# Weak Field Black Hole Formation in Asymptotically AdS Spacetimes

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Based on arXiv [0904.0464] with Shiraz Minwalla

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# References

- P. M. Chesler and L. G. Yaffe, "Horizon formation and far-from-equilibrium isotropization in supersymmetric Yang-Mills plasma" arXiv:0812.2053[hep-th].
- Shu Lin, Edward Shuryak, "Toward the AdS/CFT Gravity Dual for High Energy Collisions. 3. Gravitationally Collapsing Shell and Quasiequilibrium" arXiv:0808.0910 [hep-th]
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# Introduction

- The AdS/CFT correspondence can be used to study the dynamical passage of a system from a pure state to an approximately thermalized state .
- This process is dual to the process of black hole formation via gravitational collapse.
- In this talk we study asymptotically AdS collapse processes, in a weak field limit, that display rich dynamics while allowing for analytic control.

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#### An AdS collapse process can be set up as follows.

- Start with vacuum AdS.
- At time = 0 weakly perturb the system at the boundary by turning on the non normalizable component of a massless field for a finite duration.
- The perturbation creates an ingoing shell of the massless field which sometimes collapses to form black holes.

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# Penrose diagram



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# Translationally invariant collapse

 Our system: Negative cosmological constant Einstein gravity with a minimally coupled massless scalar field

$$S = \int d^{d+1}x \sqrt{g} \left( R + d(d-1) - rac{1}{2} (\partial \phi)^2 
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• We study spacetimes of the form (Eddington-Finkelstein gauge)

$$ds^{2} = 2dr dv - g(r, v)dv^{2} + f^{2}(r, v)dx_{i}^{2}$$
  
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*f*, *g* and φ are independent of (*x<sub>i</sub>*) : Translational invariance.

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$$ds^2 = 2dr \ dv - g(r, v)dv^2 + f^2(r, v)dx_i^2$$

The lines of constant v are null ingoing geodesics.

When

$$g(r,v)=r^2, \quad f(r,v)=r$$

it is the metric of Poincare patch AdS space in Eddington Finkelstein coordinate.

• When

$$g(r,v) = r^2 \left(1 - \frac{M}{r^3}\right), \quad f(r,v) = r$$

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# Set up

# Initial condition: For v < 0 the metric is pure AdS and dilaton field is zero.</li>

• Boundary condition:

Metric is asymptotically Poincare AdS. Non normalizable component  $\phi_0(v)$  of the dilaton field turned on.

$$\lim_{r \to \infty} \phi(r, v) = \phi_0(v)$$
$$\phi_0(v) \neq 0 \quad 0 \le v \le \delta t$$
$$|\phi_0(v)| \sim \epsilon \ll 1$$

• Goal: Given above data, determine space-time metric and dilaton for all *r* and *v*.

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- Note that  $\delta t$  can be scaled away. Qualitatively the amplitude  $\epsilon$  is the only parameter. In this talk we work in the limit of small  $\epsilon$
- A weak boundary perturbation creates a small amplitude wave that propagates from the boundary into the bulk of AdS.
- As the amplitude of the wave is small it is natural to attempt to construct our spacetime in a perturbation expansion (in ε) about empty AdS space. We refer to this as the naive perturbative expansion.

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We now implement the naive perturbation expansion in *ε* around the empty AdS.

$$f(r, v) = \sum_{n=0}^{\infty} \epsilon^n f_n(r, v), \quad g(r, v) = \sum_{n=0}^{\infty} \epsilon^n g_n(r, v)$$
$$\phi(r, v) = \sum_{n=0}^{\infty} \epsilon^n \phi_n(r, v) \quad \text{with}$$

$$f_0(r, v) = r, \quad g_0(r, v) = r^2, \quad \phi_0(r, v) = 0.$$

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# First correction

 We have determined *f*, *g* and φ upto 5th order in ε by solving the bulk equations. At first and second order

$$\phi_1(r, v) = \phi_0(v) + \frac{\dot{\phi}_0}{r}$$

$$f_2(r, v) = -\frac{\dot{\phi}_0^2}{8r}$$

$$g_2(r, v) = -\frac{C_2(v)}{r} - \frac{3}{4}\dot{\phi}_0^2$$

$$C_2(v) = -\int_{-\infty}^v dt \ \frac{\dot{\phi}_0 \, \widetilde{\phi}_0}{2}$$



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- Note that  $\frac{\phi_0}{r} \sim \frac{\epsilon}{r\delta t}$ . Consequently  $\phi_1 \gg 1$  for  $r\delta t \ll \epsilon$ . Therefore this perturbation theory, which is an expansion in the amplitude of  $\phi$ , breaks down for  $r\delta t \ll \epsilon$ . Singular at r = 0.
- More generally it can be shown that naive expansion is valid whenever

$$r\delta t \gg Max \left\{ \epsilon \sqrt{\frac{V}{\delta t}}, \epsilon \right\}$$
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• So naive perturbation breaks down at small *r* and large *v*.

- We will show below that the small *r* region where perturbation fails is shielded from the boundary by an event horizon.
- Breakdown at large v (IR divergence) will be important. It is a consequence of an aspect of our solution that is visible already at small v and so is reliably displayed in naive perturbation theory.
- For  $r \gg \frac{\epsilon}{\delta t}$  and  $\frac{v}{\delta t} \ll \epsilon^{-\frac{2}{3}}$ , where naive perturbation is valid, the small  $\epsilon$  limit of the metric is given by

$$\lim_{\epsilon \to 0} ds^2 = 2dr \ dv - \left(r^2 - \frac{C_2(v)}{r}\right) dv^2 + r^2 dx_i^2$$

$$\neq 2dr \ dv - r^2 dv^2 + r^2 dx_i^2 \quad \text{for } r \lesssim \frac{\epsilon^{\frac{2}{3}}}{\delta t}$$
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- In other words our spacetime is not uniformly well approximated by empty AdS even in the limit e → 0.
- Consequently the naive perturbation expansion misidentifies the starting point for perturbation theory. This results in infrared divergences in this expansion.
- These divergences may be cured by perturbing around the correct leading order spacetime. As we have seen this spacetime is given at early times by

$$ds^{2} = 2dr \, dv - \left(r^{2} - \frac{C_{2}(v)}{r}\right) dv^{2} + r^{2} dx_{i}^{2}$$

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## **Resummed perturbation Theory**

- For  $v > \delta t$  the solution is an unforced normalizable solution to the equations of motion. It turns out that the solution is completely determined by two pieces of initial data: mass density  $M(\delta t) \approx C_2(\delta t)$  and dilaton function  $\phi(r, \delta t)$ .
- Naive expansion (valid at ν = δt) determines both of these perturbatively in ε. It turns out while C<sub>2</sub>(δt) ~ O(ε<sup>2</sup>), φ(r, δt) ~ O(ε<sup>3</sup>).
- This turns out to imply that the subsequent solution (ν > δt) is a small perturbation around static black brane of energy density C<sub>2</sub>(δt)
- Consequently

$$\lim_{\epsilon \to 0} ds^{2} = 2dr \, dv - \left(r^{2} - \frac{C_{2}(v)}{r}\right) dv^{2} + r^{2} dx_{i}^{2}$$

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To the leading order in *ε* the spacetime takes the Vaidya form for all *ν* but for *r* > <sup>*ε*</sup>/<sub>δt</sub>

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where the mass function  $M(v) = C_2(v) = -\int_{-\infty}^{v} dt \, \frac{\dot{\phi}_0 \cdot \phi}{2}$ .

- At early times  $(v \ll \frac{\delta t}{\epsilon_3^2})$  corrections to the Vaidya form are systematically captured by naive perturbation.
- Late time perturbation can be handled by a resummed perturbation expansion about the Vaidya spacetime. Corrections expressed in terms of universal functions that can be computed numerically. 

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#### Time scales

- Note that the energy density *M* of the black brane is of  $\mathcal{O}\left(\frac{\epsilon^2}{\delta t^3}\right)$  and so its temperature,  $M^{\frac{1}{3}}$  is of  $\mathcal{O}\left(\frac{\epsilon^2}{\delta t}\right)$ . It follows that the black brane is formed over a time scale much smaller than the inverse temperature, the natural time scale associated with the brane.
- In particular in the limit ε → 0, δt → 0, δt/ε<sup>3</sup>/ε<sup>3</sup>/ε<sup>3</sup> held fixed describes the instantaneous formation of a black brane finite temperature. Spacetime for this formation process is simply given by AdS space for v < 0 and the blackbrane for v > 0. All corrections to this metric are suppressed by powers of ε<sup>2</sup>/ε<sup>3</sup> and so vanish in this limit.

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- Recall that perturbation breaks down at  $r \lesssim \frac{\epsilon}{\delta t}$ . We will now show that this region is shielded from the boundary by an event horizon.
- The event horizon is the unique null manifold that asymptotes to  $r = M^{\frac{1}{3}}$  at late times. Can be determined order by order in  $\epsilon$ .
- It turns out that to the leading order the position of the event horizon

$$r_{H}(v) = M^{\frac{1}{3}} \quad v > 0$$
  
$$r_{H}(v) = \frac{M^{\frac{1}{3}}}{1 - M^{\frac{1}{3}}v} \quad v < 0$$

• Note  $M^{\frac{1}{3}} \gg \frac{\epsilon}{\delta t}$ . Consequently an arbitrarily small  $\epsilon$ perturbation produces a black brane. singularity formation is always shielded behind an event horizon. Demonstration of cosmic censorship at small  $\epsilon$  in translationally invariant AdS collapse.

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- The event horizon is the unique null manifold that asymptotes to  $r = M^{\frac{1}{3}}$  at late times. Can be determined order by order in  $\epsilon$ .
- It turns out that to the leading order the position of the event horizon

$$r_{H}(v) = M^{\frac{1}{3}}$$
  $v > 0$   
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#### • The gravity solution describes a CFT in $R^{(d-1,1)}$

- Initially in a vacuum state
- During  $(0, \delta t)$  perturbed by a translationally invariant source of amplitude  $\epsilon$ .
- The source couples to a marginal operator that pumps energy into the system.
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### Rapid, scale dependent thermalization

To the leading order the spacetime reduces to that of a uniform blackbrane immediately after  $v > \delta t$ . It follows at leading order

- Our system responds to additional boundary perturbations at ν > δt as if it was precisely thermal.
- One point functions of local operators, which probe the spacetime only near the boundary, attain their thermal values almost instantaneously (for ν > δt).
- Multipoint correlators of local operators and one point functions of non local operators like Wilson loops, which probe the spacetime away from the boundary, attain their thermal values over longer scale dependent time scales.

Subleading corrections in  $\epsilon$  display slower quasinormal mode type decay over the linear response time scale  $M^{-\frac{1}{3}} \gg \delta t$ . Consequently all of the above is valid only at leading order in  $\epsilon$ . To the leading order the spacetime reduces to that of a uniform blackbrane immediately after  $v > \delta t$ . It follows at leading order

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## Penrose diagram



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- Let us generalize our discussion to allow for a forcing function that breaks the translational invariance but on a length scale  $L \gg$  the thermal scale  $M^{-\frac{1}{3}}$ .
- At leading order we expect the spacetime to be tubewise well approximated by the Vaidya form with a spatially varying temperature.

$$ds^{2} = 2dr \, dv - \left(r^{2} - \frac{M(v, \vec{x})}{r}\right) dv^{2} + r^{2} dx_{i}^{2}$$

where

$$M(v, \vec{x}) = C_2(v, \vec{x}) + \mathcal{O}(\epsilon^4)$$
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- Subsequent evolution governed by the equations of boundary fluid dynamics.
- Note that the fluid dynamics is valid already for  $v > \delta t \ll M^{-\frac{1}{3}}$ . This is true even though correlation functions over length scales of the mean free path  $(M^{-\frac{1}{3}})$  are far from thermal at these times. Consequently fluid dynamics is the precise dynamical description of our system well before it has locally equilibriated on the scale of mean free path.

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# Spherically symmetric collapse in flat space

- We study the collapse of a spherically symmetric null shell, propagating inwards from *I*<sup>-</sup> in an asymptotically flat space.
- Near  $\mathcal{I}^-$  the shell takes the form

$$\lim_{r \to \infty} \phi(r, v) = \frac{\psi(v)}{r}$$
  

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- We study the collapse process in an expansion in  $\frac{\delta t}{r_H} = \frac{1}{\epsilon_f^2} \ll 1$  In this limit the shell propagates into its Schwarzschild radius before it interacts with itself. Consequently blackholes are formed efficiently.
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- As an application of our perturbative procedure we compute the fraction of the incident energy that is back scattered as a functional of the shape of the incident wave packet ψ(v) to leading non trivial order in <sup>1</sup>/<sub>c<sup>2</sup></sub>.
- This fraction turns out to be of the order of  $\left(\frac{\delta t}{r_H}\right)^{\frac{3}{2}}$ . It is given by an explicit analytic formula upto an overall numerical constant whose value may be determined from a numerical solution of a linear differential equation.

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- We have studied the analogue of translationally invariant Poincare AdS collapse process in Global AdS spaces.
- Non normalizable component of dilaton is turned on uniformly over the boundary sphere of radius *R* and time interval δt.
- Qualitatively two parameters: Amplitude =  $\epsilon$  and  $x \equiv \frac{\delta t}{B}$ .
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Amplitude (epsilon)

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## Extensions

 In this talk we have discussed the collapse in asymptotically AdS<sub>4</sub> spacetimes. This can be generalized to asymptotically AdS<sub>d+1</sub> spacetimes.

For odd *d* > 3 our results are qualitatively similar to those of *d* = 3. However collapse processes in even *d* are different, in some respects, from their odd *d* counterparts.
 Odd vs. Even dimensions

 In d = 3 we have also studied translationally invariant collapse to a black brane induced by weak gravity wave. The results are qualitatively very similar to those reported in this talk suggesting a certain universality for our results.

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## **Future directions**

- It would be interesting to generalize our work to the study of massive fields in AdS spaces. This would be dual to the equilibriation of a conformal field theory excited by a relevant or irrelevant operator rather than marginal operator.
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# Summary

- We have systematically studied highly symmatric blackhole formation processes in a weak field perturbative expansion both in asymptotically AdS and in asymptotically flat space times.
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## Difference in odd and even bulk dimension

- While in even bulk dimensions a massless field propagates along its light cone, in odd bulk dimension it spreads inside the light cone,
- Nonetheless the field set up by the source of duretion δt decays to zero over a region whose size is of order δt in the neighbourhood of the horizon.
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## Explicit results upto fifth order

$$\begin{split} \phi_{3}(r,v) &= \frac{1}{4r^{3}} \int_{-\infty}^{v} B(x) \, dx \\ f_{4}(r,v) &= \frac{\dot{\phi}_{0}}{384r^{3}} \left\{ \dot{\phi}_{0}^{3} - 12 \int_{-\infty}^{v} B(x) \, dx \right\} \\ g_{4}(r,v) &= -\frac{C_{4}(v)}{r} + \frac{\dot{\phi}_{0}}{24r^{2}} \left\{ -\dot{\phi}_{0}^{3} + 3 \int_{-\infty}^{v} B(x) \, dx \right\} \\ &+ \frac{1}{48r^{3}} \left( 3B(v)\dot{\phi}_{0} - 4\dot{\phi}_{0}^{3}\ddot{\phi}_{0} + 3\ddot{\phi}_{0} \int_{v}^{\infty} B(t) dt \right) \end{split}$$

Where

$$C_4(v) = \int_{-\infty}^{v} dt \; \frac{\dot{3\phi_0}}{8} \left( \dot{\phi}_0^3 - \int_{-\infty}^{t} B(x) \; dx \right)$$

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## Explicit results upto fifth order

$$\begin{split} \phi_5(r,v) &= \frac{1}{8r^5} \int_{-\infty}^{v} B_1(x) \, dx \\ &+ \frac{1}{6r^4} \int_{-\infty}^{v} B_3(x) \, dx + \frac{5}{24r^4} \int_{-\infty}^{v} dy \int_{-\infty}^{y} B_1(x) \, dx \\ &+ \frac{1}{4r^3} \int_{-\infty}^{v} B_2(x) \, dx + \frac{1}{6r^3} \int_{-\infty}^{v} dy \int_{-\infty}^{y} B_3(x) \, dx \\ &+ \frac{5}{24r^3} \int_{-\infty}^{v} dz \int_{-\infty}^{z} dy \int_{-\infty}^{y} B_1(x) \, dx \end{split}$$

where

$$B(v) = \dot{\phi}_0 \left[ -C_2(v) + \dot{\phi}_0 \ddot{\phi}_0 \right]$$
  

$$B_1(v) = \left( -\frac{9}{4}C_2(v) + \frac{7}{8}\dot{\phi}_0 \ddot{\phi}_0 \right) \int_{-\infty}^{v} B(x) \, dx$$
  

$$+ \frac{1}{2}C_2(v)\dot{\phi}_0^3 + \frac{3}{8}\dot{\phi}_0^2 B(v) - \frac{1}{6}\dot{\phi}_0^4 \ddot{\phi}_0$$
  

$$B_2(v) = C_4(v)\dot{\phi}_0$$
  

$$B_3(v) = \frac{1}{24} \left( -30\dot{\phi}_0^2 \int_{-\infty}^{v} B(x) \, dx + 7\dot{\phi}_0^5 \right)$$

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• Explicitly to the leading order for  $v > \delta t$ :

$$\phi = \frac{\phi_3^0(\delta t)}{M} \psi\left(\frac{r}{M^{\frac{1}{3}}}, (\boldsymbol{v} - \delta t)M^{\frac{1}{3}}\right)$$

where

$$\phi_3^0(\delta t) = rac{1}{4} \int_{-\infty}^{\delta t} \dot{\phi}_0 \left[ -C_2(t) + \dot{\phi}_0 \ddot{\phi}_0 
ight] dt$$
 $M = \int_{-\infty}^{\delta t} dt \; rac{\ddot{\phi}_0^2}{2}$ 

•  $\psi(x, y)$ . satisfies

$$\partial_{x}\left(x^{4}\left(1-\frac{1}{x^{3}}\right)\partial_{x}\psi\right)+2x\partial_{y}\partial_{x}\left(x\psi\right)=0$$

• Boundary condition:  $\psi \sim \mathcal{O}(\frac{1}{x^3})$  at large x

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Boundary condition: ψ ~ O(<sup>1</sup>/<sub>x<sup>3</sup></sub>) at large x
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### Numerical solution



- This plot is obtained using Mathematica 6.
- Note exponential decay in time (quasinormal mode behavior).
- Perturbation small at all time. Divergences of the naive perturbation resum into convergent decaying exponentials.

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# Stability

Large uncharged black holes: usually stable in AdS/CFT.

- Thermal gas phase is also stable.
   Its energy ≪ Critical energy density for Jean's instability.
- Small black hole phase: usually unstable in AdS/CFT.
- Here it is a two step thermalization:
  - Small black holes at  $v \sim \delta t$ .
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#### Choptuik phenomena

- Black hole and thermal gas phases are separated by critical surface  $x^2 \sim \epsilon$
- The small *r* gravity solution at x<sup>2</sup> ~ ε is same as Choptuik critical solution in R<sup>d,1</sup>.

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