

# A holographic model for the fractional quantum Hall effect

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WIP with Elias Kiritsis, Matthew Lippert and Tassos Taliotis,  
1307.xxxx

# Outline

Introduction: Phenomenology of the FQHE

First Try: Dyonic Black Holes and  $SL(2, \mathbb{R})$

$SL(2, \mathbb{Z})$  and the Real-Analytic Eisenstein Series

Conclusions & Further Directions

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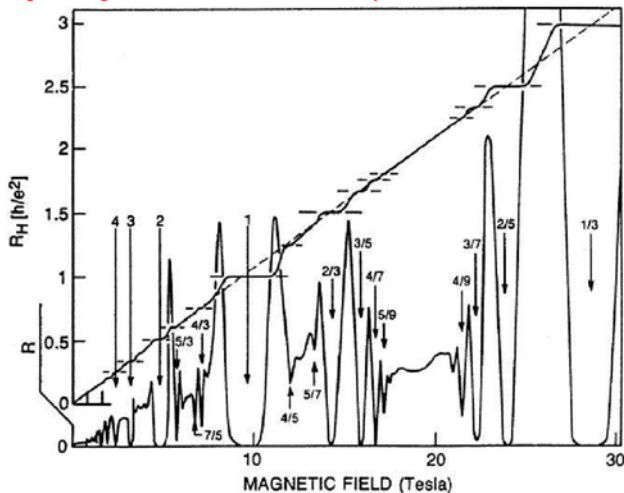
First Try: Dyonic Black Holes and  $SL(2, \mathbb{R})$

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# The Fractional Quantum Hall Effect

- ▶ In systems with 2D electron gases, at very low temperatures, high magnetic fields, clean samples :



Stormer (1992)

# The Fractional Quantum Hall Effect

- ▶ FQHE states are **gapped** states with **quantized Hall conductivity**

$$\sigma_{xy} = \frac{p}{q} \left( \frac{e^2}{h} \right), \quad p, q \in \mathbb{Z}, \quad q \text{ odd}$$

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- ▶ Physics of pseudoparticle excitations invariant under **Modular Group Action** :  $\sigma = \sigma_{xy} + i\sigma_{xx}$

$$\sigma \mapsto \frac{a\sigma + b}{c\sigma + d}, \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(2) \subset SL(2, \mathbb{Z}), \quad c \text{ even}$$

- $\sigma \mapsto \sigma + 1$  **Landau Level addition (T)**
- $-\frac{1}{\sigma} \mapsto 2 - \frac{1}{\sigma}$   **$2\pi$  Statistical Flux attachment ( $ST^2S$ )**

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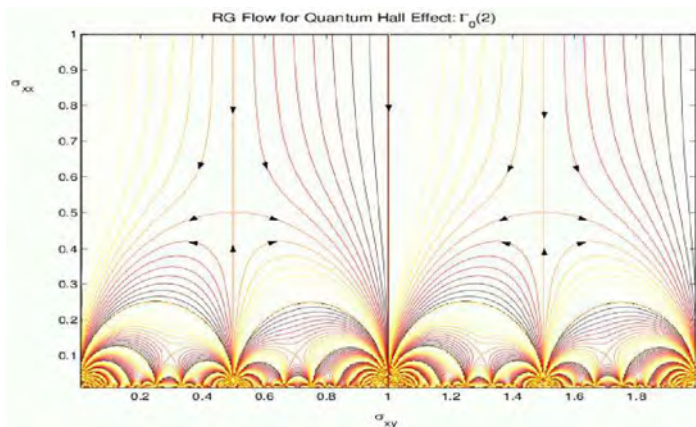
- $\sigma \mapsto \sigma + 1$  **Landau Level addition (T)**
- $-\frac{1}{\sigma} \mapsto 2 - \frac{1}{\sigma}$   **$2\pi$  Statistical Flux attachment ( $ST^2S$ )**
- ▶ **Group action commuting with the RG flow implies** that RG fixed points are  $\Gamma_0(2)$  fixed points, structure imprinted on  $\sigma$  flows in  $\sigma_{xx} - \sigma_{xy}$  plane

# The Fractional Quantum Hall Effect

► Assume:

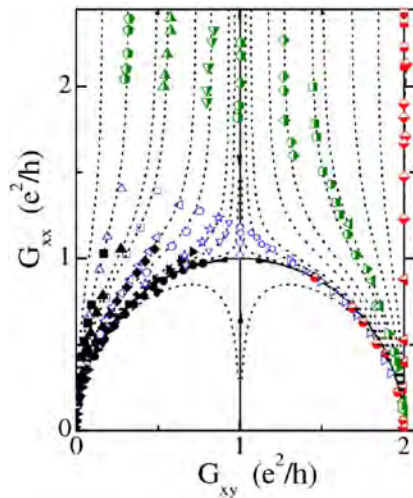
- Integers are attractive
- $\sigma_{xx} \downarrow$  as  $T \downarrow$  (semi-conductor behaviour )

Modular symmetry  $\Rightarrow$  Even denominators repulsive





# The Fractional Quantum Hall Effect



[S.S. Murzin et al 2002]

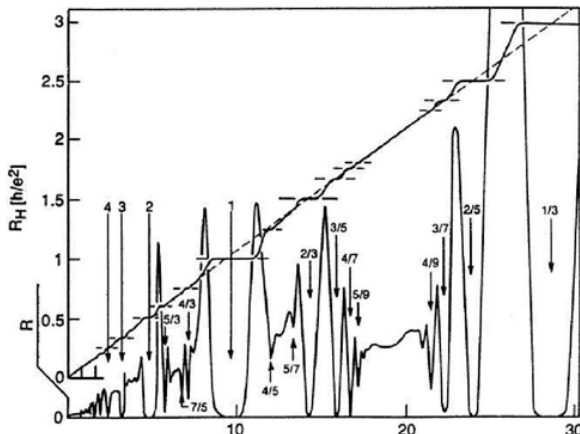
# The Fractional Quantum Hall Effect

- ▶ Any transition can be reached from  $\sigma : 0 \rightarrow 1$  by a  $\Gamma_0(2)$ :

$$\sigma' = \frac{(p' - p)\sigma + p}{(q' - q)\sigma + q} \Rightarrow \gamma = \begin{pmatrix} p' - p & p \\ q' - q & q \end{pmatrix} \in \Gamma_0(2)$$

$\Rightarrow$  Selection Rule:  $p'q - pq' = 1$  (e.g.  $1/3 \rightarrow 2/5$ )

[Dolan 1998]

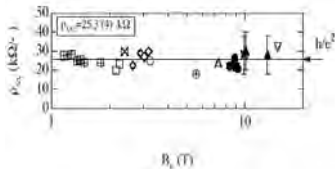


# Universal Behaviour in FQH Transitions

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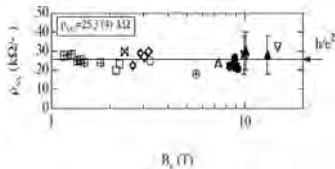
- ▶ **Semi-Circle law** in  $\sigma$ -plane
- ▶ **QHL-QHI Transition:**  $B_C$  is temperature independent and  $\rho_{xx}(B_C)$  largely sample-independent



[cond-mat/9805143]

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[cond-mat/9805143]

- ▶ Transition is a **2nd order QPT** :

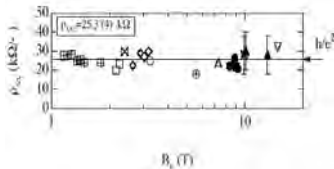
[Fisher '90]

- ▶ Simple scaling  $\Rightarrow \sigma(T, \Delta B, n, \dots) = \sigma(\Delta B/T^\kappa, n/T^{\kappa'}, \dots)$
- ▶ **Superuniversality**:  $\kappa$  and  $\kappa'$  are same for all transitions
- ▶ Experimentally:  $\kappa = \kappa' = 0.42 \pm 0.01$
- ▶ Nonlinear inversion symmetry around critical point

[Wanli et al 2009]

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- ▶ **CAN WE REPRODUCE THIS IN A SINGLE HOLOGRAPHIC MODEL BY USING MODULAR INVARIANCE?**

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# $SL(2, R)$ and Black Hole Charges

- ▶ The main idea of [1007.2490,1008.1917,WIP] is to use an  $SL(2,R)$  or  $SL(2,Z)$  invariant gravity action. These groups act on the electric and magnetic charges of the black hole solutions, which label the QH plateaux with charge density  $n$  and external magnetic field  $B$ . The filling fraction  $n/B$  inherits the group action, as do the conductivity and other observables.



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- ▶ The starting point of [1007.2490] is the  $SL(2,R)$  invariant action

$$S = \int d^4x \sqrt{-g} \left[ R - 2\Lambda - \frac{1}{2}(\partial\phi)^2 - \frac{1}{2}e^{2\phi}(\partial a)^2 - \frac{1}{4}(e^{-\phi}F^2 + aF\tilde{F}) \right]$$

- ▶  $SL(2,R)$  acts on the fields as

$$\tau = a + ie^{-\phi} = \tau_1 + i\tau_2, \quad \tau \rightarrow \frac{a\tau + b}{c\tau + d} \quad \text{and}$$

$$F \rightarrow F' = (c\tau_1 + d)F - c\tau_2\tilde{F} \quad ds^2 \rightarrow ds^2$$

# $SL(2, R)$ and Black Hole Charges

- ▶ **Dyonic black branes** generated from purely electric ones by  $SL(2, R)$ .

[1007.2490]

$$Q'_e = aQ_e, \quad Q'_m = cQ_e$$

# $SL(2, R)$ and Black Hole Charges

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$$Q'_e = aQ_e, \quad Q'_m = cQ_e$$

- ▶ Any **filling fraction** can be generated in this way. The electric solution flows in the IR to  $\tau_{1*} = 0, \tau_{2*} = +\infty$ , which after  $SL(2, R)$  becomes  $\tau'_{1*} = \frac{a}{c}$  and  $\tau'_{2*} = \tau_{2*}^{-1} = 0$ . The filling fraction in the IR is hence equal to the value of the transformed axion

$$\nu = \frac{Q'_e}{Q'_m} = \frac{a}{c} = \tau'_{1*},$$

which can be roughly thought of setting the Chern-Simons level in the dual field theory. [1007.2490].

# $SL(2, R)$ and Black Hole Charges

- ▶ Are these black branes the QH plateaux?

**Evidence 1:** They are unique IR attractors by the **attractor mechanism** (in the absence of a scalar).

**Evidence 2: Hall Conductivity [1007.2490]** used the known AC conductivity of the purely electric solution,

$$\sigma_{xx} = C' \frac{T^2}{\mu^2} + iC'' \frac{\mu}{\omega} + \dots, \quad \sigma_{yx} = 0$$

and the action of  $SL(2, R)$  on the AC conductivity to show that at low frequencies the **Hall conductivity agrees with the filling fraction and also the axionic attractor value.** .

$$\sigma'_{yx} = \frac{a}{c} (1 + O(\omega^2)) , \quad \sigma'_{xx} = \frac{16}{i(Q'_m)^2 C''} \frac{\omega}{\mu} (1 + O(\omega)) .$$

The DC conductivity vanishes exactly.

N.B.: No  $\omega^{-1}$  pole since momentum is nonconserved in external magnetic field.

## Summary: $SL(2, \mathbb{R})$ invariant model of [1007.2490]

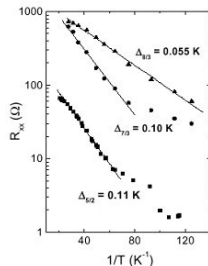
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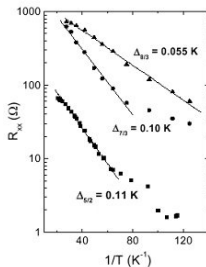
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  1. There is no hard gap in the charged excitations, i.e.  $\sigma_{DC}$  does not vanish as  $e^{-\frac{\Delta}{T}}$  at low temperatures ( $T \ll \mu$ ), but as a power law.



[Pan et al PRL 83 1999]

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  1. There is no hard gap in the charged excitations, i.e.  $\sigma_{DC}$  does not vanish as  $e^{-\frac{\Delta}{T}}$  at low temperatures ( $T \ll \mu$ ), but as a power law.
  2. Performing a  $SL(2,R)$  trafo from one filling fraction to another,  $\sigma_{DC}(T=0) = 0$  along the way, while the Hall conductivity changes. This is not the experimentally observed behavior.



[Pan et al PRL 83 1999]



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[WIP with Elias Kiritsis, Matthew Lippert, Anastasios Taliotis]

- ▶ In string theory,  $SL(2, \mathbb{R})$  is usually broken to  $SL(2, \mathbb{Z})$  by nonperturbative effects. This typically will generate a  $SL(2, \mathbb{Z})$  invariant potential for the axio-dilaton ( $\tau = \tau_1 + i\tau_2 = a + ie^{\gamma\phi}$ )

$$S = M_{Pl}^2 \int d^4x \sqrt{-g} \left[ R - \frac{1}{2\gamma^2} \frac{\partial\tau\partial\bar{\tau}}{\tau_2^2} + V(\tau, \bar{\tau}) - \frac{1}{4} \left( \tau_2 F^2 + \tau_1 F\tilde{F} \right) \right]$$

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$$V(\tau, \bar{\tau}) = E_s(\tau, \bar{\tau}) = \sum_{m,n \in \mathbb{Z}^2 / 0,0} \left( \frac{|m+n\tau|}{\tau_2} \right)^{-s}$$

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- ▶ For large  $\tau_2$  there is an expansion

$$E_s = 2\zeta(2s)\tau_2^s + 2\sqrt{\pi} \frac{\Gamma(s-1/2)}{\Gamma(s)} \zeta(2s-1)\tau_2^{1-s} + \text{instanton contributions}$$

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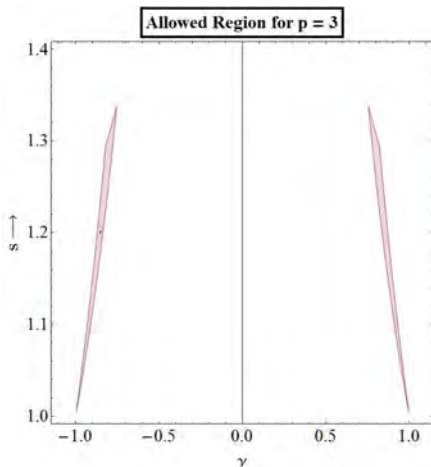
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- ▶ We tune the two parameters ( $\gamma, s$ ) such that the dyonic ground state has a gapped charged excitation spectrum. The  $SL(2, \mathbb{Z})$  dual CDBH we start from has a gapped and discrete spectrum.

# Gapped Spectra in Charged Systems and the FQHE

- ▶ For large  $\tau_2$  the potential is dominated by  $V \sim e^{\gamma s \phi}$ . CDBHs with this potential were extensively analyzed in [\[1005.4690\]](#).  
Gubser's constraint, thermodynamic instability of small black holes, consistency of the spin 1 fluctuation problem and existence of a discrete and gapped spectrum restrict  $(\gamma, s)$ :



# Gapped Spectra in Charged Systems and the FQHE

- ▶ **QH Plateaux?** Since  $E_s$  is  $SL(2,Z)$  invariant it has runaway minima at  $\tau_1 = \frac{p}{q}$ ,  $\tau_2 = 0$ , the images of the CDBH at  $\tau_2 = \infty$ . Their charges fulfill

$$\frac{Q_e}{Q_m} = \frac{p}{q} = \tau_{1*}.$$

**IR Geometry:** Magnetically charged DBH w.  $\tau_2 = e^{-\gamma\phi}$

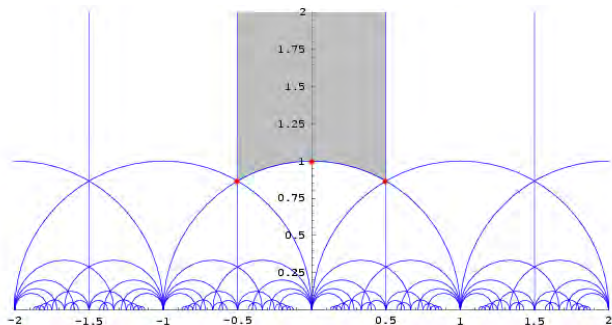
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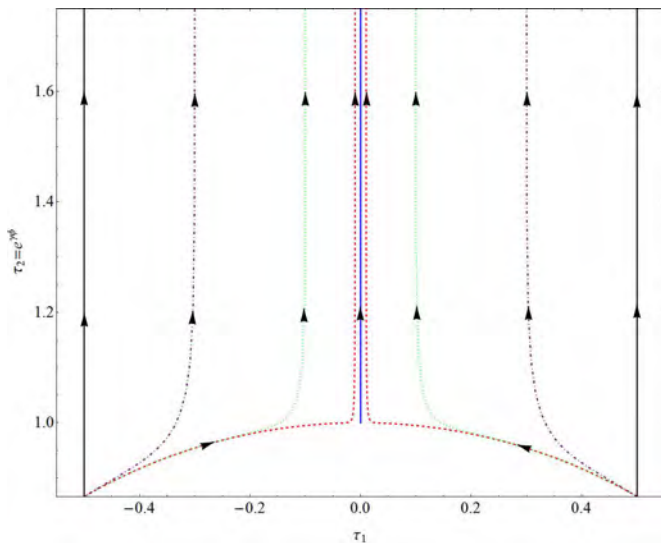
- ▶ **RG Flows:**  $E_s$  is stationary in the fundamental domain at the  $SL(2,Z)$  fixed points:





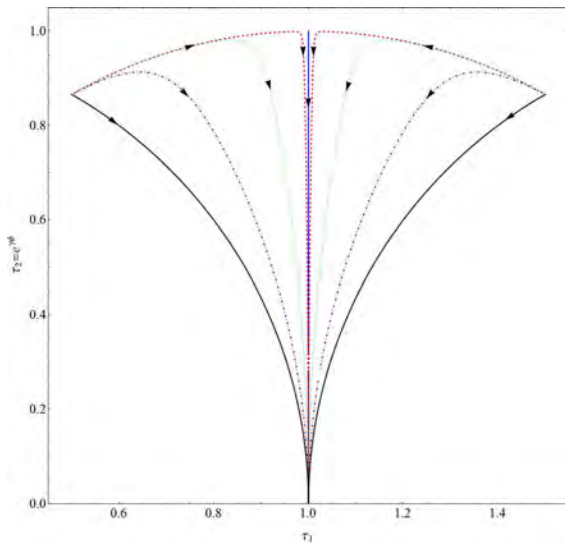
# Gapped Spectra in Charged Systems and the FQHE

- ▶ Since  $SL(2, \mathbb{Z})$  commutes with the RG flow it suffices to construct the RG flows inside the fundamental domain:



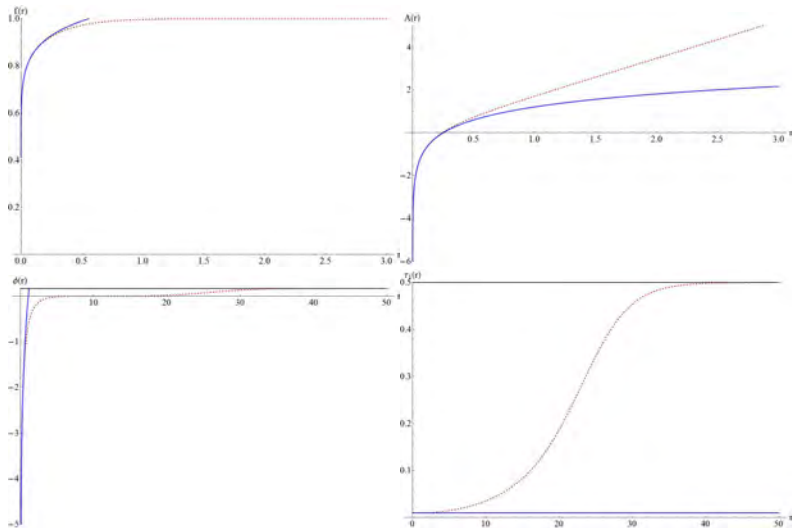
# Gapped Spectra in Charged Systems and the FQHE

- ▶ By  $SL(2, Z)$  we can generate flows to any QH plateaux  $\tau_1 = p/q$ .  
E.g.  $\nu = 1$  :



# Gapped Spectra in Charged Systems and the FQHE

- ▶ Our flows are the IR scaling geometries of [1005.4690]



# Gapped Spectra in Charged Systems and the FQHE

- ▶ **Conductivities:** At low enough temperatures the purely electric state is discrete and gapped. Hence the conductivity at small  $\omega$  is dominated by the contribution from translation invariance:

$$\sigma_{xx}(\omega) \simeq \frac{iC''\mu}{\omega} + \dots, \quad \sigma_{xy} = 0$$

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- ▶ Thus after  $SL(2, Z)$  the QH plateaux have the **correct Hall conductivity**

$$\sigma_{xy} = \frac{a}{c}$$

But are they gapped as well?

# Gapped Spectra in Charged Systems and the FQHE

- ▶ We calculate the charged spectrum in the dyonic geometry directly. We fluctuate

$$\delta A_x, \quad \delta A_y, \quad \delta g_{tx}, \quad \delta g_{ty}.$$

In general dyonic solutions with running scalars the equations can be decoupled into a single second order equation after taking linear combinations [0910.0645]

$$E_z = \omega(\delta A_x + i\delta A_y) + hg_{rr}(\delta g^x_t - i\delta g^y_t).$$

The fluctuations obey a single 2nd order ODE

$$E_z'' + F(r)E_z' + G(r)E_z = 0$$

This is equivalent to the Schrödinger problem

$$-\Psi'' + V(r, w)\Psi = 0$$

if we set  $\Psi(r) = E_z(r)e^{\frac{1}{2} \int dr F(z)}$

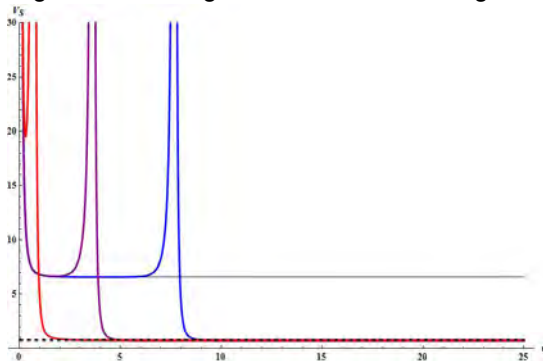
# Gapped Spectra in Charged Systems and the FQHE

- ▶ For our choice of  $\gamma, s$  the potential

$$V(r, w) = \frac{1}{4} (F^2 - 4G + 2F')$$

diverges in the IR and approaches  $\frac{1}{4L^2}$  in the UV. The spectrum is hence gapped in the QH state. .

E.g. Flow to filling fraction one from image of  $\tau = i$ :

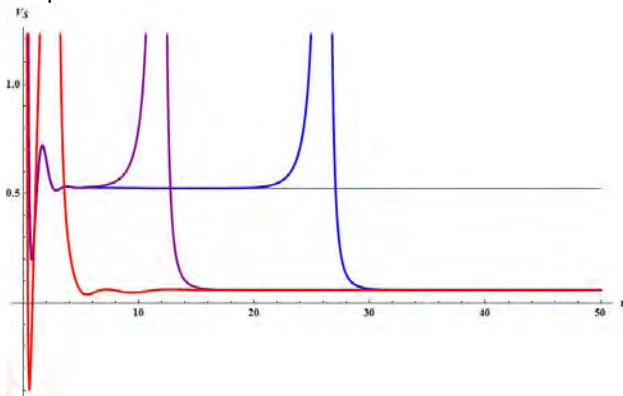


(blue  $w = 10^{-5}$ , purple  $w = 10^{-2}$ , red  $w = 1$ )



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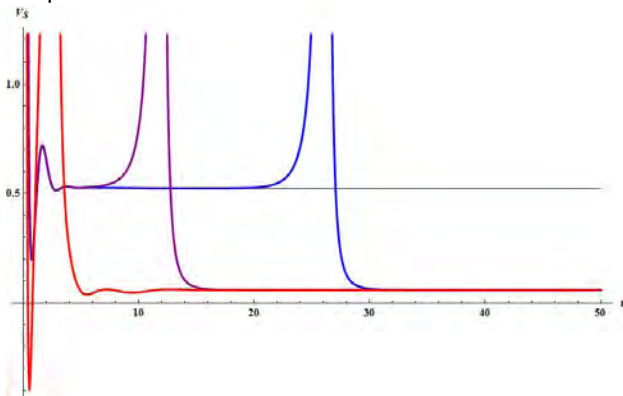
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- ▶ N.B.: The **singularity** in the potential is an accessory singularity, i.e. there is no monodromy, and the singularity is traversable by the wavefunctions. This was not appreciated in e.g. [\[0910.0645\]](#)

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# Conclusions

- ▶ Several holographic bottom-up models of the FQHE so far have employed  $SL(2,R)$  transformations to infer the properties of the QH state from an ungapped state at zero magnetic field. The resulting QH state was ungapped.

[1007.2490(,1008.1917)]

# Conclusions

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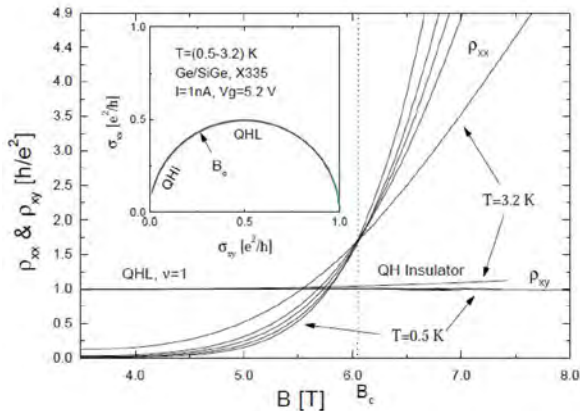
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  5. Phenomenology? Subgroups such as  $\Gamma_0(2)/\Gamma_\theta(2)$ ?
- ▶ **STAY TUNED!**

# Backup Slides

# The Fractional Quantum Hall Effect

- ▶ Semicircle law: Conductivity sweeps out a semicircle in  $\sigma$  plane during QH transitions  
[e.g. Burgess etal 1008.1917]



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- ▶ For the leading exponential potential in the Eisenstein series  $E_s = e^{\gamma s \phi} + \dots$  there are also **more general running scalar solutions** where both the axion and the dilaton runs:

$$g_{rr} = b_0 r^b, \quad g_{tt} = r^d, \quad g_{xx} = r^c, \quad \phi = \kappa \log r, \quad a = a_0 r^\lambda$$

We are classifying all of them along the lines of [Gouteraux & Kiritsis '12] in order to find all possible translation and rotationally invariant ground states.



## SL(2,R) invariant probe branes [1008.1917]

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- ▶ They **introduce dissipation** by separating the sector that generates the gravity background of [1007.2490] from the sector of charge carriers, which they model using a **SL(2,R) invariant probe brane**

$$S = M_{Pl}^2 \int d^4x \sqrt{-g} \left[ R - 2\Lambda - \frac{1}{2} ((\partial\phi)^2 + e^{2\phi}(\partial a)^2) \right] + M_{Pl}^2 S_{Lifshitz} + S_{gauge}$$

The first two terms are assumed to be separately SL(2,R) invariant, and  $S_{Lifshitz}$  to be chosen such as to generate the metric of the  $z = 5$  Lifshitz black hole of [1007.2490], together with an appropriate axio-dilaton profile.

# SL(2,R) invariant probe branes [1008.1917]

- ▶  $S_{gauge}$  is taken to be a SL(2,R) invariant version of the DBI action, treated in the probe limit:

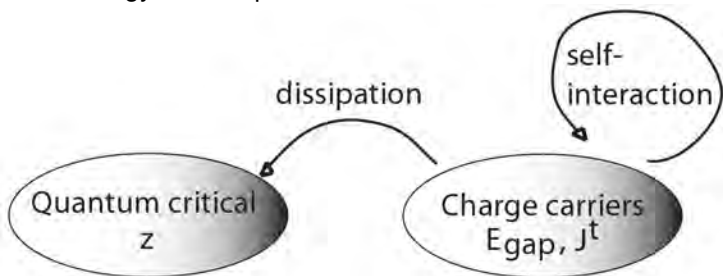
$$S_{gauge} = -T \int d^4x \left[ \sqrt{-\det(g_{\mu\nu} + \ell^2 e^{-\phi/2} F_{\mu\nu})} - \sqrt{-g} \right] - \frac{1}{4} \int d^4x \sqrt{-g} a F_{\mu\nu} \tilde{F}^{\mu\nu}$$

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- ▶ This describes self-interacting charge carriers coupled to a large reservoir of quantum critical excitations into which they can lose energy via dissipation:



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$$\sigma_{xx} = \frac{\sigma_0}{d^2 + c^2\sigma_0^2}, \quad \sigma_{xy} = \frac{ac\sigma_0^2 + bd}{d^2 + c^2\sigma_0^2},$$

with  $\sigma_0(T/\mu)$  the DC conductivity of the probe brane in the purely electric state (with  $\sigma_{yx} = 0$ ). For probe branes in Lifshitz backgrounds like

$$ds_z^2 = L^2 \left[ -h(r) \frac{dt^2}{r^{2z}} + \frac{dr^2}{r^2 h(r)} + \frac{dx^2 + dy^2}{r^2} \right]$$

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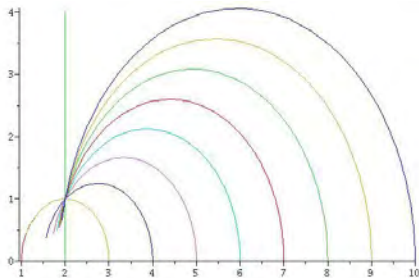
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- ▶ This temperature flow commutes with SL(2,R) or any subgroup.

# SL(2,R) invariant probe branes [1008.1917]

- ▶ The four parameters of the necessary SL(2,R) transformation are fixed by the data of the endpoint  $(Q'_e, Q'_m, a, e^{-\phi})$ . The temperature flow of the conductivities then trace out semi-circles in the  $\sigma$  plane, and for small  $T$  asymptote to (in linear response)

$$\begin{aligned}\sigma^{xx} &\sim \frac{\rho T^{2/z}}{B^2} \rightarrow 0 \\ \sigma^{xy} &= \nu = \frac{a}{c}\end{aligned}$$



This also predicts the superuniversality exponents  $\kappa \approx \frac{2}{z} = \kappa'$  close to the measured value if  $z = 5$  as in [1007.2490].

However there is still no hard gap. .