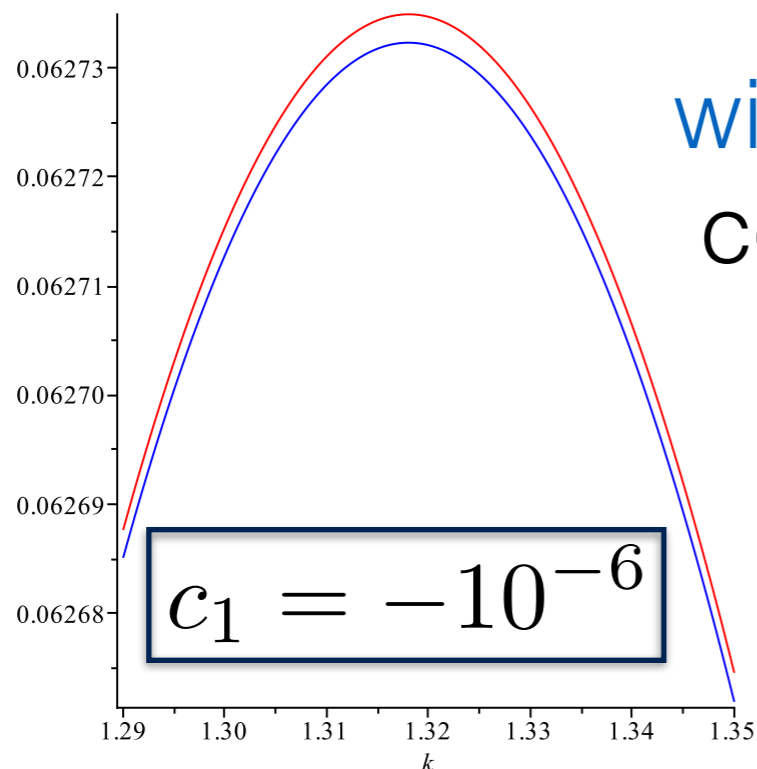
# Analysis in full background

- Reminder:  
( $\frac{\alpha}{\alpha_c} \approx 1.47$ )

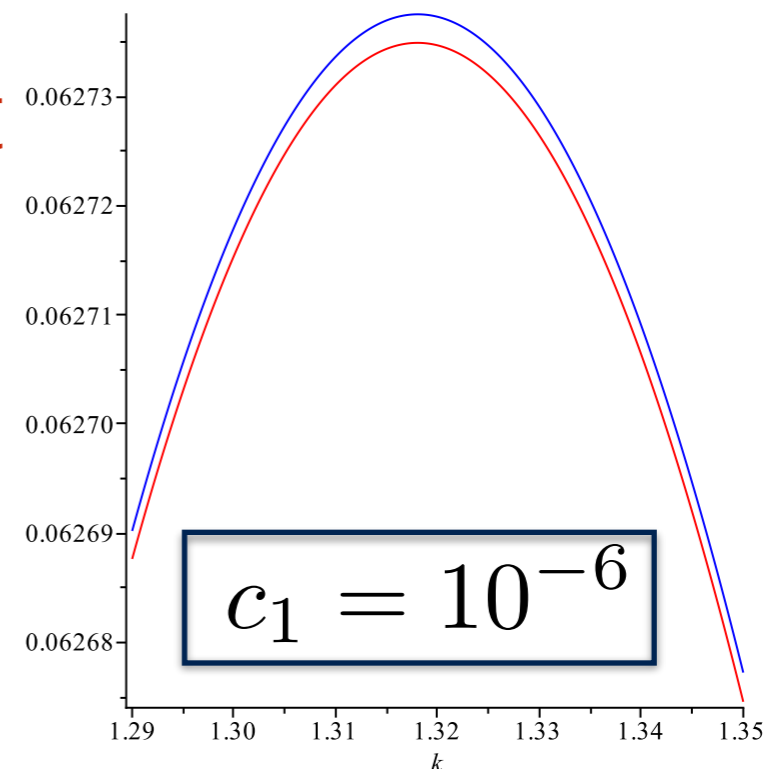


[Taken from:  
Donos, Gauntlett]

- Higher derivative corrections (still  $\alpha \approx 1.47\alpha_c$ ):



with & without  
corrections



# $c - a$

- Violations of the KSS bound:  $\frac{\eta}{s} = \frac{1}{4\pi} \left( 1 - \frac{c - a}{c} + \dots \right)$

[Brigante, Liu, Myers, Shenker, Yaida; Buchel, Myers, Sinha]

- Mixed current-gravitational anomaly:

[Anselmi, Freedman, Grisaru, Johansen]

$$D_a J^a = \frac{c - a}{24\pi^2} R_{abcd} \tilde{R}^{abcd}$$

- Superconformal indices at high  $T = \beta^{-1}$

$$\sum (-1)^F e^{-\beta(\Delta + \frac{1}{2}R)} \approx e^{-\frac{16\pi^2}{3\beta}(a-c)}$$

[Di Pietro, Komargodski]

- Single-trace higher spin gap in large  $N$  SCFT

[Camanho, Edelstein, Maldacena, Zhiboedov]

$$\left| \frac{a - c}{c} \right| \lesssim \frac{1}{\Delta_{\text{gap}}^2}$$

dim. of lightest higher spin  
single-trace operator

- In CFTs with  $a > c$ , universal term in entanglement entropy can become negative for certain higher genus entangling surfaces

[Perlmutter, Rangamani, Rota]

# Results on $c - a$

- In  $\mathcal{N} = 1$  SCFT:  $\frac{1}{2} \leq \frac{a}{c} \leq \frac{3}{2}$  [Hofmann, Maldacena]

with  $\frac{a}{c} = \frac{3}{2}$  for free theory with only vector multiplets.

However,  $\frac{a - c}{c} = \frac{1}{2} \not\leq 1$

- „Normal“ large  $N_c$  CFTs (with  $SU(N_c)$ ,  $SO(N_c)$ ,  $Sp(N_c)$  gauge group) have  $c > a$  [Buchel, Myers, Sinha]
- Non-Lagrangian theories arising as IR limit of world-volume theories of  $N$  M5-branes wrapping Riemann surface with  $g > 1$  can have  $a - c > 0$  [Gaiotto; Gaiotto, Maldacena]

# Conclusion

- Higher derivative corrections to minimal 5D gauged  $\mathcal{N} = 2$  SUGRA could make R-charged RN black holes unstable if dual  $\mathcal{N} = 1$  CFT has  $a > c$

- Outlook:

- ★ Confirm near horizon result by fluctuations in full geometry

- ★  $\alpha \rightarrow \infty$  limit should be tractable analytically

[Ovdat, Yarom]

**ευχαριστώ πολύ!**