

Unraveling the novel Higgs mechanism in $(2+1)d$

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Outline

Introduction

Membrane field theories and the novel Higgs mechanism

Topological mass and NHM

Diagonalisability conditions

Two-field case

Multi-field case

Difference Chern-Simons and Hitchin equations

Conclusions

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- ▶ A specific aspect of membrane field theories is a phenomenon wherein, by giving a vev to a certain scalar, the Chern-Simons nature of the theory is traded for Yang-Mills.
- ▶ This phenomenon is known as the novel Higgs mechanism (NHM).
- ▶ It is useful in understanding many aspects of multiple membrane theories.
- ▶ It also bears an intriguing similarity to some well-known features of Chern-Simons theories in $(2+1)d$, in particular topological mass generation.

► In this talk I will:

- (i) summarise the relevance of NHM to membranes in M-theory,
- (ii) explain the relation between NHM and the topological mass,
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- ▶ (Work in progress, to be discussed if there is time:)
The equations of motion of a difference Chern-Simons theory can be mapped, in a suitable gauge, to the famous [Hitchin equations](#). After the NHM one gets a kind of [deformation](#) of the Hitchin equations.

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Membrane field theories and the novel Higgs mechanism

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- ▶ They proposed a gauge field A_μ^{ab} with a Chern-Simons type action:

$$\mathcal{L}_{CS} = \frac{1}{2} \left(A^a_b \wedge d\tilde{A}^b_a + \frac{2}{3} A^a_b \wedge \tilde{A}^b_c \wedge \tilde{A}^c_a \right)$$

where $\tilde{A}_\mu^b{}_c \equiv f^{ab}{}_{cd} A_\mu^d{}_a$, f^{abcd} are the structure constants of a 3-algebra.

- ▶ With enough supersymmetry, the entire field theory is determined. For maximal $\mathcal{N} = 8$ supersymmetry the field content is:

$$\frac{n(n-1)}{2} \times (A_\mu; \chi), \quad n \times (8X; 4\psi)$$

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- ▶ Introducing the covariant derivative:

$$D_\mu X_a^I = \partial_\mu X_a^I - \tilde{A}_\mu{}^b{}_a X_b^I$$

the bosonic part of the **BLG** action is:

$$\frac{k}{2\pi} \left(\mathcal{L}_{CS} - \frac{1}{2} D_\mu X^I \cdot D^\mu X^I - \frac{1}{12} \left(f^{abcd} X_a^I X_b^J X_c^K \right)^2 \right)$$

where k is the **level** of the Chern-Simons term.

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- In this case there are six fields A_μ^{ab} which can be broken up into two triplet gauge fields:

$$\begin{aligned} A_\mu^{a4} &= \frac{1}{2} C_\mu^a, \\ \epsilon^a_{bc} A_\mu^{bc} &= \frac{1}{2} B_\mu^a \end{aligned}$$

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- ▶ The 3-algebra Chern-Simons term now becomes:

$$\sim \frac{1}{2} \left(B^a \wedge F^a(C) + \frac{2}{3} \epsilon_{abc} B^a \wedge B^b \wedge B^c \right)$$

- Making the same $3 + 1$ split on the scalars, we have:

$$DX^{Ia} = dX^{Ia} + \varepsilon^a_{bc} C^b X^{Ic} + B^a_{\mu} X^{I4}$$

$$DX^{I4} = dX^{I4} - B^a X^{Ia}$$

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- Now giving a vev $X^{I=8,4} = v$ gives a mass term for the B_μ gauge field, so altogether:

$$\sim k \left(-\frac{1}{2} v^2 B_\mu^a B^{\mu a} + \frac{1}{2} B^a \wedge F^a(C) + \frac{1}{3} \epsilon_{abc} B^a \wedge B^b \wedge B^c \right)$$

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- The field B is algebraic and we can self-consistently integrate it out by initially neglecting the cubic term:

$$B = \frac{k}{v^2} {}^*F(C) + \mathcal{O}\left(\frac{1}{v^4}\right)$$

- Inserting this back we get an $SU(2)$ Yang-Mills theory with the 7 remaining scalars, and the fermions, in the adjoint (also X^8 disappears):

$$\frac{k}{v^2} \text{tr} \left\{ -\frac{1}{4} \mathbf{F} \wedge * \mathbf{F} - \frac{1}{2} D_\mu \mathbf{X}^i D^\mu \mathbf{X}^i + \frac{1}{4} [\mathbf{X}^i, \mathbf{X}^j]^2 + \dots \right\}$$

where \mathbf{F}, \mathbf{X} are now 2×2 matrices.

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- ▶ The dots represent fermion terms and also corrections suppressed by inverse powers of v^2 .
- ▶ We see that v/\sqrt{k} plays the role of g_{YM} .

- The Chern-Simons action can be written in a form that has now become very familiar. Under:

$$B = \frac{1}{2}(A^1 - A^2), \quad C = \frac{1}{2}(A^1 + A^2)$$

the Lagrangian:

$$\text{tr} \left(B \wedge F(C) + \frac{1}{3} B \wedge B \wedge B \right)$$

becomes:

$$\begin{aligned} \sim \frac{1}{2} \text{tr} \left(A^1 \wedge dA^1 + \frac{2}{3} A^1 \wedge A^1 \wedge A^1 \right. \\ \left. - A^2 \wedge dA^2 - \frac{2}{3} A^2 \wedge A^2 \wedge A^2 \right) \end{aligned}$$

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- ▶ The covariant derivative is then:

$$DX = dX - A^1 X + X^T A^2$$

- ▶ $G \times G$ difference-Chern-Simons theories have become the standard way to understand M-theory membranes in various contexts, typically on orbifolds. The level k defines the order of the orbifold group.

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- ▶ Here we focus on $\mathcal{N} = 8$ [BLG] and $\mathcal{N} = 6$ [ABJM,ABJ] theories.
- ▶ In this context the NHM has provided a few different illuminations about membranes and M-theory, which I will now briefly review.

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- ▶ With maximal or near-maximal supersymmetry:

$$\mathcal{L}_{diff-CS}\Big|_v = \frac{k}{v^2} \mathcal{L}_{SYM} + \mathcal{O}\left(\frac{k}{v^4}\right)$$

where the first term on the RHS is $\mathcal{N} = 8$ supersymmetric Yang-Mills theory and, as noted, v/\sqrt{k} plays the role of g_{YM} .

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- ▶ This amounts to a proof that somewhere on its moduli space, and therefore presumably everywhere, the [BLG,ABJM] and probably many other theories describe multiple membranes.

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- The NHM was originally worked out at fixed level k . If we carry out the same procedure and take $v \rightarrow \infty, k \rightarrow \infty$ keeping v/\sqrt{k} fixed, then:

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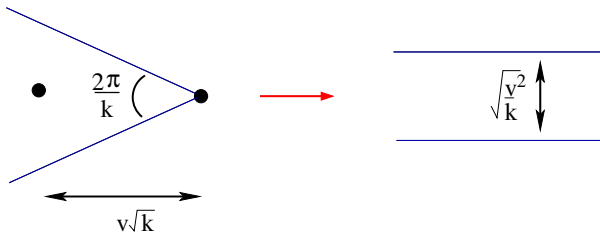
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- ▶ So this time we get the **D2-brane at finite coupling**, i.e. we have managed to “compactify” the theory!
- ▶ This is explained by analogy with **deconstruction**. Again, it works equally well for **BLG** and **ABJM**.



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- ▶ All coefficients were uniquely determined by this procedure.
- ▶ Presumably the same procedure can (and should) be carried out for ABJM theory.

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$$\frac{k_1}{2\pi} S_{CS}(A_+) + \frac{k_2}{2\pi} S_{CS}(A_-)$$

They argued that $k_1 + k_2$ corresponds in the dual type IIA theory on $AdS_4 \times CP^3$ to a Romans mass.

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- ▶ The NHM confirms this proposal: for unequal levels, it creates a Yang-Mills theory plus a residual Chern-Simons theory of level $k_1 + k_2$.
- ▶ The latter reproduces the coupling $\int F_0 S_{CS}(A)$ on a D2-brane in the presence of the Romans mass.

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- ▶ The propagating modes have a **single degree of freedom with spin +1 but no corresponding spin -1 state.**
- ▶ This is possible because Chern-Simons theory is **parity violating.**

- ▶ Subsequently a different model called the “self-dual” theory was considered [Townsend et al]:

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- ▶ In the above equivalence, the mass on the LHS becomes the Yang-Mills coupling on the RHS.

- By contrast, the NHM involves a **pair** of gauge fields having Chern-Simons terms with **opposite signs**, as well as an explicit mass term **of a specific form** (possibly arising via a Higgs mechanism). The theory is equivalent to a **(classically massless) Yang-Mills theory**:

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- ▶ We will now examine these equivalences in a little more detail.
- ▶ We will not require supersymmetry. Also, since we want to understand the spectrum of the theory, we work at the linearised level.

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- This theory has a **single** on-shell degree of freedom that is **massive** and has spin **+1**. If we change the sign of the mass term we instead get spin **-1**.

- On the other hand, the Lagrangian:

$$\mathcal{L}_2 = \frac{1}{2} A \wedge dA + \frac{1}{2} m A \wedge *A$$

is said to be **self-dual**. The equations of motion are:

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- ▶ A related point is that \mathcal{L}_1 has a **smooth massless limit** while \mathcal{L}_2 becomes purely topological and thereby **loses a degree of freedom** as $m \rightarrow 0$.
- ▶ In \mathcal{L}_2 , if the mass term comes from a **Higgs field** then of course the full theory has gauge invariance realised in the Higgs mode.

- The two Lagrangians \mathcal{L}_1 and \mathcal{L}_2 give equivalent theories. Classically, this is shown as follows. First,

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- ▶ For the converse,

$$\begin{aligned} d*dA = m dA &\implies d(*dA - m A) = 0 \\ &\implies *dA - m A = d\lambda \end{aligned}$$

and a field re-definition

$$A \rightarrow A - \frac{1}{m}d\lambda$$

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- ▶ While \mathcal{L}_1 has the form of a generalised topologically massive theory, \mathcal{L}_2 is instead a massless Maxwell Lagrangian.

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$$\begin{aligned} A^1 &= C + B \\ A^2 &= C - B \end{aligned}$$

after which it becomes:

$$\mathcal{L}_1 = k \left(\frac{1}{2} A^1 \wedge dA^1 - \frac{1}{2} A^2 \wedge dA^2 + \frac{1}{4} m (A^1 - A^2) \wedge * (A^1 - A^2) \right)$$

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- ▶ In this basis there is a **non-diagonal mass term**:

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- ▶ More precisely it leads to **topological mass non-generation...!**
- ▶ The crucial new ingredients are to have **more than one gauge field**, a **difference of two Chern-Simons actions**, and a **suitable non-diagonal mass term**.

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Diagonalisability conditions

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- ▶ Now let us start to analyse the general conditions under which the **novel Higgs mechanism (NHM)** can occur.
- ▶ A necessary (but not sufficient) condition for this comes from a **conflict between the simultaneous diagonalisability of the kinetic and mass terms**.
- ▶ This phenomenon is **peculiar to Chern-Simons** gauge theories with a mass term and does not have an analogue in scalar or Maxwell-type vector theories.

- Consider a collection of vector fields $A^I, I = 1, 2, \dots, n$ described by the most general abelian Chern-Simons Lagrangian with a mass term:

$$\mathcal{L} = \frac{1}{2} k_{IJ} A^{(I)} \wedge dA^{(J)} + \frac{1}{2} m_{IJ} A^{(I)} \wedge *A^{(J)}$$

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- ▶ Both k_{IJ} and m_{IJ} are constant real symmetric matrices.
- ▶ k_{IJ} is taken to be non-degenerate, while m_{IJ} is allowed to have zero eigenvalues.
- ▶ Let us now try to bring this action into standard form.

- For comparison, we first consider a generic free scalar field theory with Lagrangian:

$$-\frac{1}{2}g_{IJ}\partial_{\mu}\phi^I\partial^{\mu}\phi^J - \frac{1}{2}(m^2)_{IJ}\phi^I\phi^J$$

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- To bring the Lagrangian into its standard form, first perform an orthogonal transformation on ϕ^I to diagonalise g_{IJ} , which then takes the form $\text{diag}(g_1, g_2, \dots, g_n)$ with $g_I > 0$ for all I .

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- ▶ Next one re-scales the fields:

$$\phi^I \rightarrow \frac{\phi^I}{\sqrt{g_I}}$$

so that the kinetic form has the identity metric δ_{IJ} .

- Finally one performs **another** orthogonal transformation on ϕ^I that diagonalises m^2 while preserving the kinetic term, ending up with:

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- ▶ Thus the theory has been reduced to a collection of **independent fields**, some massive and others massless (some of the masses can be tachyonic as long as the full potential is bounded below).

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- ▶ Upon diagonalising k_{IJ} , it turns into $\text{diag}(k_1, k_2, \dots, k_n)$ but the eigenvalues k_i are not required to be positive. The theory with **negative** eigenvalues, or **both signs** of eigenvalues, is perfectly consistent.

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- ▶ In fact, as we just saw, **M2-brane field theories** have levels of both signs, which ensures that parity is conserved (similar actions arise for the Chern-Simons formulation of **3d gravity**).
- ▶ To be completely general we therefore assume k_{IJ} has p negative and q positive eigenvalues with $p + q = n$.

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where η_{IJ} is the **Lorentzian metric** preserved by $O(p, q)$.

- ▶ Hence the linear transformations $A^I \rightarrow \Lambda_{IJ} A^J$ which preserve the kinetic term are given by matrices Λ_{IJ} satisfying:

$$\Lambda^T \eta \Lambda = \eta$$

namely the $O(p, q)$ Lorentz transformations.

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- ▶ Therefore in the basis where k_{IJ} is diagonal, we start by seeking the conditions on m_{IJ} such that it **can** be diagonalised by a **Lorentz transformation**.
- ▶ Whenever this is possible, the theory will reduce to a collection of decoupled Chern-Simons actions with definite masses, and there will be **no** novel Higgs mechanism.

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- ▶ This has been analysed in the GR literature. The possibilities are categorised as **algebraically general** and **algebraically special**, with the latter having **sub-cases**.
- ▶ As a necessary condition, we will see that **only the algebraically special cases can have an NHM**.

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- ▶ Recall that we are working in a basis where the kinetic term has been diagonalised and scaled, so $k_{IJ} = (-1, 1)$.
- ▶ In this simple example one can explicitly find the diagonalisability conditions.
- ▶ We simply ask what is the most general 2×2 matrix that can be obtained from a diagonal matrix by a Lorentz boost.

- In terms of components:

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- Theories that exhibit the novel Higgs mechanism must therefore **fail to satisfy this inequality**. As a check we notice that the mass matrix we originally displayed in an example,

$$m_{IJ} \sim \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}$$

just barely fails to satisfy the above inequality.

- The above condition can be reformulated in terms of eigenvalues of the (non-symmetric) matrix

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- ▶ The analogue of this question for $T_{\mu\nu}$ in **general relativity** is well-studied in **(3+1)d** and the possible cases classified (see for example the book of [Stephani et al]).
- ▶ We can adapt this classification to **(1+1)d**.

- In $1+1$ dimensions there are precisely three possibilities:

	<u>Eigenvalues</u>	<u>Eigenvectors</u>
(i)	Two distinct, real	Two distinct, real (one space-like, one time-like)
(ii)	Two coincident	One
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- Case (i) allows us to make an $SO(1,1)$ matrix:

$$\Lambda = \begin{pmatrix} v_t & v_s \end{pmatrix}$$

where v_t, v_s are the orthonormalised eigenvectors, the first one time-like and the second space-like. Clearly Λ diagonalises ηm by a similarity transformation:

$$\Lambda^{-1} \eta m \Lambda = \eta m_{\text{diag}}$$

where we have labelled the diagonal matrix as ηm_{diag} .

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- ▶ As a confirmation of this picture, one can check explicitly that the above three cases correspond to:

$$\begin{aligned} \text{(i)} \quad 2|b| &< |a+c| \\ \text{(ii)} \quad 2|b| &= |a+c| \\ \text{(iii)} \quad 2|b| &> |a+c| \end{aligned}$$

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- ▶ As we have already seen by direct computation, only the first case admits diagonalisation of m_{IJ} by a Lorentz transformation.

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- ▶ It turns out the basis in which k_{IJ} is diagonal is not the most convenient. Instead, the useful basis is the one in which k_{IJ} is **purely off-diagonal**.
- ▶ This is just the light-cone basis, in which the Lorentzian space is taken to be spanned by two independent null vectors and the metric on field space therefore takes the form:

$$k_{IJ} = k \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

- Suppose that in this basis the mass matrix is given by:

$$m = \begin{pmatrix} \alpha & \beta \\ \beta & \gamma \end{pmatrix}$$

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- If $\alpha \neq 0$ then the first equation can be solved for A^1 .

Inserting the solution back into the action, we find:

$$\mathcal{L} = \frac{k^2}{2\alpha} dA^2 \wedge *dA^2 - \frac{\beta k}{\alpha} A^2 \wedge dA^2 + \frac{1}{2} \left(\gamma - \frac{\beta^2}{\alpha} \right) A^2 \wedge *A^2$$

- Suppose that in this basis the mass matrix is given by:

$$m = \begin{pmatrix} \alpha & \beta \\ \beta & \gamma \end{pmatrix}$$

- The Lagrangian is then:

$$\mathcal{L} = kA^1 \wedge dA^2 + \frac{1}{2}\alpha A^1 \wedge *A^1 + \beta A^1 \wedge *A^2 + \frac{1}{2}\gamma A^2 \wedge *A^2$$

- The equations of motion are:

$$kdA^2 + \alpha *A^1 + \beta *A^2 = 0$$

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- If $\alpha \neq 0$ then the first equation can be solved for A^1 .

Inserting the solution back into the action, we find:

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- The resulting theory has a massless propagating gauge field if and only if $\beta = \gamma = 0$.

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- ▶ To arrive at this action we assumed that $\alpha \neq 0$, but of course we could instead assume $\gamma \neq 0$ and eliminate A^2 in the same manner.
- ▶ Thus the final condition for the novel Higgs mechanism in the two-field case is that one of α, γ be nonzero and the other one, along with β , vanish.

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Multi-field case: Diagonalisability conditions

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Multi-field case: Diagonalisability conditions

- ▶ The general diagonalisability condition can be stated very explicitly following a mathematical result due to [Waterhouse]:
Theorem: Two real quadratic forms A_{ij} and B_{ij} can be simultaneously diagonalised by a change of basis if and only if they have **no common zeros along the diagonal in any basis**.
- ▶ For us the two matrices are η_{IJ} and m_{IJ} . The above theorem suggests choosing a **maximally off-diagonal** basis for the former. If we have p timelike and q spacelike directions with $p < q$ (the analysis is similar for $p \geq q$) we can bring η to the form:

$$\eta_{IJ} = \begin{pmatrix} 0 & \mathbb{I}_p & 0 \\ \mathbb{I}_p & 0 & 0 \\ 0 & 0 & \mathbb{I}_{q-p} \end{pmatrix}$$

- ▶ Applying the theorem quoted above, m_{IJ} will be diagonalisable in this basis if it does **not** have any zeroes on the diagonal.

- ▶ Applying the theorem quoted above, m_{IJ} will be diagonalisable in this basis if it does **not** have any zeroes on the diagonal.
- ▶ Therefore a necessary (but not sufficient) condition for the NHM is that m_{IJ} in this basis has **at least one zero** along the diagonal.

Multi-field case: Sufficient conditions for NHM

- ▶ Sufficient conditions can be found by following the same procedure as in the two-field case. For simplicity let us take $p = q$.

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- ▶ In the basis for η_{IJ} above, we divide the $A^{(I)}, I = 1, 2, \dots, 2p$ into two sets:

$$\begin{aligned} A^i &= B^i, \quad i = 1, 2, \dots, p \\ A^{p+i} &= C^i, \quad i = 1, 2, \dots, p \end{aligned}$$

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- ▶ The corresponding equations of motion are:

$$\begin{aligned} dC_i + \alpha_{ij} *B^j + \beta_{ij} *C^j &= 0 \\ dB_i + \beta_{ij} *B^j + \gamma_{ij} *C^j &= 0 \end{aligned}$$

- Now suppose the matrix α_{ij} is invertible. In that case we can solve the first equation for B^i and insert this back into the original Lagrangian to get:

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- We conclude that there is one massless propagating vector field for every simultaneous zero eigenvector of the matrices β_{ij} and γ_{ij} , under the condition that α_{ij} is invertible.
- As in the two-field case, the roles of α_{ij} and γ_{ij} can be interchanged.

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- ▶ In this connection, a curious mathematical observation (**[in collaboration with David Tong]**) is that **before** turning on a Higgs vev, the equations can be recast in a suitable gauge as the famous **Hitchin equations**.
- ▶ Therefore the equations one gets **after** giving a Higgs vev might perhaps be thought of as some **deformation of the Hitchin system**.

- We start with the usual difference action:

$$L_{diff-CS} \sim \text{tr} \left(A^1 \wedge dA^1 + \frac{2}{3} A^1 \wedge A^1 \wedge A^1 \right. \\ \left. - A^2 \wedge dA^2 - \frac{2}{3} A^2 \wedge A^2 \wedge A^2 \right)$$

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- Upon changing variables:

$$B = \frac{1}{2}(A^1 - A^2), \quad C = \frac{1}{2}(A^1 + A^2)$$

we have seen that the action becomes:

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- In these variables, the gauge transformations are:

$$\delta B = d\Lambda_B + [C, \Lambda_B] + [B, \Lambda_C]$$

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- Since this gauge condition depends on both A^1 and A^2 , it has the effect of coupling the two formerly decoupled systems.

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$$D_{\bar{z}}^C \Phi \sim (D_1^C + iD_2^C)(B_1 - iB_2)$$

For this to vanish, we must have:

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- ▶ Hence at the end, the quantities $C_i, \Phi, \bar{\Phi}$ depend on the space variables x^1, x^2 or equivalently z, \bar{z} and satisfy:

$$F(C)_{12} = \frac{i}{2}[\Phi, \bar{\Phi}]$$

$$D_{\bar{z}}^C \Phi = D_z^C \bar{\Phi} = 0$$

These are precisely the Hitchin equations.

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- ▶ And... we have to leave the story here!

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- ▶ It has implications for **multiple membranes in M-theory**, and should have more general implications for the dynamics of gauge theories in $(2+1)d$.
- ▶ It would also be interesting to see if analogous results hold in **$(2+1)d$ gravity**, given that topological mass generation can occur there and the action is of difference-Chern-Simons form.

ευχαριστώ!