

Momentum dissipation and charge transport in holography

Richard Davison, Leiden University

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Based on:

1306.5792 [hep-th] by RD,

1311.2451 [hep-th] by RD, K. Schalm, J. Zaanen,

and work by many others.

Overview: Some history

- **Holography**: some strongly coupled quantum field theories can be rewritten as classical theories of gravity in higher-dimensional spacetimes.
- Solutions of the gravitational theory correspond to equilibrium states of the field theory (e.g. black holes = thermal states) and excitations of these gravitational solutions encode the transport properties of the dual field theory.
- Try to learn general lessons, to help understand the transport properties of real, strongly interacting thermal states (e.g. the quark-gluon plasma).

Policastro, Son, Starinets, Herzog, etc.... 2001+

Overview: AdS/CMT

- There are many other real systems whose transport properties are not understood e.g. some strongly correlated electron systems.
- Holographic toy models of these states are charged black holes: dual to field theory states with a non-zero charge density.
- These states exhibit emergent **quantum criticality** at low energies. They transform very simply under rescalings of space and time.
- Holography lets us study the physics of quantum critical states of matter, in a controlled and simple way.

Overview: Transport in AdS/CMT

- Conceptually, the simplest transport property is the **electrical conductivity** $\sigma(\omega, T)$. It is also relatively easy to measure.

- But for the holographic theories just described

$$\sigma_{DC} \rightarrow \infty$$

$$\sigma(\omega) \sim \delta(\omega)$$

- This is because these theories have a conserved momentum: a small current cannot dissipate, because it carries momentum.
- To get a realistic answer, **we have to incorporate a mechanism by which the charge can dissipate momentum**. In this talk, I will describe simple ways to do this.

Outline of this talk

- Basic technology and properties of holographic theories
- Explicit translational symmetry breaking and massive gravity
- A simple mechanism for resistivity=entropy
- Conclusions

Basic technology of holography I

- A gravitational theory will have an action $S[g_{\mu\nu}, A_\mu, \phi, \dots]$
- Each field in the gravitational theory encodes the dynamics of an operator in the dual field theory:

| | | |
|---------------|--|-----------------------|
| Gravity field | $g_{\mu\nu}(r, t, \vec{x}) \longleftrightarrow T^{\mu\nu}(t, \vec{x})$ | Field theory operator |
| | $A_\mu(r, t, \vec{x}) \longleftrightarrow J^\mu(t, \vec{x})$ | |
| | $\phi(r, t, \vec{x}) \longleftrightarrow \mathcal{O}_\phi(t, \vec{x})$ | |

- Gauged symmetries in the gravitational theory correspond to global symmetries in the field theory:

Diffeomorphism invariance: $\partial_\mu T^{\mu\nu} = 0$

U(1) gauge invariance: $\partial_\mu J^\mu = 0$

Basic technology of holography II

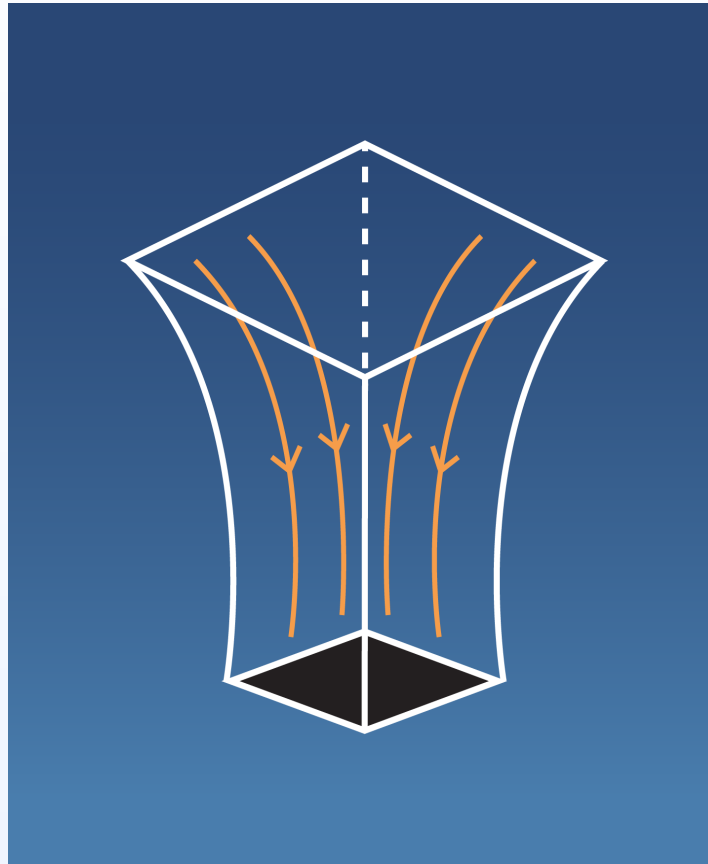
extra co-ordinate $r = \text{energy scale}$

gravity

$$\text{AdS}_4 + A_t (r = \infty)$$

Solve
Einstein's
equations

Near-horizon geometry



field theory

$$\text{CFT}_3 + \mu$$

RG flow

IR physics

Emergent and local quantum criticality

- The specific IR physics depends on the gravitational action. Generically, the near-horizon metric is covariant under a scaling symmetry $t \rightarrow \lambda t$, $\vec{x} \rightarrow \lambda^{\frac{1}{z}} \vec{x}$ z: dynamical critical exponent
- In the IR, there is emergent quantum criticality, which can violate hyperscaling.
- In holography, the simplest examples have $z \rightarrow \infty$. These exhibit local quantum criticality. The dual geometries are conformal to $\text{AdS}_2 \times \mathbb{R}^2$.
- For $z \rightarrow \infty$, the IR physics is approximately momentum-independent, and low energy excitations exist at all momenta.

Linear response from holography

- The linear response of these field theories to perturbations is controlled by the linear excitations around the gravitational solutions $g_{\mu\nu} \rightarrow g_{\mu\nu} + \delta g_{\mu\nu}$,

$$A_\mu \rightarrow A_\mu + \delta A_\mu, \quad \text{etc.}$$

- From these, we compute retarded Greens functions: the response of an operator to a small external source

$$G_{\mathcal{O}\mathcal{O}}(\omega) \sim \frac{\delta\langle\mathcal{O}(\omega)\rangle}{\delta\mathcal{J}(\omega)} \sim \left. \frac{\partial_r \phi(r,\omega)}{\phi(r,\omega)} \right|_{r \rightarrow \infty}$$

gravity calculation

- Using a Kubo formula, it is simple to determine electrical conductivity from δA_x

$$\sigma(\omega) = \frac{i}{\omega} G_{J^x J^x}(\omega) = \frac{\delta\langle J^x(\omega)\rangle}{\delta E_x(\omega)}$$

Basic properties of holographic theories

- These states are quite different from those composed of long-lived quasiparticles. They are **highly collective**, and we deal directly with the collective currents of the system: $T^{\mu\nu}$, J^μ etc.
- The intrinsic relaxation times are short: \vec{J} wants to decay quickly but it can't. It carries momentum, which is conserved

$$\partial_\mu T^{\mu\nu} = 0 \quad \longrightarrow \quad \partial_t \vec{P} = 0$$

- To get realistic transport, we need to dissipate it e.g. by breaking translational invariance.
- In general this is very hard. It is very instructive to work with **simple cases** where we can clearly identify what is happening.

A simple theory of massive gravity I

- The starting point: momentum conservation is enforced by the diffeomorphism invariance of gravity. The simplest way to remove this is to **give a mass to the graviton** e.g. $\mathcal{L}_m = \sqrt{-g}m^2 f_{\mu\nu}g^{\mu\nu}$
 $f_{xx} = f_{yy} = 1$

- In fact, a more complicated action was studied first:

$$S = \int d^4x \sqrt{-g} \left(\mathcal{R} + \frac{6}{L^2} - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + m^2 \left[(\text{Tr}\mathcal{K})^2 - \text{Tr}(\mathcal{K}^2) \right] \right) \quad \mathcal{K}_\alpha^\mu \mathcal{K}_\nu^\alpha = g^{\mu\alpha} f_{\alpha\nu}$$

- It has a simple solution with **isotropy and translational invariance**:

$$ds^2 = \frac{L^2}{r^2} \left(-f(r)dt^2 + dx^2 + dy^2 + \frac{dr^2}{f(r)} \right) \quad A_t(r) = \mu \left(1 - \frac{r}{r_0} \right)$$

$$f(r) = 1 - m^2 r^2 - (1 - m^2 r_0^2 + \frac{1}{4} \mu^2 r_0^2) \frac{r^3}{r_0^3} + \frac{1}{4} \mu^2 r_0^2 \frac{r^4}{r_0^4}$$

Vegh (2013)

- Numerical calculations show that σ_{DC} is finite.

A simple theory of massive gravity II

- This theory breaks diffeomorphism invariance in such a simple way that it is easy to learn a lot about what is happening.
- Near the horizon, the geometry is still $\text{AdS}_2 \times \mathbb{R}^2$. It is a marginal deformation: the effect of m is to change the length scale of AdS_2
- The mass term has a much more important effect: the breaking of diffeomorphism invariance **creates new dynamical degrees of freedom**. One of these couples to δA_x .
- In the field theory, a new operator couples to J_x and its dimension controls the scaling behaviours of σ :

$$\sigma_{DC} \sim T^0$$

$$\sigma(\omega, T=0) \sim \omega^0$$

Drude peak in massive gravity

- For small frequencies and graviton masses: $(m/\mu)^2 \ll \omega/\mu \ll 1$

$$\sigma(\omega) = \frac{\sigma_{DC}}{1 - i\omega\tau} \quad \sigma_{DC} \sim \tau \quad \tau = \frac{4\pi(\epsilon + P)}{s} \frac{1}{m^2} \quad \text{RD (2013)}$$

- This is just a Drude peak! But Drude's theory is based on long-lived quasiparticles with lifetime \mathcal{T} , and these are **not** present here.

- At long distances and low energies, we can deduce a simple effective theory of what is going on. The main effect of the graviton mass is to make the **total momentum** of the state dissipate at the rate

$$\partial_t \vec{P} = -\Gamma \vec{P} \quad \Gamma \equiv \tau^{-1} = \frac{s}{4\pi(\epsilon + P)} m^2 \sim m^2 T^0$$

- This momentum dissipation rate controls the conductivity.

What is going on in the field theory? I

- The coupling to new degrees of freedom due to the graviton mass produces the desired effect: it causes momentum to dissipate in the dual field theory and gives finite σ_{DC} .
- **But what is really going on?** Consider the simpler mass term $\mathcal{L}_m = \sqrt{-g}m^2 f_{\mu\nu}g^{\mu\nu}$. This has the same solution and equations for δA_x i.e. the same conductivity.
- Rewrite the fixed reference metric in terms of scalar fields ("Stuckelberg trick"):
$$m^2 f_{\mu\nu} = \delta_{ij} \partial_\mu \varphi^i \partial_\nu \varphi^j$$
- This **restores diffeomorphism invariance at the price of introducing new degrees of freedom** (scalar fields).

What is going on in the field theory? II

- The resulting action is much more reasonable:

Andrade, Withers (2013)

$$S = \int d^4x \sqrt{-g} \left[\mathcal{R} + \frac{6}{L^2} - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} - \sum_{i=1}^2 \partial_\mu \varphi^i \partial^\mu \varphi^i \right]$$

- The new massless scalar fields have equations of motion with simple solutions that explicitly break translational invariance

$$\partial_\mu (\sqrt{-g} g^{\mu\nu} \partial_\nu \varphi^i) = 0 \quad \varphi^i = m x^i \quad x^i: \text{field theory spatial co-ordinates}$$

- The equations for δA_x are the same as in the previous theory of massive gravity, and therefore so is the conductivity.
- There is an **effective graviton mass** from coupling to a scalar field with a source that breaks translational invariance. The new degrees of freedom are excitations of the scalar fields.

Generalise: what are the key features?

- There are two mathematical features that make things so simple:
 1. Although the scalar fields explicitly break translational invariance, their derivatives are independent of x^i . Thus the gravitational $T^{\mu\nu}$ is independent of x^i , and so is the metric.
 2. The equations of motion for δA_x are so simple that there is a universal expression for σ_{DC} that depends on the near-horizon gravitational solution. Blake, Tong (2013)
i.e. one does not have to explicitly embed this near-horizon geometry into an AdS spacetime, or to solve the equations for δA_x explicitly.

Generalise: many metals & insulators

- We were working with the simplest action with a charged black hole solution: Einstein-Maxwell theory. scalar field present in string theory
↙
- Can generalise this to an **Einstein-Maxwell-dilaton** theory (plus scalars to break translational symmetry), and classify the possible near-horizon solutions i.e. possible IR effective field theories.
- The equations for δA_x retain the simplicity, and so it is simple to read off σ_{DC} :
$$\sigma_{DC} \sim T^\alpha, \quad \sigma(\omega, T=0) \sim \omega^\beta, \quad \alpha \neq \beta$$

Gouteraux (2014), Donos, Gauntlett (2014)
- They can be **conductors (coherent or incoherent) or "insulators"**. Power laws determined by the exponents characterising the scaling symmetries of the IR physics (e.g. z).

More realistic examples

- These states break translational invariance in a featureless way.
- The tools developed here are useful in more realistic examples: **the main effect of translational symmetry breaking is to generate an effective mass for the graviton**, which controls σ_{DC} .
- If the scalar has a periodic or spatially random source, the equations of motion for δA_x retain the simplicity of the toy model, at leading order in the strength of the source.
Blake, Tong, Vegh (2013), Lucas, Sachdev, Schalm (2014)
- In these cases, it is easy to calculate σ_{DC} from the effective graviton mass, which now depends on the characteristics of the lattice or disorder that is turned on.

Hydrodynamics and resistivity=entropy

- The holographic theories provide quantum critical phases with power law resistivities ρ_{DC} . The power typically depends on the various exponents z , θ , etc. controlling the IR physics.
- In some cases, it is possible to identify a more physical reason for these results. In particular, some of these holographic theories have the intriguing result $\rho_{DC}(T) \sim s(T)$.
- We can identify a mechanism responsible for this, which is due to universal properties of holographic theories.
- The holographic theories are examples of cases where this mechanism exists, but **it can exist independently of holography**.

"Almost conserved" momentum I

- If we **weakly** break translational invariance, so that \vec{P} lives for a long time (it is "almost conserved"), it controls the decay rate of \vec{J} at late times, and **the conductivity is proportional to Γ , the rate at which momentum dissipates.**
- Suppose this dissipation is caused by a coupling to a periodic source for an irrelevant operator in the IR: $\delta H = V \int dx e^{ik_L x} \mathcal{O}(x)$
The dissipation rate will be small and we can work perturbatively.
- At leading order, Γ is determined by the number of low energy states at k_L in the translationally invariant theory: **it is these that couple to the lattice once it's turned on.**

“Almost conserved” momentum II

- Using the memory matrix formalism, this intuition is confirmed:

$$\Gamma = \frac{V^2 k_L^2}{\chi_{\vec{P}\vec{P}}} \lim_{\omega \rightarrow 0} \frac{\text{Im} G_{\mathcal{O}\mathcal{O}}(\omega, k_L)}{\omega} \Big|_{V \rightarrow 0}$$

- For coupling to a spatially random source, one should integrate over momenta

$$\Gamma = \frac{V^2}{\chi_{\vec{P}\vec{P}}} \int d^2 k k^2 \lim_{\omega \rightarrow 0} \frac{\text{Im} G_{\mathcal{O}\mathcal{O}}(\omega, k)}{\omega} \Big|_{V \rightarrow 0}$$

- And in both cases, $\rho_{DC}(T) \sim \Gamma(T)$.
- Note that this argument exists independently of holography, but is particularly useful in these cases since momentum is the only long-lived quantity.

Hartnoll, Kovtun, Muller, Sachdev (2007)

Hartnoll, Herzog (2008)

Hartnoll, Hofman (2012)

Hydrodynamics in holography

- So, in some cases, the resistivity is controlled by the properties of the translationally invariant state.
- One of the most generic features of translationally invariant holographic theories is that they behave **hydrodynamically** at sufficiently low energies and long distances.
- These collective states are “**almost perfect fluids**”: they reach local thermal equilibrium in the shortest possible time, due to their **minimal viscosity**
$$\eta = \frac{\hbar}{k_B} \frac{s}{4\pi}$$

Kovtun, Son, Starinets (2004)
Iqbal, Liu (2008)
- This is **very different** than in quasiparticle theories where η is very large due to the weak interactions e.g. in a Fermi liquid, $\eta \sim 1/T^2$

Weak disorder and hydrodynamics

- In a hydrodynamic state, the correlation functions of $T^{\mu\nu}$, J^μ at low energies and long distances are fixed.
- So if we couple a (relativistic, conformal) hydrodynamic state to random sources of energy density and charge density (i.e. random disorder)

$$\rho_{DC} \sim \frac{\mathcal{V}_{T^{tt}}^2}{\sigma^2} \int dk k (\eta k^2 + \dots), \quad \rho_{DC} \sim \frac{\mathcal{V}_{J^t}^2}{\sigma^2} \int dk k \left(\frac{1}{\sigma_Q} \left[2 \frac{\sigma^2}{\epsilon + P} - \left(\frac{d\sigma}{d\mu} \right)_T \right]^2 + k^2 \frac{\sigma^2}{(\epsilon + P)^2} \eta + \dots \right),$$

charge density

"universal conductivity"

provided the disorder is irrelevant.

- And so if hydrodynamics applies at small distances $\sim \mu^{-1}$, random disorder will produce a viscous contribution to the resistivity: $\rho_{DC}(T) \sim \eta(T) / l^2$.

RD, Schalm, Zaanen (2013)

see also: Andreev, Kivelson, Spivak (2011)

Resistivity=entropy and the cuprates

- A simplified way to think about it: in a hydrodynamic state, **momentum diffuses** at a rate $D \sim \eta$. If it interacts with impurities a distance l apart, its lifetime is $\tau^{-1} \sim D/l^2$ and so the momentum dissipation rate is $\Gamma \sim \tau^{-1} \sim \eta/l^2$
- Locally critical ($z \rightarrow \infty$) holographic theories are well-described by hydrodynamics with $\eta = s/4\pi$ and couple weakly to random sources of energy and charge density:

$$\rho_{DC}(T) \sim s(T) \quad \text{see also Anantua et. al. (2012)}$$

- At finite z , disorder is relevant at low energies. Perturbation theory breaks down at low T , where $\rho_{DC}(T) \sim T^{2/z} s(T)$

How realistic is this?

- In the **strange metal phase of the cuprates**, $\rho_{DC}(T) \sim s(T)$???. They are both linear in T at optimal doping, and appear to change in a similar way as doping is reduced.
- **A possible resolution**: strong interactions in the cuprates cause the electrons to quickly form a collective, hydrodynamic state with minimal viscosity, before momentum is dissipated. Weak interactions with disorder then give a linear resistivity. At $T=0$, resistivity vanishes as a perfect (non-dissipative) fluid forms.
- It would be very interesting to systematically measure $\rho_{DC}(T)/s(T)$ as a function of doping and to look for more experimental signatures of hydrodynamics in metals.

Summary

- To get sensible transport properties in holographic theories, we need to introduce a mechanism that **dissipates momentum**.
- This can be done in a simple way that keeps the calculations tractable. The resulting states can be metallic or insulating.
- The key effect of momentum dissipation is that **it generates an effective mass term for the graviton, which controls the resistivity**. This extends to more realistic cases: lattices and random disorder.
- Inspired by holography, **identified a simple physical mechanism by which metals can acquire a linear resistivity**.