

# Singleton deformation of higher-spin theory and the phase structure of the $3d$ $O(N)$ vector model

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*[based on arXiv:1212.4421 with R. G. Leigh]*



## 1 Motivation

### 2 The $O(N)$ vector model

- A lightning review of the model
- The  $O(N) \rightarrow O(N - 1)$  symmetry breaking
- Anomalous dimensions

### 3 $O(N)$ /HS holography

- The HS/ $O(N)$  conjecture
- The gap equations from holography
- The singleton deformation of higher-spin theory and boundary symmetry breaking
- The calculation of boundary anomalous dimensions

### 4 Summary and outlook



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AdS/CFT provides a concrete relationship between gauge theories and gravity.

- Using supergravity (practically), we describe quite well the spectrum of gauge invariant operators in  $\mathcal{N} = 4$  SYM at strong coupling.
- Higher-spin (space-time or internal) single-trace operators correspond to string sigma-model configurations  $\rightarrow$  the integrability of the spectrum of superconformal gauge theories.

The above two phenomena motivated ideas regarding the connection between strings and gauge fields in the framework of Holography.



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If holography is a larger concept than AdS/CFT, it need not be tied to gauge fields or strings.

- To test the idea, study holographically conformal vector models that stand alone without the need of gauge fields  $\Rightarrow$  are not embedded in string theory.
- The best understood models are the  $O(N)$  bosonic and fermionic (Gross-Neveu) vector models.
- Such theories have been extensively studied in using the  $1/N$  expansion combined with CFT techniques. [E.G. ZINN-JUSTIN (89)]
- There is a rather good understanding of the spectrum of anomalous dimensions of all of their operators i.e. the elementary scalar,  $O(N)$ -singlets and non-singlet composites.



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## An example:

- The  $1/N$  anomalous dimensions of the  $O(N)$ -singlet higher-spin currents are:

$$J_{(s)} \sim \phi^a \partial_{(\mu_1} \dots \partial_{\mu_s)} \phi^a, \quad a = 1, 2, \dots, N$$

$$\Delta_s = s + 1 + 4\gamma_\phi \frac{s-2}{2s-1} + \dots, \quad s = 2k, \quad k = 1, 2, \dots, \quad \gamma_\phi \sim O(1/N)$$

- For  $s \rightarrow \infty$  these tend to

$$\Delta_s \rightarrow 2 \left( \frac{1}{2} + \gamma_\phi \right) + s$$

i.e. the sum of the anomalous dimensions of the elementary fields  $\phi^a$ .

- They are all determined by  $\gamma_\phi$ , i.e. the anomalous dimension of the elementary field  $\phi^a$ :  $\rightarrow$  contrast with  $\mathcal{N} = 4$  SYM.
- They do not exhibit the  $\ln S$  growth of the corresponding higher-spin currents in gauge theories  $\Rightarrow$  the model is not a gauge theory.
- Impossible to arise from some stringy sigma model i.e. rotating string in AdS. But can arise from rotating particles in AdS.



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## The conjectures:

### The bosonic vector model / HS conjecture

The holographic duality between the  $O(N)$  singlet sector of the bosonic vector model and the simplest *higher-spin gauge theory* on  $AdS_4$  was proposed in [KLEBANOV AND POLYAKOV (02)].

### The fermionic vector model / HS conjecture

The analogous conjecture regarding the  $O(N)$  fermionic vector model - complicated due to parity - was made in [LEIGH AND T. P. (03)]

- The bosonic conjecture has been tested up to 3-pt couplings: the bulk scalar cubic vertex vanishes [T. P. (03)], and *most* higher-spin cubic couplings give correctly the corresponding boundary 3-pt functions [E.G. GIOMBI AND YIN (09)].
- Important progress has also been made in the study of the Vasiliev higher-spin theories [VASILIEV ET. AL., SUNDELL, SEZGIN, SAGNOTTI ET.AL, BOULANGER, BEKAERT, ET. AL.]. This is a rather technical subject.



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On the other hand:

Vector models exhibit the field theoretic manifestations of global and discrete symmetry breaking.

- The bosonic model exhibits the  $O(N) \rightarrow O(N-1)$  global symmetry breaking pattern.
- The fermionic model exhibits parity symmetry breaking.

### Holography without gauge fields?

• What is the bulk counterpart of the global  $O(N)$  boundary symmetry, i.e. what are non-perturbative objects (analogues of D3-branes) in the bulk that give rise to gauge theory on  $AdS_4$  that give rise to the global  $O(N)$  symmetry?

• It is not known if only the  $O(N)$  singlet sector can be described

holographically  $\Rightarrow$  the vector channel remains mysterious and un-understood. (Banks, 2002)



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### Holography without gauge fields?

- What is the bulk counterpart of the global  $O(N)$  boundary symmetry. i.e. are there are non-perturbative objects (analogues of  $D3$ -branes) in higher-spin gauge theory on  $AdS_4$  that give rise to the global  $O(N)$  symmetry in the boundary?
- It is not known if only the  $O(N)$  singlet sector can be described holographically:  $\Rightarrow$  the vector theories possess nontrivial and well-understood non-singlet sectors.



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In this talk:

The Vasiliev HS theory is incomplete

I will argue that the bulk HS theory needs to be deformed by of by singletons to account for the  $O(N) \rightarrow O(N-1)$  symmetry breaking pattern of the vector model.

Specifically:

- Using the singleton deformation I will reproduce the boundary gap equation
- Using the singleton deformation I will reproduce the super-anomalous dimension of the elementary scalars  $\Rightarrow$  this raises the issue whether  $O(N)$  symmetry breaking is related to higher-spin symmetry breaking





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## The $O(N)$ Vector Model

- $N$  elementary (Euclidean) scalar fields  $\phi^a(x)$ ,  $a = 1, 2, \dots, N$  with Lagrangian

$$L = \frac{1}{2} \int d^3x \partial_\mu \phi^a \partial_\mu \phi^a,$$

subject to the constraint

$$\phi^a \phi^a = \frac{1}{G}$$

- We introduce a Lagrange multiplier scalar field  $\rho$  as

$$Z = \int (\mathcal{D}\phi^a)(\mathcal{D}\rho) e^{-I(\phi^a, \rho)}$$

$$I(\phi^a, \rho) = \frac{1}{2} \int d^3x \phi^a (-\partial^2) \phi^a + \frac{1}{2} \int d^3x \rho \left( \phi^a \phi^a - \frac{N}{g} \right), \quad g = GN$$

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$$Z = \int (\mathcal{D}\phi^a)(\mathcal{D}\rho) e^{-I(\phi^a, \rho)}$$

$$I(\phi^a, \rho) = \frac{1}{2} \int d^3x \phi^a (-\partial^2) \phi^a + \frac{1}{2} \int d^3x \rho \left( \phi^a \phi^a - \frac{N}{g} \right), \quad g = GN$$

- The dimensionful coupling  $1/G$  sets the physical mass scale of the theory:  $G \rightarrow 0$  is the free field theory limit which lies in the UV.



- The partition function  $Z$  and the effective action  $S_{eff}(\rho)$  are given by

$$Z = \int (\mathcal{D}\rho) e^{-NS_{eff}(\rho)}, \quad S_{eff}(\rho) = \frac{1}{2} \text{Tr} \ln(-\partial^2 + \rho) - \int d^3x \frac{\rho}{2g}$$

- The saddle point at large- $N$ , with constant  $\rho_0 = m^2$ , yields the

gap equation

$$\left. \frac{\partial S_{eff}(\rho)}{\partial \rho} \right|_{\rho_0} = 0 \Rightarrow \frac{1}{g} = \int \frac{d^3p}{(2\pi)^3} \frac{1}{p^2 + \rho_0}$$

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$$\begin{aligned}S_{eff}(\rho) &= V_{eff}(\rho_0, g) + \frac{1}{N} \mathcal{S}_{eff}^N(\sigma, \rho_0) \\V_{eff}(\rho_0, g) &= \frac{1}{2} \text{Tr} \ln(-\partial^2 + \rho_0) - \frac{\rho_0}{2g} (\text{Vol})_3 \\ \mathcal{S}_{eff}^N(\sigma, \rho_0) &= \frac{1}{2} \int \sigma(x) \Delta(x, y; \rho_0) \sigma(y) \\ &\quad + \frac{1}{3! \sqrt{N}} \int \sigma(x) \sigma(y) \sigma(z) P(x, y, z; \rho_0) + \dots\end{aligned}$$

- The generating functional  $W[\eta]$  for connected correlation functions of  $\sigma$  is

$$e^{W[\eta]} \equiv \int (\mathcal{D}\sigma) e^{-\mathcal{S}_{eff}^N(\sigma, \rho_0) + \int \eta \sigma}$$



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## 1 Motivation

## 2 The $O(N)$ vector model

- A lightning review of the model
- The  $O(N) \rightarrow O(N - 1)$  symmetry breaking
- Anomalous dimensions

## 3 $O(N)$ /HS holography

- The HS/ $O(N)$  conjecture
- The gap equations from holography
- The singleton deformation of higher-spin theory and boundary symmetry breaking
- The calculation of boundary anomalous dimensions

## 4 Summary and outlook



## The $O(N)$ Vector Model

- The gap equation determines the vacuum structure:  
With a UV cutoff  $\Lambda$  for the momentum integral, it is rewritten as

$$\begin{aligned}\frac{1}{g} &= \int^{\Lambda} \frac{d^3p}{(2\pi)^3} \frac{1}{p^2} - \int^{\Lambda} \frac{d^3p}{(2\pi)^3} \frac{\rho_0}{p^2(p^2 + \rho_0)} \\ &= \frac{\Lambda}{2\pi^2} - \frac{\sqrt{|\rho_0|}}{2\pi^2} \arctan \frac{\Lambda}{\sqrt{|\rho_0|}}\end{aligned}$$

- We define a critical coupling  $g_*$  as

$$\frac{1}{g_*} = \frac{\Lambda}{2\pi^2},$$

- The gap equation takes the suggestive form

$$\left( \frac{1}{g_*} - \frac{1}{g} \right) = \frac{\sqrt{|\rho_0|}}{2\pi^2} \arctan \frac{\Lambda}{\sqrt{|\rho_0|}} = \frac{\sqrt{|\rho_0|}}{4\pi} + O(\rho_0/\Lambda)$$





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The vacuum structure is found comparing  $g$  to  $g_*$ :

- For  $g > g_*$ ; we find  $m = \sqrt{|\rho_0|} \neq 0$  and the theory is massive.
- For  $g = g_*$ ; there is no mass scale left in the theory  $\rightarrow$  the generating functional of connected correlation functions of a scalar operator  $\sigma$  with dimension  $\Delta = 2 + O(1/N)$  in a three-dimensional CFT - the critical  $O(N)$  vector model.
- For  $g < g_*$ ; the only solution of the gap equation is  $\rho_0 = 0$ . However an arbitrary mass scale remains - the subtraction point of renormalisation - even after sending the cutoff to infinity.  
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## The $O(N)$ Vector Model

The clearer way to see the  $O(N) \rightarrow O(N-1)$  symmetry breaking pattern is to separate out the  $N$ 'th component of  $\phi^a$ 's, which we denote as  $\phi$ .

- Integrating over the remaining  $N-1$  elementary scalars we obtain

$$Z = \int [D\phi][D\rho] e^{-(N-1)S_{eff}(\rho, \phi)}$$

- The effective action is now defined as

$$S_{eff}(\phi, \rho) = S_{eff}^{N-1}(\rho) + \frac{1}{2(N-1)} \int d^3x \phi(-\partial^2 + \rho)\phi$$
$$S_{eff}^{N-1}(\rho) = \frac{1}{2} \text{Tr} \ln(-\partial^2 + \rho) - \frac{N}{(N-1)} \int d^3x \frac{\rho}{2g}$$

- Apart from the different  $N$  scaling of the coupling constant  $g$ :  
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## The $O(N)$ Vector Model

- The large- $N$  expansion is now performed around the constant saddle points  $\rho_0$  and  $\phi_0$  defined as

$$\rho(x) = \rho_0 + \frac{1}{\sqrt{N-1}}\sigma(x), \quad \phi(x) = \phi_0 + \varphi(x).$$

- $\rho_0, \phi_0$  are determined by the modified gap equations

$$\left. \frac{\partial S_{eff}}{\partial \rho} \right|_{(\phi_0, \rho_0)} = 0 \quad \Rightarrow \quad \frac{\phi_0^2}{N-1} = \frac{N}{(N-1)} \frac{1}{g} - \int \frac{d^3 p}{(2\pi)^3} \frac{1}{p^2 + \rho_0}$$
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## The $O(N)$ Vector Model

- The resulting effective action is then written as

$$\begin{aligned} S_{eff}(\phi, \rho) &= V_{eff}(\phi_0, \rho_0) + \frac{1}{N-1} \mathcal{S}_{eff}^{N-1}(\varphi, \sigma) \\ \mathcal{S}_{eff}^{N-1}(\varphi, \sigma) &= \mathcal{S}_{eff}^{N-1}(\sigma, \rho_0) + \frac{1}{2} \int \varphi(x) D_0(x, y; \rho_0) \varphi(y) \\ &\quad + \frac{1}{2\sqrt{N-1}} \int \sigma(x) \varphi^2(x) + \frac{\phi_0}{\sqrt{N-1}} \int \sigma(x) \varphi(x) \end{aligned}$$

### $O(N) \rightarrow O(N-1)$ symmetry breaking pattern

- The effective action for the  $O(N)$  model  $\leftarrow$  the effective action of the  $O(N-1)$  model by *integrating in*  $\varphi$  with a marginal deformation  $\int \sigma \varphi^2$  and linear interaction  $\int \varphi \sigma$ .
- At the critical point  $\rho_0 = \phi_0 = 0$ , one integrates in a massless elementary scalar  $\varphi(x)$  with marginal interaction.
- The  $O(N-1)$  model "eats" elementary scalars with  $O(1/\sqrt{N})$  marginal interactions by enlarging its symmetry, i.e. shifting  $N-1 \rightarrow N$ .

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The modified gap equation is written

$$\frac{\phi_0^2}{N-1} = \left( \frac{N}{N-1} \frac{1}{g} - \frac{1}{g_*} \right) + \frac{|m|}{4\pi} + \dots$$

and differs from the previous gap equation in two ways:

- Firstly, we notice the presence of an extra term on the left-hand side.
- Secondly, there is an extra  $N/(N-1)$  factor in front of the coupling constant  $1/g$ .

These two differences are intimately related as we will see later..

We have an explicit manifestation of the Goldstone mechanism.

- Away from the critical point  $\phi_0$  and  $|m|$  cannot be nonzero simultaneously, and  $|m| < \Lambda$ .
- When  $g < Ng_*/(N-1)$  we are in the UV, the mass vanishes but we always have  $\phi_0 \neq 0 \Rightarrow$  away from the UV fixed point, the  $O(N)$  symmetry is always broken to  $O(N-1)$ . As usual we also have  $N-1$  Goldstone bosons which are seen here as the massless elementary scalars that were integrated out.



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$$\frac{\phi_0^2}{N-1} = \left( \frac{N}{N-1} \frac{1}{g} - \frac{1}{g_*} \right) + \frac{|m|}{4\pi} + \dots$$

and differs from the previous gap equation in two ways:

- Firstly, we notice the presence of an extra term on the left-hand side.
- Secondly, there is an extra  $N/(N-1)$  factor in front of the coupling constant  $1/g$ .

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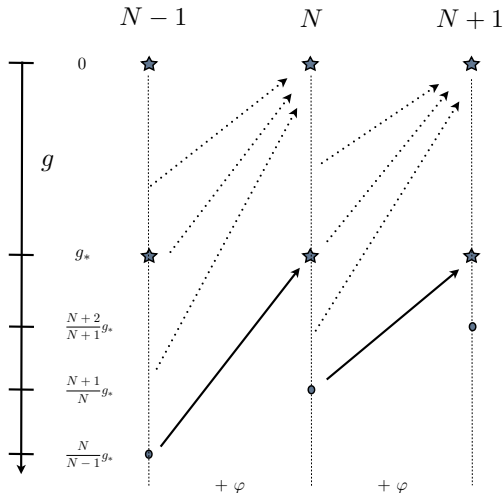


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**Figure :** The phase diagram of the vector models. Stars denote the CFTs. The solid arrows denote marginal deformations towards the IR fixed point after the absorption of an elementary scalar  $\varphi$ . The dotted arrows denote irrelevant double-trace deformations leading to the UV fixed point of the symmetry enhanced theory.



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- To unveil the meaning of this term we can shift the scalar fluctuation as

$$\varphi = \hat{\varphi} + \frac{\phi_0}{\sqrt{N-1}} \frac{1}{-\partial^2} \sigma,$$

- A short calculation then gives

$$Z \sim \int e^{-\left[ S_{eff}^{N-1}(\sigma, 0) + \frac{1}{2} \int \hat{\varphi} D_0 \hat{\varphi} + \frac{1}{2\sqrt{N-1}} \int \sigma \hat{\varphi}^2 - \frac{\phi_0^2}{2(N-1)} \int \frac{1}{-\partial^2} \sigma^2 + \dots \right]}.$$

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## 1 Motivation

## 2 The $O(N)$ vector model

- A lightning review of the model
- The  $O(N) \rightarrow O(N - 1)$  symmetry breaking
- **Anomalous dimensions**

## 3 $O(N)$ /HS holography

- The HS/ $O(N)$  conjecture
- The gap equations from holography
- The singleton deformation of higher-spin theory and boundary symmetry breaking
- The calculation of boundary anomalous dimensions

## 4 Summary and outlook



## The $O(N)$ Vector Model

- To calculate correlation functions of  $\phi^a$  and  $\sigma$  we couple the partition function to sources  $J^a$  and  $\eta$  as

$$Z \rightarrow Z[J^a, \eta] = \int [\mathcal{D}\phi^a][\mathcal{D}\rho] e^{-I(\phi^a, \rho) + \int \phi^a J^a + \int \eta \rho}.$$

- At  $g = g_*$  this gives the generating functional for the critical  $O(N)$  model

$$Z[J^a, \eta] = e^{-NV_{eff}(0, g_*)} \int [\mathcal{D}\sigma] e^{-S_{eff}^N(\sigma, 0) + \int \eta \sigma + \frac{1}{2} \int J^a D_0(\frac{i}{\sqrt{N}} \sigma) J^a}.$$

- Using the above, one can perform a systematic  $1/N$  expansion for all correlation functions of  $\phi^a$  and  $\sigma$ . Using conformal "uniqueness" techniques, the anomalous dimensions of  $\phi^a$  and  $\sigma$  up to  $O(1/N^3)$  were calculated long time ago [A. VASILIEV ET. AL. (81-81)]. Similar results have been obtained in the fermionic and supersymmetric  $O(N)$  cases [GRACEY (91-92)].
- Soon afterwards [RÜHL ET. AL. (92-93)] initiated the study of the operator spectrum of the bosonic  $O(N)$  vector model.
- Finally, in [T. P. (94-96)] the conformal bootstrap of the bosonic and fermionic models was formulated, and it was argued that all the dynamical information is based on the cancellation of *shadow singularities*.



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- Finally, in [T. P. (94-96)] the conformal bootstrap of the bosonic and fermionic models was formulated, and it was argued that all the dynamical information is based on the cancellation of *shadow singularities*.



## The $O(N)$ Vector Model

- The systematic  $1/N$  expansion is easily obtained. From conformal invariance we have

$$\langle \phi^a(x) \phi^b(0) \rangle = \frac{C_\phi}{x^{2\Delta_\phi}} \delta^{ab}, \quad \langle \sigma(x) \sigma(0) \rangle = \frac{C_\sigma}{x^{2\Delta_\sigma}}$$

- We fix  $d = 3$  and define three critical indices  $\gamma_\phi$ ,  $\kappa$  and  $z$  of order  $O(1/N)$  as

$$\Delta_\phi = \frac{1}{2} + \gamma_\phi, \quad \Delta_\sigma = 2 - 2\gamma_\phi - 2\kappa, \quad C_\phi^2 C_\sigma = \frac{1}{\pi^4} + z$$

- The two-point function of  $\phi^a$  is given by  $\sigma$ -exchange. One finds

$$\langle \phi^a(x) \phi^b(0) \rangle = \frac{1}{4\pi} \frac{1}{|x|} \left[ 1 - \frac{1}{N} \frac{4}{3\pi^2} \ln |x|^2 + \dots \right] \delta^{ab}$$

- From the logarithmic term we read the anomalous dimension of  $\phi^a$  as

$$\gamma_\phi = \frac{4}{3\pi^2} \frac{1}{N}$$

- For the calculations of  $\kappa$  and  $\zeta$  one needs to consider the 2-pt function of  $\sigma$  and also the renormalisation of the vertex  $\sigma\phi^2$ . The most updated results are already a few decades old [A. VASILIEV ET. AL. (82)].



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## 4 Summary and outlook



- The conserved higher-spin currents of a 3d CFT form unitary irreducible representations (UIR) of  $SO(3, 2)$ ,  $D(\Delta, s)$ , with dimensions  $\Delta = s + 1$ .
- When  $s$  is even, these arise in the parity-even tensor product of two singleton UIRs  $D(1/2, 0)$  as (Flato-Fronsdal theorem)

$$[D(1/2, 0) \otimes D(1/2, 0)]_S = D(1, 0) \oplus \sum_{s=1}^{\infty} D(2s + 1, 2s).$$

- The "spin-zero" current  $D(1, 0)$  is a scalar of dimension  $\Delta = 1$ .
- The fermionic singleton UIR  $D(1, 1/2)$  gives rise to a different series of HS currents

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- The above are the conserved currents (including the scalar operator  $:\bar{\psi}\psi:$ ) in a free fermionic 3d CFT  $\rightarrow$  all currents are parity-odd.





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- The UIRs  $D(1, 0)$  and  $D(2, 0)$  are shadow symmetric i.e. they have the same Casimir and are related by Weyl reflection.
- The even parity ones appear in the UV and IR (non-trivial) fixed points of the  $O(N)$  model. The odd-parity ones appear in the IR and UV (non-trivial) fixed point of the fermionic  $O(N)$  model  $\rightarrow$  hence the bosonic and fermionic models are related by a  $UV \leftrightarrow IR$  map plus parity [LEIGH AND T. P. (03)].
- The same is true for the pair of IRs  $D(s + 1, s)$  and  $D(2 - s, s)$ . However, here  $D(s - 2, a)$  is non-unitary.



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- The suggested  $O(N)/HS$  correspondence proceeds by considering a bulk action, (although the full Lagrangian of HS theory is still elusive), with the schematic form

$$I_{HS} = \sum_{s=0,2,4,\dots}^{\infty} \int d^4x \sqrt{-g} \frac{1}{2} \Phi^{(s)} \left[ \square_s - \frac{1}{L^2} (s^2 - 2s - 2) \right] \Phi^{(s)} + O\left(\frac{1}{\sqrt{N}}\right)$$

- $\Phi^{(s)}$  denote symmetrized and double-traceless rank- $s$  tensors,  $\square_s$  are generalized Pauli-Fierz operators on the fixed  $AdS_4$  background metric  $g_{\mu\nu}$ , and  $(s^2 - 2s - 2)/L^2$  is a mass term that is necessary to maintain higher-spin gauge invariance on  $AdS_4$ .
- The quadratic part of  $I_{HS}$  yields the two-point functions of all free higher-spin currents normalized to  $O(1)$ .
- More precisely, since  $\Phi^{(0)}$  is a conformally coupled scalar, in order to obtain the two-point function of  $D(1,0)$  in the boundary one needs to quantize using the so-called *alternative quantization* AQ.





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- The cubic interaction terms in  $I_{HS}$  would then give rise to the three-point functions of the  $O(N)$  model which scale as  $1/\sqrt{N}$ . Higher order interaction terms would give rise to higher-point correlation functions in the boundary.
- Upon introduction of interactions, the free  $O(N)$  theory flows down to the IR critical point in which a dimension  $\Delta = 2$  operator, namely the UIR  $D(2, 0)$ , is present in the spectrum.
- There, higher-spin symmetry is broken since the HS currents acquire nonzero anomalous dimensions of order  $1/N$ . Nevertheless, higher-spin symmetry is restored at least at  $N \rightarrow \infty$ .
- The flow to the IR is holographically implemented by the relevant 'double-trace' deformation  $(\phi^a \phi^a)^2$ .
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## 4 Summary and outlook



Holography  $\rightarrow W[J]$ :  $J$  source for an operator  $\mathcal{O}$  in the dual field theory.

- This on-shell action is in general supplemented by boundary terms that a) *renormalize* the theory, and b) *modify the boundary conditions* of the bulk fields
- If we know  $W[J]$  we can Legendre transform it to get the quantum effective action  $\Gamma[\langle\mathcal{O}\rangle]$  whose extrema determine the vacuum structure of the theory.
- A Lagrangian deformation of the *boundary field theory action* by a functional  $f(\mathcal{O})$  of an operator  $\mathcal{O}$ , corresponds - at least at large  $N$  - to a simple deformation of the quantum effective action

$$\Gamma_f[\sigma] = \Gamma_0[\sigma] + f(\sigma), \quad \sigma = \langle\mathcal{O}\rangle.$$

- Thus, given such a deformation, the gap equation will be obtained as

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Holography  $\rightarrow W[J]$ :  $J$  source for an operator  $\mathcal{O}$  in the dual field theory.

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- The induced change in the generating functional will be generically rather complicated, except in the ‘double trace’ case, where we take  $f$  to be quadratic – then the Legendre transform back to  $W[J]$  is linear and easily performed. For higher order polynomials, it is non-linear and a ‘Maxwell construction’ is generally required.
- The higher spin theory action on  $AdS_4$  includes the bulk scalar field  $\Phi^{(0)} \equiv \Phi$  of mass  $m^2 L^2 = -2$  with asymptotic behaviour

$$\Phi \sim \alpha z + \beta z^2$$

- In this particular case, we have a choice: standard quantization (SQ) assigns  $\alpha$  as the source for a  $\Delta = 2$  operator with vev  $\beta$ . Alternative quantization (AQ) instead interprets  $\beta$  as the source for a  $\Delta = 1$  operator with vev  $\alpha$ .
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$$I_{extHS} = I_{HS} + \int d^4x \sqrt{-g} \frac{1}{2} \Sigma \left[ \square + \frac{2}{L^2} \right] \Sigma.$$

- We take  $\Phi$  in AQ, and  $\Sigma$  in SQ. Asymptotically, we have

$$\begin{aligned}\Phi &\sim \alpha z + \beta z^2 \\ \Sigma &\sim \eta z + \sigma z^2\end{aligned}$$

so that  $\Phi$  gives rise to a  $\Delta = 1$  operator with vev  $\alpha$ , while  $\Sigma$  gives rise to a  $\Delta = 2$  operator with vev  $\sigma$ .

- We assume that these fields do not mix in the bulk. This means that the regularity conditions of the bulk equations yield  $\alpha = \alpha(\beta)$  and  $\sigma = \sigma(\eta)$ , and determine the boundary generating functional as

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- The different relative signs in which arise because of the opposite quantizations used for the bulk fields  $\Leftarrow$  the on-shell bulk action equals *minus* the boundary generating functional if one uses SQ.
- Also note that starting from the two-point functions of both the operators with  $\Delta = 1$  and  $\Delta = 2$  are normalized to  $O(1)$ . This means, for example, that in terms of the elementary fields  $\alpha \sim (\phi^a \phi^a)/\sqrt{N}$ .

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- To rectify that, we introduce boundary terms that couple the two fields together i.e. a Lagrangian deformation of the form

$$f(\alpha, \sigma) = \int \left( \alpha \sigma + V(\sigma) - \frac{1}{3} \lambda (\alpha - h)^3 \right), \quad V(\sigma) = -\frac{\lambda'}{g} \sigma.$$

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$$\Gamma[\alpha, \sigma] = \int \left( \frac{1}{2} \alpha K_1 \alpha - \frac{1}{2} \sigma K_1^{-1} \sigma + \sigma \left( \alpha - \frac{\lambda'}{g} \right) - \frac{1}{3} \lambda (\alpha - h)^3 \right)$$

where  $K_1$  is an appropriate kernel.

- The different signs arising from the different quantizations ensure the positivity of the quadratic kernels.
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- The second equation can be rewritten as

$$\frac{\sqrt{N}}{g} = h \pm \sqrt{\frac{1}{\lambda}} \sqrt{\sigma}$$

- Comparing to the  $\sigma$ -model gap equation we see that we should keep the minus sign and further interpret

$$\lambda = \frac{16\pi^2}{N}, \quad h = \frac{\sqrt{N}}{g_*}.$$

- The introduction of both  $\Phi$  and  $\Sigma$  breaks higher spin symmetry. However, we expect that it is recovered at the critical points. The free UV fixed point is reached taking  $g, \lambda \rightarrow 0$  and the cutoff to infinity, whereby  $\sigma$  decouples. Therefore only the  $\Delta = 1$  operator survives at the UV fixed point.



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- On the other hand, the nontrivial IR fixed point arises when  $g \rightarrow g_*$ . In this case, the introduction of the operator  $\alpha$  is equivalent to a finite shift of the operator  $\sigma \Rightarrow$  the operator  $\alpha$  becomes redundant.
- The  $(\alpha - h)^3$  term has an interpretation in terms of the classically marginal term  $(\phi^a \phi^a)^3$ .
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## 1 Motivation

## 2 The $O(N)$ vector model

- A lightning review of the model
- The  $O(N) \rightarrow O(N - 1)$  symmetry breaking
- Anomalous dimensions

## 3 $O(N)$ /HS holography

- The HS/ $O(N)$  conjecture
- The gap equations from holography
- **The singleton deformation of higher-spin theory and boundary symmetry breaking**
- The calculation of boundary anomalous dimensions

## 4 Summary and outlook



- Next, we deform the higher-spin action by a singleton field  $S$  as

$$I_{dHS} = I_{extHS} + \int d^4x \sqrt{-g} \frac{1}{2} S \left[ \square + \frac{5}{4L^2} \right] S,$$

- The singleton is a scalar field with bulk mass  $m^2 L^2 = -\frac{5}{4}$  with asymptotic behaviour

$$S \sim \xi z^{1/2} + \phi z^{5/2}.$$

- For such a field, the *only unitary quantisation* possibility is to do AQ [ANDRANDE AND MAROLF (11)] giving an operator of  $\Delta = 1/2$ . This is a free field that consequently decouples from the rest of the CFT.
- However, it can be forced to have a non-trivial effect by coupling it to the other fields through an explicit boundary interaction, namely  $f(\phi, \alpha, \sigma) = \tilde{\lambda} \sigma \phi^2$ .



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- That this interaction is needed could have been anticipated from our calculations of the effective action of the  $O(N)$  model  $\Rightarrow$  a  $\sigma\phi^2$  term was crucial for the symmetry breaking structure of the theory.
- Explicitly, we add to the deformed action the following boundary term

$$f_d(\alpha, \sigma, \phi) = \int \left[ \alpha\sigma - \tilde{V}(\sigma) - \lambda \frac{1}{3} (\alpha - h)^3 + \tilde{\lambda}\sigma\phi^2 \right], \quad \tilde{V}(\sigma) = \frac{\tilde{\lambda}'}{g} \sigma,$$

where using the results of the previous section we have set  $h = \frac{\sqrt{N}}{g_*}$  and  $\lambda = \frac{16\pi^2}{N}$ .

- Other than the presence of the marginal term, a crucial difference between the above and the previous gap equation is in the linear deformation  $\tilde{V}(\sigma)$  where  $\lambda' \rightarrow \tilde{\lambda}' = \frac{N+1}{\sqrt{N}}$ , as it is required to be able to absorb the singleton field  $\phi$  by suitably adjusting the coupling  $1/g$  in the massive phase of the theory.



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- The gap equations are then

$$\begin{aligned}\alpha + \tilde{\lambda}\phi^2 &= \frac{N+1}{\sqrt{N}} \frac{1}{g} \\ \sigma &= \frac{16\pi^2}{N} \left( \alpha - \frac{\sqrt{N}}{g_*} \right)^2 \\ \tilde{\lambda}\phi\sigma &= 0\end{aligned}$$

- The third equation is familiar from the  $\sigma$ -model: there are two phases, one in which  $\phi = 0$  (massive phase) and the other in which  $\sigma = 0$  (broken phase).
- The first equation has an  $O(N+1)$ -invariant form if we interpret  $\alpha \sim \langle \phi^a \phi^a \rangle$  and  $\phi \sim \langle \phi^{N+1} \rangle$ . Substituting then  $\alpha$  we find

$$\tilde{\lambda}\phi^2 = \frac{N+1}{\sqrt{N}} \frac{1}{g} - \frac{\sqrt{N}}{g_*} + \frac{\sqrt{N}}{4\pi^2} \sqrt{\sigma}.$$

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- $\alpha \neq 0$  does *not* signal  $O(N)$  since it is properly interpreted as the vev of an  $O(N)$ -invariant operator. Rather  $\phi \neq 0$  implies  $O(N+1) \rightarrow O(N)$ .
- As before, there is a critical point when  $g/g_* = (N+1)/N$ . We can have  $O(N+1)$  breaking only when  $g/g_* < (N+1)/N$ . For  $g/g_* > (N+1)/N$ , the only solution to the gap equations is of the first type, namely the massive phase.



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## 1 Motivation

## 2 The $O(N)$ vector model

- A lightning review of the model
- The  $O(N) \rightarrow O(N - 1)$  symmetry breaking
- Anomalous dimensions

## 3 $O(N)$ /HS holography

- The HS/ $O(N)$  conjecture
- The gap equations from holography
- The singleton deformation of higher-spin theory and boundary symmetry breaking
- **The calculation of boundary anomalous dimensions**

## 4 Summary and outlook



- At the critical point the operator  $\alpha$  becomes redundant and the boundary term becomes

$$f_d(\sigma, \phi^2) = \frac{1}{\sqrt{N}} \int \sigma \phi^2.$$

- This is a simple marginal deformation of the extended higher-spin action and leads to a  $1/N$  expansion for the boundary two-point functions of  $\phi$  and  $\sigma$ . For example, we obtain

$$\begin{aligned} \langle \phi(x_1) \phi(x_2) \rangle_{def} &= \langle \phi(x_1) \phi(x_2) \rangle_0 \\ &+ \frac{1}{2N} \int \langle \phi(x_1) \phi(x_2) \sigma(x) \phi^2(x) \sigma(y) \phi^2(y) \rangle_0 + \dots \end{aligned}$$

where we have dropped the  $O(1/\sqrt{N})$  term whose contribution vanishes, as do all other fractional powers of  $1/N$ .

- The above gives the same expansion as in the field theory analysis, at least to leading order in  $1/N$ . Hence, the singleton deformation gives for the boundary singleton field  $\phi$  *the same* anomalous dimension as those for the UV dimensions of the elementary fields  $\phi^a$



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- This is despite the fact that the deformation may be regarded as a marginal deformation of the IR  $O(N)$  fixed point in the presence of an additional scalar  $\phi$ .
- Generally, the graphical expansion for  $\phi$  and  $\sigma$  generated by the deformation above is the same as the graphical expansion for  $\phi^a$  and  $\sigma$  generated by the boundary field theory  $\rightarrow$  hence yields the same anomalous dimensions.



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- A complete holographic description of the  $O(N)$  vector model should account for its rich vacuum structure and in particular for its  $O(N) \rightarrow O(N - 1)$  symmetry breaking pattern.
- We have shown that this is possible if one deforms the  $\text{AdS}_4$  higher-spin theory by a singleton field coupled to higher-spin multiplet only through a boundary marginal coupling. Then, *designing* the appropriate boundary conditions for the extended bulk action we were able to exactly reproduce the gap equations of the  $O(N)$  vector model.
- We have argued that the bulk higher-spin theory absorbs the singleton field by shifting its parameter  $N \rightarrow N + 1$ . This is the bulk dual of the global symmetry breaking/enhancement mechanism in the boundary.
- The boundary singleton interaction generates the same  $1/N$  graphical expansion for the elementary scalar and "spin-zero current" as in the standard field theoretic treatment of the  $O(N)$  model. Hence, the singleton deformation breaks higher-spin symmetry and yields the well-known anomalous dimensions for the elementary and "spin-zero" scalars of the  $O(N)$  model, at least to leading order in  $1/N$ .



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- Is it important to understand better the boundary marginal coupling of the singleton to higher-spin currents. For example, given the singleton field  $\phi$ , one may consider boundary couplings of the form

$$S_{HS} \sim \lambda' \int t^{\mu_1 \dots \mu_s} \phi \partial_{\mu_1} \dots \partial_{\mu_s} \phi,$$

where  $t^{\mu_1 \dots \mu_s}$  is the *leading* coefficient in the asymptotic behaviour of a bulk spin- $s$  gauge field  $\rightarrow$  *higher-spin dressing of the  $O(N)$  model*.

- For  $s \geq 2$  there are more than one possible terms. Generally, this has no effect on the vacuum structure, if that is determined by space-time constant configurations.
- It is expected that such couplings would lead to a graphical expansion for the 2-pt functions of the boundary higher-spin currents which would enable one to calculate their  $1/N$  anomalous dimensions. Reproducing the result would then be a crucial test for our proposal.



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- Our results can also be applied to the holographic description of three-dimensional fermionic and supersymmetric models with higher-spin duals. Notice that such models describe parity symmetry breaking, and it would be interesting to understand the bulk counterpart of it.
- In  $\text{AdS}_5/\text{CFT}_4$  correspondence adding a probe D3-brane to IIB sugra on  $\text{AdS}_5 \times S^5$  shifts by one unit  $N \rightarrow N + 1$  the fiveform flux. The singleton deformation is the analog process of the above in higher-spin gauge theory and its study might lead to a better geometric description for the dimensionless parameter  $N$ .
- The singleton deformation could also play an important role in the study of possible black-hole solutions for higher-spin theory on  $\text{AdS}_4$ . For example, since a continuous symmetry cannot be broken at finite temperature in 2+1 dimensions, we expect that bosonic singleton absorption would not be possible for higher-spin theories in black-hole backgrounds, while fermionic singleton absorption would be allowed.



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