

# The Black Hole Information Paradox in AdS-CFT

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# Information Paradox: Two Aspects

- Can “small corrections” restore unitarity to the density matrix of the Hawking radiation outside the black hole.
- Strong Subadditivity paradox a.k.a. firewall/fuzzball paradox.

# Efficacy of small corrections

Exponentially small corrections of the order of  $e^{-S}$  can restore unitarity to Hawking radiation.

# Producing Entangled Pairs

- Simple understanding of Hawking radiation: imagine **particles** and **anti-particles** are produced at the horizon by **vacuum fluctuations**.
- One of the pair falls into the black-hole, and the other falls out.
- For photons, for example, vacuum fluctuations could create an **entangled pair**

$$|\Psi\rangle_{\text{hawk}} = \frac{1}{\sqrt{2}} (|+\rangle|-\rangle + |-\rangle|+\rangle)$$

- The **density matrix** of the bit falling outside is

$$\rho = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

- Let us naively assume that the *same process* of Hawking radiation repeats  $K$  times.
- The density matrix of the radiation outside will look like

$$\rho_0^K \equiv \rho \otimes \rho \otimes \rho \dots K \text{ times,}$$

- The entropy of this density matrix is

$$S_{\text{hawk}} = -\text{Tr}(\rho^K \ln \rho^K) = K \ln 2.$$

# Can Small Corrections Unitarize Hawking Radiation?

- If we modify each individual density matrix by a small amount,

$$\rho_{\text{str}} = \rho_1 + \epsilon \rho_{\text{corr}},$$

- But assume that these corrections are **uncorrelated** then

$$S_{\text{hawk}} - \left[ -\text{Tr}(\rho_{\text{str}}^K \ln(\rho_{\text{str}})^K) \right] \sim O(\epsilon).$$

- This is Mathur's argument for why small corrections cannot unitarize Hawking radiation.

# Unitarizing Hawking Radiation with small corrections

- The point is that **exponentially small correlations between different Hawking quanta** can unitarize Hawking radiation.
- This correction cannot be detected at any order in perturbation theory: **it is inherently non-perturbative**.

## Aside on Exponentially Suppressed corrections

- The entropy of a solar-mass black hole is approximately  $10^{77}$ .
- So, exponentially suppressed corrections are of the order  $e^{-10^{77}}$  !



# Path Integral Perspective

- Imagine formulating quantum gravity through the Feynman path integral

$$\mathcal{Z} = \int e^{-S} \mathcal{D}g_{\mu\nu}$$

- A semi-classical spacetime is a **saddle point** of this path-integral.
- Perturbative effective field theory (used to derive the Hawking answer) is an **asymptotic series expansion** of this path-integral.
- Non-perturbatively, the **notion of spacetime breaks down**.

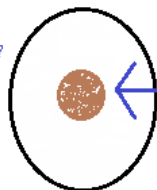
# Non-perturbative nonlocality!



*COAL*



*Horizon*



*Matter*

*BLACK HOLE*

# Form of the Corrections

- We need:

$$\rho_{\text{exact}} = \rho_{\text{hawk}} + 2^{-N} \rho_{\text{corr}},$$

- The condition is that in a natural basis of observables,  $\rho_{\text{corr}}$  has elements that are  $O(1)$ .

## Exponential small corrections contd ...

- Now after  $N$  steps, the Hawking density matrix looks like a big identity matrix

$$\rho_{\text{hawk}} = \frac{1}{2^N} I_{2^N \times 2^N},$$

- If  $\rho_{\text{exact}}$  is unitary, we must have (in some basis):

$$\rho_{\text{exact}} = \begin{pmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & 0 \end{pmatrix}.$$

- So (in a possibly very unnatural basis) the correction must be:

$$\rho_{\text{corr}} = \begin{pmatrix} 2^N - 1 & 0 & 0 & \dots & 0 \\ 0 & -1 & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & -1 \end{pmatrix}.$$

## Exponential Small Corrections contd...

- This is perfectly consistent with the statement that the elements of  $\rho_{\text{corr}}$  are  $O(1)$  in some natural basis.
- Consistency check: we must have

$$\text{Tr}(\rho_{\text{corr}}^2) \approx 2^{2N}.$$

- But,

$$\text{Tr}(\rho_{\text{corr}}^2) = \sum_{ij} \rho_{\text{corr}}^{ij} \rho_{\text{corr}}^{ij} = O\left(2^{2N}\right),$$

which is just the number of elements of  $\rho_{\text{corr}}$ .

# A Toy Model

- It is easy to produce a toy-model where the density matrix has these properties.
- Consider a system of  $N$  spin-(1/2) spins. This has  $2^N$  states. We can label these states by numbers and read off the individual spins using the **binary** expansion of the number.

$$| + + + \dots\dots + + \rangle \equiv |0\rangle$$

$$| + + + \dots\dots + - \rangle \equiv |1\rangle$$

$$| + + + \dots\dots - + \rangle \equiv |2\rangle$$

$$| + + + \dots\dots - - \rangle \equiv |3\rangle$$

...

# Pure States and Hawking Evaporation

- Consider a **generic pure state** in this spin-model

$$|\Psi\rangle = \frac{1}{2^{\frac{N}{2}}} \sum_{i=0}^{2^N-1} a_i |i\rangle$$

where the  $a_i$  are chosen to be either 1 or  $-1$  with probability  $\frac{1}{2}$ .

- Consider **breaking off the spins one by one**.

# Thermal Density Matrices with Small Corrections

- Even though the **full density matrix is pure**, if we consider  $K$ -spins for  $K \ll \frac{N}{2}$ , their density matrix will look thermal up to exponentially small corrections.
- For example,

$$\begin{aligned}\rho_1 &= \frac{1}{2^N} \left( \sum_{j=0}^{2^{N-1}-1} a_{2j}^2 |0\rangle\langle 0| + a_{2j+1}^2 |1\rangle\langle 1| + a_{2j} a_{2j+1} (|0\rangle\langle 1| + |1\rangle\langle 0|) \right) \\ &= \frac{1}{2} \left( |0\rangle\langle 0| + |1\rangle\langle 1| + \mathcal{O}\left(2^{-\frac{N}{2}}\right) (|0\rangle\langle 1| + |1\rangle\langle 0|) \right).\end{aligned}$$

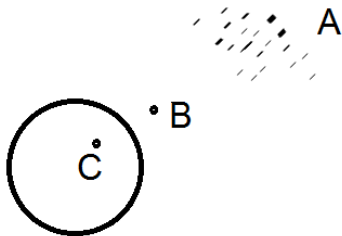
- But if we start looking at  $\frac{N}{2}$  spins or more, the **exponentially small corrections** become important.



# Recent sharpening of the information paradox

- The info paradox was sharpened by **Mathur** in 2009.
- This argument has recently been expanded upon by Almheiri, Marolf, Polchinski, and Sully, and has attracted much attention.

# Three Subsystems



The key point is to think of **three subsystems**

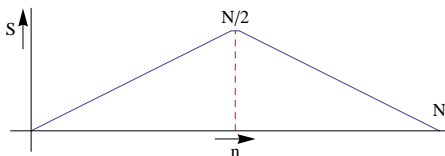
- 1 The radiation emitted long ago – **A**
- 2 The Hawking quanta just being emitted – **B**
- 3 Its partner falling into the BH – **C**

# Entropy of A

- Say the Black Hole is formed by the collapse of a pure state.
- Consider the entropy of system A

$$S_A = -\text{Tr} \rho_A \ln \rho_A$$

- Very general arguments tell us this must **eventually start decreasing**



## Strong Subadditivity contradiction?

- Now, consider an **old black hole**, beyond its “Page time” where  $S_A$  is decreasing. We must have

$$S_{AB} < S_A$$

since  $B$  is purifying  $A$ .

- Second, the pair  $B, C$  is related to the Bogoliubov transform of the vacuum of the infalling observer, we have

$$S_{BC} = 0$$

- Finally, both  $B$  and  $C$  are thermal, so

$$S_B = S_C > 0$$

- However, a very general theorem tells us that for any three **distinct** systems  $A, B, C$ , we have

$$S_A + S_C < S_{AB} + S_{BC}$$

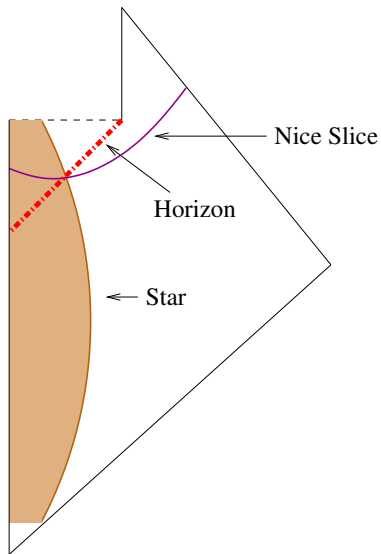
# Resolution to the Strong Subadditivity Paradox

The resolution of the strong-subadditivity paradox is through

**Black Hole Complementarity:** The interior and exterior of a black hole are **not independent**. The interior is a scrambled version of (part of the) exterior!

This resolves the strong subadditivity paradox because  $A$  and  $C$  are not independent.

# Original Motivation for BH Complementarity



# Objections to Black Hole Complementarity

- To explain the objection, let us go back to our spin-chain model.
- We can model the Hawking quanta outside the black-hole, as spins “breaking off” from the spin chain. [WARNING: May be misleading]
- What about the Hawking quanta that falls into the black hole? Where do we see that in this toy model?

# Infalling Quanta in the Toy Model

- Let us make a simple coarse-graining of the system:

$$\mathcal{H} = \mathcal{H}_1 \otimes \mathcal{H}_{N-1}$$

The coarse-grained d.o.f. is the first spin, and the fine-grained d.o.fs are all the other spins.

- Let us write out pure state as

$$|\psi\rangle = \frac{1}{\sqrt{2}} (|+\rangle|\phi_+\rangle + |-\rangle|\phi_-\rangle)$$

- We measure

$$S_1 = |-\rangle\langle-| - |+\rangle\langle+|$$

We can define

$$\tilde{S}_1 = |\phi_+\rangle\langle\phi_+| - |\phi_-\rangle\langle\phi_-|$$

- Measurement of  $\tilde{S}_1$  are **precisely anti-correlated** with measurements of  $S_1$ .



# Large commutators in the Toy Model

- We could, for example, choose the any  $p$ -bits to correspond to the coarse d.o.fs and the other  $N - p$  to correspond to the fine d.o.fs
- However, if we take  $p > \frac{N}{2}$ , then there is no  $\tilde{S}_1$  that has small commutators with  $S_1, S_2, \dots S_p$ .
- The naive translation of this fact is: **once more than half the black hole has evaporated, we are forced to have large commutators between operators outside and inside the black hole.**

# The Abstract Problem of BH Complementarity

Perhaps the **spins-breaking-off model** is not a good model. Abstractly, we need a setup with the following property:

- 1 A large Hilbert space  $\mathcal{H}_{\text{full}}$  and a **subspace**  $\mathcal{H}_{\text{in}}$ .
- 2 A “natural basis” of operators  $O_n$  of  $\mathcal{H}_{\text{full}}$  and a basis of operators  $\tilde{O}_n$  for  $\mathcal{H}_{\text{in}}$ .
- 3 These have the property that  $[\tilde{O}_n, O_m] \sim \frac{c_{nm}}{\dim(\mathcal{H}_{\text{full}})}$
- 4 Also,  $\tilde{O}_n$  and  $O_n$  are perfectly correlated in some given state.

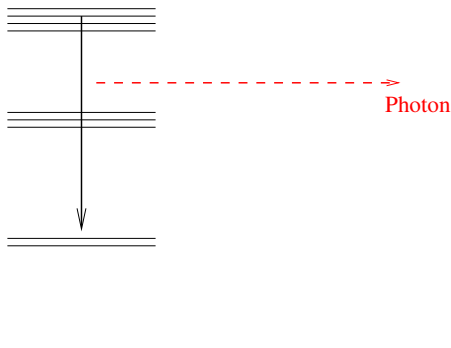
## Coarse Graining Again

- We can produce this setup if we assume that the “natural observables” that a **coarse-grained observer** can access **do not span** the full space.
- Consider the following analogy: If I measure  $10^{26}$  pieces of information about the gas in this room, **there is no continuous density profile that is consistent with my data**. At this level of accuracy, the gas is a bunch of distinct atoms.
- Nevertheless, for most purposes, such as waving my hand, a continuous density profile is perfectly good.

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- Nevertheless, for most purposes, such as waving my hand, a continuous density profile is perfectly good.
- If I keep track of **all the information in the** bits that are emitted by an old black hole, **there is no semi-classical metric that can accurately reproduce my measurements**. This measurement is inherently non-perturbative.

# A Toy Model with Natural Coarse Graining



- Imagine a system, with fine-spacing in its energy levels.
- Transitions between these energy levels lead to the emission of a photon.

# Coarse Graining the Photon Field

- The photon field outside can be quantized in terms of

$$A_\mu(x, t) = \sum_n a_{n,\mu} e^{in\omega_0(t-x)} + a'_{n,\mu} e^{in(\omega_0+\epsilon)(t-x)} + h.c$$

- However, a coarse grained observer will see an **effective coarse grained field**

$$A_\mu^{\text{coarse}}(x, t) = \sum_n (a_{n,\mu} + a'_{n,\mu}) e^{i\omega_n(t-x)} + h.c$$

- If we consider a configuration of photons, with total energy  $E = N\omega_1$ , then **half the degrees of freedom** are in excitations of the oscillators  $\frac{1}{\sqrt{2}}(a_{n,\mu} - a'_{n,\mu})$ .

# Exponential degeneracies in the black hole

- The black-hole does have these **closely spaced frequencies**.
- The energy-levels of a black hole **even in global AdS** are separated by a spacing of order  $e^{-S}$ .
- **Semi-classically**, it appears that the black-hole emits into all frequencies: the spectrum of the wave-operator on the black hole background is continuous.

# Summary: proposed resolution of the strong subadditivity paradox

- The information may be outside the black-hole, but is not accessible to a coarse-grained observer:

$$H_{\text{coarse}} \neq H_{\text{out}}$$

- We can use the **fine structure** of the emitted radiation to reconstruct the interior of the black hole. (Kyriakos talk.)
- This leads to commutators

$$[\phi_{\text{out}}, \phi_{\text{in}}] \sim e^{-S},$$

which is acceptable.