Dualities and RG flows in 3d $\mathcal{N}=1$ CS-matter theories



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Tenth Crete Regional Meeting on String Theory, Kolymbari, September 19, 2019 Based on OA + Adar Sharon, 1905.07146

Background

- Gauge theory dynamics in 3d is very rich! Many similarities to 4d – confinement, chiral symmetry breaking, conformal fixed points, ... Also interesting in its own right: applications to condensed matter, domain walls in 4d theories, etc.
 - Special to 3d can have Chern-Simons term $L_{CS} = -\frac{\kappa}{2\pi} \int d^3x \, \varepsilon^{\mu\nu\rho} Tr \left(A_{\mu} \partial_{\nu} A_{\rho} - \frac{2i}{3} A_{\mu} A_{\nu} A_{\rho} \right)$ in addition to, or instead of, standard gauge coupling. Dimensionless, quantized coupling.

Background

- Classically CS-matter theories conformal for massless matter, and CS coupling doesn't run, so naively get a fixed point for any G, matter content and $\kappa \neq 0$.
- Does YM+CS+matter theory flow to this fixed point ? In many cases, but not always (see Armoni,Dumitrescu,Festuccia,Komargodski).
 Will not discuss here.
- When scalar fields are present, have 2 options:
- 1) Allow ϕ^4 couplings and get CS + critical scalar theories;
- 2) Extra fine-tuning. Then need to worry about classically marginal ϕ^6 (and $\phi^2 \psi^2$) couplings.

Background : duality

 Many CS-matter fixed points seem to have dual descriptions. Simplest example :

 $SU(N)_k + \psi \leftrightarrow U(k + \frac{1}{2})_{-N,-N} + \phi, \phi^4$

- Historically these arose from 3 separate directions : (many references)
- 1. SUSY : 3d $\mathcal{N}=2$ CS-matter theories have Seiberg dualities like in 4d (can flow), e.g. $SU(N)_k + Q \leftrightarrow U(k - N + \frac{1}{2})_{-k,-N+1/2} + Q$ This can be tested by many SUSY tools.
- 2. Large N : 't Hooft limit of fixed $\lambda = N/k$ has enhanced high-spin symmetry, gravity dual
- 3. Condensed matter (for specific small N,k)

Background : duality

- The simplest duality $SU(N)_k + \psi \leftrightarrow U(k + \frac{1}{2})_{-N,-N} + \phi, \phi^4$ checked in detail at large N. Seems to hold for all N,k (not necessarily from YMCS) but hard to check. (Mass flows \rightarrow level-rank duality.)
- The next-simplest case (tricritical) $SU(N)_k + \psi, \psi^4 \leftrightarrow U(k + \frac{1}{2})_{-N,-N} + \phi, no \phi^4$
- Related by Legendre transform at large N.
- Add marginal $\frac{\lambda_6}{N^2} \phi^6$. Exactly marginal at large N, duality between family of CFTs.

Background : duality

 $SU(N)_k + \psi, \psi^4 \leftrightarrow U(k + \frac{1}{2})_{-N,-N} + \phi, no \phi^4, \frac{\lambda_6}{N^2} \phi^6$

- At finite N have a beta function for λ₆. Without CS trivial fixed point at λ₆=0, but for non-zero k have non-trivial fixed points for λ₆. At large enough N can argue they exist, and can have a duality. For small N, not clear when have fixed point, and when have stable vacuum.
- Many generalizations to theories with many species of fermions and scalars, different gauge groups, etc.



3d $\mathcal{N}=1$ CS-matter theories

- Today I'll discuss 3d N=1 CS-matter theories. Just 2 supercharges – not enough for any "exact computations" (localization), and no nonrenormalization theorems.
- Some motivations :
- 1. Vacuum stability ensured (for SUSY vacua)
- 2. Fewer couplings : no analog of ϕ^4 coupling, but have analog of ϕ^6 coupling leading to non-trivial fixed points and dualities (with one fine-tuning).
- 3. Arise on domain walls of 4d $\mathcal{N}=1$ SUSY theories (e.g. SQCD).

3d $\mathcal{N}=1$ actions

- Simplest superfield has one real scalar and one real fermion, in superspace $\Phi = \phi + \theta \psi + \theta^2 F$
- Start from $U(N)_{\kappa}$ theory with a single (complex) superfield in the fundamental representation.
- $S = L_{CS} + \int d^3x \, d^2\theta \, \left(-\frac{1}{2} D^{\alpha} \overline{\Phi} D_{\alpha} \Phi + \frac{\pi \omega}{\kappa} (|\Phi|^2)^2 \right)$ where L_{CS} same as before after integrating out gaugino. This includes component interactions: $\frac{4\pi^2 \omega^2}{\kappa^2} (|\phi|^2)^3 - \frac{2\pi (1+\omega)}{\kappa} |\phi|^2 |\psi|^2 - \frac{2\pi \omega}{\kappa} (\overline{\psi} \phi) (\overline{\phi} \psi)$ $+ \frac{\pi (1-\omega)}{\kappa} ((\overline{\psi} \phi) (\overline{\psi} \phi) + \text{c.c})$
- For $\omega = 1$ have enhanced $\mathcal{N}=2$ SUSY.

Duality at large N

- $S = L_{CS} + \int d^3x \, d^2\theta \, \left(-\frac{1}{2} D^\alpha \overline{\Phi} D_\alpha \Phi + \frac{\pi\omega}{\kappa} (|\Phi|^2)^2 \right)$
- For large N (fixed $\lambda = N/k$) the beta function of ω vanishes, so have a line of RG fixed points labeled by (λ, ω) .
- As without SUSY, many exact computations can be done at leading order in 1/N. The computations suggest a duality: (Jain et al)

 $\lambda \to \lambda - sign(\lambda), \qquad \omega \to \frac{3-\omega}{1+\omega}, \qquad m \to -\frac{2m}{1+\omega}$ where the last equation is the transformation of a SUSY mass parameter $\int d^3x \ d^2\theta \ m |\Phi|^2.$

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Are there fixed points, dualities for finite N ?

The beta function

- $S = L_{CS} + \int d^3x \, d^2\theta \, \left(-\frac{1}{2} D^\alpha \overline{\Phi} D_\alpha \Phi + \frac{\pi\omega}{\kappa} (|\Phi|^2)^2 \right)$
- For finite N cannot compute the beta function exactly. Hope to do it at leading order in 1/N.
- If we change variables to J = |Φ|², then to do this we need to know ⟨JJ⟩, ⟨JJJ⟩ and ⟨JJJJ⟩ at leading order in 1/N, and some correlator at subleading order in 1/N. We computed at leading order ⟨JJ⟩, ⟨JJJ⟩, and ⟨JJJJ⟩ for collinear momenta. But need to know leading ⟨JJJJ⟩ for general momenta (bootstrap ?) and some subleading correlator, which are not yet known.

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The beta function of Φ^4

• For $\lambda = 0$ we did this – should use $\tilde{\omega} = \lambda \omega$,

$$S = \int d^3x \, d^2\theta \, \left(-\frac{1}{2} D^{\alpha} \overline{\Phi} D_{\alpha} \Phi + \frac{\widetilde{\omega}}{N} (|\Phi|^2)^2 \right)$$

• The contributing diagrams to $\langle \Phi^4 \rangle$ at order 1/N are (in superspace) : = $\times + \times + \times \times + \times \times$



The beta function of Φ^4

•
$$S = \int d^3x \, d^2\theta \, \left(-\frac{1}{2} D^\alpha \overline{\Phi} D_\alpha \Phi + \frac{\widetilde{\omega}}{N} (|\Phi|^2)^2 \right)$$

- Summing all these diagrams we find $\beta(\widetilde{\omega}) = \frac{1}{N} \frac{16 \,\widetilde{\omega}^3}{\pi^2} \frac{48 - \widetilde{\omega}^2}{(\widetilde{\omega}^2 + 16)^2} + O\left(\frac{1}{N^2}\right)$
- A stable triple fixed point at $\tilde{\omega} = 0$, two unstable ones at $\tilde{\omega} = \pm \sqrt{48}$, and a stable one at $\tilde{\omega} = \infty$.
- Naively have separate fixed points (related by parity) at *ũ* = ±∞, but we claim they should be identified since at large *ũ* can rewrite interactions using an extra singlet superfield H as

$$S = \int d^3x \, d^2\theta \, \left(H |\Phi|^2 - \frac{N}{4\widetilde{\omega}} H^2 \right)$$

- $S = L_{CS} + \int d^3x \, d^2\theta \, \left(-\frac{1}{2} D^\alpha \overline{\Phi} D_\alpha \Phi + \frac{\pi\omega}{\kappa} (|\Phi|^2)^2 \right)$
- At weak coupling triple fixed point at ω̃ = 0 splits into three fixed points with ω̃ ∝ λ, or finite ω :



- $S = L_{CS} + \int d^3x \, d^2\theta \, \left(-\frac{1}{2} D^\alpha \overline{\Phi} D_\alpha \Phi + \frac{\pi\omega}{\kappa} (|\Phi|^2)^2 \right)$
- Using the large N duality we can obtain from this the behavior of the fixed points also at strong coupling (λ ~1):



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(Have self-dual fixed point with exact moduli space at $\lambda = \frac{1}{2}$, $\omega = -3$.)

- $S = L_{CS} + \int d^3x \, d^2\theta \, \left(-\frac{1}{2} D^\alpha \Phi D_\alpha \Phi + \frac{\pi\omega}{\kappa} (|\Phi|^2)^2 \right)$
- Using also the fact that number of zeros of β(ω) at order 1/N is bounded by six, obtain following conjecture for RG flows at large finite N :





So at large finite N have 3 stable fixed points, the $\mathcal{N}=2$ point, one near $\omega = -1$ and one near $\omega = \infty$, and expect a duality exchanging the latter two

$$SU(N)_{k+\frac{N-1}{2}} + \Phi \leftrightarrow U(k)_{-N-\frac{k-1}{2},-N+1/2} + \Phi$$

Theories with more flavors

• When we have more flavors Φ_i ($i = 1, \dots, N_f$) have 2 classically marginal couplings :

$$\int d^3x \, d^2\theta \, \left(\frac{\pi \omega_0}{\kappa} (\overline{\Phi}_i \Phi_i)^2 + \frac{\pi \omega_1}{\kappa} (\overline{\Phi}_i \Phi_j) (\overline{\Phi}_j \Phi_i) \right)$$

(3 ϕ^6 couplings without SUSY).

• For infinite N both couplings exactly marginal, can again compute correlators exactly, results suggest a duality:

$$\lambda \rightarrow \lambda - sign(\lambda), \omega_1 \rightarrow \frac{3 - \omega_1}{1 + \omega_1}, \ \omega_2 \rightarrow \frac{3 - \omega_2}{1 + \omega_2}$$

where $\omega_2 = N_f \ \omega_0 + \omega_1$.

Theories with more flavors

- Are there fixed points, dualities for finite N?
- Can again compute just at weak CS coupling, there find flows : ω_1



• Have some IR-stable fixed points, conjectured to be dual. $\mathcal{N}=2$ point at $(\omega_1, \omega_0) = (1,0)$ unstable.

Theories with more flavors

- So conjecture various dualities of the form : $SU(N)_{k+\frac{N-N_f}{2}} + N_f \Phi \leftrightarrow U(k)_{-N-\frac{k-N_f}{2}, -N+N_f/2}} + N_f \Phi$ between various values of (ω_1, ω_0) .
- When these values are large, again more natural to describe by adding singlets, in singlet or adjoint of SU(N_f) flavor group :

$$d^3x \, d^2\theta \, \left(H\left(\overline{\Phi}_i \Phi_j\right) + x_2 H^2 + H_{ij}\left(\overline{\Phi}_i \Phi_j\right) + x_1 H_{ij}^2\right)$$

with small (x_1, x_2) .

- Interpolation to strong coupling complicated.
- $\lambda = \frac{1}{2}$, $(\omega_1, \omega_2) = (-3, -3)$ f.p. exact moduli space

Summary

- Analyzed possible fixed points of 3d $\mathcal{N}=1$ $SU(N)_k$ and $SU(N)_k$ with N_f flavors at large N. For $N_f = 1$ have 3 stable fixed points including $\mathcal{N}=2$ point. For $N_f > 1$ have more, $\mathcal{N}=2$ point unstable.
- Conjectured dualities between these fixed points, more precise version of duality conjectures previously made by Jain et al, Bashmakov et al, Benini et al, ...
- At self-dual points fixed points w/exact m.s.
- Also analyzed phase structure near fixed points different for the 3 $N_f = 1$ fixed points.
- Want to know more about 1/N, finite N ! How ?
- Holography (one-loop in Vasiliev high-spin gravity)?



Happy 80th birthday Farhad !



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