

# D-branes in Non-Critical Superstrings and Pure Super Yang-Mills.

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## Motivation

- String description of pure (Super) Yang-Mills theory, or more generally of confining gauge theories.
- Understand string theory, D-branes in highly curved backgrounds. Supergravity not a priori a good approximation, need exact sigma model.
- Understand holography in linear dilaton backgrounds.
- Generalize the remarkable understanding of low dimensional string theory (Liouville theory  $\Leftrightarrow$  Matrix models) to higher dimensions.

## Plan

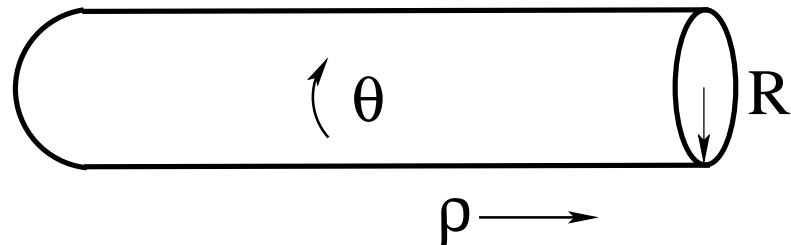
A) Closed string background and D-branes:

- A class of exact Superstring Backgrounds with target space  $\mathbf{R}^{d-1,1}(\times \text{cigar})$  (Non-critical superstrings in  $d$  dimensions).
- A consistent class of  $\frac{1}{2}$  BPS boundary states in these backgrounds.
- These realize on their worldvolume pure SYM in  $d = 2, 4, 6$  as the low-energy effective theory.

B) Modified geometry for closed strings:

- Compute backreaction of these boundary states onto the above background.
- Understand gauge theory quantities holographically.

## The Cigar Background



$$ds^2 = d\rho^2 + \tanh^2\left(\frac{Q\rho}{2}\right) d\theta^2; \quad \theta \sim \theta + \frac{4\pi}{Q}$$

$$\Phi = -\log \cosh\left(\frac{Q\rho}{2}\right); \quad g_s = e^\Phi.$$

Consistent String Background (at tree level)

Dhar, Mandal, Wadia; Elitzur, Forge, Rabinovic; Witten.

$$2D_a D_b \Phi + R_{ab} = 0$$

CFT:  $SL_2(\mathbf{R})/U(1)$  supercoset at level  $k = 2/Q^2$ ,  $R = \sqrt{k\alpha'}$ .

## Conformal Field Theory

Asymptotically,  $\mathcal{N} = 2$  linear dilaton:

$$T_{\text{cig}} = -\frac{1}{2}(\partial\rho)^2 - \frac{1}{2}(\partial\theta)^2 - \frac{1}{2}(\psi_\rho\partial\psi_\rho + \psi_\theta\partial\psi_\theta) - \frac{1}{2}Q\partial^2\rho$$

$$G_{\text{cig}}^\pm = \frac{i}{2}(\psi_\rho \pm i\psi_\theta)\partial(\rho \mp i\theta) + \frac{i}{2}Q\partial(\psi_\rho \pm i\psi_\theta)$$

$$J_{\text{cig}} = -i\psi_\rho\psi_\theta + iQ\partial\theta \equiv i\partial H + iQ\partial\theta \equiv i\partial\phi$$

String Background: Cigar  $\times \mathbf{R}^d \Rightarrow$

$$c = 3(1 + Q^2) + \frac{3}{2}d = 15.$$

$$\Rightarrow Q = \sqrt{\frac{8-d}{2}}.$$

## $\mathcal{N} = 2$ Super Liouville Theory

$$S_{int} = \mu \int d^2 z \psi \tilde{\psi} e^{-\frac{1}{Q}(\rho + \tilde{\rho} + i(\theta - \tilde{\theta}))} + c.c$$

where  $\psi = \psi_\rho + i\psi_\theta$  is the superpartner of  $\rho + i\theta$  and  $\tilde{\psi}$  is its rightmoving counterpart.

- Chiral  $U(1)$   $R$  symmetry on the worldsheet;

$$\psi \rightarrow \psi e^{i\alpha}, \quad \theta \rightarrow \theta + Q\alpha;$$

Involves bosonic spacetime coordinate.

## Symmetries of the theory

- Chiral R symmetries on the worldsheet

$$R = \oint dz \partial \phi,$$

$$\bar{R} = \oint d\bar{z} \bar{\partial} \phi,$$

- Momentum

$$P^\theta = \oint dz \partial \theta + \oint d\bar{z} \bar{\partial} \theta.$$

- Winding broken by condensate.



## Type II theories: Spacetime Supersymmetry

Kutasov and Seiberg

- Exponentiate  $U(1)$   $R$  symmetry on worldsheet  
 $\Rightarrow$  Spacetime Supercharge.

$$S = e^{-\frac{\varphi}{2} + i\frac{\phi}{2}}.$$

- Algebra:

$$\{\mathcal{S}_\alpha, \bar{\mathcal{S}}_\beta\} = 2\gamma_{\alpha\beta}^\mu P_\mu, \quad \text{or} \quad \{\mathcal{S}_\alpha, \bar{\mathcal{S}}_{\dot{\beta}}\} = 2\gamma_{\alpha\dot{\beta}}^\mu P_\mu.$$

- Translation around the cigar  $P^\theta$  is an  $R$  symmetry in spacetime:

$$[P^\theta, \mathcal{S}_\alpha] = \frac{1}{2}\mathcal{S}_\alpha, \quad [P^\theta, \bar{\mathcal{S}}_{\dot{\alpha}}] = -\frac{1}{2}\bar{\mathcal{S}}_{\dot{\alpha}}.$$

# Closed string Spectrum, IIB theory

S.M. hep/th 0305197

NSNS:

- Graviton multiplet ( $G_{IJ}, B_{IJ}, \Phi$ ).
- (Non-tachyonic) scalar  $T$  with non-zero momentum/winding.

RR:

- form fields appropriate to dimension.

Eg:  $d = 4$ , IIB theory,  $C_0, C_2$ .

+

- Tower of states

## $SL(2)_k/U(1)$ : a few details.

- Primary fields on  $SL(2)/U(1)$  are  $V_{m,\bar{m}}^j$ .

$$\Delta_{n,w}^j = -\frac{j(j-1)}{k} + \frac{m^2}{k}, \quad Q_{n,w}^j = \frac{2m}{k}.$$

where the  $U(1)$  quantum numbers are related to the momentum and “winding” by:

$$m = \frac{n + kw}{2}, \quad \bar{m} = -\frac{n - kw}{2}$$

- Reflection:

$$\Phi_{m,\bar{m}}^{-j+1} = R(-j+1, m, \bar{m}) \Phi_{m,\bar{m}}^j$$
$$R(j, m, \bar{m}) = \nu^{1-2j} \frac{\Gamma(-2j+1)\Gamma(1+\frac{1-2j}{k})}{\Gamma(-2j+1)\Gamma(1+\frac{1-2j}{k})} \frac{\Gamma(j+m)\Gamma(j-m)}{\Gamma(-j+1+\bar{m})\Gamma(-j+1-\bar{m})}$$

## Supercoset Representations

- Continuous representations

$$j = \frac{1}{2} + iP, \quad P \in \mathbf{R}_0^+.$$

- Discrete representations

$$\frac{1}{2} < j < \frac{k+1}{2}$$

with

$$j + r = m, \quad j + \bar{r} = \bar{m}.$$

$r, \bar{r}$  are (half) integers for (R) NS sector.

- Asymptotically,  $\Phi_{m\bar{m}}^j(\rho) \sim e^{(j-1)\rho}$ .

# D-branes and Open String Theory

## Boundary State

- General form of boundary state:

$$|\mathbf{B}\rangle = \frac{T}{2} \sum_{\alpha} |B_{X^{\mu}, \psi^{\mu}}\rangle_{\alpha} \otimes |B_{cigar}\rangle_{\alpha} \otimes |B_{ghost}\rangle_{\alpha}$$

with  $\alpha = NS, NS(-)^F, R, R(-)^F$ .

- Branes filling  $\mathbf{R}^{d-1,1}$  obey:

$$\begin{aligned}\partial_\tau X^\mu(\sigma, 0)|B_{X^\mu, \psi^\mu}\rangle &= 0, \\ (\psi^\mu - i\eta\tilde{\psi}^\mu)|B_{X^\mu, \psi^\mu}\rangle &= 0, \\ \mu &= 0, 1..d-1\end{aligned}$$

- Solution:

$$\begin{aligned}|B_{X^\mu, \psi^\mu}\rangle &= \\ \exp\left[-\sum_{n=1}^{\infty}\frac{1}{n}\alpha_{-n}^\mu\eta_{\mu\nu}\tilde{\alpha}_{-n}^\nu - i\eta\sum_{r>0}\psi_{-r}^\mu\eta_{\mu\nu}\tilde{\psi}_{-r}^\nu\right] &|0, \eta, k^\mu = 0\rangle_{NS/R}\end{aligned}$$

$r$  (half) integer for (R) NS sector.

- For  $|B_{cig}\rangle$  localized on the cigar, use the  $\mathcal{N} = 2$  algebra.
- B type boundary conditions:  $J^R = -\tilde{J}^R$ ,  $G^\pm = i\eta\tilde{G}^\pm$ .

Solution:  $|B_{cig}\rangle_\alpha = \sum_{j,n,w} \Psi_{n,w}^{j,\alpha} \Phi_{n,w}^{j,\alpha} |0\rangle_\alpha$ .

with

$$\Psi_{n,w}^{j,NS} = k^{-\frac{1}{2}} (-1)^w \delta_{n,0} \nu^{\frac{1}{2}-j} \frac{\Gamma(j + \frac{k w}{2}) \Gamma(j - \frac{k w}{2})}{\Gamma(2j - 1) \Gamma(1 - \frac{1-2j}{k})}$$

$$\Psi_{n,w}^{j,\widetilde{NS}} = i^w \Psi_{n,w}^{j,NS}$$

$$\Psi_{n,w}^{j,R^\pm} = k^{-\frac{1}{2}} (-1)^w \delta_{n,0} \nu^{\frac{1}{2}-j} \frac{\Gamma(j + \frac{k w}{2} \pm \frac{1}{2}) \Gamma(j - \frac{k w}{2} \mp \frac{1}{2})}{\Gamma(2j - 1) \Gamma(1 - \frac{1-2j}{k})}$$

where  $k\nu^{-1} \equiv (g_s^{tip})^{-2} = \left(\frac{\mu}{k}\right)^{\frac{2}{k}} = \tilde{\mu} \frac{\Gamma(1/k)}{\Gamma(1-1/k)}$ .



Following

Zamolodchikov, Zamolodchikov, Fateev, Zamolodchikov, Zamolodchikov

for D-branes in Liouville,

Hosomichi; Ahn, Stanishkov, Yamamoto; Eguchi and Sugawara

D-branes in  $\mathcal{N} = 2$  Liouville.

Following

e.g.: Ribault, Schomerus

for D-branes in bosonic cigar,

Israel, Pakman, Troost.

D-branes in  $\mathcal{N} = 2$  cigar.

## Annulus Partition function

$$\begin{aligned} Z(t) &= \text{Tr} \left( e^{-2\pi t L_0^{op}} \right) = \langle \mathbf{B} | e^{-s L_0^{cl}} | \mathbf{B} \rangle, \quad s = \pi/t, \\ &= V_d \int \frac{d^d k}{(2\pi)^d} e^{-2\pi t k^2} \frac{1}{2} \left( Z^{NS}(t) - Z^{\widetilde{NS}}(t) - Z^R(t) - Z^{\widetilde{R}}(t) \right) \\ &= 0. \end{aligned}$$

$$Z_{Dp}^{NS}(t) = \left( \frac{\Theta_{00}(it)}{\eta^3(it)} \right)^{\frac{d-2}{2}} \times \frac{\Theta_{00}(it)}{\eta^3(it)} \sum_{s \in \mathbf{Z} + \frac{1}{2}} \frac{1}{1 + q^s} \left( q^{\frac{s^2-s}{k}} - q^{\frac{s^2+s}{k}} \right)$$

$$Z_{Dp}^{\widetilde{NS}}(t) = \left( \frac{\Theta_{01}(it)}{\eta^3(it)} \right)^{\frac{d-2}{2}} \times \frac{\Theta_{01}(it)}{\eta^3(it)} \sum_{s \in \mathbf{Z} + \frac{1}{2}} \frac{(-1)^{s-\frac{1}{2}}}{1 - q^s} \left( q^{\frac{s^2-s}{k}} + q^{\frac{s^2+s}{k}} \right)$$

$$Z_{Dp}^R(t) = \left( \frac{\Theta_{10}(it)}{\eta^3(it)} \right)^{\frac{d-2}{2}} \times \frac{\Theta_{10}(it)}{\eta^3(it)} \sum_{s \in \mathbf{Z}} \frac{1}{1 + q^s} \left( q^{\frac{s^2-s}{k}} - q^{\frac{s^2+s}{k}} \right)$$

$$Z_{Dp}^{\widetilde{R}}(t) = \left( \frac{\Theta_{11}(it)}{\eta^3(it)} \right)^{\frac{d-2}{2}} \times \frac{\Theta_{11}(it)}{\eta^3(it)} \sum_{s \in \mathbf{Z}} \frac{(-1)^s}{1 - q^s} \left( q^{\frac{s^2-s}{k}} + q^{\frac{s^2+s}{k}} \right).$$

## Open String theory on D3-branes and $\mathcal{N} = 1$ SYM

- Massless modes:

$$\epsilon_\nu \psi_{-\frac{1}{2}}^\nu |k_\mu, NS\rangle, \quad u^\alpha |k_\mu, \Sigma_\alpha, R\rangle.$$

BRST invariance  $\Rightarrow$

$$\begin{aligned} k^\mu k_\mu &= 0, & k^\mu \gamma_\mu u &= 0. \\ k^\mu \epsilon_\mu &= 0, & \epsilon^\mu &\equiv \epsilon^\mu + k^\mu. \end{aligned}$$

Gauge Boson  $A_\mu$ , Gaugino  $\lambda_\alpha$ .

- Other modes have masses  $m^2 \sim \alpha'^{-1}$ .

## SUSY

Exactly half of the supercharges in the bulk are preserved by the brane:

$$\mathcal{S}_\alpha + \tilde{\mathcal{S}}_\alpha \equiv \mathcal{S}_\alpha^{bdry}, \quad \bar{\mathcal{S}}_{\dot{\alpha}} + \tilde{\bar{\mathcal{S}}}_{\dot{\alpha}} \equiv \bar{\mathcal{S}}_{\dot{\alpha}}^{bdry}.$$

$$\{\mathcal{S}_\alpha^{bdry}, \bar{\mathcal{S}}_{\dot{\beta}}^{bdry}\} = 2\gamma_{\alpha\dot{\beta}}^\mu P_\mu$$

$$[P^\theta, \mathcal{S}_\alpha^{bdry}] = \frac{1}{2}\mathcal{S}_\alpha^{bdry}, \quad [P^\theta, \bar{\mathcal{S}}_{\dot{\alpha}}^{bdry}] = -\frac{1}{2}\bar{\mathcal{S}}_{\dot{\alpha}}^{bdry}$$

⇒ Low energy limit of the worldvolume theory is pure  $\mathcal{N} = 1$  SYM:

$$S_{YM} = \frac{1}{g_{YM}^2} \int d^4x \operatorname{Tr} \left( \frac{1}{4} F^2 + \bar{\lambda} \partial \lambda \right).$$

# Closed String Physics

## Two technical results

- Correct tachyon wavefunction:

$$\begin{aligned} T^{phys}(\rho) &= \mu \lim_{k \rightarrow 1} e^{\pm i \sqrt{\frac{k}{2}}(\theta - \tilde{\theta})} \left( e^{-k\rho} + R(k) e^{-(2-k)\rho} \right) \\ &= \mu \lim_{\epsilon \rightarrow 0} e^{\pm i \sqrt{\frac{1+\epsilon}{2}}(\theta - \tilde{\theta})} \left( e^{-(1+\epsilon)\rho} + R(1+\epsilon) e^{-(1-\epsilon)\rho} \right) \\ &= -(\mu\epsilon) \rho e^{\pm i \frac{1}{\sqrt{2}}(\theta - \tilde{\theta})} e^{-\rho} \equiv \mu_{ren} \rho e^{\pm i \frac{1}{\sqrt{2}}(\theta - \tilde{\theta}) - \rho} \end{aligned}$$

- Renormalized couplings for  $k = 1$ :

$$(g_{s,ren}^{tip})^{-2} = \mu_{ren}^2 = \tilde{\mu}_{ren} \equiv \nu^{-1}.$$



## Backreaction onto Closed String Modes

- Propagating mode  $(j, n, w)$ :

$$|\mathcal{V}(k)\rangle = [\mathcal{V}^{flat}|0, k^\mu\rangle_{X,\psi}] \otimes [\Phi_{nw}^j|0\rangle_{Cig}].$$

- First order backreaction on the mode by  $|\mathbf{D3}\rangle$

$$\delta\Phi(k) \equiv \langle\mathcal{V}(k)|L_0^{-1}|\mathbf{D3}\rangle = \frac{\delta^4(k^\mu)}{\frac{1}{2}k^\mu k_\mu - j(j+1) + \Delta_{int}} \Psi_{nw}^j.$$

## Position space: Geometrical Approximation

- Find position space solutions to equation of motion (semiclassical).
- Note: Solution to Laplacian on the six dimensional background factorizes  $V_{k,P}(x^\mu, \rho) = e^{ik_\mu X^\mu} \phi_0^P(\rho)$ .
- “Fourier transform” backreaction in momentum space using the above solutions as basis.

## Example: Backreaction on metric

- The delta-function normalized minisuperspace field is

$$\begin{aligned} \phi_0^{grav}(\rho, P) = & \\ & \left[ (\sinh \rho)^{2iP-1} F \left( \frac{1}{2} - iP, \frac{1}{2} - iP; 1 - 2iP; -\frac{1}{\sinh^2 \rho} \right) \right. \\ & \left. + R^{grav}(-P) (\sinh \rho)^{-2iP-1} F \left( \frac{1}{2} + iP, \frac{1}{2} + iP; 1 + 2iP; -\frac{1}{\sinh^2 \rho} \right) \right] \end{aligned}$$

where

$$R^{grav}(P) \equiv \frac{\Gamma(2iP)\Gamma(\frac{1}{2} - iP)^2\Gamma(1 + \frac{2iP}{k})\nu^{iP}}{\Gamma(-2iP)\Gamma(\frac{1}{2} + iP)^2\Gamma(1 - \frac{2iP}{k})\nu^{-iP}}$$

In position space:

$$\begin{aligned}
\frac{1}{N} h^{IJ}(x^\mu, \rho) &= \eta^{IJ} \int_0^\infty dP \Psi^{grav}(P) \frac{1}{P^2 + M^2} \phi^{grav}(\rho, P) \\
&= \eta^{IJ} \int_{-\infty}^\infty dP \frac{1}{P^2 + M^2} \nu^{iP} \frac{\Gamma(\frac{1}{2} - iP)^2}{(\Gamma(-2iP)\Gamma(1 - 2iP))} \times \\
&\quad (\sinh \rho)^{-2iP-1} F\left(\frac{1}{2} + iP, \frac{1}{2} + iP; 1 + 2iP; -\frac{1}{\sinh^2 \rho}\right) \\
&\equiv \eta^{IJ} I_{grav}
\end{aligned}$$

Complete the integral to a contour and use Cauchy's theorem

$\Rightarrow$  Pick residue of the integrand at the pole  $P = +iM = \frac{i}{2}$ .

$$\begin{aligned} I_{grav} &= \frac{2\pi i}{2iM} \nu^{-M} (\sinh \rho)^{-2M-1} F\left(\frac{1}{2} + M, \frac{1}{2} + M; 1 + 2M; -\frac{1}{\sinh^2 \rho}\right) \\ &= 2\pi \nu^{-\frac{1}{2}} (\sinh \rho)^{-2} F\left(1, 1; 2; -\frac{1}{\sinh^2 \rho}\right) \\ &= 2\pi \nu^{-\frac{1}{2}} \log\left(1 + \frac{1}{\sinh^2 \rho}\right). \end{aligned}$$

## Summary of Backreaction Results

$N$   $D3$ -branes at the tip of the cigar source:

- Graviton-dilaton multiplet:

$$\begin{aligned} h^{IJ}(\rho) &= \eta^{IJ} 2\pi N \log \left( 1 + \frac{1}{\sinh^2 \rho} \right) \\ &\longrightarrow \eta^{IJ} [2\pi N e^{-2\rho}] \quad \text{as } \rho \rightarrow \infty \\ &\longrightarrow \eta^{IJ} [4\pi N \log \rho] \quad \text{as } \rho \rightarrow 0. \end{aligned}$$

- Tachyon ( $\mathcal{N} = 2$  Liouville coupling)

$$\begin{aligned}\delta T^{phys} &= \cosh^{-1} \rho; \\ &\longrightarrow e^{-\rho}, \quad \text{as } \rho \rightarrow \infty \\ &\longrightarrow 1, \quad \text{as } \rho \rightarrow 0\end{aligned}$$

- RR axion with a constant field strength.

$$\chi(\rho, \theta) = N\theta$$

## Running gauge coupling

- Near the tip of the cigar, we find:

$$\delta(e^{-\Phi}) \sim N \log \rho.$$

- Semiclassically,

$$S_{D3} = T \int d^4x (e^{-\Phi} \text{Tr} F^2 + \dots)$$

- $\Rightarrow$  Holographic “prediction” of running gauge coupling:

$$\frac{1}{g_{YM}^2} - \frac{1}{g_{YM,0}^2} \sim N \log(\rho/\Lambda)$$

- Liouville (radial) direction  $\rho$  plays the role of the scale in the gauge theory.



## Instantons and Chiral $U(1)_R$ Symmetry Breaking.

- $U(1)_R$  symmetry of the Super Yang-Mills theory is realized in the string theory dual as the conserved  $U(1)$  momentum around the cigar.
- $D3$ -branes at the tip source  $\chi(\rho, \theta) = N\theta$ .  
 $\Rightarrow U(1)_R$  is broken to  $\mathbf{Z}_{2N}$ . Can be checked by a D-instanton probe.
- Not clear from our construction how the chiral symmetry is broken further to  $Z_2$ .

## Conclusions/Lessons

- Found first order backreaction onto the geometry and the fluxes – first step towards string dual of pure Yang-Mills.
- String theory: Localized branes source *Normalizable modes on cigar*, asymptotics not destroyed.
- Gauge theory: Can extract *UV information in gauge theory*.